



LEGISLATIVE ETHICS COMMISSION
STATE OF NEW YORK

MAIL: LEGISLATIVE OFFICE BUILDING • BOX 75 • ALBANY, NY 12247
LOCATION: ALFRED E. SMITH OFFICE BUILDING • SUITE 1451 • ALBANY, NY 12247
PHONE: (518) 432-7837/7838 FAX: (518) 426-6850

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MAY 11 2015

BY KTS
LEG. ETHICS COMM.

ANNUAL STATEMENT OF FINANCIAL DISCLOSURE

For Calendar Year 2015

1. Name STEPHEN M. HAWLEY

2. (a) Title of Position NYS ASSEMBLY PERSON
(b) Department, Agency or other Governmental Entity NYS ASSEMBLY
(c) Address of Present Office 121 N MAIN ST. SUITE 100 ALBION, NY 14410
(d) Office Telephone Number 585-589-5780

3. (a) Marital Status DIVORCED. If married, please give spouse's full name including maiden name where applicable.

(b) List the names of all unemancipated children.
NONE

Answer each of the following questions completely, with respect to calendar year 2015, unless another period or date is otherwise specified. If additional space is needed, attach additional pages.

Whenever a "value" or "amount" is required to be reported herein, such value or amount shall be reported as being within one of the following Categories in Table I or Table II of this subdivision as called for in the question: A reporting individual shall indicate the Category by letter only.

Whenever "income" is required to be reported herein, the term "income" shall mean the aggregate net income before taxes from the source identified.

The term "calendar year" shall mean the year ending the December 31st preceding the date of filing of the annual statement.

4. (a) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the reporting individual with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency
	NONE	

- (b) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the spouse or unemancipated child of the reporting individual, with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency
	NOT APPLICABLE	

5. (a) List the name, address and description of any occupation, employment (other than the employment listed under Item 2 above), trade, business or profession engaged in by the reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
OWNER	STEPHEN M. HAWLEY & ASSOC. 50 MAIN ST. BATAVIA, NY 14020	SALES & SERVICE INSURANCE PRODUCTS	DEPT. OF FINANCIAL SERV
	SALES REALTY USA 66 LICOOT AV BATAVIA, NY 14020	REAL ESTATE SALES	DEPT OF STATE

- (b) If the spouse or unemancipated child of the reporting individual was engaged in any occupation, employment, trade, business or profession which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name, address and description of such occupation, employment, trade, business or profession and the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
NOT APPLICABLE			

6. List any interest, in EXCESS of \$1,000, held by the reporting individual, such individual's spouse or unemancipated child, or partnership of which any such person is a member, or corporation, 10% or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse or such child to such entity and the interest in such contract. Do NOT include bonds and notes. Do NOT list any interest in any such contract on which final payment has been made and all obligations under the contract except for guarantees and warranties have been performed, provided, however, that such an interest must be listed if there has been an ongoing dispute during the calendar year for which this statement is filed with respect to any such guarantees or warranties. Do NOT list any interest in a contract made or executed by a local agency after public notice and pursuant to a process for competitive bidding or a process for competitive requests for proposals.

	Entity	Relationship	Contracting	Category
Self, Spouse or Child	Which Held Interest in Contract	to Entity and Interest in Contract	State or Local Agency	of Value of Contract (In Table II)

NONE

7. List any position the reporting individual held as an officer of any political party or political organization, as a member of any political party committee, or as a political party district leader. The term "party" shall have the same meaning as "party" in the election law. The term "political organization" means any party or independent body as defined in the election law or any organization that is affiliated with or a subsidiary of a party or independent body.

CHAIRPERSON, TOWN OF BATAVIA REPUBLICAN PARTY
EXECUTIVE COMMITTEE PERSON, GENESEE COUNTY REPUBLICAN PARTY

8. (a) If the reporting individual practices law, is licensed by the department of state as a real estate broker or agent or practices a profession licensed by the department of education, or works as a member or employee of a firm required to register pursuant to section one-e of the legislative law as a lobbyist, describe the services rendered for which compensation was paid including a general description of the principal subject areas of matters undertaken by such individual and principal duties performed. Specifically state whether the reporting individual provides services directly to clients. Additionally, if such an individual practices with a firm or corporation and is a partner or

shareholder of the firm or corporation, give a general description of principal subject areas of matters undertaken by such firm or corporation.

