

4. (a) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the reporting individual with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency
<u>Attorney At Law - Twomey Latham Shea Kelley Dubin & Quartarro - Judi</u>		
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- (b) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the spouse or unemancipated child of the reporting individual, with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency
<u>Not Applicable</u>		
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5. (a) List the name, address and description of any occupation, employment (other than the employment listed under Item 2 above), trade, business or profession engaged in by the reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
	1)Attorney at Law/Twomey Latham Shea Kelly Dubin & Quartarro 33 W. Second Street, Riverhead, NY 11901	Attorney At Law	Judicial 2nd Department
	2)Broker - Kenneth P. LaValle 6 Settlers Path, Port Jefferson, N.Y. 11777	Real Estate Broker	NYS DOS Lic. # 35LA

- (b) If the spouse or unemancipated child of the reporting individual was engaged in any occupation, employment, trade, business or profession which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name, address and description of such occupation, employment, trade, business or profession and the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
	Broker/slaesperson-Wells REal Estate/Not Active in Profession/NYS DOS RT 112, Port Jefferson Station, Ny 11776		
	Director/Suffolk County Real Property Tax Service Agency/ 300 Center Drive, RIVERHEAD, NY 11901 - 3398	Administra	NYSORPS/NYS DTF

6. List any interest, in EXCESS of \$1,000, held by the reporting individual, such individual's spouse or unemancipated child, or partnership of which any such person is a member, or corporation, 10% or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse or such child to such entity and the interest in such contract. Do NOT include bonds and notes. Do NOT list any interest in any such contract on which final payment has been made and all obligations under the contract except for guarantees and warranties have been performed, provided, however, that such an interest must be listed if there has been an

ongoing dispute during the calendar year for which this statement is filed with respect to any such guarantees or warranties. Do NOT list any interest in a contract made or executed by a local agency after public notice and pursuant to a process for competitive bidding or a process for competitive requests for proposals.

Self, Spouse or Child	Entity Which Held Interest in Contract	Relationship to Entity and Interest in Contract	Contracting State or Local Agency	Category of Value of Contract (In Table II)
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Not Applicable

7. List any position the reporting individual held as an officer of any political party or political organization, as a member of any political party committee, or as a political party district leader. The term "party" shall have the same meaning as "party" in the election law. The term "political organization" means any party or independent body as defined in the election law or any organization that is affiliated with or a subsidiary of a party or independent body.

Not Applicable

8. (a) If the reporting individual practices law, is licensed by the department of state as a real estate broker or agent or practices a profession licensed by the department of education, or works as a member or employee of a firm required to register pursuant to section one-e of the legislative law as a lobbyist, give a general description of the principal subject areas of matters undertaken by such individual. Additionally, if such an individual practices with a firm or corporation and is a partner or shareholder of the firm or corporation, give a general description of principal subject areas of matters undertaken by such firm or corporation.

Licensed to practice law in NYS. General Practice of law, concentra wills, trusts; estate planning, elder and real estate law; municipal

(b) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE: If the reporting individual personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), then identify each client or customer to whom the

reporting individual personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of \$10,000 during the reporting period for such services rendered in direct connection with:

- (i) A proposed bill or resolution in the senate or assembly during the reporting period;
- (ii) A contract in an amount totaling \$50,000 or more from the state or any state agency for services, materials, or property;
- (iii) A grant of \$25,000 or more from the state or any state agency during the reporting period;
- (iv) A grant obtained through a legislative initiative during the reporting period; or
- (v) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or knowingly solicit or direct to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in subparagraphs (i) through (v) of this paragraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

The disclosure requirement in this question shall not require disclosure of clients or customers receiving medical or dental services, mental health services, residential real estate brokering services, or insurance brokering services from the reporting individual or his or her firm. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, or domestic relations matters. With respect to clients represented in other matters, where disclosure of a client's identity is likely to cause harm, the reporting individual shall request an exemption from the joint commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law. Only a reporting individual who first enters public office after July first, two thousand twelve, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Client	Nature of Services Provided
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Not Applicable	
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(c) List the name, principal address and general description or the nature of the business activity of any entity in which the reporting individual or such individual's spouse had an investment in excess of \$1,000 excluding investments in securities and interests in real property.

Not Applicable

9. List each source of gifts, EXCLUDING campaign contributions, in EXCESS of \$1,000, received during the reporting period for which this statement is filed by the reporting individual or such individual's spouse or unemancipated child from the same donor, EXCLUDING gifts from a relative. INCLUDE the name and address of the donor. The term "gifts" does not include reimbursements, which term is defined in item 10. Indicate the value and nature of each such gift.

Self, Spouse or Child	Name of Donor	Address	Nature of Gift	Category of Value of Gift (In Table I)
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Not Applicable

10. Identify and briefly describe the source of any reimbursements for expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of \$1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursements" does NOT include gifts reported under item 9.

Source

Description

Not Applicable

11. List the identity and value, if reasonably ascertainable, of each interest in a trust, estate or other beneficial interest, including retirement plans (other than retirement plans of the state of New York or the city of New York), and deferred compensation plans

(e.g., 401, 403(b), 457, etc.) established in accordance with the internal revenue code, in which the REPORTING INDIVIDUAL held a beneficial interest in EXCESS of \$1,000 at any time during the preceding year. Do NOT report interests in a trust, estate or other beneficial interest established by or for, or the estate of, a relative.

Identity	Category of Value* (In Table II)
NYS Deferred Compensation Plan	J
Transamerica Life Insurance Co. of New York	E
J P Morgan IRS/SEP	H

* The value of such interest shall be reported only if reasonably ascertainable.

12. (a) Describe the terms of, and the parties to, any contract, promise, or other agreement between the reporting individual and any person, firm, or corporation with respect to the employment of such individual after leaving office or position (other than a leave of absence).

Not Applicable

(b) Describe the parties to and the terms of any agreement providing for continuation of payments or benefits to the REPORTING INDIVIDUAL in EXCESS of \$1,000 from a prior employer OTHER THAN the State. (This includes interests in or contributions to a pension fund, profit-sharing plan, or life or health insurance; buy-out agreements; severance payments; etc.)

Not Applicable

13. List below the nature and amount of any income in EXCESS of \$1,000 from EACH SOURCE for the reporting individual and such individual's spouse for the taxable year last occurring prior to the date of filing. Nature of income includes, but is not limited to, all income (other than that received from the employment listed under Item 2 above) from compensated employment whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching income, partnerships, honorariums, lecture fees, consultant fees, bank and bond interest, dividends, income derived from a trust, real estate rents, and recognized gains from the sale or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building address in the case of real estate rents and otherwise by the name of the entity and not by the

name of the individual customers, clients or tenants, with the aggregate net income before taxes for each building address or entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments shall not be listed.

