



# LEGISLATIVE ETHICS COMMISSION STATE OF NEW YORK

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PHONE: (518) 432-7837/7838 FAX: (518) 426-6850

## RECEIVED

### ANNUAL STATEMENT OF FINANCIAL DISCLOSURE

MAY 16 2016

For Calendar Year 2015

BY JMM  
LEG. ETHICS COMM.

1. Name Jane L. Corwin
  
2. (a) Title of Position Member  
 (b) Department, Agency or other Governmental Entity Assembly  
 (c) Address of Present Office 8180 Main St. Williamsville, NY 14221  
 (d) Office Telephone Number 716 - 839 - 4690
  
3. (a) Marital Status Married. If married, please give spouse's full name including maiden name where applicable.  
Philip M. Corwin  
 (b) List the names of all unemancipated children.  


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Answer each of the following questions completely, with respect to calendar year 2015, unless another period or date is otherwise specified. If additional space is needed, attach additional pages.

Whenever a "value" or "amount" is required to be reported herein, such value or amount shall be reported as being within one of the following Categories in Table I or Table II of this subdivision as called for in the question: A reporting individual shall indicate the Category by letter only.

Whenever "income" is required to be reported herein, the term "income" shall mean the aggregate net income before taxes from the source identified.

The term "calendar year" shall mean the year ending the December 31st preceding the date of filing of the annual statement.

4. (a) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the reporting individual with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

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LEGISLATIVE ETHICS COMMISSION  
 POSITION

Organization

State or  
 Local Agency

Director	Cibola Industries, Inc.	THRUway Authority

(b) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the spouse or unemancipated child of the reporting individual, with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position

Organization

State or  
 Local Agency

President	Corwin Holdings LLC	N/A
President	Corwin Development Co, LLC	N/A

5. (a) List the name, address and description of any occupation, employment (other than the employment listed under Item 2 above), trade, business or profession engaged in by the reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
	<del>Director</del> None		

- (b) If the spouse or unemancipated child of the reporting individual was engaged in any occupation, employment, trade, business or profession which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name, address and description of such occupation, employment, trade, business or profession and the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
	None		

6. List any interest, in EXCESS of \$1,000, held by the reporting individual, such individual's spouse or unemancipated child, or partnership of which any such person is a member, or corporation, 10% or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse or such child to such entity and the interest in such contract. Do NOT include bonds and notes. Do NOT list any interest in any such contract on which final payment has been made and all obligations under the contract except for guarantees and warranties have been performed, provided, however, that such an interest must be listed if there has been an ongoing dispute during the calendar year for which this statement is filed with respect to any such guarantees or warranties. Do NOT list any interest in a contract made or executed by a local agency after public notice and pursuant to a process for competitive bidding or a process for competitive requests for proposals.

Self, Spouse or Child	Entity Which Held Interest in Contract	Relationship to Entity and Interest in Contract	Contracting State or Local Agency	Category of Value of Contract (In Table II)
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None

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7. List any position the reporting individual held as an officer of any political party or political organization, as a member of any political party committee, or as a political party district leader. The term "party" shall have the same meaning as "party" in the election law. The term "political organization" means any party or independent body as defined in the election law or any organization that is affiliated with or a subsidiary of a party or independent body.

None

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8. (a) If the reporting individual practices law, is licensed by the department of state as a real estate broker or agent or practices a profession licensed by the department of education, or works as a member or employee of a firm required to register pursuant to section one-e of the legislative law as a lobbyist, describe the services rendered for which compensation was paid including a general description of the principal subject areas of matters undertaken by such individual and principal duties performed. Specifically state whether the reporting individual provides services directly to clients. Additionally, if such an individual practices with a firm or corporation and is a partner or

shareholder of the firm or corporation, give a general description of principal subject areas of matters undertaken by such firm or corporation.

None

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(b) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN:

If the reporting individual personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), then identify each client or customer to whom the reporting individual personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of \$10,000 during the reporting period for such services rendered in direct connection with:

- (i) A contract in an amount totaling \$50,000 or more from the state or any state agency for services, materials, or property;
- (ii) A grant of \$25,000 or more from the state or any state agency during the reporting period;
- (iii) A grant obtained through a legislative initiative during the reporting period; or
- (iv) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or knowingly solicit or direct to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in subparagraphs (i) through (iv) of this paragraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

The disclosure requirement in this question shall not require disclosure of clients or customers receiving medical or dental services, mental health services, residential real estate brokering services, or insurance brokering services from the reporting individual or his or her firm. The reporting individual need not identify any client to whom he or she or his or her firm

provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, or domestic relations matters. With respect to clients represented in other matters, where disclosure of a client's identity is likely to cause harm, the reporting individual shall request an exemption from the joint commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law, provided, however, that a reporting individual who first enters public office after July first, two thousand twelve, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Client

Nature of Services Provided

n/a

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(b-1) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN (FOR PURPOSES OF THIS QUESTION, "SERVICES" SHALL MEAN CONSULTATION, REPRESENTATION, ADVICE OR OTHER SERVICES):

If the reporting individual receives income from employment reportable in question 8(a) and personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), the reporting individual shall identify each client or customer to whom the reporting individual personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of \$10,000 during the reporting period in direct connection with:

- (i) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;
- (ii) A grant of \$10,000 or more from the state or any state agency during the reporting period;
- (iii) A grant obtained through a legislative initiative during the reporting period; or
- (iv) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For such services rendered by the reporting individual directly to each such client, describe each matter that was the subject of such representation, the services actually provided and the payment received.

For payments received from clients referred to the firm by the reporting individual, if the reporting individual directly received

a referral fee or fees for such referral, identify the client and the payment so received.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or having knowingly solicited or directed to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in clauses (i) through (iv) of this subparagraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting in his or her capacity as provided in paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

Client	Matter	Nature of Services Provided	Category of Amount (In Table I)
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*N/A*

(b-2) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN (FOR PURPOSES OF THIS QUESTION, "SERVICES" SHALL MEAN CONSULTATION, REPRESENTATION, ADVICE OR OTHER SERVICES):

(i) With respect to reporting individuals who receive ten thousand dollars or more from employment or activity reportable under question 8(a), for each client or customer NOT otherwise disclosed or exempted in question 8 or 13, disclose the name of each client or customer known to the reporting individual to whom the reporting individual provided services: (A) who paid the reporting individual in excess of five thousand dollars for such services; or (B) who had been billed with the knowledge of the reporting individual in excess of five thousand dollars by the firm or other entity named in question 8(a) for the reporting individual's services.

Client	Services Actually Provided	Category of Amount (In Table I)
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*N/A*



minors, the client's name may be replaced with initials. To the extent that the reporting individual, or his or her firm, provided legal representation with respect to an initial public offering, and professional disciplinary rules, federal law or regulations restrict the disclosure of information relating to such work, the reporting individual shall (i) disclose the identity of the client and the services provided relating to the initial public offering to the office of court administration, who will maintain such information confidentially in a locked box; and (ii) include in his or her response to questions (b-1) and (b-2) that pursuant to this paragraph, a disclosure to the office of court administration has been made. Upon such time that the disclosure of information maintained in the locked box is no longer restricted by professional disciplinary rules, federal law or regulation, the reporting individual shall disclose such information in an amended disclosure statement in response to the disclosure requirements in questions (b-1) and (b-2). The office of court administration shall develop and maintain a secure portal through which information submitted to it pursuant to this paragraph can be safely and confidentially stored. With respect to clients represented in other matters not otherwise exempt, the reporting individual may request an exemption to publicly disclosing the name of that client from the joint commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law, or from the office of court administration. In such application, the reporting individual shall state the following: "My client is not currently receiving my services or seeking my services in connection with:

- (i) A proposed bill or resolution in the senate or assembly during the reporting period;
- (ii) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;
- (iii) A grant of \$10,000 or more from the state or any state agency during the reporting period;
- (iv) A grant obtained through a legislative initiative during the reporting period; or
- (v) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period."

In reviewing the request for an exemption, the joint commission or the office of court administration may consult with bar or other professional associations and the legislative ethics commission for individuals subject to its jurisdiction and may consider the rules of professional conduct. In making its determination, the joint commission or the office of court administration shall conduct its own inquiry and shall consider factors including, but not limited to: (i) the nature and the size of the client; (ii) whether the client has any business before the state; and if so, how significant the business is; and whether the client has any particularized interest in pending legislation and if so how significant the interest is; (iii) whether disclosure may

reveal trade secrets; (iv) whether disclosure could reasonably result in retaliation against the client; (v) whether disclosure may cause undue harm to the client; (vi) whether disclosure may result in undue harm to the attorney-client relationship; and (vii) whether disclosure may result in an unnecessary invasion of privacy to the client.

The joint commission or, as the case may be, the office of court administration shall promptly make a final determination in response to such request, which shall include an explanation for its determination. The office of court administration shall issue its final determination within three days of receiving the request. Notwithstanding any other provision of law or any professional disciplinary rule to the contrary, the disclosure of the identity of any client or customer in response to this question shall not constitute professional misconduct or a ground for disciplinary action of any kind, or form the basis for any civil or criminal cause of action or proceeding. A reporting individual who first enters public office after January first, two thousand sixteen, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

(c) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN:

If the reporting individual receives income of ten thousand dollars or greater from any employment or activity reportable under question 8(a), identify each registered lobbyist who has directly referred to such individual a client who was successfully referred to the reporting individual's business and from whom the reporting individual or firm received a fee for services in excess of five thousand dollars. Report only those referrals that were made to a reporting individual by direct communication from a person known to such reporting individual to be a registered lobbyist at the time the referral is made. With respect to each such referral, the reporting individual shall identify the client, the registered lobbyist who has made the referral, the category of value of the compensation received and a general description of the type of matter so referred. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article. The disclosure requirements in this question shall not require disclosing clients or customers receiving medical, pharmaceutical or dental services, mental health services, or residential real estate brokering services from the reporting individual or his or her firm or if federal law prohibits or limits disclosure. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, family court, estate planning, or domestic relations matters, nor shall the reporting individual identify individuals represented pursuant to an insurance policy but the reporting individual shall in

such circumstances only report the entity that provides compensation to the reporting individual; with respect to matters in which the client's name is required by law to be kept confidential (such as matters governed by the family court act) or in matters in which the reporting individual represents or provides services to minors, the client's name may be replaced with initials. To the extent that the reporting individual, or his or her firm, provided legal representation with respect to an initial public offering, and federal law or regulations restricts the disclosure of information relating to such work, the reporting individual shall (i) disclose the identity of the client and the services provided relating to the initial public offering to the office of court administration, who will maintain such information confidentially in a locked box; and (ii) include in his or her response a statement that pursuant to this paragraph, a disclosure to the office of court administration has been made. Upon such time that the disclosure of information maintained in the locked box is no longer restricted by federal law or regulation, the reporting individual shall disclose such information in an amended disclosure statement in response to the disclosure requirements of this paragraph. The office of court administration shall develop and maintain a secure portal through which information submitted to it pursuant to this paragraph can be safely and confidentially stored. With respect to clients represented in other matters not otherwise exempt, the reporting individual may request an exemption to publicly disclosing the name of that client from the joint commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law, or from the office of court administration. In such application, the reporting individual shall state the following: "My client is not currently receiving my services or seeking my services in connection with:

- (i) A proposed bill or resolution in the senate or assembly during the reporting period;
- (ii) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;
- (iii) A grant of \$10,000 or more from the state or any state agency during the reporting period;
- (iv) A grant obtained through a legislative initiative during the reporting period; or
- (v) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period."