LICENSED REAL ESTATE SALES AGENT FOR REALTY USA,
BATAVIA, N.Y. OFFICE. LISTING + SALES OF REAL ESTATE
FOR COMMISSION INCOME. I PROVIDE SERVICES
DIRECTLY TO CLIENTS
LICENSED INSURANCE BROKER + AGENT PROVIDING SALES +
SERVICE DIRECTLY TO CLIENTS.

(b) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN:

If the reporting individual personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), then identify each client or customer to whom the reporting individual personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of \$10,000 during the reporting period for such services rendered in direct connection with:

(i) A contract in an amount totaling \$50,000 or more from the state or any state agency for services, materials, or property;

(ii) A grant of \$25,000 or more from the state or any state agency during the reporting period;

(iii) A grant obtained through a legislative initiative during the reporting period; or

(iv) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or knowingly solicit or direct to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in subparagraphs (1) through (iv) of this paragraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

The disclosure requirement in this question shall not require disclosure of clients or customers receiving medical or dental services, mental health services, residential real estate brokering services, or insurance brokering services from the reporting individual or his or her firm. The reporting individual need not identify any client to whom he or she or his or her firm

provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, or domestic relations matters. With respect to clients represented in other matters, where disclosure of a client's identity is likely to cause harm, the reporting individual shall request an exemption from the joint commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law, provided, however, that a reporting individual who first enters public office after July first, two thousand twelve, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Client

Nature of Services Provided

NONE

(b-1) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN (FOR PURPOSES OF THIS QUESTION, "SERVICES" SHALL MEAN CONSULTATION, REPRESENTATION, ADVICE OR OTHER SERVICES):

If the reporting individual receives income from employment reportable in question 8(a) and personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), the reporting individual shall identify each client or customer to whom the reporting individual personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of \$10,000 during the reporting period in direct connection with:

- (i) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;
- (ii) A grant of \$10,000 or more from the state or any state agency during the reporting period;
- (iii) A grant obtained through a legislative initiative during the reporting period; or
- (iv) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For such services rendered by the reporting individual directly to each such client, describe each matter that was the subject of such representation, the services actually provided and the payment received.

For payments received from clients referred to the firm by the reporting individual, if the reporting individual directly received

a referral fee or fees for such referral, identify the client and the payment so received.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or having knowingly solicited or directed to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in clauses (i) through (iv) of this subparagraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting in his or her capacity as provided in paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

Client	Matter	Nature of Services Provided	Category of Amount (In Table I)
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NONE (N/A)

(b-2) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN (FOR PURPOSES OF THIS QUESTION, "SERVICES" SHALL MEAN CONSULTATION, REPRESENTATION, ADVICE OR OTHER SERVICES):

(i) With respect to reporting individuals who receive ten thousand dollars or more from employment or activity reportable under question 8(a), for each client or customer NOT otherwise disclosed or exempted in question 8 or 13, disclose the name of each client or customer known to the reporting individual to whom the reporting individual provided services: (A) who paid the reporting individual in excess of five thousand dollars for such services; or (B) who had been billed with the knowledge of the reporting individual in excess of five thousand dollars by the firm or other entity named in question 8(a) for the reporting individual's services.