Self/ Spouse	Source	Nature	Category of Amount (In Table I)
Spouse	Suffolk County Real Property Tax Service Agency	Director	H
Joint	Teachers Federal Credit Union	Interest	C
Self	Twomey, Latham, Shea, Kelley, Dubin and Quartararo		G
Self	JP Morgan Clearing Corp.		D

14. List the sources of any deferred income (not retirement income) in EXCESS of \$1,000 from each source to be paid to the reporting individual following the close of the calendar year for which this disclosure statement is filed, other than deferred compensation reported in item 11 hereinabove. Deferred income derived from the practice of a profession shall be listed in the aggregate and shall identify as the source, the name of the firm, corporation, partnership or association through which the income was derived, but shall not identify individual clients..

Source	Category of Amount (In Table I)
Not Applicable	

15. List each assignment of income in EXCESS of \$1,000, and each transfer other than to a relative during the reporting period for which this statement is filed for less than fair consideration of an interest in a trust, estate or other beneficial interest, securities or real property, by the reporting individual, in excess of \$1,000, which would otherwise be required to be reported herein and is not or has not been so reported.

Item Assigned or Transferred	Assigned or Transferred to	Category of Value (In Table I)
Not Applicable		

16. List below the type and market value of securities held by the reporting individual or such individual's spouse from each issuing entity in EXCESS of \$1,000 at the close of the taxable year last

occurring prior to the date of filing, including the name of the issuing entity exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed ONLY IF the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse has transferred assets to such trust for his or her benefit in which event such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which the reporting individual or the reporting individual's spouse is the owner of record but in which such individual or the reporting individual's spouse has no beneficial interest shall not be listed. Indicate percentage of ownership ONLY if the reporting person or the reporting person's spouse holds more than five percent (5%) of the stock of a corporation in which the stock is publicly traded or more than ten percent (10%) of the stock of a corporation in which the stock is NOT publicly traded. Also list securities owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. For the purpose of this item the term "securities" shall mean mutual funds, bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and certificates of deposits (CDs) and such other evidences of indebtedness and certificates of interest as are usually referred to as securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the security is an interest in a general partnership that was listed in item 8 (a) or if the security is corporate stock, NOT publicly traded, in a trade or business of a reporting individual or a reporting individual's spouse.

Self/ Spouse	Issuing Entity	Type of Security	Percentage of corporate stock owned or controlled (if more than 5% of pub- licly traded stock, or more than 10% if stock not publicly traded, is held)	Category of Market Value as of the close of the taxable year last occurring prior to the filing of this statement (In Table II)
	Spouse	Valic Stable Fund	NA	J
	Joint	Teachers Federal Credit Union Money Market	NA	K
Self	T.Rowe Price	Stavle Fund		J
Self	JP Morgan Chase	("Exhibit A" - see attached)		H

17. List below the location, size, general nature, acquisition date, market value and percentage of ownership of any real property in

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KENNETH P. LAVALLE

4 of 8

STATEMENT PERIOD
 November 30 - December 31, 2013

ACCOUNT NUMBER

LAST STATEMENT November 29, 2013

Your Portfolio Holdings

CASH & MONEY MARKET FUNDS

DESCRIPTION	TYPE	SYMBOL/CUSIP	QUANTITY	PRICE	MARKET VALUE
CASH BALANCE	CASH				81
TOTAL CASH & MONEY MARKET FUNDS					\$81

MUTUAL FUNDS

DESCRIPTION	SYMBOL/CUSIP	ACCT TYPE	QUANTITY	PRICE	MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
IVY FDS INC HIGH INCOME FD CL B	WHIBX	CASH	590	8.6400	5,098	326	6.3947
MFS SER TRUST III HIGH YIELD OPPORTUNITIES FUND DIVIDEND REINVESTED	MHOBX	CASH	431.406	6.5800	2,839	151	5.3188
MAINSTAY FUNDS HIGH YIELD CORP BOND FUND CL A DIVIDEND REINVESTED	MHCAX	CASH	424.239	6.0500	2,567	179	6.9731
TOTAL MUTUAL FUNDS					\$10,504	\$656	

YOUR PORTFOLIO HOLDINGS ESTIMATED ANNUAL INCOME	\$656
YOUR PRICED PORTFOLIO HOLDINGS	\$10,585

Your Portfolio Holdings

CASH & MONEY MARKET FUNDS

DESCRIPTION	TYPE	SYMBOL/CUSIP	QUANTITY	PRICE	MARKET VALUE
CASH BALANCE	CASH				532
TOTAL CASH & MONEY MARKET FUNDS					\$532

EQUITIES

Equities & Options

DESCRIPTION	SYMBOL/CUSIP	ACCT TYPE	QUANTITY	PRICE	MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
ALPINE TOTAL DYNAMIC DIVIDEND FUND SHS BENEFICIAL INTEREST	AOD	CASH	530	4.1900	2,221	359	16.1639
BLACKROCK ENHANCED EQUITY DIVIDEND TRUST	BDJ	CASH	242	7.9400	1,921	136	7.0796
BLACKROCK FLOATING RATE INCOME STRATEGIES FD INC	FRA	CASH	183	14.6100	2,674	166	6.2079
DOW 30 PREMIUM & DIVIDEND INCOME FUND INC	DPD	CASH	479	15.5700	7,458	510	6.8383
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	DEX	CASH	114	12.2200	1,393	103	7.3941
EATON VANCE TAX ADVANTAGED GLOBAL DIVIDEND INCOME FUND	ETG	CASH	110	16.9400	1,863	135	7.2464
EATON VANCE ENHANCED EQUITY INCOME FD II	EOS	CASH	185	12.9900	2,403	194	8.0732
EATON VANCE TAX ADVANTAGED DIVIDEND INCOME FUND	EVT	CASH	276	19.0200	5,250	356	6.7810
ING GLOBAL ADVANTAGE & PREM OPPORTUNITY FD	IGA	CASH	251	11.7600	2,952	281	9.5190
NUVEEN EQUITY PREM OPPORTUNITY FUND	JSN	CASH	383	12.6500	4,845	427	8.8132