In reviewing the request for an exemption, the joint commission or the office of court administration may consult with bar or other professional associations and the legislative ethics commission for individuals subject to its jurisdiction and may consider the rules of professional conduct. In making its determination, the joint commission or the office of court administration shall conduct its own inquiry and shall consider factors including, but not limited to: (i) the nature and the size of the client; (ii) whether the client has any business before the state; and if so, how significant the business is; and whether the client has any particularized interest in pending legislation and if so how significant the interest is; (iii) whether disclosure

may reveal trade secrets; (iv) whether disclosure could reasonably result in retaliation against the client; (v) whether disclosure may cause undue harm to the client; (vi) whether disclosure may result in undue harm to the attorney-client relationship; and (vii) whether disclosure may result in an unnecessary invasion of privacy to the client.

The joint commission or, as the case may be, the office of court administration shall promptly make a final determination in response to such request, which shall include an explanation for its determination. The office of court administration shall issue its final determination within three days of receiving the request. Notwithstanding any other provision of law or any professional disciplinary rule to the contrary, the disclosure of the identity of any client or customer in response to this question shall not constitute professional misconduct or a ground for disciplinary action of any kind, or form the basis for any civil or criminal cause of action or proceeding. A reporting individual who first enters public office after December thirty-first, two thousand fifteen, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Client	Name of Lobbyist	Category of Amount (In Table 1)
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(d) List the name, principal address and general description or the nature of the business activity of any entity in which the reporting individual or such individual's spouse had an investment in excess of \$1,000 excluding investments in securities and interests in real property.

*See Attached*

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9. List each source of gifts, EXCLUDING campaign contributions, in EXCESS of \$1,000, received during the reporting period for which this statement is filed by the reporting individual or such individual's spouse or unemancipated child from the same donor, EXCLUDING gifts from a relative. INCLUDE the name and address of the donor. The term "gifts" does not include reimbursements, which term is defined in item 10. Indicate the value and nature of each such gift.

Self, Spouse or Child	Name of Donor	Address	Nature of Gift	Category of Value of Gift (In Table I)
None				

10. Identify and briefly describe the source of any reimbursements for expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of \$1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursements" does NOT include gifts reported under item 9.

Source	Description
None	

11. List the identity and value, if reasonably ascertainable, of each interest in a trust, estate or other beneficial interest, including retirement plans (other than retirement plans of the state of New York or the city of New York), and deferred compensation plans (e.g., 401, 403(b), 457, etc.) established in accordance with the internal revenue code, in which the REPORTING INDIVIDUAL held a beneficial interest in EXCESS of \$1,000 at any time during the preceding year. Do NOT report interests in a trust, estate or other beneficial interest established by or for, or the estate of, a relative.

Identity	Category of Value* (In Table II)
None	

\* The value of such interest shall be reported only if reasonably ascertainable.

12. (a) Describe the terms of, and the parties to, any contract, promise, or other agreement between the reporting individual and any person, firm, or corporation with respect to the employment of such individual after leaving office or position (other than a leave of absence).

Under the Corporate Governance Guidelines set forth by Gibraltar Industries, Inc I am required to submit a letter of resignation from my Directorship for consideration by its Board of Directors upon separation from my Assembly position, as all Directors are when their other employment changes

- (b) Describe the parties to and the terms of any agreement providing for continuation of payments or benefits to the REPORTING INDIVIDUAL in EXCESS of \$1,000 from a prior employer OTHER THAN the State. (This includes interests in or contributions to a pension fund, profit-sharing plan, or life or health insurance; buy-out agreements; severance payments; etc.)

None

13. List below the nature and amount of any income in EXCESS of \$1,000 from EACH SOURCE for the reporting individual and such individual's spouse for the taxable year last occurring prior to the date of filing. Each such source must be described with particularity. Nature of income includes, but is not limited to, all income (other than that received from the employment listed under Item 2 above) from compensated employment whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching

income, partnerships, honorariums, lecture fees, consultant fees, bank and bond interest, dividends, income derived from a trust, real estate rents, and recognized gains from the sale or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building address in the case of real estate rents and otherwise by the name of the entity and not by the name of the individual customers, clients or tenants, with the aggregate net income before taxes for each building address or entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments shall not be listed.

Self/ Spouse	Source	Nature	Category of Amount (In Table I)
<i>See Attached</i>			

14. List the sources of any deferred income (not retirement income) in EXCESS of \$1,000 from each source to be paid to the reporting individual following the close of the calendar year for which this disclosure statement is filed, other than deferred compensation reported in item 11 hereinabove. Deferred income derived from the practice of a profession shall be listed in the aggregate and shall identify as the source, the name of the firm, corporation, partnership or association through which the income was derived, but shall not identify individual clients.

Source	Category of Amount (In Table I)
<i>None</i>	

15. List each assignment of income in EXCESS of \$1,000, and each transfer other than to a relative during the reporting period for which this statement is filed for less than fair consideration of an interest in a trust, estate or other beneficial interest, securities or real property, by the reporting individual, in excess of \$1,000, which would otherwise be required to be reported herein and is not or has not been so reported.

Item Assigned or Transferred	Assigned or Transferred to	Category of Value (In Table I)
<i>None</i>		

16. List below the type and market value of securities held by the reporting individual or such individual's spouse from each issuing entity in EXCESS of \$1,000 at the close of the taxable year last occurring prior to the date of filing, including the name of the issuing entity exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed ONLY IF the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse has transferred assets to such trust for his or her benefit in which event such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which the reporting individual or the reporting individual's spouse is the owner of record but in which such individual or the reporting individual's spouse has no beneficial interest shall not be listed. Indicate percentage of ownership ONLY if the reporting person or the reporting person's spouse holds more than five percent (5%) of the stock of a corporation in which the stock is publicly traded or more than ten percent (10%) of the stock of a corporation in which the stock is NOT publicly traded. Also list securities owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. For the purpose of this item the term "securities" shall mean mutual funds, bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and certificates of deposits (CDs) and such other evidences of indebtedness and certificates of interest as are usually referred to as securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the security is an interest in a general partnership that was listed in item 8 (a) or if the security is corporate stock, NOT publicly traded, in a trade or business of a reporting individual or a reporting individual's spouse.

Self/ Spouse	Issuing Entity	Type of Security	Percentage of corporate stock owned or controlled (if more than 5% of pub- licly traded stock, or more than 10% if stock not publicly traded, is held)	Category of Market Value as of the close of the taxable year last occurring prior to the filing of this statement (In Table II)
<i>See Attached</i>				

17. List below the location, size, general nature, acquisition date, market value and percentage of ownership of any real property in which any vested or contingent interest in EXCESS of \$1,000 is held by the reporting individual or the reporting individual's spouse. Also list real property owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. Do NOT list any real property which is the primary or secondary personal residence of the reporting individual or the reporting individual's spouse, except where there is a co-owner who is other than a relative.

Self/ Spouse/ Corporation	Location	Size	General Nature	Acquisition Date	Percentage of Ownership	Category of Market Value (In Table II)
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None

18. List below all notes and accounts receivable, other than from goods or services sold, held by the reporting individual at the close of the taxable year last occurring prior to the date of filing and other debts owed to such individual at the close of the taxable year last occurring prior to the date of filing, in EXCESS of \$1,000, including the name of the debtor, type of obligation, date due and the nature of the collateral securing payment of each, if any, excluding securities reported in item 16 hereinabove. Debts, notes and accounts receivable owed to the individual by a relative shall not be reported.

Name of Debtor	Type of Obligation, Date Due, and Nature of Collateral, if any	Category of Amount (In Table II)
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~~Robert Anthony Brown, D.O. Personal Note for of credit~~

None

19. List below all liabilities of the reporting individual and such individual's spouse, in EXCESS of \$10,000 as of the date of filing of this statement, other than liabilities to a relative. Do NOT list liabilities incurred by, or guarantees made by, the reporting individual or such individual's spouse or by any proprietorship, partnership or corporation in which the reporting individual or such individual's spouse has an interest, when incurred or made in the

ordinary course of the trade, business or professional practice of the reporting individual or such individual's spouse. Include the name of the creditor and any collateral pledged by such individual to secure payment of any such liability. A reporting individual shall not list any obligation to pay maintenance in connection with a matrimonial action, alimony or child support payments. Any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances shall be excluded. If any such reportable liability has been guaranteed by any third person, list the liability and name the guarantor.

Name of Creditor or Guarantor	Type of Liability and Collateral, if any	Category of Amount (In Table II)
MORGAN STANLEY BANK	Brokerage Account	L

The requirements of law relating to the reporting of financial interests are in the public interest and no adverse inference of unethical or illegal conduct or behavior will be drawn merely from compliance with these requirements.