Client	Services Actually Provided	Category of Amount (In Table I)
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NONE (N/A)

FOLLOWING IS AN ILLUSTRATIVE, NON-EXCLUSIVE LIST OF EXAMPLES OF DESCRIPTIONS OF "SERVICES ACTUALLY PROVIDED":

- * REVIEWED DOCUMENTS AND CORRESPONDENCE;
- * REPRESENTED CLIENT (IDENTIFY CLIENT BY NAME) IN LEGAL PROCEEDING;
- * PROVIDED LEGAL ADVICE ON CLIENT MATTER (IDENTIFY CLIENT BY NAME);
- * CONSULTED WITH CLIENT OR CONSULTED WITH LAW PARTNERS/ASSOCIATES/MEMBERS OF FIRM ON CLIENT MATTER (IDENTIFY CLIENT BY NAME);
- * PREPARED CERTIFIED FINANCIAL STATEMENT FOR CLIENT (IDENTIFY CLIENT BY NAME);
- * REFERRED INDIVIDUAL OR ENTITY (IDENTIFY CLIENT BY NAME) FOR REPRESENTATION OR CONSULTATION;
- * COMMERCIAL BROKERING SERVICES (IDENTIFY CUSTOMER BY NAME);
- * PREPARED CERTIFIED ARCHITECTURAL OR ENGINEERING RENDERINGS FOR CLIENT (IDENTIFY CUSTOMER BY NAME);
- * COURT APPOINTED GUARDIAN OR EVALUATOR (IDENTIFY COURT NOT CLIENT).

(ii) With respect to reporting individuals who disclosed in question 8(a) that the reporting individual did not provide services to a client but provided services to a firm or business, identify the category of amount received for providing such services and describe the services rendered.

Services Actually Provided	Category of Amount (Table I)
NONE (N/A)	

A reporting individual need not disclose activities performed while lawfully acting in his or her capacity as provided in paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

The disclosure requirement in questions (b-1) and (b-2) shall not require disclosing clients or customers receiving medical, pharmaceutical or dental services, mental health services, or residential real estate brokering services from the reporting individual or his or her firm or if federal law prohibits or limits disclosure. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, family court, estate planning, or domestic relations matters, nor shall the reporting individual identify individuals represented pursuant to an insurance policy but the reporting individual shall in such circumstances only report the entity that provides compensation to the reporting individual; with respect to matters in which the client's name is required by law to be kept confidential (such as matters governed by the family court act) or in matters in which the reporting individual represents or provides services to

minors, the client's name may be replaced with initials. To the extent that the reporting individual, or his or her firm, provided legal representation with respect to an initial public offering, and professional disciplinary rules, federal law or regulations restrict the disclosure of information relating to such work, the reporting individual shall (i) disclose the identity of the client and the services provided relating to the initial public offering to the office of court administration, who will maintain such information confidentially in a locked box; and (ii) include in his or her response to questions (b-1) and (b-2) that pursuant to this paragraph, a disclosure to the office of court administration has been made. Upon such time that the disclosure of information maintained in the locked box is no longer restricted by professional disciplinary rules, federal law or regulation, the reporting individual shall disclose such information in an amended disclosure statement in response to the disclosure requirements in questions (b-1) and (b-2). The office of court administration shall develop and maintain a secure portal through which information submitted to it pursuant to this paragraph can be safely and confidentially stored. With respect to clients represented in other matters not otherwise exempt, the reporting individual may request an exemption to publicly disclosing the name of that client from the joint commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law, or from the office of court administration. In such application, the reporting individual shall state the following: "My client is not currently receiving my services or seeking my services in connection with:

- (i) A proposed bill or resolution in the senate or assembly during the reporting period;
- (ii) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;
- (iii) A grant of \$10,000 or more from the state or any state agency during the reporting period;
- (iv) A grant obtained through a legislative initiative during the reporting period; or
- (v) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period."

In reviewing the request for an exemption, the joint commission or the office of court administration may consult with bar or other professional associations and the legislative ethics commission for individuals subject to its jurisdiction and may consider the rules of professional conduct. In making its determination, the joint commission or the office of court administration shall conduct its own inquiry and shall consider factors including, but not limited to: (i) the nature and the size of the client; (ii) whether the client has any business before the state; and if so, how significant the business is; and whether the client has any particularized interest in pending legislation and if so how significant the interest is; (iii) whether disclosure may

reveal trade secrets; (iv) whether disclosure could reasonably result in retaliation against the client; (v) whether disclosure may cause undue harm to the client; (vi) whether disclosure may result in undue harm to the attorney-client relationship; and (vii) whether disclosure may result in an unnecessary invasion of privacy to the client.