KENNETH P. LAVALLE

5 of 8

STATEMENT PERIOD
November 30 - December 31, 2013

ACCOUNT NUMBER
544

LAST STATEMENT DATE
November 29, 2013

Transaction Detail

SETTLEMENT DATE	TRADE DATE	TRANSACTION	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT
12/02/13		REINVEST	MFS SER TRUST III HIGH YIELD OPPORTUNITIES FUND REINVEST PRICE \$ 6.57	MHOBX	1.772		11.64	
12/02/13		DIVIDEND	MFS SER TRUST III HIGH YIELD OPPORTUNITIES FUND RECORD 11/29/13 PAY 12/02/13	MHOBX				11.64
12/02/13		REINVEST	MAINSTAY FUNDS HIGH YIELD CORP BOND FUND CL A REINVEST PRICE \$ 6.06	MHCAX	2.429		14.72	
12/02/13		DIVIDEND	MAINSTAY FUNDS HIGH YIELD CORP BOND FUND CL A RECORD 11/27/13 PAY 11/29/13	MHCAX				14.72
12/11/13		JOURNAL	IVY FDS INC HIGH INCOME FD CL B FROM 896-95292	WHIBX	590			
12/13/13		DIVIDEND	IVY FDS INC HIGH INCOME FD CL B ST CAP-GAIN DIV RECORD 12/12/13 PAY 12/12/13	WHIBX				33.83
12/13/13		CAP GAINS	IVY FDS INC HIGH INCOME FD CL B LT CAP-GAIN DIV RECORD 12/12/13 PAY 12/12/13	WHIBX				44.49
12/17/13		REINVEST	MAINSTAY FUNDS HIGH YIELD CORP BOND FUND CL A REINVEST PRICE \$ 6.03	MHCAX	2.454		14.80	
12/17/13		DIVIDEND	MAINSTAY FUNDS HIGH YIELD CORP BOND FUND CL A RECORD 12/13/13 PAY 12/16/13	MHCAX				14.80
TOTAL							\$-41.16	\$119.48

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KENNETH P LAVALLE IRA/SEP

12 of 23

STATEMENT PERIOD
 November 30 - December 31, 2013

Transaction Detail (continued)

ACCOUNT NUMBER

LAST STATEMENT November 29, 2013

SETTLEMENT DATE	TRADE DATE	TRANSACTION	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT
12/11/13		DIVIDEND	ALPINE TOTAL DYNAMIC DIVIDEND FUND SHS BENEFICIAL INTEREST RD 11/21 PD 11/29 CDRT .027 DIV ON 527.00000 SHS	AOD				14.23
12/11/13		DIVIDEND	EATON VANCE TAX ADVANTAGED GLOBAL DIVIDEND INCOME FUND RD 11/22 PD 11/29 CDRT .102 DIV ON 110.00000 SHS	ETG				11.28
12/11/13		REINVEST	EATON VANCE ENHANCED EQUITY INCOME FD II REINVEST @ 12.531200	EOS	1		12.53	
12/11/13		DIVIDEND	EATON VANCE ENHANCED EQUITY INCOME FD II RD 11/22 PD 11/29 CDRT .087 DIV ON 184.00000 SHS	EOS				16.10
12/11/13		REINVEST	EATON VANCE TAX ADVANTAGED DIVIDEND INCOME FUND REINVEST @ 18.606600	EVT	1		18.60	
12/11/13		DIVIDEND	EATON VANCE TAX ADVANTAGED DIVIDEND INCOME FUND RD 11/22 PD 11/29 CDRT .107 DIV ON 275.00000 SHS	EVT				29.56
12/11/13		JOURNAL	IVY FDS INC HIGH INCOME FD CL B TO 896-02778 LEGAL DISTRIBUTION	WHIBX	-590			
12/11/13		REINVEST	MFS UTILITIES FUND-CL B REINVEST PRICE \$ 20.42 RECORD 12/10/13 PAY 12/10/13	MMUBX	4.502		91.94	
12/11/13		REINVEST	MFS UTILITIES FUND-CL B REINVEST PRICE \$ 20.42 RECORD 12/10/13 PAY 12/10/13	MMUBX	4.71		96.17	

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KENNETH P LAVALLE IRA/SEP

5 of 23

STATEMENT PERIOD
 November 30 - December 31, 2013

ACCOUNT NUMBER

LAST STATEMENT **November 29, 2013**

Your Portfolio Holdings (continued)

Equities & Options (Continued)

DESCRIPTION	SYMBOL/CUSIP	ACCT TYPE	QUANTITY	PRICE	MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
NUVEEN EQUITY PREM ADVANTAGE FD	JLA	CASH	408	12.6400	5,157	463	8.9781
NEW YORK COMMUNITY BANCORP INC	NYCB	CASH	368	16.8500	6,201	368	5.9345
NUVEEN CREDIT STRATEGIES INCOME FUND	JQC	CASH	242	9.7300	2,355	158	6.7091
NEUBERGER BERMAN HIGH YIELD STRATEGIES FUND	NHS	CASH	276	13.2600	3,660	298	8.1421
WELLS FARGO ADVANTAGE INCOME OPPORTUNITIES FD	EAD	CASH	242	9.1800	2,222	197	8.8659
PRIMARY FUND IN LIQUIDATION RSTD CREDERIAN FUND SERVICES, WAS APPOINTED LIQUIDATING AGENT FOR THE RESERVE PRIMARY FUND. CREDERIAN CONSOLIDATED FUND CLASSES INTO A SINGLE CLASS "PRIMARY FUND-IN LIQUIDATION" (SECURITY NUMBER: 9L55721). FOR MORE INFO, CONTACT YOUR BROKER.	9L55721	CASH	2.82	Unpriced			
Total Equities & Options					\$52,575	\$4,151	
TOTAL EQUITIES					\$52,575	\$4,151	

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KENNETH P LAVALLE IRA/SEP

6 of 23

STATEMENT PERIOD
 November 30 - December 31, 2013

ACCOUNT NUMBER

LAST STATEMENT November 29, 2013

Your Portfolio Holdings (continued)

MUTUAL FUNDS

DESCRIPTION	SYMBOL/CUSIP	ACCT TYPE	QUANTITY	PRICE	MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
INVESCO HIGH YIELD FUND CL B SHS	AHYBX	CASH	479.616	4.5000	2,158	106	4.9120
CREDIT SUISSE OPPORTUNITY FD FLOATING RT HIGH INCOME FD CL B	CHOBX	CASH	340.735	7.0100	2,389	83	3.4743
EATON VANCE INCOME FUND BOSTON INC CLASS B	EBIBX	CASH	449.041	6.0600	2,721	144	5.2922
EATON VANCE HIGH INCOME OPPORTUNITIES FUND CLASS B	EVHIX	CASH	490.868	4.6400	2,278	120	5.2678
FIRST INVESTORS FUND FOR INCOME INC-CL B	FIFJX	CASH	1,711.446	2.6400	4,518	226	5.0022
GOLDMAN SACHS HIGH YIELD FUND-CL B	GSHBX	CASH	479.387	7.1400	3,423	188	5.4923
IVY FDS INC HIGH INCOME FD CL B	WHIBX	CASH	552.772	8.6400	4,776	305	6.3861
JOHN HANCOCK INCOME FUND CL B	STIBX	CASH	707.923	6.5800	4,658	185	3.9717
JPMORGAN TR II HIGH YIELD FD CL B	OGHBX	CASH	388.127	7.9700	3,093	171	5.5286
JOHN HANCOCK FDS II FLOATING RATE INCOME FD CL B	JFIBX	CASH	1,172.057	9.4200	11,041	406	3.6772
WESTERN ASSET SHORT DURATION HIGH INCOME FUND CLASS B	SHIBX	CASH	361.068	6.3500	2,293	132	5.7567
WESTERN ASSET GLOBAL HIGH YIELD BOND FUND CLASS B	SBHYX	CASH	317.404	7.3100	2,320	146	6.2931
MFS SER TRUST III HIGH YIELD OPPORTUNITIES FUND	MHOBX	CASH	1,062.403	6.5800	6,991	372	5.3211
MAINSTAY FUNDS HIGH YIELD CORP BOND FUND CL B	MKHCX	CASH	771.195	6.0200	4,643	288	6.2029