  
(Signature of Reporting Individual)

5/15/16  
Date (month/day/year)

[CATEGORY OF INCOME TABLES]

TABLE I

Category A	none
Category B	\$ 1 to under \$ 1,000
Category C	\$ 1,000 to under \$ 5,000
Category D	\$ 5,000 to under \$ 20,000
Category E	\$ 20,000 to under \$ 50,000
Category F	\$ 50,000 to under \$ 75,000
Category G	\$ 75,000 to under \$ 100,000
Category H	\$ 100,000 to under \$ 150,000
Category I	\$ 150,000 to under \$ 250,000
Category J	\$ 250,000 to under \$ 350,000
Category K	\$ 350,000 to under \$ 450,000
Category L	\$ 450,000 to under \$ 550,000
Category M	\$ 550,000 to under \$ 650,000
Category N	\$ 650,000 to under \$ 750,000
Category O	\$ 750,000 to under \$ 850,000
Category P	\$ 850,000 to under \$ 950,000
Category Q	\$ 950,000 to under \$1,050,000
Category R	\$1,050,000 to under \$1,150,000
Category S	\$1,150,000 to under \$1,250,000
Category T	\$1,250,000 to under \$1,350,000
Category U	\$1,350,000 to under \$1,450,000
Category V	\$1,450,000 to under \$1,550,000
Category W	\$1,550,000 to under \$1,650,000
Category X	\$1,650,000 to under \$1,750,000
Category Y	\$1,750,000 to under \$1,850,000
Category Z	\$1,850,000 to under \$1,950,000
Category AA	\$1,950,000 to under \$2,050,000
Category BB	\$2,050,000 to under \$2,150,000
Category CC	\$2,150,000 to under \$2,250,000
Category DD	\$2,250,000 to under \$2,350,000
Category EE	\$2,350,000 to under \$2,450,000
Category FF	\$2,450,000 to under \$2,550,000
Category GG	\$2,550,000 to under \$2,650,000
Category HH	\$2,650,000 to under \$2,750,000
Category II	\$2,750,000 to under \$2,850,000
Category JJ	\$2,850,000 to under \$2,950,000
Category KK	\$2,950,000 to under \$3,050,000
Category LL	\$3,050,000 to under \$3,150,000
Category MM	\$3,150,000 to under \$3,250,000
Category NN	\$3,250,000 to under \$3,350,000
Category OO	\$3,350,000 to under \$3,450,000
Category PP	\$3,450,000 to under \$3,550,000
Category QQ	\$3,550,000 to under \$3,650,000
Category RR	\$3,650,000 to under \$3,750,000
Category SS	\$3,750,000 to under \$3,850,000
Category TT	\$3,850,000 to under \$3,950,000
Category UU	\$3,950,000 to under \$4,050,000
Category VV	\$4,050,000 to under \$4,150,000
Category WW	\$4,150,000 to under \$4,250,000
Category XX	\$4,250,000 to under \$4,350,000
Category YY	\$4,350,000 to under \$4,450,000
Category ZZ	\$4,450,000 to under \$4,550,000

Category AAA	\$4,550,000 to under \$4,650,000
Category BBB	\$4,650,000 to under \$4,750,000
Category CCC	\$4,750,000 to under \$4,850,000
Category DDD	\$4,850,000 to under \$4,950,000
Category EEE	\$4,950,000 to under \$5,050,000
Category FFF	\$5,050,000 to under \$5,150,000
Category GGG	\$5,150,000 to under \$5,250,000
Category HHH	\$5,250,000 to under \$5,350,000
Category III	\$5,350,000 to under \$5,450,000
Category JJJ	\$5,450,000 to under \$5,550,000
Category KKK	\$5,550,000 to under \$5,650,000
Category LLL	\$5,650,000 to under \$5,750,000
Category MMM	\$5,750,000 to under \$5,850,000
Category NNN	\$5,850,000 to under \$5,950,000
Category OOO	\$5,950,000 to under \$6,050,000
Category PPP	\$6,050,000 to under \$6,150,000
Category QQQ	\$6,150,000 to under \$6,250,000
Category RRR	\$6,250,000 to under \$6,350,000
Category SSS	\$6,350,000 to under \$6,450,000
Category TTT	\$6,450,000 to under \$6,550,000
Category UUU	\$6,550,000 to under \$6,650,000
Category VVV	\$6,650,000 to under \$6,750,000
Category WWW	\$6,750,000 to under \$6,850,000
Category XXX	\$6,850,000 to under \$6,950,000
Category YYY	\$6,950,000 to under \$7,050,000
Category ZZZ	\$7,050,000 to under \$7,150,000
Category AAAA	\$7,150,000 to under \$7,250,000
Category BBBB	\$7,250,000 to under \$7,350,000
Category CCCC	\$7,350,000 to under \$7,450,000
Category DDDD	\$7,450,000 to under \$7,550,000
Category EEEE	\$7,550,000 to under \$7,650,000
Category FFFF	\$7,650,000 to under \$7,750,000
Category GGGG	\$7,750,000 to under \$7,850,000
Category HHHH	\$7,850,000 to under \$7,950,000
Category IIII	\$7,950,000 to under \$8,050,000
Category JJJJ	\$8,050,000 to under \$8,150,000
Category KKKK	\$8,150,000 to under \$8,250,000
Category LLLL	\$8,250,000 to under \$8,350,000
Category MMMM	\$8,350,000 to under \$8,450,000
Category NNNN	\$8,450,000 to under \$8,550,000
Category OOOO	\$8,550,000 to under \$8,650,000
Category PPPP	\$8,650,000 to under \$8,750,000
Category QQQQ	\$8,750,000 to under \$8,850,000
Category RRRR	\$8,850,000 to under \$8,950,000
Category SSSS	\$8,950,000 to under \$9,050,000
Category TTTT	\$9,050,000 to under \$9,150,000
Category UUUU	\$9,150,000 to under \$9,250,000
Category VVVV	\$9,250,000 to under \$9,350,000
Category WWWW	\$9,350,000 to under \$9,450,000
Category XXXX	\$9,450,000 to under \$9,550,000
Category YYYYY	\$9,550,000 to under \$9,650,000
Category ZZZZ	\$9,650,000 to under \$9,750,000
Category AAAAA	\$9,750,000 to under \$9,850,000
Category BBBBB	\$9,850,000 to under \$9,950,000
Category CCCCC	\$9,950,000 to under \$10,000,000
Category DDDDD	\$10,000,000 or over

TABLE II

Category A	none
Category B	\$ 1 to under \$ 1,000
Category C	\$ 1,000 to under \$ 5,000
Category D	\$ 5,000 to under \$ 20,000
Category E	\$ 20,000 to under \$ 50,000
Category F	\$ 50,000 to under \$ 75,000
Category G	\$ 75,000 to under \$ 100,000
Category H	\$ 100,000 to under \$ 150,000
Category I	\$ 150,000 to under \$ 250,000
Category J	\$ 250,000 to under \$ 500,000
Category K	\$ 500,000 to under \$ 750,000
Category L	\$ 750,000 to under \$1,000,000
Category M	\$1,000,000 to under \$1,250,000
Category N	\$1,250,000 to under \$1,500,000
Category O	\$1,500,000 to under \$1,750,000
Category P	\$1,750,000 to under \$2,000,000
Category Q	\$2,000,000 to under \$2,250,000
Category R	\$2,250,000 to under \$2,500,000
Category S	\$2,500,000 to under \$2,750,000
Category T	\$2,750,000 to under \$3,000,000
Category U	\$3,000,000 to under \$3,250,000
Category V	\$3,250,000 to under \$3,500,000
Category W	\$3,500,000 to under \$3,750,000
Category X	\$3,750,000 to under \$4,000,000
Category Y	\$4,000,000 to under \$4,250,000
Category Z	\$4,250,000 to under \$4,500,000
Category AA	\$4,500,000 to under \$4,750,000
Category BB	\$4,750,000 to under \$5,000,000
Category CC	\$5,000,000 to under \$5,250,000
Category DD	\$5,250,000 to under \$5,500,000
Category EE	\$5,500,000 to under \$5,750,000
Category FF	\$5,750,000 to under \$6,000,000
Category GG	\$6,000,000 to under \$6,250,000
Category HH	\$6,250,000 to under \$6,500,000
Category II	\$6,500,000 to under \$6,750,000
Category JJ	\$6,750,000 to under \$7,000,000
Category KK	\$7,000,000 to under \$7,250,000
Category LL	\$7,250,000 to under \$7,500,000
Category MM	\$7,500,000 to under \$7,750,000
Category NN	\$7,750,000 to under \$8,000,000
Category OO	\$8,000,000 to under \$8,250,000
Category PP	\$8,250,000 to under \$8,500,000
Category QQ	\$8,500,000 to under \$8,750,000
Category RR	\$8,750,000 to under \$9,000,000
Category SS	\$9,000,000 to under \$9,250,000
Category TT	\$9,250,000 to under \$9,500,000
Category UU	\$9,500,000 or over



Jane L. Corwin  
Annual Statement of Financial Disclosure  
For Calendar Year 2015  
Question 8D

Spouse	Azoona, LLC	5151 Rockledge Dr Clarence, NY 14031	Digital Marketing Company
Spouse	Pharminex, LLC	6467 Main St. Williamsville, NY 14221	Owns % of Athenex
Joint	Corwin Holdings LLC*	5151 Rockledge Dr. Clarence, NY 14031	Real Estate Partnership
Joint	Corwin Development LLC*	5151 Rockledge Dr. Clarence, NY 14031	Financing Company & Real Estate Partnership

- Ownership interests in various commercial real estate properties, lending to real estate projects and investments in development projects. All reported partnerships in 2014 and prior were rolled into and are now owned by Corwin Development and Corwin Holdings in addition to additional investments initiated in 2015.



Jane L. Corwin  
 Annual Statement of Financial Disclosure  
 For Calendar Year 2015  
 Question 13

	Source:	Nature:	Category:
Joint	Stocks and Bonds	Dividends and Interest	V
Joint	Stocks and Bonds	Capital Gains	G
Joint	Corwin Development, LLC	Loan Interest	H
Joint	Corwin Development, LLC	Distributions	H
Self	Stocks and Bonds	Dividends and Interest	H
Self	Stocks and Bonds	Capital Gains	A
Self	Inherited & Rollover IRA	Dividends and Interest	E
Self	Inherited & Rollover IRA	Capital Gains	C
Spouse	Stocks and Bonds	Dividends and Interest	H
Spouse	Stocks and Bonds	Capital Gains	A
Spouse	IRA	Dividends and Interest	E
Spouse	IRA	Capital Gains	A
Self	Gibraltar Industries, Inc.	Board Meeting Fees Restricted Stock Awards	H
Joint	Corwin Holdings, LLC	Loan Interest	D
Joint	Corwin Holdings, LLC	Distributions	D