The joint commission or, as the case may be, the office of court administration shall promptly make a final determination in response to such request, which shall include an explanation for its determination. The office of court administration shall issue its final determination within three days of receiving the request. Notwithstanding any other provision of law or any professional disciplinary rule to the contrary, the disclosure of the identity of any client or customer in response to this question shall not constitute professional misconduct or a ground for disciplinary action of any kind, or form the basis for any civil or criminal cause of action or proceeding. A reporting individual who first enters public office after January first, two thousand sixteen, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

(c) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN:

If the reporting individual receives income of ten thousand dollars or greater from any employment or activity reportable under question 8(a), identify each registered lobbyist who has directly referred to such individual a client who was successfully referred to the reporting individual's business and from whom the reporting individual or firm received a fee for services in excess of five thousand dollars. Report only those referrals that were made to a reporting individual by direct communication from a person known to such reporting individual to be a registered lobbyist at the time the referral is made. With respect to each such referral, the reporting individual shall identify the client, the registered lobbyist who has made the referral, the category of value of the compensation received and a general description of the type of matter so referred. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article. The disclosure requirements in this question shall not require disclosing clients or customers receiving medical, pharmaceutical or dental services, mental health services, or residential real estate brokering services from the reporting individual or his or her firm or if federal law prohibits or limits disclosure. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, family court, estate planning, or domestic relations matters, nor shall the reporting individual identify individuals represented pursuant to an insurance policy but the reporting individual shall in

such circumstances only report the entity that provides compensation to the reporting individual; with respect to matters in which the client's name is required by law to be kept confidential (such as matters governed by the family court act) or in matters in which the reporting individual represents or provides services to minors, the client's name may be replaced with initials. To the extent that the reporting individual, or his or her firm, provided legal representation with respect to an initial public offering, and federal law or regulations restricts the disclosure of information relating to such work, the reporting individual shall (i) disclose the identity of the client and the services provided relating to the initial public offering to the office of court administration, who will maintain such information confidentially in a locked box; and (ii) include in his or her response a statement that pursuant to this paragraph, a disclosure to the office of court administration has been made. Upon such time that the disclosure of information maintained in the locked box is no longer restricted by federal law or regulation, the reporting individual shall disclose such information in an amended disclosure statement in response to the disclosure requirements of this paragraph. The office of court administration shall develop and maintain a secure portal through which information submitted to it pursuant to this paragraph can be safely and confidentially stored. With respect to clients represented in other matters not otherwise exempt, the reporting individual may request an exemption to publicly disclosing the name of that client from the joint commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law, or from the office of court administration. In such application, the reporting individual shall state the following: "My client is not currently receiving my services or seeking my services in connection with:

- (i) A proposed bill or resolution in the senate or assembly during the reporting period;
- (ii) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;
- (iii) A grant of \$10,000 or more from the state or any state agency during the reporting period;
- (iv) A grant obtained through a legislative initiative during the reporting period; or
- (v) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period."

In reviewing the request for an exemption, the joint commission or the office of court administration may consult with bar or other professional associations and the legislative ethics commission for individuals subject to its jurisdiction and may consider the rules of professional conduct. In making its determination, the joint commission or the office of court administration shall conduct its own inquiry and shall consider factors including, but not limited to: (i) the nature and the size of the client; (ii) whether the client has any business before the state; and if so, how significant the business is; and whether the client has any particularized interest in pending legislation and if so how significant the interest is; (iii) whether disclosure

may reveal trade secrets; (iv) whether disclosure could reasonably result in retaliation against the client; (v) whether disclosure may cause undue harm to the client; (vi) whether disclosure may result in undue harm to the attorney-client relationship; and (vii) whether disclosure may result in an unnecessary invasion of privacy to the client.