Your Portfolio Holdings (continued)

MUTUAL FUNDS (Continued)

DESCRIPTION	SYMBOL/CUSIP	ACCT TYPE	QUANTITY	PRICE	MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
MFS BOND FUND-CL B	MFBBX	CASH	151.54	13.6700	2,072	56	2.7027
MFS UTILITIES FUND-CL B	MMUBX	CASH	218.937	20.7600	4,545	92	2.0242
MAINSTAY FUNDS HIGH YIELD CORP BOND FUND CL A	MHCAX	CASH	299.535	6.0500	1,812	126	6.9536
PIONEER GLOBAL HIGH YIELD FD CL B DIVIDEND REINVESTED	PGHBX	CASH	298.575	10.0300	2,995	178	5.9432
PRUDENTIAL HIGH YIELD FUND INC CLASS B	PBHYX	CASH	437.063	5.7200	2,500	147	5.8800
PUTNAM GLOBAL UTILITIES FUND CL B DIVIDEND REINVESTED	PUTBX	CASH	356.352	11.3900	4,059	65	1.6014
PUTNAM DIVERSIFIED INCOME TRUST-CL B	PSIBX	CASH	699.667	7.8500	5,492	269	4.8980
PUTNAM HIGH YIELD TRUST-CL B	PHBBX	CASH	290.038	8.0600	2,338	120	5.1326
SUNAMERICA INCOME FDS HIGH YIELD 8D FD CL B DIVIDEND REINVESTED	SHNBX	CASH	858.906	3.5300	3,032	143	4.7164
TOTAL MUTUAL FUNDS					\$86,147	\$4,068	

YOUR PORTFOLIO HOLDINGS ESTIMATED ANNUAL INCOME	\$8,219
YOUR PRICED PORTFOLIO HOLDINGS	\$139,254



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KENNETH P LAVALLE IRA/SEP

8 of 23

STATEMENT PERIOD
 November 30 - December 31, 2013

ACCOUNT NUMBER

LAST STATEMENT November 29, 2013

Transaction Detail

SETTLEMENT DATE	TRADE DATE	TRANSACTION	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT
12/02/13		DIVIDEND	INVESCO HIGH YIELD FUND CL B SHS RECORD 11/30/13 PAY 11/29/13	AHYBX				9.00
12/02/13		DIVIDEND	BLACKROCK FLOATING RATE INCOME STRATEGIES FD INC REC 11/15/13 PAY 11/29/13	FRA	183	0.0755		13.82
12/02/13		DIVIDEND	CREDIT SUISSE OPPORTUNITY FD FLOATING RT HIGH INCOME FD CL B RECORD 11/29/13 PAY 11/29/13	CHOBX				6.02
12/02/13		DIVIDEND	DELAWARE ENHANCED GLOBAL DIVID & INCOME FD REC 11/15/13 PAY 11/29/13	DEX	114	0.0750		8.55
12/02/13		REINVEST	EATON VANCE INCOME FUND BOSTON INC CLASS B REINVEST PRICE \$ 6.06	EBIBX	1,941		11.76	
12/02/13		DIVIDEND	EATON VANCE INCOME FUND BOSTON INC CLASS B RECORD 11/29/13 PAY 11/29/13	EBIBX				11.76
12/02/13		DIVIDEND	EATON VANCE HIGH INCOME OPPORTUNITIES FUND CLASS B RECORD 11/29/13 PAY 11/29/13	EVHIX				9.90
12/02/13		REINVEST	GOLDMAN SACHS HIGH YIELD FUND-CL B REINVEST PRICE \$ 7.37	GSHBX	1,946		14.34	
12/02/13		DIVIDEND	GOLDMAN SACHS HIGH YIELD FUND-CL B RECORD 11/29/13 PAY 11/29/13	GSHBX				14.34
12/02/13		DIVIDEND	JOHN HANCOCK INCOME FUND CL B RECORD 11/29/13 PAY 11/29/13	STIBX				14.15

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KENNETH P LAVALLE IRA/SEP

9 of 23

STATEMENT PERIOD
 November 30 - December 31, 2013

Transaction Detail (continued)

ACCOUNT NUMBER

LAST STATEMENT **November 29, 2013**

SETTLEMENT DATE	TRADE DATE	TRANSACTION	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT
12/02/13		REINVEST	JPMORGAN TR II HIGH YIELD FD CL B REINVEST PRICE \$ 8.19	OGHBX	1.609		13.18	
12/02/13		DIVIDEND	JPMORGAN TR II HIGH YIELD FD CL B RECORD 11/27/13 PAY 12/02/13	OGHBX				13.18
12/02/13		REINVEST	JOHN HANCOCK FDS II FLOATING RATE INCOME FD CL B REINVEST PRICE \$ 9.43	JFIBX	3.186		30.04	
12/02/13		DIVIDEND	JOHN HANCOCK FDS II FLOATING RATE INCOME FD CL B RECORD 11/29/13 PAY 11/29/13	JFIBX				30.04
12/02/13		DIVIDEND	WESTERN ASSET SHORT DURATION HIGH INCOME FUND CLASS B RECORD 11/29/13 PAY 11/29/13	SHIBX				10.51
12/02/13		DIVIDEND	WESTERN ASSET GLOBAL HIGH YIELD BOND FUND CLASS B RECORD 11/29/13 PAY 11/29/13	SBHYX				12.03
12/02/13		REINVEST	MFS SER TRUST III HIGH YIELD OPPORTUNITIES FUND REINVEST PRICE \$ 6.57	MHOBX	3.142		20.64	
12/02/13		DIVIDEND	MFS SER TRUST III HIGH YIELD OPPORTUNITIES FUND RECORD 11/29/13 PAY 12/02/13	MHOBX				20.64
12/02/13		REINVEST	MAINSTAY FUNDS HIGH YIELD CORP BOND FUND CL B REINVEST PRICE \$ 6.03	MKHCX	2.279		13.74	
12/02/13		DIVIDEND	MAINSTAY FUNDS HIGH YIELD CORP BOND FUND CL B RECORD 11/27/13 PAY 11/29/13	MKHCX				13.74



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KENNETH P LAVALLE IRA/SEP

STATEMENT PERIOD
 November 30 - December 31, 2013

Transaction Detail (continued)