2015 Annual Statement of Financial Disclosure

Assemblywoman Jane L Corwin

Values as of 12/31/2015

Question 16

Self Spouse	Issuing Entity	Type of Security	% Owned	Code
Joint	AQR LG CAP MULTI STYLE R6	Mutual Fund	N/A	K
Joint	DFA EMERGING MKTS PORT INSTL	Mutual Fund	N/A	I
Joint	DFA EMERGING MKTS VALUE PORT INSTL	Mutual Fund	N/A	I
Joint	DFA INTL REAL ESTATE SECURITIES PORT INSTL	Mutual Fund	N/A	I
Joint	DFA INTL SMALL CAP VALUE PORT INSTL	Mutual Fund	N/A	L
Joint	DFA REAL ESTATE SECURITIES PORT INSTL	Mutual Fund	N/A	J
Joint	DFA TA US CORE EQTY 2 PORT INSTL	Mutual Fund	N/A	O
Joint	DFA TA WORLD EX US CORE EQTY PORT	Mutual Fund	N/A	K
Joint	DFA TAX MANAGED INTL VALUE PORTFOLIO	Mutual Fund	N/A	K
Joint	DFA TAX MANAGED US TARGE TED VALUE PORTFOLIO	Mutual Fund	N/A	L
Joint	VERICIMETRY US SM CAP VALUE FD	Mutual Fund	N/A	L
Joint	AMERN EXPRESS BK 1.95%18 CD FDIC INS DUE 10/23/18 SALT LAKE CITY UT	Fixed Income	N/A	J
Joint	BEAUFORT CNTY SC 4%22 GO UTX DUE 03/01/22	Fixed Income	N/A	J
Joint	CIT BANK 3.15%24 CD FDIC INS DUE 10/08/24 PASADENA CA	Fixed Income	N/A	K
Joint	COASTAL WTR AUTH TX 5%22 UTIL COMB DUE 12/15/22	Fixed Income	N/A	I
Joint	COMENITY CAP BK 2.25%20 CD FDIC INS DUE 10/28/20 SALT LAKE CITY UT	Fixed Income	N/A	J
Joint	DEKALB ETC IL CU 3.25%16 GO UTX DUE 02/01/16	Fixed Income	N/A	J
Joint	DENTON TX 4%19 DB LTX DUE 02/15/19	Fixed Income	N/A	K
Joint	DISCOVER BK 2.2%19 CD FDIC INS DUE 10/08/19 GREENWOOD DE	Fixed Income	N/A	J
Joint	ENERBANK USA 2.95%23 CD FDIC INS DUE 10/23/23 SALT LAKE CITY UT	Fixed Income	N/A	I
Joint	FIRST MERCHANTS 2.25%20 CD FDIC INS DUE 09/30/20 MUNCIE IN	Fixed Income	N/A	J
Joint	FL ST BD GVNRS I 4.25%16 EDUC PUB DUE 07/01/16 OID	Fixed Income	N/A	I
Joint	GE CAPITAL BANK 3%23 CD FDIC INS DUE 10/03/23 SALT LAKE CITY UT	Fixed Income	N/A	K
Joint	GOLDMAN SACHS BK 2.25%19 CD FDIC INS DUE 05/16/19 NEW YORK NY	Fixed Income	N/A	I
Joint	GOLDMAN SACHS BK US 3%23 CD FDIC INS DUE 10/23/23 SALT LAKE CITY UT	Fixed Income	N/A	J
Joint	GREENVILLE MI PS 5%17 GO UTX DUE 05/01/17 ASSURED GUARANTY MUN	Fixed Income	N/A	I
Joint	HARRIS CNTY TX 5%16 TRAN TOLL DUE 08/15/16 NATIONAL PUBLIC FINA	Fixed Income	N/A	I
Joint	HOUSTON TX W/S SYS 0%25 UTIL COMB DUE 12/01/25 OID ASSURED GUARANTY MUN	Fixed Income	N/A	J
Joint	KING CNTY WA SD #40 5%22 GO UTX DUE 12/01/22	Fixed Income	N/A	H
Joint	KITSAP CNTY WA SD30 5%21 GO UTX DUE 12/01/21	Fixed Income	N/A	H
Joint	LEANDER TX ISD 0%25 GO UTX DUE 08/15/25	Fixed Income	N/A	J
Joint	LORAIN CNTY OH 5%16 GO LTX DUE 12/01/16 NATIONAL PUBLIC FINA	Fixed Income	N/A	J
Joint	MARIEMONT OH CTY SD 0%16 GO UTX DUE 12/01/16 OID ASSURED GUARANTY MUN	Fixed Income	N/A	J
Joint	MESQUITE TX W W/S 4%18 UTIL COMB DUE 03/01/18	Fixed Income	N/A	J
Joint	MI ST UNIV 5%20 EDUC PUB DUE 08/15/20	Fixed Income	N/A	J
Joint	MT VERNON IN SBC 5%17 SCH LEAS DUE 01/15/17 ASSURED GUARANTY MUN	Fixed Income	N/A	H
Joint	MT VERNON IN SBC 5%17 SCH LEAS DUE 01/15/17 ASSURED GUARANTY MUN	Fixed Income	N/A	J
Joint	NEW HAMPSHIRE ST 0%16 EDUC BLDG DUE 07/01/16 OID	Fixed Income	N/A	J
Joint	NEW YORK N Y 5%22 GO UTX DUE 08/01/22	Fixed Income	N/A	K
Joint	OHIO ST 5%23 GO UTX DUE 08/01/23	Fixed Income	N/A	H
Joint	ROUND ROCK TX 4%21 DB LTX DUE 08/15/21	Fixed Income	N/A	I
Joint	SALEM ETC OR SD #24 0%25 GO UTX DUE 06/15/25 OID	Fixed Income	N/A	J
Joint	SALLIE MAE BANK 2.65%21 CD FDIC INS DUE 10/08/21 SALT LAKE CITY UT	Fixed Income	N/A	J
Joint	SEATTLE WA 4%20 GO LTX DUE 10/01/20	Fixed Income	N/A	I
Joint	STATE BK OF INDIA 3.4%24 CD FDIC INS DUE 10/17/24 NEW YORK NY	Fixed Income	N/A	K
Joint	SYNCHRONY BANK 2.65%21 CD FDIC INS DUE 08/09/21 DRAPER UT	Fixed Income	N/A	J
Joint	SYNCHRONY BANK 2.65%21 CD FDIC INS DUE 08/09/21 DRAPER UT	Fixed Income	N/A	H
Joint	UNIV MN 5%18 EDUC PUB DUE 12/01/18	Fixed Income	N/A	J
Joint	WASHINGTON ST 4%24 VP DUE 07/01/24	Fixed Income	N/A	H
Joint	WEST SPRINGFIELD MA 4%23 GO LTX DUE 09/15/23	Fixed Income	N/A	I
Joint	ZACHARY LA CMY SD # 3%17 GO UTX DUE 03/01/17	Fixed Income	N/A	I
Joint	Schwab Money market funds	Cash and Money Market	N/A	H
Joint	AMN HEALTHCARE SRVCS	Equity - Stocks	N/A	D
Joint	APPLE INC	Equity - Stocks	N/A	E



Joint	BECTON DICKINSON&CO	Equity - Stocks	N/A	D
Joint	BOEING CO	Equity - Stocks	N/A	E
Joint	CELGENE CORP	Equity - Stocks	N/A	E
Joint	FLUOR CORPORATION	Equity - Stocks	N/A	E
Joint	GENERAL ELECTRIC CO	Equity - Stocks	N/A	D
Joint	JACK IN THE BOX INC	Equity - Stocks	N/A	E
Joint	JOHNSON & JOHNSON	Equity - Stocks	N/A	D
Joint	M & T BANK CORP	Equity - Stocks	N/A	E
Joint	NATIONAL FUEL GAS CO	Equity - Stocks	N/A	G
Joint	ULTA SALON COSM&FRAG	Equity - Stocks	N/A	D
Joint	VERIZON COMMUNICATN	Equity - Stocks	N/A	D
Joint	CALL DICKS SPORTING GOOD \$35 EXP 02/19/16	Option	N/A	C
Joint	Schwab Money market funds	Cash and Money Market	N/A	G
Spouse	BRIDGEWAY OMNI SM CAP VALUE FD	Mutual Fund	N/A	G
Spouse	DFA EMERGING MKTS PORT INSTL	Mutual Fund	N/A	D
Spouse	DFA EMERGING MKTS VALUE PORT INSTL	Mutual Fund	N/A	D
Spouse	DFA INTL SMALL CAP VALUE PORT INSTL	Mutual Fund	N/A	G
Spouse	DFA INTL SMALL CO PORT INSTL	Mutual Fund	N/A	E
Spouse	DFA INTL VALUE III PORT	Mutual Fund	N/A	H
Spouse	DFA LARGE CAP VALUE III PORTFOLIO	Mutual Fund	N/A	H
Spouse	DFA US LARGE CO PORTFOLIO	Mutual Fund	N/A	G
Spouse	DFA US MICRO CAP PORT INSTL	Mutual Fund	N/A	F
Spouse	VERICIMETRY US SM CAP VALUE FD	Mutual Fund	N/A	F
Spouse	Schwab Money market funds	Cash and Money Market	N/A	C
Self	DFA EMERGING MKTS PORT INSTL	Mutual Fund	N/A	D
Self	DFA EMERGING MKTS VALUE PORT INSTL	Mutual Fund	N/A	D
Self	DFA INTL SMALL CAP VALUE PORT INSTL	Mutual Fund	N/A	G
Self	DFA INTL SMALL CO PORT INSTL	Mutual Fund	N/A	E
Self	DFA INTL VALUE III PORT	Mutual Fund	N/A	H
Self	DFA LARGE CAP VALUE III PORTFOLIO	Mutual Fund	N/A	H
Self	DFA US LARGE CO PORTFOLIO	Mutual Fund	N/A	H
Self	DFA US MICRO CAP PORT INSTL	Mutual Fund	N/A	F
Self	DFA US SMALL CAP VALUE PORT INSTL	Mutual Fund	N/A	I
Self	DODGE & COX STOCK FUND	Mutual Fund	N/A	D
Self	DFA EMERGING MKTS CORE EQTY PORT INSTL	Mutual Fund	N/A	D
Self	DFA INTL CORE EQTY PORT INSTL	Mutual Fund	N/A	E
Self	DFA INTL SMALL CAP VALUE PORT INSTL	Mutual Fund	N/A	E
Self	DFA INTL VALUE III PORT	Mutual Fund	N/A	E
Self	DFA LARGE CAP VALUE III PORTFOLIO	Mutual Fund	N/A	E
Self	DFA US CORE EQTY 2 PORT INSTL	Mutual Fund	N/A	G
Self	DFA US TARGETED VALUE PORT INSTL	Mutual Fund	N/A	G
Self	BIRMINGHAM ALA GENL OBLIG SER-A	Fixed Income	N/A	
Self	CPN: 4.500% Due : 12/1/2027 Prerefunded at \$100.000 on 12/1/2016	Fixed Income	N/A	D
Self	BIRMINGHAM ALA GENL OBLIG SER-A	Fixed Income	N/A	
Self	CPN: 4.500% Due : 12/1/2027 Callable at \$100.000 on 12/1/2016	Fixed Income	N/A	D
Self	BURLINGTON CNTY N J GEN IMPT	Fixed Income	N/A	
Self	CPN: 4.000% Due : 7/15/2024 Prerefunded at \$100.000 on 7/15/2019	Fixed Income	N/A	D
Self	ILLINOIS ST SALES TAX REV	Fixed Income	N/A	
Self	CPN: 5.250% Due : 6/15/2034 Callable at \$100.000 on 6/15/2019	Fixed Income	N/A	E
Self	MARYLAND ST GENL OBLIG SER-B	Fixed Income	N/A	
Self	CPN: 3.000% Due : 8/1/2029 Callable at \$100.000 on 8/1/2022	Fixed Income	N/A	F
Self	MASSACHUSETTS S OID	Fixed Income	N/A	
Self	CPN: 3.000% Due : 11/1/2028 Callable at \$100.000 on 11/1/2022	Fixed Income	N/A	I
Self	MASSACHUSETTS ST	Fixed Income	N/A	
Self	CPN: 4.000% Due : 7/1/2031 Callable at \$100.000 on 7/1/2022	Fixed Income	N/A	I
Self	MINNESOTA ST GENL OBLIG SER-B	Fixed Income	N/A	
Self	CPN: 3.250% Due : 8/1/2034 Callable at \$100.000 on 8/1/2025	Fixed Income	N/A	G
Self	NEW YORK CITY GENL OBLIG SER-CSUBSER C-1	Fixed Income	N/A	
Self	CPN: 4.000% Due : 10/1/2019 Callable at \$100.000 on 10/1/2017	Fixed Income	N/A	F
Self	NEW YORK CITY GENL OBLIG SER-LSUBSER L-1	Fixed Income	N/A	
Self	CPN: 4.250% Due : 4/1/2021 Callable at \$100.000 on 4/1/2018	Fixed Income	N/A	I
Self	NEW YORK CITY MUN WTR AU WTR &SWR SYS SECOND GEN RES REV-EE	Fixed Income	N/A	
Self	CPN: 4.000% Due : 6/15/2039 Callable at \$100.000 on 6/15/2022	Fixed Income	N/A	E
Self	NEW YORK CITY MUNI WTR FIN AUTH WTR & SWR SYS REV SER-A	Fixed Income	N/A	