The joint commission or, as the case may be, the office of court administration shall promptly make a final determination in response to such request, which shall include an explanation for its determination. The office of court administration shall issue its final determination within three days of receiving the request. Notwithstanding any other provision of law or any professional disciplinary rule to the contrary, the disclosure of the identity of any client or customer in response to this question shall not constitute professional misconduct or a ground for disciplinary action of any kind, or form the basis for any civil or criminal cause of action or proceeding. A reporting individual who first enters public office after December thirty-first, two thousand fifteen, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Client	Name of Lobbyist	Category of Amount (In Table 1)
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NONE

(d) List the name, principal address and general description or the nature of the business activity of any entity in which the reporting individual or such individual's spouse had an investment in excess of \$1,000 excluding investments in securities and interests in real property.

N/A

9. List each source of gifts, EXCLUDING campaign contributions, in EXCESS of \$1,000, received during the reporting period for which this statement is filed by the reporting individual or such individual's spouse or unemancipated child from the same donor, EXCLUDING gifts from a relative. INCLUDE the name and address of the donor. The term "gifts" does not include reimbursements, which term is defined in item 10. Indicate the value and nature of each such gift.

Self, Spouse or Child	Name of Donor	Address	Nature of Gift	Category of Value of Gift (In Table I)
		N/A		

10. Identify and briefly describe the source of any reimbursements for expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of \$1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursements" does NOT include gifts reported under item 9.

Source	Description
	NONE

11. List the identity and value, if reasonably ascertainable, of each interest in a trust, estate or other beneficial interest, including retirement plans (other than retirement plans of the state of New York or the city of New York), and deferred compensation plans (e.g., 401, 403(b), 457, etc.) established in accordance with the internal revenue code, in which the REPORTING INDIVIDUAL held a beneficial interest in EXCESS of \$1,000 at any time during the preceding year. Do NOT report interests in a trust, estate or other beneficial interest established by or for, or the estate of, a relative.

Identity	Category of Value* (In Table II)
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DEFERRED COMPENSATION - ICMA RETIREMENT GROUP	D
IRA (RELIASTAR).INC	D
RETIREMENT 401(K) ACCOUNT + PROFIT SHARE	L

* The value of such interest shall be reported only if reasonably ascertainable.

12. (a) Describe the terms of, and the parties to, any contract, promise, or other agreement between the reporting individual and any person, firm, or corporation with respect to the employment of such individual after leaving office or position (other than a leave of absence).

NONE

(b) Describe the parties to and the terms of any agreement providing for continuation of payments or benefits to the REPORTING INDIVIDUAL in EXCESS of \$1,000 from a prior employer OTHER THAN the State. (This includes interests in or contributions to a pension fund, profit-sharing plan, or life or health insurance; buy-out agreements; severance payments; etc.)

NONE

13. List below the nature and amount of any income in EXCESS of \$1,000 from EACH SOURCE for the reporting individual and such individual's spouse for the taxable year last occurring prior to the date of filing. Each such source must be described with particularity. Nature of income includes, but is not limited to, all income (other than that received from the employment listed under Item 2 above) from compensated employment whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching

income, partnerships, honorariums, lecture fees, consultant fees, bank and bond interest, dividends, income derived from a trust, real estate rents, and recognized gains from the sale or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building address in the case of real estate rents and otherwise by the name of the entity and not by the name of the individual customers, clients or tenants, with the aggregate net income before taxes for each building address or entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments shall not be listed.

Self/ Spouse	Source	Nature	Category of Amount (In Table I)
	INSURANCE PRODUCTS		
SELF	COMMISSIONED SALES SERVICES + CONTINGENCIES + BONUSES		K
SELF	REAL ESTATE SALES COMMISSIONS		C
SELF	OFFICE SPACE LEASE TO ABSTRACT COMPANY City Centre Batavia, N. Y.		D

14. List the sources of any deferred income (not retirement income) in EXCESS of \$1,000 from each source to be paid to the reporting individual following the close of the calendar year for which this disclosure statement is filed, other than deferred compensation reported in item 11 hereinabove. Deferred income derived from the practice of a profession shall be listed in the aggregate and shall identify as the source, the name of the firm, corporation, partnership or association through which the income was derived, but shall not identify individual clients.