ACCOUNT NUMBER
 [REDACTED]
 LAST STATEMENT **November 29, 2013**

SETTLEMENT DATE	TRADE DATE	TRANSACTION	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT
12/02/13		REINVEST	MFS BOND FUND-CL B REINVEST PRICE \$ 13.74 RECORD 11/29/13 PAY 12/02/13	MFBBX	0.047		0.65	
12/02/13		REINVEST	MFS BOND FUND-CL B REINVEST PRICE \$ 13.74	MFBBX	0.33		4.54	
12/02/13		REINVEST	MFS BOND FUND-CL B REINVEST PRICE \$ 13.74 RECORD 11/29/13 PAY 12/02/13	MFBBX	0.345		4.74	
12/02/13		DIVIDEND	MFS BOND FUND-CL B ST CAP-GAIN DIV RECORD 11/29/13 PAY 12/02/13 DIVIDEND RATE 0.00400	MFBBX				0.65
12/02/13		DIVIDEND	MFS BOND FUND-CL B RECORD 11/29/13 PAY 12/02/13	MFBBX				4.54
12/02/13		CAP GAINS	MFS BOND FUND-CL B LT CAP-GAIN DIV RECORD 11/29/13 PAY 12/02/13 DIVIDEND RATE 0.03100	MFBBX				4.74
12/02/13		REINVEST	MFS UTILITIES FUND-CL B REINVEST PRICE \$ 21.48	MMUBX	0.401		8.62	
12/02/13		DIVIDEND	MFS UTILITIES FUND-CL B RECORD 11/29/13 PAY 12/02/13	MMUBX				8.62
12/02/13		REINVEST	MAINSTAY FUNDS HIGH YIELD CORP BOND FUND CL A REINVEST PRICE \$ 6.06	MHCAX	3.198		19.38	
12/02/13		DIVIDEND	MAINSTAY FUNDS HIGH YIELD CORP BOND FUND CL A RECORD 11/27/13 PAY 11/29/13	MHCAX				19.38
12/02/13		DIVIDEND	NEUBERGER BERMAN HIGH YIELD STRATEGIES FUND REC 11/15/13 PAY 11/29/13	NHS	276	0.0900		24.84

STATEMENT PERIOD
 November 30 - December 31, 2013

ACCOUNT NUMBER

LAST STATEMENT November 29, 2013

Transaction Detail (continued)

SETTLEMENT DATE	TRADE DATE	TRANSACTION	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT
12/02/13		REINVEST	PIONEER GLOBAL HIGH YIELD FD CL B REINVEST PRICE \$ 10.07	PGHBX	1.411		14.21	
12/02/13		DIVIDEND	PIONEER GLOBAL HIGH YIELD FD CL B RECORD 11/29/13 PAY 11/29/13	PGHBX				14.21
12/02/13		REINVEST	SUNAMERICA INCOME FDS HIGH YIELD BD FD CL B REINVEST PRICE \$ 3.53	SHNBX	3.258		11.50	
12/02/13		DIVIDEND	SUNAMERICA INCOME FDS HIGH YIELD BD FD CL B RECORD 11/29/13 PAY 11/29/13	SHNBX				11.50
12/02/13		DIVIDEND	WELLS FARGO ADVANTAGE INCOME OPPORTUNITIES FD REC 11/14/13 PAY 12/02/13	EAD	242	0.0680		16.46
12/05/13		DIVIDEND	FIRST INVESTORS FUND FOR INCOME INC-CL B RECORD 11/29/13 PAY 12/05/13	FIFJX				17.29
12/05/13		REINVEST	NUVEEN CREDIT STRATEGIES INCOME FUND REINVEST @ 9.320000	JQC	1		9.32	
12/05/13		DIVIDEND	NUVEEN CREDIT STRATEGIES INCOME FUND RD 11/15 PD 12/02 CDRT .058 DIV ON 241.00000 SHS	JQC				13.98
12/10/13	12/09/13	SOLD	MAINSTAY FUNDS HIGH YIELD CORP BOND FUND CL A UNSOLICITED	MHCAX	-257.426	6.0600		1,560.00
12/11/13		REINVEST	ALPINE TOTAL DYNAMIC DIVIDEND FUND SHS BENEFICIAL INTEREST REINVEST @ 4.114900	AOD	3		12.34	

STATEMENT PERIOD
 November 30 - December 31, 2013

ACCOUNT NUMBER

LAST STATEMENT **November 29, 2013**

Transaction Detail (continued)

SETTLEMENT DATE	TRADE DATE	TRANSACTION	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT
12/11/13		CAP GAINS	MFS UTILITIES FUND-CL B LT CAP-GAIN DIV RECORD 12/10/13 PAY 12/10/13 DIVIDEND RATE 0.43800	MMUBX				91.94
12/11/13		DIVIDEND	MFS UTILITIES FUND-CL B ST CAP-GAIN DIV RECORD 12/10/13 PAY 12/10/13 DIVIDEND RATE 0.45800	MMUBX				96.17
12/12/13		JOURNAL	TAXES FOR 121113 DIST STATE W/H LEGAL				517.43	
12/12/13		WITHHELD	IRA WITHHOLDING TAX FEDERAL W/H LEGAL				1,034.86	
12/13/13		REINVEST	GOLDMAN SACHS HIGH YIELD FUND-CL B REINVEST PRICE \$ 7.13 RECORD 12/11/13 PAY 12/13/13	GSHBX	3.388		24.16	
12/13/13		REINVEST	GOLDMAN SACHS HIGH YIELD FUND-CL B REINVEST PRICE \$ 7.13 RECORD 12/11/13 PAY 12/13/13	GSHBX	13.234		94.36	
12/13/13		DIVIDEND	GOLDMAN SACHS HIGH YIELD FUND-CL B ST CAP-GAIN DIV RECORD 12/11/13 PAY 12/13/13 DIVIDEND RATE 0.05200	GSHBX				24.16
12/13/13		CAP GAINS	GOLDMAN SACHS HIGH YIELD FUND-CL B LT CAP-GAIN DIV RECORD 12/11/13 PAY 12/13/13 DIVIDEND RATE 0.20300	GSHBX				94.36

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KENNETH P LAVALLE IRA/SEP

14 of 23

STATEMENT PERIOD
 November 30 - December 31, 2013

Transaction Detail (continued)