Self	CPN: 4.500% Due : 6/15/2037 Callable at \$100.000 on 6/15/2017	Fixed Income	N/A	D
Self	NEW YORK N Y CITY TRANSITIONALFIN AUTH	Fixed Income	N/A	
Self	CPN: 3.000% Due : 11/1/2028 Callable at \$100.000 on 11/1/2022	Fixed Income	N/A	E
Self	NEW YORK N Y GENL OBLIG SER-A-1	Fixed Income	N/A	
Self	CPN: 5.000% Due : 8/1/2020 Prerefunded at \$100.000 on 8/1/2017	Fixed Income	N/A	D
Self	NEW YORK N Y GENL OBLIG SER-A-1	Fixed Income	N/A	
Self	CPN: 5.000% Due : 8/1/2020 Callable at \$100.000 on 8/1/2017	Fixed Income	N/A	H
Self	NEW YORK NY CITY TRANSITIONAL FIN AUTH REV-E	Fixed Income	N/A	
Self	CPN: 4.000% Due : 11/1/2027 Callable at \$100.000 on 11/1/2022	Fixed Income	N/A	J
Self	NEW YORK ST DORM AUTH ST PERS INCOME TAX REV-A	Fixed Income	N/A	
Self	CPN: 4.250% Due : 3/15/2032 Callable at \$100.000 on 3/15/2021	Fixed Income	N/A	H
Self	NEW YORK ST DORM AUTH ST PERS INCOME TAX REV-A	Fixed Income	N/A	
Self	CPN: 4.000% Due : 3/15/2029 Callable at \$100.000 on 3/15/2021	Fixed Income	N/A	E
Self	NEW YORK ST DORM AUTH ST PERS INCOME TAX REV-C	Fixed Income	N/A	
Self	CPN: 5.000% Due : 12/15/2021 Prerefunded at \$100.000 on 12/15/2016	Fixed Income	N/A	I
Self	NEW YORK ST DORM AUTH ST PERS INCOME TAX REV-C	Fixed Income	N/A	
Self	CPN: 5.000% Due : 12/15/2021 Prerefunded at \$100.000 on 12/15/2016	Fixed Income	N/A	F
Self	NEW YORK ST THRUWAY AUTH PERSONAL INCOME TAX REV SER-A	Fixed Income	N/A	
Self	CPN: 4.000% Due : 3/15/2020 Callable at \$100.000 on 9/15/2018	Fixed Income	N/A	E
Self	NEWTON MA GENL OBLIG MUN PURP LN	Fixed Income	N/A	
Self	CPN: 4.000% Due : 3/1/2040 Callable at \$100.000 on 3/1/2024	Fixed Income	N/A	E
Self	ONONDAGA CNTY NY GENL OBLIG	Fixed Income	N/A	
Self	CPN: 3.500% Due : 5/1/2034 Callable at \$100.000 on 5/1/2022	Fixed Income	N/A	F
Self	ONONDAGA CNTY NY GENL OBLIG	Fixed Income	N/A	
Self	CPN: 3.500% Due : 5/1/2033 Callable at \$100.000 on 5/1/2022	Fixed Income	N/A	G
Self	OYSTER BAY NY GENL OBLIG PUB IMPT	Fixed Income	N/A	
Self	CPN: 3.000% Due : 8/15/2022 Callable at \$100.000 on 8/15/2017	Fixed Income	N/A	E
Self	PENNSYLVANIA S GENL OBLIG	Fixed Income	N/A	
Self	CPN: 4.000% Due : 8/15/2035 Callable at \$100.000 on 8/15/2025	Fixed Income	N/A	E
Self	PENNSYLVANIA ST GENL OBLIG SECOND SER	Fixed Income	N/A	
Self	CPN: 4.250% Due : 3/1/2024 Prerefunded at \$100.000 on 3/1/2017	Fixed Income	N/A	J
Self	PRINCE GEORGES CNTY MD CONS PUB IMPT-A	Fixed Income	N/A	
Self	CPN: 3.000% Due : 3/1/2029 Callable at \$100.000 on 3/1/2023	Fixed Income	N/A	I
Self	RICHMOND VA GENL OBLIG PUB IMPT SER-A	Fixed Income	N/A	
Self	CPN: 3.250% Due : 3/1/2033 Callable at \$100.000 on 3/1/2024	Fixed Income	N/A	G
Self	RICHMOND VA GENL OBLIG PUB IMPT SER-A	Fixed Income	N/A	
Self	CPN: 3.125% Due : 3/1/2032 Callable at \$100.000 on 3/1/2024	Fixed Income	N/A	E
Self	SAN ANTONIO TEX GENL OBLIG SER-A	Fixed Income	N/A	
Self	CPN: 5.000% Due : 8/1/2019 Callable at \$100.000 on 8/1/2018	Fixed Income	N/A	E
Self	UNIVERSITY OF TEXAS BRD REGENTS SYS REV FING-D ID 06/01/09	Fixed Income	N/A	
Self	CPN: 4.250% Due : 8/15/2026 Callable at \$100.000 on 8/15/2019	Fixed Income	N/A	H
Spouse	BIRMINGHAM ALA GENL OBLIG SER-A	Fixed Income	N/A	
Spouse	CPN: 4.500% Due : 12/1/2027 Prerefunded at \$100.000 on 12/1/2016	Fixed Income	N/A	E
Spouse	COLUMBIA DIST WASHINGTON,DC INCOME TAX SECD REV-D	Fixed Income	N/A	
Spouse	CPN: 3.000% Due : 12/1/2032 Callable at \$100.000 on 12/1/2022	Fixed Income	N/A	G
Spouse	ILLINOIS ST SALES TAX REV	Fixed Income	N/A	
Spouse	CPN: 5.250% Due : 6/15/2034 Callable at \$100.000 on 6/15/2019	Fixed Income	N/A	E
Spouse	MARYLAND ST GENL OBLIG SER-B	Fixed Income	N/A	
Spouse	CPN: 3.000% Due : 8/1/2029 Callable at \$100.000 on 8/1/2022	Fixed Income	N/A	F
Spouse	MASSACHUSETTS S OID	Fixed Income	N/A	
Spouse	CPN: 3.000% Due : 11/1/2028 Callable at \$100.000 on 11/1/2022	Fixed Income	N/A	I
Spouse	MASSACHUSETTS ST	Fixed Income	N/A	
Spouse	CPN: 4.000% Due : 7/1/2031 Callable at \$100.000 on 7/1/2022	Fixed Income	N/A	J
Spouse	MINNESOTA ST GENL OBLIG SER-B	Fixed Income	N/A	
Spouse	CPN: 3.250% Due : 8/1/2034 Callable at \$100.000 on 8/1/2025	Fixed Income	N/A	G
Spouse	NEW YORK CITY GENL OBLIG SER-CSUBSER C-1	Fixed Income	N/A	
Spouse	CPN: 4.000% Due : 10/1/2019 Callable at \$100.000 on 10/1/2017	Fixed Income	N/A	F
Spouse	NEW YORK CITY GENL OBLIG SER-LSUBSER L-1	Fixed Income	N/A	
Spouse	CPN: 4.250% Due : 4/1/2021 Callable at \$100.000 on 4/1/2018	Fixed Income	N/A	I
Spouse	NEW YORK CITY MUN WTR AU WTR &SWR SYS SECOND GEN RES REV-EE	Fixed Income	N/A	
Spouse	CPN: 4.000% Due : 6/15/2039 Callable at \$100.000 on 6/15/2022	Fixed Income	N/A	E
Spouse	NEW YORK CITY MUNI WTR FIN AUTH WTR & SWR SYS REV SER-A	Fixed Income	N/A	
Spouse	CPN: 4.500% Due : 6/15/2037 Callable at \$100.000 on 6/15/2017	Fixed Income	N/A	E
Spouse	NEW YORK N Y CITY TRANSITIONALFIN AUTH	Fixed Income	N/A	