Source	Category of Amount (In Table I)
NOT APPLICABLE	

15. List each assignment of income in EXCESS of \$1,000, and each transfer other than to a relative during the reporting period for which this statement is filed for less than fair consideration of an interest in a trust, estate or other beneficial interest, securities or real property, by the reporting individual, in excess of \$1,000, which would otherwise be required to be reported herein and is not or has not been so reported.

Item Assigned or Transferred	Assigned or Transferred to	Category of Value (In Table I)
NOT APPLICABLE		

16. List below the type and market value of securities held by the reporting individual or such individual's spouse from each issuing entity in EXCESS of \$1,000 at the close of the taxable year last occurring prior to the date of filing, including the name of the issuing entity exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed ONLY IF the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse has transferred assets to such trust for his or her benefit in which event such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which the reporting individual or the reporting individual's spouse is the owner of record but in which such individual or the reporting individual's spouse has no beneficial interest shall not be listed. Indicate percentage of ownership ONLY if the reporting person or the reporting person's spouse holds more than five percent (5%) of the stock of a corporation in which the stock is publicly traded or more than ten percent (10%) of the stock of a corporation in which the stock is NOT publicly traded. Also list securities owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. For the purpose of this item the term "securities" shall mean mutual funds, bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and certificates of deposits (CDs) and such other evidences of indebtedness and certificates of interest as are usually referred to as securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the security is an interest in a general partnership that was listed in item 8 (a) or if the security is corporate stock, NOT publicly traded, in a trade or business of a reporting individual or a reporting individual's spouse.

Self/ Spouse	Issuing Entity	Type of Security	Percentage of corporate stock owned or controlled (if more than 5% of pub- licly traded stock, or more than 10% if stock not publicly traded, is held)	Category of Market Value as of the close of the taxable year last occurring prior to the filing of this statement (In Table II)
SELF	EASTMAN KODAK	COMMON STOCK		B
SELF	DISNEY	COMMON STOCK		B
SELF	GENERAL ELECTRIC	COMMON STOCK		D
SELF	NIKE	COMMON STOCK		D
SELF	GE	COMMON STOCK		E

17. List below the location, size, general nature, acquisition date, market value and percentage of ownership of any real property in which any vested or contingent interest in EXCESS of \$1,000 is held by the reporting individual or the reporting individual's spouse. Also list real property owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. Do NOT list any real property which is the primary or secondary personal residence of the reporting individual or the reporting individual's spouse, except where there is a co-owner who is other than a relative.

Self/ Spouse/ Corporation	Location	Size	General Nature	Acquisition Date	Percentage of Ownership	Category of Market Value (In Table II)
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SELF HAWLEY DRIVE, BATAVIA, NY 1 ACRE, VACANT LAND, 1990, 100%, D
SELF 8303 BANK ST RD, BATAVIA, NY 13 ACRES, VACANT LAND, 1990-2014, D
SELF BANK ST RD, BATAVIA, NY VACANT LAND, 33 1/3 %, 79 ACRES, 2006, E
SELF, RESIDENCE 3 ACRES, 2006, H
SELF, 50 MAIN ST., BATAVIA, N.Y. 4200TT-4 OFFICE, 2005, 100% J

18. List below all notes and accounts receivable, other than from goods or services sold, held by the reporting individual at the close of the taxable year last occurring prior to the date of filing and other debts owed to such individual at the close of the taxable year last occurring prior to the date of filing, in EXCESS of \$1,000, including the name of the debtor, type of obligation, date due and the nature of the collateral securing payment of each, if any, excluding securities reported in item 16 hereinabove. Debts, notes and accounts receivable owed to the individual by a relative shall not be reported.