ACCOUNT NUMBER

LAST STATEMENT **November 29, 2013**

SETTLEMENT DATE	TRADE DATE	TRANSACTION	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT
12/13/13		DIVIDEND	IVY FDS INC HIGH INCOME FD CL B ST CAP-GAIN DIV RECORD 12/12/13 PAY 12/12/13	WHIBX				18.69
12/13/13		CAP GAINS	IVY FDS INC HIGH INCOME FD CL B LT CAP-GAIN DIV RECORD 12/12/13 PAY 12/12/13	WHIBX				24.58
12/13/13		REINVEST	JPMORGAN TR II HIGH YIELD FD CL B REINVEST PRICE \$ 7.99 RECORD 12/11/13 PAY 12/13/13	OGHBX	1.275		10.19	
12/13/13		REINVEST	JPMORGAN TR II HIGH YIELD FD CL B REINVEST PRICE \$ 7.99 RECORD 12/11/13 PAY 12/13/13	OGHBX	8.736		69.80	
12/13/13		DIVIDEND	JPMORGAN TR II HIGH YIELD FD CL B ST CAP-GAIN DIV RECORD 12/11/13 PAY 12/13/13 DIVIDEND RATE 0.02600	OGHBX				10.19
12/13/13		CAP GAINS	JPMORGAN TR II HIGH YIELD FD CL B LT CAP-GAIN DIV RECORD 12/11/13 PAY 12/13/13 DIVIDEND RATE 0.18400	OGHBX				69.80
12/17/13		REINVEST	MAINSTAY FUNDS HIGH YIELD CORP BOND FUND CL B REINVEST PRICE \$ 6.00	MKHGX	2.302		13.81	
12/17/13		DIVIDEND	MAINSTAY FUNDS HIGH YIELD CORP BOND FUND CL B RECORD 12/13/13 PAY 12/16/13	MKHGX				13.81

STATEMENT PERIOD
 November 30 - December 31, 2013

Transaction Detail (continued)

ACCOUNT NUMBER

LAST STATEMENT November 29, 2013

SETTLEMENT DATE	TRADE DATE	TRANSACTION	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT
12/17/13		REINVEST	MAINSTAY FUNDS HIGH YIELD CORP BOND FUND CL A REINVEST PRICE \$ 6.03	MHCAX	1.733		10.45	
12/17/13		DIVIDEND	MAINSTAY FUNDS HIGH YIELD CORP BOND FUND CL A RECORD 12/13/13 PAY 12/16/13	MHCAX				10.45
12/18/13		DEPOSIT	021000021 JPMORGAN CHASE BA KENNETH P. LAVALLE OO J.P. MORGAN IRA:02013SEP					10,000.00
12/19/13		DIVIDEND	BLACKROCK FLOATING RATE INCOME STRATEGIES FD INC REC 12/13/13 PAY 12/19/13	FRA	183	0.0755		13.82
12/20/13		DIVIDEND	PUTNAM DIVERSIFIED INCOME TRUST-CL B RECORD 12/13/13 PAY 12/20/13	PSIBX				14.37
12/24/13	12/23/13	BOUGHT	IVY FDS INC HIGH INCOME FD CL B SOLICITED OFFERING MATERIALS ENCLOSED OR TO FOLLOW VIA E-MAIL FOR ONLINE DELIVERY OR VIA POSTAL SERVICE	WHIBX	226.852	8.6400	1,960.00	
12/24/13		REINVEST	JOHN HANCOCK FDS II FLOATING RATE INCOME FD CL B REINVEST PRICE \$ 9.41 RECORD 12/20/13 PAY 12/24/13	JFIBX	1.243		11.70	
12/24/13		REINVEST	JOHN HANCOCK FDS II FLOATING RATE INCOME FD CL B REINVEST PRICE \$ 9.41 RECORD 12/20/13 PAY 12/24/13	JFIBX	1.801		16.95	

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KENNETH P LAVALLE IRA/SEP

16 of 23

STATEMENT PERIOD
 November 30 - December 31, 2013

Transaction Detail (continued)

ACCOUNT NUMBER

LAST STATEMENT **November 29, 2013**

SETTLEMENT DATE	TRADE DATE	TRANSACTION	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT
12/24/13		DIVIDEND	JOHN HANCOCK FDS II FLOATING RATE INCOME FD CL B ST CAP-GAIN DIV RECORD 12/20/13 PAY 12/24/13 DIVIDEND RATE 0.01000	JFIBX				11.70
12/24/13		CAP GAINS	JOHN HANCOCK FDS II FLOATING RATE INCOME FD CL B LT CAP-GAIN DIV RECORD 12/20/13 PAY 12/24/13 DIVIDEND RATE 0.01400	JFIBX				16.95
12/24/13	12/23/13	BOUGHT	MFS SER TRUST III HIGH YIELD OPPORTUNITIES FUND SOLICITED OFFERING MATERIALS ENCLOSED OR TO FOLLOW VIA E-MAIL FOR ONLINE DELIVERY OR VIA POSTAL SERVICE	MHOBX	297.42	6.5900	1,960.00	
12/24/13	12/23/13	BOUGHT	MAINSTAY FUNDS HIGH YIELD CORP BOND FUND CL B SOLICITED OFFERING MATERIALS ENCLOSED OR TO FOLLOW VIA E-MAIL FOR ONLINE DELIVERY OR VIA POSTAL SERVICE	MKHGX	326.123	6.0100	1,960.00	
12/24/13	12/23/13	BOUGHT	PRUDENTIAL HIGH YIELD FUND INC CLASS B SOLICITED OFFERING MATERIALS ENCLOSED OR TO FOLLOW VIA E-MAIL FOR ONLINE DELIVERY OR VIA POSTAL SERVICE	PBHYX	437.063	5.7200	2,500.00	

STATEMENT PERIOD
 November 30 - December 31, 2013

Transaction Detail (continued)

ACCOUNT NUMBER

LAST STATEMENT **November 29, 2013**

SETTLEMENT DATE	TRADE DATE	TRANSACTION	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT
12/24/13	12/23/13	BOUGHT	PUTNAM DIVERSIFIED INCOME TRUST-CL B SOLICITED OFFERING MATERIALS ENCLOSED OR TO FOLLOW VIA E-MAIL FOR ONLINE DELIVERY OR VIA POSTAL SERVICE	PSIBX	250.639	7.8200	1,960.00	
12/27/13		DIVIDEND	DELAWARE ENHANCED GLOBAL DIVID & INCOME FD REC 12/13/13 PAY 12/27/13	DEX	114	0.0750		8.55
12/27/13		REINVEST	PUTNAM GLOBAL UTILITIES FUND CL B REINVEST PRICE \$ 11.27	PUTBX	1.417		15.97	
12/27/13		DIVIDEND	PUTNAM GLOBAL UTILITIES FUND CL B RECORD 12/26/13 PAY 12/30/13	PUTBX				15.97
12/27/13		DIVIDEND	PUTNAM HIGH YIELD TRUST-CL B RECORD 12/23/13 PAY 12/26/13	PHBBX				8.70
12/31/13		DIVIDEND	ALPINE TOTAL DYNAMIC DIVIDEND FUND SHS BENEFICIAL INTEREST REC 12/23/13 PAY 12/31/13	AOD	530	0.0270		14.31
12/31/13		DIVIDEND	BLACKROCK ENHANCED EQUITY DIVIDEND TRUST REC 12/20/13 PAY 12/31/13	BDJ	242	0.1400		33.88
12/31/13		DIVIDEND	DOW 30 PREMIUM & DIVIDEND INCOME FUND INC REC 12/13/13 PAY 12/31/13	DPD	479	0.2660		127.41
12/31/13		DIVIDEND	EATON VANCE TAX ADVANTAGED GLOBAL DIVIDEND INCOME FUND REC 12/24/13 PAY 12/31/13	ETG	110	0.1025		11.28