Spouse	CPN: 3.000% Due : 11/1/2028 Callable at \$100.000 on 11/1/2022	Fixed Income	N/A	E
Spouse	NEW YORK N Y GENL OBLIG SER-A-1	Fixed Income	N/A	
Spouse	CPN: 5.000% Due : 8/1/2020 Prerefunded at \$100.000 on 8/1/2017	Fixed Income	N/A	D
Spouse	NEW YORK N Y GENL OBLIG SER-A-1	Fixed Income	N/A	
Spouse	CPN: 5.000% Due : 8/1/2020 Callable at \$100.000 on 8/1/2017	Fixed Income	N/A	H
Spouse	NEW YORK N Y GENL OBLIG	Fixed Income	N/A	
Spouse	CPN: 6.250% Due : 10/15/2028 Prerefunded at \$100.000 on 10/15/2018	Fixed Income	N/A	E
Spouse	NEW YORK NY CITY TRANSITIONAL FIN AUTH REV-E	Fixed Income	N/A	
Spouse	CPN: 4.000% Due : 11/1/2027 Callable at \$100.000 on 11/1/2022	Fixed Income	N/A	J
Spouse	NEW YORK ST DORM AUTH ST PERS INCOME TAX REV-A	Fixed Income	N/A	
Spouse	CPN: 4.250% Due : 3/15/2032 Callable at \$100.000 on 3/15/2021	Fixed Income	N/A	H
Spouse	NEW YORK ST DORM AUTH ST PERS INCOME TAX REV-A	Fixed Income	N/A	
Spouse	CPN: 4.000% Due : 3/15/2029 Callable at \$100.000 on 3/15/2021	Fixed Income	N/A	E
Spouse	NEW YORK ST DORM AUTH ST PERS INCOME TAX REV-C	Fixed Income	N/A	
Spouse	CPN: 5.000% Due : 12/15/2021 Prerefunded at \$100.000 on 12/15/2016	Fixed Income	N/A	I
Spouse	NEW YORK ST DORM AUTH ST PERS INCOME TAX REV-C	Fixed Income	N/A	
Spouse	CPN: 5.000% Due : 12/15/2021 Prerefunded at \$100.000 on 12/15/2016	Fixed Income	N/A	F
Spouse	NEW YORK ST THRUWAY AUTH PERSONAL INCOME TAX REV SER-A	Fixed Income	N/A	
Spouse	CPN: 4.000% Due : 3/15/2020 Callable at \$100.000 on 9/15/2018	Fixed Income	N/A	E
Spouse	NEWTON MA GENL OBLIG MUN PURP LN	Fixed Income	N/A	
Spouse	CPN: 4.000% Due : 3/1/2040 Callable at \$100.000 on 3/1/2024	Fixed Income	N/A	D
Spouse	ONONDAGA CNTY NY GENL OBLIG	Fixed Income	N/A	
Spouse	CPN: 3.500% Due : 5/1/2033 Callable at \$100.000 on 5/1/2022	Fixed Income	N/A	H
Spouse	OYSTER BAY NY GENL OBLIG PUB IMPT	Fixed Income	N/A	
Spouse	CPN: 3.000% Due : 8/15/2022 Callable at \$100.000 on 8/15/2017	Fixed Income	N/A	E
Spouse	PENNSYLVANIA S GENL OBLIG	Fixed Income	N/A	
Spouse	CPN: 4.000% Due : 8/15/2035 Callable at \$100.000 on 8/15/2025	Fixed Income	N/A	E
Spouse	PENNSYLVANIA ST GENL OBLIG SECOND SER	Fixed Income	N/A	
Spouse	CPN: 4.250% Due : 3/1/2024 Prerefunded at \$100.000 on 3/1/2017	Fixed Income	N/A	I
Spouse	PRINCE GEORGES CNTY MD CONS PUB IMPT-A	Fixed Income	N/A	
Spouse	CPN: 3.000% Due : 3/1/2029 Callable at \$100.000 on 3/1/2023	Fixed Income	N/A	I
Spouse	RICHMOND VA GENL OBLIG PUB IMPT SER-A	Fixed Income	N/A	
Spouse	CPN: 3.125% Due : 3/1/2032 Callable at \$100.000 on 3/1/2024	Fixed Income	N/A	E
Spouse	SAN ANTONIO TEX GENL OBLIG SER-A	Fixed Income	N/A	
Spouse	CPN: 5.000% Due : 8/1/2019 Callable at \$100.000 on 8/1/2018	Fixed Income	N/A	E
Spouse	TEXAS ST TRANSN COMMN FIRST TIER	Fixed Income	N/A	
Spouse	CPN: 5.000% Due : 4/1/2025 Prerefunded at \$100.000 on 4/1/2016	Fixed Income	N/A	H
Joint	3M COMPANY	Equity - Stocks	N/A	E
Joint	AAC TECHNOLOGIES HLDG INC	Equity - Stocks	N/A	D
Joint	ADT Corp	Equity - Stocks	N/A	E
Joint	AIA GROUP LTD SPON ADR	Equity - Stocks	N/A	G
Joint	AIR LIQUIDE ADR	Equity - Stocks	N/A	F
Joint	Air Methods Corp	Equity - Stocks	N/A	D
Joint	AKBANK TURK ANONIM SIRKETI ADR	Equity - Stocks	N/A	D
Joint	ALIGN TECHNOLOGY	Equity - Stocks	N/A	E
Joint	ALKERMES PLC SHS	Equity - Stocks	N/A	E
Joint	ALLERGAN PLC SHS	Equity - Stocks	N/A	G
Joint	ALLIANZ SE ADS	Equity - Stocks	N/A	F
Joint	AMBEV S A SPONSORED ADR	Equity - Stocks	N/A	E
Joint	AMC NETWORKS INC CL A	Equity - Stocks	N/A	D
Joint	AMERICA MOVIL SA DE CV ADR L	Equity - Stocks	N/A	D
Joint	AMERICAN CAMPUS CMMTYS INC	Equity - Stocks	N/A	E
Joint	AMERICAN TOWER REIT COM	Equity - Stocks	N/A	E
Joint	ANADARKO PETE	Equity - Stocks	N/A	G
Joint	ANHEUSER BUSCH INBEV SA SPON	Equity - Stocks	N/A	F
Joint	ANSYS INC	Equity - Stocks	N/A	E
Joint	APPLE INC	Equity - Stocks	N/A	E
Joint	APTARGROUP INC	Equity - Stocks	N/A	E
Joint	ARM HOLDINGS PLC ADS	Equity - Stocks	N/A	F
Joint	ARTISAN PARTNERS ASSET MGMT	Equity - Stocks	N/A	D
Joint	Aspen Technology Inc	Equity - Stocks	N/A	D
Joint	ATLAS COPCO AS A ADR A NEW	Equity - Stocks	N/A	E
Joint	AUTODESK INC DELAWARE	Equity - Stocks	N/A	F
Joint	AUTOMATIC DATA PROCESSING INC	Equity - Stocks	N/A	E



Joint	BAIDU INC ADS	Equity - Stocks	N/A	H
Joint	BANCO BILBAO VIZ ARG SA ADS	Equity - Stocks	N/A	E
Joint	BANCO DO BRASIL SA SPON ADR	Equity - Stocks	N/A	D
Joint	BANCO MACRO S.A. SPONS ADR	Equity - Stocks	N/A	E
Joint	BANK OF NEW YORK MELLON CORP	Equity - Stocks	N/A	E
Joint	BANKUNITED INC	Equity - Stocks	N/A	D
Joint	BAYERISCHE MOTOREN WERKE AG	Equity - Stocks	N/A	E
Joint	BB SEGURIDADE PARTICIPACOES	Equity - Stocks	N/A	D
Joint	BG Group	Equity - Stocks	N/A	F
Joint	BIDVEST GROUP LTD SPONS ADR	Equity - Stocks	N/A	D
Joint	BIOGEN INC COM	Equity - Stocks	N/A	G
Joint	BLACKBAUD INC	Equity - Stocks	N/A	E
Joint	BROADCOM LTD SHS	Equity - Stocks	N/A	F
Joint	BUNGE LTD	Equity - Stocks	N/A	E
Joint	BURLINGTON STORES INC	Equity - Stocks	N/A	D
Joint	CALATLANTIC GROUP INC	Equity - Stocks	N/A	E
Joint	CANADIAN NATL RAILWAY CO	Equity - Stocks	N/A	E
Joint	CARDTRONICS INC	Equity - Stocks	N/A	D
Joint	Cash	Equity - Stocks	N/A	K
Joint	CATALENT INC	Equity - Stocks	N/A	D
Joint	CEB INC COM	Equity - Stocks	N/A	D
Joint	CH ROBINSON WORLDWIDE INC NEW	Equity - Stocks	N/A	D
Joint	CHINA CONSTRUCTION BANK CORP	Equity - Stocks	N/A	E
Joint	CHINA MOBILE LTD	Equity - Stocks	N/A	E
Joint	CHINA SHENHUA ENERGY LTD ADR	Equity - Stocks	N/A	D
Joint	CIELO SA SPONSORED ADR NEW	Equity - Stocks	N/A	D
Joint	CITRIX SYSTEMS INC	Equity - Stocks	N/A	E
Joint	CLICKS GROUP LTD SPONS ADR	Equity - Stocks	N/A	D
Joint	CNOOC LTD ADS	Equity - Stocks	N/A	D
Joint	COCA COLA CO	Equity - Stocks	N/A	E
Joint	COGNEX CORP	Equity - Stocks	N/A	E
Joint	COLOPLAST AS ADR	Equity - Stocks	N/A	D
Joint	COMCAST CORP (NEW) CLASS A	Equity - Stocks	N/A	H
Joint	COMMERCIAL INTL BNK LTD SP ADR	Equity - Stocks	N/A	D
Joint	Companhia Energy De Min Sp ADR	Equity - Stocks	N/A	C
Joint	COMPASS MINERALS INTER INC	Equity - Stocks	N/A	D
Joint	COSTAR GROUP INC	Equity - Stocks	N/A	E
Joint	COSTCO WHOLESALE CORP NEW	Equity - Stocks	N/A	E
Joint	CREE RESEARCH INC	Equity - Stocks	N/A	E
Joint	CSL LTD	Equity - Stocks	N/A	F
Joint	CVS HEALTH CORP COM	Equity - Stocks	N/A	E
Joint	DASSAULT SYSTEMS SA ADS	Equity - Stocks	N/A	G
Joint	DBS GROUP HOLDINGS LTD SP	Equity - Stocks	N/A	E
Joint	DISCOVERY COMMUNICATIONS SER A	Equity - Stocks	N/A	E
Joint	DOLBY CLA A COM STK	Equity - Stocks	N/A	D
Joint	DORMAN PRODUCTS, INC	Equity - Stocks	N/A	E
Joint	DRILL-QUIP INC	Equity - Stocks	N/A	D
Joint	DU PONT EI DE NEMOURS & CO	Equity - Stocks	N/A	E
Joint	ECOLAB INC	Equity - Stocks	N/A	E
Joint	EXPONENT INC	Equity - Stocks	N/A	E
Joint	EXXON MOBIL CORP	Equity - Stocks	N/A	F
Joint	FANUC CORPORATION UNSP ADR	Equity - Stocks	N/A	F
Joint	FIRST REPUBLIC BANK	Equity - Stocks	N/A	E
Joint	FIVE BELOW	Equity - Stocks	N/A	D
Joint	FLUOR CORP NEW	Equity - Stocks	N/A	F
Joint	FREEPORT-MCMORAN INC	Equity - Stocks	N/A	E
Joint	FRESENIUS MEDICAL CARE AG&CO	Equity - Stocks	N/A	E
Joint	FUCHS PETROLUB AG UNSPON ADR	Equity - Stocks	N/A	E
Joint	GARTNER INC	Equity - Stocks	N/A	E
Joint	Gazprom O A O ADR	Equity - Stocks	N/A	D
Joint	GENERAL ELECTRIC CO	Equity - Stocks	N/A	E
Joint	GLACIER BANCORP INC NEW	Equity - Stocks	N/A	E
Joint	GREENHILL & CO INC	Equity - Stocks	N/A	D
Joint	GRIFOLS SA ADR	Equity - Stocks	N/A	E