Name of Debtor	Type of Obligation, Date Due, and Nature of Collateral, if any	Category of Amount (In Table II)
----------------	--	----------------------------------

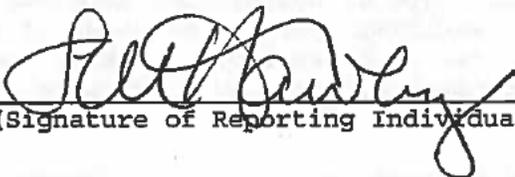
NOT APPLICABLE

19. List below all liabilities of the reporting individual and such individual's spouse, in EXCESS of \$10,000 as of the date of filing of this statement, other than liabilities to a relative. Do NOT list liabilities incurred by, or guarantees made by, the reporting individual or such individual's spouse or by any proprietorship, partnership or corporation in which the reporting individual or such individual's spouse has an interest, when incurred or made in the

ordinary course of the trade, business or professional practice of the reporting individual or such individual's spouse. Include the name of the creditor and any collateral pledged by such individual to secure payment of any such liability. A reporting individual shall not list any obligation to pay maintenance in connection with a matrimonial action, alimony or child support payments. Any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances shall be excluded. If any such reportable liability has been guaranteed by any third person, list the liability and name the guarantor.

Name of Creditor or Guarantor	Type of Liability and Collateral, if any	Category of Amount (In Table II)
<i>NOT APPLICABLE</i>		

The requirements of law relating to the reporting of financial interests are in the public interest and no adverse inference of unethical or illegal conduct or behavior will be drawn merely from compliance with these requirements.


 (Signature of Reporting Individual)

5/10/16
 Date (month/day/year)

[CATEGORY OF INCOME TABLES]

TABLE I

Category A	none	
Category B	\$ 1 to under \$	1,000
Category C	\$ 1,000 to under \$	5,000
Category D	\$ 5,000 to under \$	20,000
Category E	\$ 20,000 to under \$	50,000
Category F	\$ 50,000 to under \$	75,000
Category G	\$ 75,000 to under \$	100,000
Category H	\$ 100,000 to under \$	150,000
Category I	\$ 150,000 to under \$	250,000
Category J	\$ 250,000 to under \$	350,000
Category K	\$ 350,000 to under \$	450,000
Category L	\$ 450,000 to under \$	550,000
Category M	\$ 550,000 to under \$	650,000
Category N	\$ 650,000 to under \$	750,000
Category O	\$ 750,000 to under \$	850,000
Category P	\$ 850,000 to under \$	950,000
Category Q	\$ 950,000 to under \$	1,050,000
Category R	\$1,050,000 to under \$	1,150,000
Category S	\$1,150,000 to under \$	1,250,000
Category T	\$1,250,000 to under \$	1,350,000
Category U	\$1,350,000 to under \$	1,450,000
Category V	\$1,450,000 to under \$	1,550,000
Category W	\$1,550,000 to under \$	1,650,000
Category X	\$1,650,000 to under \$	1,750,000
Category Y	\$1,750,000 to under \$	1,850,000
Category Z	\$1,850,000 to under \$	1,950,000
Category AA	\$1,950,000 to under \$	2,050,000
Category BB	\$2,050,000 to under \$	2,150,000
Category CC	\$2,150,000 to under \$	2,250,000
Category DD	\$2,250,000 to under \$	2,350,000
Category EE	\$2,350,000 to under \$	2,450,000
Category FF	\$2,450,000 to under \$	2,550,000
Category GG	\$2,550,000 to under \$	2,650,000
Category HH	\$2,650,000 to under \$	2,750,000
Category II	\$2,750,000 to under \$	2,850,000
Category JJ	\$2,850,000 to under \$	2,950,000
Category KK	\$2,950,000 to under \$	3,050,000
Category LL	\$3,050,000 to under \$	3,150,000
Category MM	\$3,150,000 to under \$	3,250,000
Category NN	\$3,250,000 to under \$	3,350,000
Category OO	\$3,350,000 to under \$	3,450,000
Category PP	\$3,450,000 to under \$	3,550,000
Category QQ	\$3,550,000 to under \$	3,650,000
Category RR	\$3,650,000 to under \$	3,750,000
Category SS	\$3,750,000 to under \$	3,850,000
Category TT	\$3,850,000 to under \$	3,950,000
Category UU	\$3,950,000 to under \$	4,050,000
Category VV	\$4,050,000 to under \$	4,150,000
Category WW	\$4,150,000 to under \$	4,250,000
Category XX	\$4,250,000 to under \$	4,350,000
Category YY	\$4,350,000 to under \$	4,450,000
Category ZZ	\$4,450,000 to under \$	4,550,000