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18 of 23

STATEMENT PERIOD
 November 30 - December 31, 2013

ACCOUNT NUMBER

LAST STATEMENT November 29, 2013

Transaction Detail (continued)

SETTLEMENT DATE	TRADE DATE	TRANSACTION	DESCRIPTION	SYMBOL/USIP	QUANTITY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT
12/31/13		DIVIDEND	EATON VANCE ENHANCED EQUITY INCOME FD II REC 12/24/13 PAY 12/31/13	EOS	185	0.0875		16.19
12/31/13		DIVIDEND	EATON VANCE TAX ADVANTAGED DIVIDEND INCOME FUND REC 12/24/13 PAY 12/31/13	EVT	276	0.1075		29.67
12/31/13		DIVIDEND	EATON VANCE HIGH INCOME OPPORTUNITIES FUND CLASS B RECORD 12/27/13 PAY 12/31/13	EVHIX				6.04
12/31/13		DIVIDEND	NUVEEN EQUITY PREM OPPORTUNITY FUND REC 12/13/13 PAY 12/31/13	JSN	383	0.2790		106.86
12/31/13		DIVIDEND	NUVEEN EQUITY PREM ADVANTAGE FD REC 12/13/13 PAY 12/31/13	JLA	408	0.2840		115.87
12/31/13		DIVIDEND	NUVEEN CREDIT STRATEGIES INCOME FUND REC 12/13/13 PAY 12/31/13	JQC	242	0.0545		13.19
12/31/13		DIVIDEND	NEUBERGER BERMAN HIGH YIELD STRATEGIES FUND REC 12/16/13 PAY 12/31/13	NHS	276	0.0900		24.84
TOTAL							\$-12,567.92	\$12,998.81

which any vested or contingent interest in EXCESS of \$1,000 is held by the reporting individual or the reporting individual's spouse. Also list real property owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. Do NOT list any real property which is the primary or secondary personal residence of the reporting individual or the reporting individual's spouse, except where there is a co-owner who is other than a relative.

Self/ Spouse/ Corporation	Location	Size	General Nature	Acquisition Date	Percentage of Ownership	Category of Market Value (In Table II)
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Not Applicable

18. List below all notes and accounts receivable, other than from goods or services sold, held by the reporting individual at the close of the taxable year last occurring prior to the date of filing and other debts owed to such individual at the close of the taxable year last occurring prior to the date of filing, in EXCESS of \$1,000, including the name of the debtor, type of obligation, date due and the nature of the collateral securing payment of each, if any, excluding securities reported in item 16 hereinabove. Debts, notes and accounts receivable owed to the individual by a relative shall not be reported.

Name of Debtor	Type of Obligation, Date Due, and Nature of Collateral, if any	Category of Amount (In Table II)
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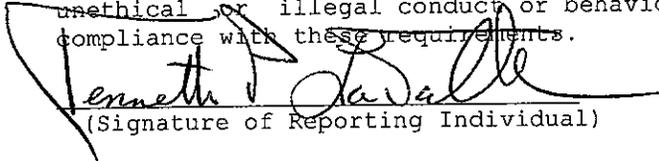
Not Applicable

19. List below all liabilities of the reporting individual and such individual's spouse, in EXCESS of \$10,000 as of the date of filing of this statement, other than liabilities to a relative. Do NOT list liabilities incurred by, or guarantees made by, the reporting individual or such individual's spouse or by any proprietorship, partnership or corporation in which the reporting individual or such individual's spouse has an interest, when incurred or made in the ordinary course of the trade, business or professional practice of the reporting individual or such individual's spouse. Include the name of the creditor and any collateral pledged by such individual to secure payment of any such liability. A reporting individual shall not list any obligation to pay maintenance in connection with

a matrimonial action, alimony or child support payments. Any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances shall be excluded. If any such reportable liability has been guaranteed by any third person, list the liability and name the guarantor.

Name of Creditor or Guarantor	Type of Liability and Collateral, if any	Category of Amount (In Table II)
Not Applicable		

The requirements of law relating to the reporting of financial interests are in the public interest and no adverse inference of unethical or illegal conduct or behavior will be drawn merely from compliance with these requirements.


(Signature of Reporting Individual)

5/12/14
Date (month/day/year)

[CATEGORY OF INCOME TABLES]

TABLE I

Category A	none
Category B	\$ 1 to under \$ 1,000
Category C	\$ 1,000 to under \$ 5,000
Category D	\$ 5,000 to under \$ 20,000
Category E	\$ 20,000 to under \$ 50,000
Category F	\$ 50,000 to under \$ 75,000
Category G	\$ 75,000 to under \$ 100,000
Category H	\$ 100,000 to under \$ 150,000
Category I	\$ 150,000 to under \$ 250,000
Category J	\$ 250,000 to under \$ 350,000
Category K	\$ 350,000 to under \$ 450,000
Category L	\$ 450,000 to under \$ 550,000
Category M	\$ 550,000 to under \$ 650,000
Category N	\$ 650,000 to under \$ 750,000
Category O	\$ 750,000 to under \$ 850,000
Category P	\$ 850,000 to under \$ 950,000
Category Q	\$ 950,000 to under \$1,050,000
Category R	\$1,050,000 to under \$1,150,000
Category S	\$1,150,000 to under \$1,250,000
Category T	\$1,250,000 to under \$1,350,000
Category U	\$1,350,000 to under \$1,450,000
Category V	\$1,450,000 to under \$1,550,000
Category W	\$1,550,000 to under \$1,650,000