Joint	HARMAN INTL INDS NEW	Equity - Stocks	N/A	E
Joint	HEARTLAND EXPRESS	Equity - Stocks	N/A	D
Joint	HIBBETT SPORTS INC	Equity - Stocks	N/A	D
Joint	HOME DEPOT INC	Equity - Stocks	N/A	E
Joint	IBERIA BK CORP	Equity - Stocks	N/A	D
Joint	ICICI Bank LTD	Equity - Stocks	N/A	E
Joint	ICU MEDICAL INC	Equity - Stocks	N/A	E
Joint	IDEXX LABS	Equity - Stocks	N/A	D
Joint	IMMUNOGEN INC	Equity - Stocks	N/A	E
Joint	IMPERIAL HLDGS LTD ADR	Equity - Stocks	N/A	D
Joint	Imperial Oil LTD com	Equity - Stocks	N/A	E
Joint	INTEL CORP	Equity - Stocks	N/A	E
Joint	INTERNATIONAL PAPER CO	Equity - Stocks	N/A	E
Joint	IONIS PHARMACEUTICALS INC	Equity - Stocks	N/A	E
Joint	ITAU UNIBANCO MULTIPLE ADR	Equity - Stocks	N/A	E
Joint	JGC CORP UNSPONSORED ADR	Equity - Stocks	N/A	E
Joint	JOHNSON & JOHNSON	Equity - Stocks	N/A	E
Joint	Kapstone Paper and Packaging	Equity - Stocks	N/A	D
Joint	KASIKORNBANK PUB CO LTD UNSPON	Equity - Stocks	N/A	D
Joint	KB FINANCIAL GRP INC SONS ADR	Equity - Stocks	N/A	E
Joint	KIMBERLY CLARK CORP	Equity - Stocks	N/A	E
Joint	KIMBERLY CLARK SPON ADR	Equity - Stocks	N/A	D
Joint	KIRBY CP	Equity - Stocks	N/A	D
Joint	KOC HLDG AS UNSPON ADR	Equity - Stocks	N/A	D
Joint	KONE OYJ ADR	Equity - Stocks	N/A	E
Joint	L OREAL CO ADR	Equity - Stocks	N/A	F
Joint	L-3 COMMUNICATIONS HOLDING INC	Equity - Stocks	N/A	F
Joint	LIBERTY BROADBAND CORP S-A	Equity - Stocks	N/A	C
Joint	LIBERTY BROADBAND CORP S-C	Equity - Stocks	N/A	D
Joint	LIBERTY INTER CO VENTURE SER A	Equity - Stocks	N/A	D
Joint	LIBERTY INTERACTIVE CORP QVC A	Equity - Stocks	N/A	E
Joint	LIBERTY MEDIA CORP SER A	Equity - Stocks	N/A	D
Joint	LIBERTY MEDIA CORP SER C	Equity - Stocks	N/A	D
Joint	Lincoln Elec Holdings Inc	Equity - Stocks	N/A	D
Joint	LINDE AG SPONSORED ADR	Equity - Stocks	N/A	D
Joint	LIONS GATE ENTERTAINMENT CORP	Equity - Stocks	N/A	D
Joint	LOCALIZA RENT A CAR SA SPON	Equity - Stocks	N/A	D
Joint	LVMH MOET HENNESSY LOUIS VUITT	Equity - Stocks	N/A	E
Joint	MARKETAXESS HOLDINGS INC	Equity - Stocks	N/A	E
Joint	MC DONALDS CORP	Equity - Stocks	N/A	E
Joint	MEDNAX INC	Equity - Stocks	N/A	E
Joint	MEDTRONIC PLC SHS	Equity - Stocks	N/A	E
Joint	MERCK & CO INC NEW COM	Equity - Stocks	N/A	E
Joint	METLIFE INCORPORATED	Equity - Stocks	N/A	E
Joint	MICROCHIP TECHNOLOGY INC	Equity - Stocks	N/A	D
Joint	MICROSOFT CORP	Equity - Stocks	N/A	E
Joint	MID AMER APART COMM INC	Equity - Stocks	N/A	E
Joint	MIDDLEBY CORP DEL	Equity - Stocks	N/A	E
Joint	MITSUBISHI EST ADR	Equity - Stocks	N/A	E
Joint	MOBILE MINI INC	Equity - Stocks	N/A	D
Joint	MOBILE TELESYSTEMS PJSC	Equity - Stocks	N/A	D
Joint	MONOTARO CO LTD ADR	Equity - Stocks	N/A	E
Joint	MTN GRP LTD SPONS ADR	Equity - Stocks	N/A	D
Joint	NASPERS LIMITED ADS	Equity - Stocks	N/A	E
Joint	NAT GROCERS BY VITA CTG INC	Equity - Stocks	N/A	D
Joint	NATIONAL HEALTH INVESTORS INC	Equity - Stocks	N/A	D
Joint	NATIONAL OILWELL VARCO INC	Equity - Stocks	N/A	E
Joint	NEDBANK GRP LTD SPON ADR	Equity - Stocks	N/A	D
Joint	NESTLE SPON ADR REP REG SHR	Equity - Stocks	N/A	H
Joint	NETEASE.COM INC ADS	Equity - Stocks	N/A	E
Joint	NEWELL BRANDS INC	Equity - Stocks	N/A	E
Joint	NEXTERA ENERGY INC COM	Equity - Stocks	N/A	E
Joint	NORDSON CP	Equity - Stocks	N/A	D
Joint	Novo Nordisk A/S ADR	Equity - Stocks	N/A	E



Joint	NOW INC	Equity - Stocks	N/A	D
Joint	NUANCE COMMUNICATIONS INC	Equity - Stocks	N/A	D
Joint	NUCOR CORPORATION	Equity - Stocks	N/A	F
Joint	PENSKE AUTO GP INC	Equity - Stocks	N/A	D
Joint	PENTAIR PLC	Equity - Stocks	N/A	E
Joint	PEPSICO INC NC	Equity - Stocks	N/A	E
Joint	PFIZER INC	Equity - Stocks	N/A	D
Joint	PHILIPPINE LG DIST TEL SPN ADR	Equity - Stocks	N/A	D
Joint	Pier 1 Imports	Equity - Stocks	N/A	C
Joint	PJSC LUKOIL SPONSORED ADR	Equity - Stocks	N/A	D
Joint	POWER INTEGRATIONS INC	Equity - Stocks	N/A	D
Joint	PPC LTD SPD ADR	Equity - Stocks	N/A	D
Joint	PPG INDUSTRIES INC	Equity - Stocks	N/A	E
Joint	PRA GROUP INC	Equity - Stocks	N/A	D
Joint	PRICESMART INC	Equity - Stocks	N/A	E
Joint	PROASSURANCE CP	Equity - Stocks	N/A	E
Joint	PROCTER & GAMBLE	Equity - Stocks	N/A	E
Joint	PROTO LABS	Equity - Stocks	N/A	E
Joint	PT ASTRA INTERNATIONAL TBK ADR	Equity - Stocks	N/A	E
Joint	PT BK MANDIRI PERSERO TBK UNSP	Equity - Stocks	N/A	E
Joint	PT SEMEN GRESIK PERSERO ADR	Equity - Stocks	N/A	D
Joint	PT TELEKOMUNIKASI INDONESIA	Equity - Stocks	N/A	E
Joint	PT UNITED TRACTORS ADR	Equity - Stocks	N/A	D
Joint	QEP RESOURCES INC	Equity - Stocks	N/A	E
Joint	QUAKER CHEMICAL CORPORATION	Equity - Stocks	N/A	D
Joint	QUESTAR CORP	Equity - Stocks	N/A	D
Joint	R P M INC	Equity - Stocks	N/A	E
Joint	RAYTHEON CO (NEW)	Equity - Stocks	N/A	E
Joint	RBC BEARINGS INC	Equity - Stocks	N/A	E
Joint	RITCHIE BROTHERS AUCTIONEERS	Equity - Stocks	N/A	E
Joint	ROCHE HOLDINGS ADR	Equity - Stocks	N/A	G
Joint	SANDISK CORP	Equity - Stocks	N/A	E
Joint	Sands China LTD	Equity - Stocks	N/A	E
Joint	SANLAM LTD ADR	Equity - Stocks	N/A	D
Joint	SAP AG	Equity - Stocks	N/A	F
Joint	SASOL LTD SPON ADR	Equity - Stocks	N/A	E
Joint	SBERBANK RUSSIA SPONSORED ADR	Equity - Stocks	N/A	E
Joint	SCHLUMBERGER LTD	Equity - Stocks	N/A	H
Joint	SEAGATE TECHNOLOGY PLC	Equity - Stocks	N/A	G
Joint	SHINHAN FINANCIAL GROUP CO LTD	Equity - Stocks	N/A	E
Joint	SHOPRITE HLDGS LTD SPONSORED A	Equity - Stocks	N/A	D
Joint	SIGNATURE BANK	Equity - Stocks	N/A	D
Joint	Solera Holdings Inc	Equity - Stocks	N/A	E
Joint	Sonova Holdings	Equity - Stocks	N/A	E
Joint	SPECTRA ENERGY CORP COM	Equity - Stocks	N/A	E
Joint	SS&C TECHNOLOGIES HLDGS INC	Equity - Stocks	N/A	E
Joint	STANDARD BANK GROUP LTD SPON	Equity - Stocks	N/A	D
Joint	STARZ SERIES A	Equity - Stocks	N/A	D
Joint	STERIS PLC	Equity - Stocks	N/A	E
Joint	SUN COMMUNITIES INC	Equity - Stocks	N/A	D
Joint	SUPER MICRO COMPUTER INC	Equity - Stocks	N/A	D
Joint	SUPERIOR ENERGY SERVICES INC	Equity - Stocks	N/A	D
Joint	SVB FNCL GRP	Equity - Stocks	N/A	E
Joint	Svenska Handelsbanken AB ADR	Equity - Stocks	N/A	E
Joint	SYMRISE AG UNSPONS ADR	Equity - Stocks	N/A	E
Joint	SYSCO CORP	Equity - Stocks	N/A	E
Joint	SYSMEX CORP UNSPON ADR	Equity - Stocks	N/A	F
Joint	TAIWAN SMCNDCTR MFG CO LTD ADR	Equity - Stocks	N/A	H
Joint	TE CONNECTIVITY LTD NEW	Equity - Stocks	N/A	G
Joint	TEAM HEALTH HLDGS	Equity - Stocks	N/A	D
Joint	TEXAS CAP BNCSHS INC (DE)	Equity - Stocks	N/A	D
Joint	TEXAS INSTRUMENTS	Equity - Stocks	N/A	E
Joint	TIME WARNER INC NEW	Equity - Stocks	N/A	E
Joint	TORO CO	Equity - Stocks	N/A	E