Category X	\$1,650,000	to under	\$1,750,000
Category Y	\$1,750,000	to under	\$1,850,000
Category Z	\$1,850,000	to under	\$1,950,000
Category AA	\$1,950,000	to under	\$2,050,000
Category BB	\$2,050,000	to under	\$2,150,000
Category CC	\$2,150,000	to under	\$2,250,000
Category DD	\$2,250,000	to under	\$2,350,000
Category EE	\$2,350,000	to under	\$2,450,000
Category FF	\$2,450,000	to under	\$2,550,000
Category GG	\$2,550,000	to under	\$2,650,000
Category HH	\$2,650,000	to under	\$2,750,000
Category II	\$2,750,000	to under	\$2,850,000
Category JJ	\$2,850,000	to under	\$2,950,000
Category KK	\$2,950,000	to under	\$3,050,000
Category LL	\$3,050,000	to under	\$3,150,000
Category MM	\$3,150,000	to under	\$3,250,000
Category NN	\$3,250,000	to under	\$3,350,000
Category OO	\$3,350,000	to under	\$3,450,000
Category PP	\$3,450,000	to under	\$3,550,000
Category QQ	\$3,550,000	to under	\$3,650,000
Category RR	\$3,650,000	to under	\$3,750,000
Category SS	\$3,750,000	to under	\$3,850,000
Category TT	\$3,850,000	to under	\$3,950,000
Category UU	\$3,950,000	to under	\$4,050,000
Category VV	\$4,050,000	to under	\$4,150,000
Category WW	\$4,150,000	to under	\$4,250,000
Category XX	\$4,250,000	to under	\$4,350,000
Category YY	\$4,350,000	to under	\$4,450,000
Category ZZ	\$4,450,000	to under	\$4,550,000
Category AAA	\$4,550,000	to under	\$4,650,000
Category BBB	\$4,650,000	to under	\$4,750,000
Category CCC	\$4,750,000	to under	\$4,850,000
Category DDD	\$4,850,000	to under	\$4,950,000
Category EEE	\$4,950,000	to under	\$5,050,000
Category FFF	\$5,050,000	to under	\$5,150,000
Category GGG	\$5,150,000	to under	\$5,250,000
Category HHH	\$5,250,000	to under	\$5,350,000
Category III	\$5,350,000	to under	\$5,450,000
Category JJJ	\$5,450,000	to under	\$5,550,000
Category KKK	\$5,550,000	to under	\$5,650,000
Category LLL	\$5,650,000	to under	\$5,750,000
Category MMM	\$5,750,000	to under	\$5,850,000
Category NNN	\$5,850,000	to under	\$5,950,000
Category OOO	\$5,950,000	to under	\$6,050,000
Category PPP	\$6,050,000	to under	\$6,150,000
Category QQQ	\$6,150,000	to under	\$6,250,000
Category RRR	\$6,250,000	to under	\$6,350,000
Category SSS	\$6,350,000	to under	\$6,450,000
Category TTT	\$6,450,000	to under	\$6,550,000
Category UUU	\$6,550,000	to under	\$6,650,000
Category VVV	\$6,650,000	to under	\$6,750,000
Category WWW	\$6,750,000	to under	\$6,850,000
Category XXX	\$6,850,000	to under	\$6,950,000
Category YYY	\$6,950,000	to under	\$7,050,000
Category ZZZ	\$7,050,000	to under	\$7,150,000
Category AAAA	\$7,150,000	to under	\$7,250,000
Category BBBB	\$7,250,000	to under	\$7,350,000

Category CCCC	\$7,350,000 to under \$7,450,000
Category DDDD	\$7,450,000 to under \$7,550,000
Category EEEE	\$7,550,000 to under \$7,650,000
Category FFFF	\$7,650,000 to under \$7,750,000
Category GGGG	\$7,750,000 to under \$7,850,000
Category HHHH	\$7,850,000 to under \$7,950,000
Category IIII	\$7,950,000 to under \$8,050,000
Category JJJJ	\$8,050,000 to under \$8,150,000
Category KKKK	\$8,150,000 to under \$8,250,000
Category LLLL	\$8,250,000 to under \$8,350,000
Category MMMM	\$8,350,000 to under \$8,450,000
Category NNNN	\$8,450,000 to under \$8,550,000
Category OOOO	\$8,550,000 to under \$8,650,000
Category PPPP	\$8,650,000 to under \$8,750,000
Category QQQQ	\$8,750,000 to under \$8,850,000
Category RRRR	\$8,850,000 to under \$8,950,000
Category SSSS	\$8,950,000 to under \$9,050,000
Category TTTT	\$9,050,000 to under \$9,150,000
Category UUUU	\$9,150,000 to under \$9,250,000
Category VVVV	\$9,250,000 to under \$9,350,000
Category WWWW	\$9,350,000 to under \$9,450,000
Category XXXX	\$9,450,000 to under \$9,550,000
Category YYYY	\$9,550,000 to under \$9,650,000
Category ZZZZ	\$9,650,000 to under \$9,750,000
Category AAAAA	\$9,750,000 to under \$9,850,000
Category BBBBB	\$9,850,000 to under \$9,950,000
Category CCCCC	\$9,950,000 to under \$10,000,000
Category DDDDD	\$10,000,000 or over

TABLE II

Category A	none
Category B	\$ 1 to under \$ 1,000
Category C	\$ 1,000 to under \$ 5,000
Category D	\$ 5,000 to under \$ 20,000
Category E	\$ 20,000 to under \$ 50,000
Category F	\$ 50,000 to under \$ 75,000
Category G	\$ 75,000 to under \$ 100,000
Category H	\$ 100,000 to under \$ 150,000
Category I	\$ 150,000 to under \$ 250,000
Category J	\$ 250,000 to under \$ 500,000
Category K	\$ 500,000 to under \$ 750,000
Category L	\$ 750,000 to under \$1,000,000
Category M	\$1,000,000 to under \$1,250,000
Category N	\$1,250,000 to under \$1,500,000
Category O	\$1,500,000 to under \$1,750,000
Category P	\$1,750,000 to under \$2,000,000
Category Q	\$2,000,000 to under \$2,250,000
Category R	\$2,250,000 to under \$2,500,000
Category S	\$2,500,000 to under \$2,750,000
Category T	\$2,750,000 to under \$3,000,000
Category U	\$3,000,000 to under \$3,250,000
Category V	\$3,250,000 to under \$3,500,000
Category W	\$3,500,000 to under \$3,750,000
Category X	\$3,750,000 to under \$4,000,000
Category Y	\$4,000,000 to under \$4,250,000

Category Z	\$4,250,000	to under	\$4,500,000
Category AA	\$4,500,000	to under	\$4,750,000
Category BB	\$4,750,000	to under	\$5,000,000
Category CC	\$5,000,000	to under	\$5,250,000
Category DD	\$5,250,000	to under	\$5,500,000
Category EE	\$5,500,000	to under	\$5,750,000
Category FF	\$5,750,000	to under	\$6,000,000
Category GG	\$6,000,000	to under	\$6,250,000
Category HH	\$6,250,000	to under	\$6,500,000
Category II	\$6,500,000	to under	\$6,750,000
Category JJ	\$6,750,000	to under	\$7,000,000
Category KK	\$7,000,000	to under	\$7,250,000
Category LL	\$7,250,000	to under	\$7,500,000
Category MM	\$7,500,000	to under	\$7,750,000
Category NN	\$7,750,000	to under	\$8,000,000
Category OO	\$8,000,000	to under	\$8,250,000
Category PP	\$8,250,000	to under	\$8,500,000
Category QQ	\$8,500,000	to under	\$8,750,000
Category RR	\$8,750,000	to under	\$9,000,000
Category SS	\$9,000,000	to under	\$9,250,000
Category TT	\$9,250,000	to under	\$9,500,000
Category UU	\$9,500,000	or over	