Joint	TRAVELERS COMPANIES INC COM	Equity - Stocks	N/A	E
Joint	TREEHOUSE FOODS INC	Equity - Stocks	N/A	D
Joint	TUPPERWARE BRANDS CORP	Equity - Stocks	N/A	D
Joint	TURKCELL ILETISM HIZM AS NEW	Equity - Stocks	N/A	D
Joint	TURKIYE GARANTI BANKASI A S	Equity - Stocks	N/A	E
Joint	TWITTER INC	Equity - Stocks	N/A	E
Joint	TYCO INTL PLC SHS	Equity - Stocks	N/A	F
Joint	TYLER TECHNOLOGIES INC	Equity - Stocks	N/A	E
Joint	ULTIMATE SOFTWARE GP INC	Equity - Stocks	N/A	E
Joint	UMPQUA HOLDINGS CORP	Equity - Stocks	N/A	D
Joint	UNICHARM CORP UNSPON ADR	Equity - Stocks	N/A	F
Joint	UNILEVER PLC (NEW) ADS	Equity - Stocks	N/A	E
Joint	UNION PACIFIC CORP	Equity - Stocks	N/A	E
Joint	UNITED PARCEL SER INC CL-B	Equity - Stocks	N/A	E
Joint	United Technologies Corp	Equity - Stocks	N/A	D
Joint	UNITEDHEALTH GP INC	Equity - Stocks	N/A	H
Joint	URBAN OUTFITTERS INC	Equity - Stocks	N/A	D
Joint	VERIFONE SYSTEMS INC	Equity - Stocks	N/A	D
Joint	VERIZON COMMUNICATIONS	Equity - Stocks	N/A	E
Joint	VERTEX PHARMACEUTICALS	Equity - Stocks	N/A	E
Joint	VODACOM GROUP LIMITED	Equity - Stocks	N/A	D
Joint	WABTEC	Equity - Stocks	N/A	E
Joint	WAL MART STORES INC	Equity - Stocks	N/A	E
Joint	WALT DISNEY CO HLDG CO	Equity - Stocks	N/A	E
Joint	WASTE MGMT INC (DELA)	Equity - Stocks	N/A	E
Joint	WEATHERFORD INTERNATIONAL	Equity - Stocks	N/A	G
Joint	WEBSTER FINCL CORP	Equity - Stocks	N/A	D
Joint	WEC ENERGY GROUP INC COM	Equity - Stocks	N/A	E
Joint	WEICHAI PWR CO LTD UNSPON ADR	Equity - Stocks	N/A	D
Joint	WEST PHARMACEUTICAL SVCS INC	Equity - Stocks	N/A	E
Joint	WESTERN DIGITAL CORPORATION	Equity - Stocks	N/A	E
Joint	WEYERHAEUSER CO	Equity - Stocks	N/A	E
Joint	WOOLWORTHS HLDGS LTD	Equity - Stocks	N/A	D
Joint	WPP PLC SPON NEW ADR	Equity - Stocks	N/A	G
Joint	WYNN MACAU LTD UNSPON ADR	Equity - Stocks	N/A	D
Joint	YPF SOCIEDAD ADS REP 1 CL-D SH	Equity - Stocks	N/A	D
Joint	ZEBRA TECH CL-A	Equity - Stocks	N/A	D
Joint	Vale S.A. SP	Equity - Stocks	N/A	C
Self	Gibraltar Industries Inc.	Equity - Stocks	N/A	I
Joint	BANK OF AMERICA CORP	Equity - Stocks	N/A	F
Joint	BLACKROCK MUNIYIED INVESTMENT	Closed end Fund	N/A	F
Joint	GENERAL ELECTRIC CO	Equity - Stocks	N/A	G
Joint	PIMCO DYNAMIC CREDIT INC FD	Closed end Fund	N/A	J
Joint	ROYAL DUTCH SHELL PLC	Equity - Stocks	N/A	E
Joint	WESTERN ASSET HIGH YIELD	Closed end Fund	N/A	E
Joint	Morgan Stanley Bank, NA	Cash and Money Market	N/A	J
Joint	Williamson Cnty Tex	Fixed Income	N/A	J
Joint	Texas Transn Commn St Hwy Fund	Fixed Income	N/A	H
Joint	Texas Transn Commn St Hwy Fund	Fixed Income	N/A	F
Joint	New York NY Genl Oblig Ser A	Fixed Income	N/A	D
Joint	South Dakota St Bldg Auth Rev A	Fixed Income	N/A	I
Joint	Harris county Tex Flood ctl Dist Impt	Fixed Income	N/A	J
Joint	South Country Cent Sch Dist NY Brookhaven Ser B	Fixed Income	N/A	J
Joint	BIRMINGHAM ALA GENL OBLIG SER-A	Fixed Income	N/A	E
Joint	KING CNTY Wash Pub Hosp Dist No 002	Fixed Income	N/A	I
Joint	New York NY Genl Oblig Ser C	Fixed Income	N/A	F
Joint	South Carolinga St Pub Svc Auth Rev Obligs a Santee Cooper	Fixed Income	N/A	J
Joint	MARYLAND ST GENL OBLIG SER-a	Fixed Income	N/A	J
Joint	New Jersey St Var Purp	Fixed Income	N/A	J
Joint	Bexar Cnty Tex GO Tax Rd	Fixed Income	N/A	I
Joint	Millburn Twp NJ BRD Ed School	Fixed Income	N/A	J
Joint	New York NY GO Oblig Ser a 1	Fixed Income	N/A	D
Joint	Spring Lake Park Minn Indpt Sch Dist No	Fixed Income	N/A	J
Joint	Hawaii St GO Ser - DK	Fixed Income	N/A	J



Joint	Florida St Board of Governors Fla Atlantic Univ Dorm Rev Ref-B	Fixed Income	N/A	J
Joint	Massachusetts St Port Auth Rev Ser A	Fixed Income	N/A	H
Joint	Van Tex Indpt Sch Dist Scho Bldg PSF-GTD	Fixed Income	N/A	J
Joint	Mississippi St GO Ser - IC	Fixed Income	N/A	I
Joint	Massachusetts St Port Auth RE OID	Fixed Income	N/A	I
Joint	Burlington Cnty NJ Gen Impt	Fixed Income	N/A	F
Joint	SAN ANTONIO TEX GENL OBLIG SER-A	Fixed Income	N/A	J
Joint	New York City GP Ser C Subser C-1	Fixed Income	N/A	J
Joint	New York NY GO Oblig Ser C	Fixed Income	N/A	I
Joint	New York St Environmental FACS Corp SPL oblig Rev Ref	Fixed Income	N/A	J
Joint	New York NY GO Ser-A-1	Fixed Income	N/A	I
Joint	New York City GO SER-I	Fixed Income	N/A	J
Joint	Leesburg Florida Utls RE OID	Fixed Income	N/A	J
Joint	Hawaii St GO Ser - DK	Fixed Income	N/A	D
Joint	Collier Cnty Fla Spl Oblig Rev	Fixed Income	N/A	F
Joint	Burlington Cnty NJ Gen Impt	Fixed Income	N/A	J
Joint	New York St Dorm Auth St Personal Income Tax Rev Ref Purp A	Fixed Income	N/A	J
Joint	Westchester Co NY GO Serial Ser-A	Fixed Income	N/A	J
Joint	Burlington Cnty NY Gen Impt	Fixed Income	N/A	H
Joint	New York City NY GO Oblig Series A Subseries A1	Fixed Income	N/A	H
Joint	New York NY GO Ser-A	Fixed Income	N/A	J
Joint	Los Angeles Calif Uni Sch Dist Ref SER-B	Fixed Income	N/A	H
Joint	Phoenix Ariz Var Purp GO Ser-A	Fixed Income	N/A	J
Joint	Irving Texas Certs of Oblig	Fixed Income	N/A	I
Joint	Nassau Co NY Genl Impt ser a	Fixed Income	N/A	I
Joint	Green Bay Wisc Corp Purp B	Fixed Income	N/A	K
Joint	Metro Ore GO-A	Fixed Income	N/A	I
Joint	Sangamon Cass ETC Cntys Ill Cmnty College Dist No 526 Ser-A	Fixed Income	N/A	H
Joint	Houston Tx Pub Impt Ref Ser-a	Fixed Income	N/A	I
Joint	BIRMINGHAM ALA GENL OBLIG SER-A	Fixed Income	N/A	F
Joint	Maryland St	Fixed Income	N/A	K
Joint	Empire St New York Dev Corp St Personal Income Tax Rev-A-1	Fixed Income	N/A	G
Joint	New York St Dorm Auth St Pers Income Tax Rev-A-1	Fixed Income	N/A	I
Joint	Maryland St GO Ser-B	Fixed Income	N/A	J
Joint	PENNSYLVANIA ST GENL OBLIG 1st series	Fixed Income	N/A	F
Joint	Comal Tex Indpt Sch Dist Ref-A PSF-GTD	Fixed Income	N/A	I
Joint	RICHMOND VA GENL OBLIG PUB IMPT SER-A	Fixed Income	N/A	H
Joint	Westchester Co NY GO Serial Ser-C	Fixed Income	N/A	J
Joint	New York St Dorm Auth St Pers Income Tax Rev-E	Fixed Income	N/A	H
Joint	Katy Texas indpt scho dist PSF-GT D Sch Bldg Ser-A	Fixed Income	N/A	J
Joint	Boston Mass Genl Oblig Ser A	Fixed Income	N/A	J
Joint	Washington St CTF5 Part OID	Fixed Income	N/A	I
Joint	Hawaii St GO Ser - EE	Fixed Income	N/A	J
Joint	New York State GO-A	Fixed Income	N/A	K
Joint	RICHMOND VA GENL OBLIG PUB IMPT SER-A	Fixed Income	N/A	G
Joint	New York St Dorm Auth Pers Income Tax Rev-A	Fixed Income	N/A	I
Joint	New York St Dorm Auth Pers Income Tax Rev-D	Fixed Income	N/A	I
Joint	Framingham Mass GO	Fixed Income	N/A	H
Joint	New York GO SER-A Subser-A-1	Fixed Income	N/A	J
Joint	PENNSYLVANIA ST GENL OBLIG	Fixed Income	N/A	J
Joint	New York St Mtge Agy Mtge Rev-51st	Fixed Income	N/A	H
Joint	Essex Cnty NJ Gen Impt and Cn Ty Vocational Sch Genl Oblig-B	Fixed Income	N/A	J
Joint	NEW YORK CITY MUNI WTR FIN AUTH WTR & SWR SYS REV SER-A	Fixed Income	N/A	G
Joint	New Jersey St Edl Facs Auth Rev Series E	Fixed Income	N/A	I
Joint	New York City Trans Fin Auth Future Tax Secured Rev-A-1	Fixed Income	N/A	G
Joint	Massachusetts ST	Fixed Income	N/A	J
Joint	NEW YORK CITY MUN WTR AU WTR &SWR SYS SECOND GEN RES REV-EE	Fixed Income	N/A	J
Joint	NEW YORK CITY MUN WTR AU WTR &SWR SYS SECOND GEN RES RV-EE	Fixed Income	N/A	F
Joint	NEWTON MA GENL OBLIG MUN PURP LN	Fixed Income	N/A	K
Spouse	Innate Immunotherapeutics	Equity - Stocks	N/A	G
Spouse	Athenex	Equity - Stocks	N/A	J