Category AAA	\$4,550,000 to under \$4,650,000
Category BBB	\$4,650,000 to under \$4,750,000
Category CCC	\$4,750,000 to under \$4,850,000
Category DDD	\$4,850,000 to under \$4,950,000
Category EEE	\$4,950,000 to under \$5,050,000
Category FFF	\$5,050,000 to under \$5,150,000
Category GGG	\$5,150,000 to under \$5,250,000
Category HHH	\$5,250,000 to under \$5,350,000
Category III	\$5,350,000 to under \$5,450,000
Category JJJ	\$5,450,000 to under \$5,550,000
Category KKK	\$5,550,000 to under \$5,650,000
Category LLL	\$5,650,000 to under \$5,750,000
Category MMM	\$5,750,000 to under \$5,850,000
Category NNN	\$5,850,000 to under \$5,950,000
Category OOO	\$5,950,000 to under \$6,050,000
Category PPP	\$6,050,000 to under \$6,150,000
Category QQQ	\$6,150,000 to under \$6,250,000
Category RRR	\$6,250,000 to under \$6,350,000
Category SSS	\$6,350,000 to under \$6,450,000
Category TTT	\$6,450,000 to under \$6,550,000
Category UUU	\$6,550,000 to under \$6,650,000
Category VVV	\$6,650,000 to under \$6,750,000
Category WWW	\$6,750,000 to under \$6,850,000
Category XXX	\$6,850,000 to under \$6,950,000
Category YYY	\$6,950,000 to under \$7,050,000
Category ZZZ	\$7,050,000 to under \$7,150,000
Category AAAA	\$7,150,000 to under \$7,250,000
Category BBBB	\$7,250,000 to under \$7,350,000
Category CCCC	\$7,350,000 to under \$7,450,000
Category DDDD	\$7,450,000 to under \$7,550,000
Category EEEE	\$7,550,000 to under \$7,650,000
Category FFFF	\$7,650,000 to under \$7,750,000
Category GGGG	\$7,750,000 to under \$7,850,000
Category HHHH	\$7,850,000 to under \$7,950,000
Category IIII	\$7,950,000 to under \$8,050,000
Category JJJJ	\$8,050,000 to under \$8,150,000
Category KKKK	\$8,150,000 to under \$8,250,000
Category LLLL	\$8,250,000 to under \$8,350,000
Category MMMM	\$8,350,000 to under \$8,450,000
Category NNNN	\$8,450,000 to under \$8,550,000
Category OOOO	\$8,550,000 to under \$8,650,000
Category PPPP	\$8,650,000 to under \$8,750,000
Category QQQQ	\$8,750,000 to under \$8,850,000
Category RRRR	\$8,850,000 to under \$8,950,000
Category SSSS	\$8,950,000 to under \$9,050,000
Category TTTT	\$9,050,000 to under \$9,150,000
Category UUUU	\$9,150,000 to under \$9,250,000
Category VVVV	\$9,250,000 to under \$9,350,000
Category WWWW	\$9,350,000 to under \$9,450,000
Category XXXX	\$9,450,000 to under \$9,550,000
Category YYYYY	\$9,550,000 to under \$9,650,000
Category ZZZZ	\$9,650,000 to under \$9,750,000
Category AAAAA	\$9,750,000 to under \$9,850,000
Category BBBBB	\$9,850,000 to under \$9,950,000
Category CCCCC	\$9,950,000 to under \$10,000,000
Category DDDDD	\$10,000,000 or over

TABLE II

Category A	none
Category B	\$ 1 to under \$ 1,000
Category C	\$ 1,000 to under \$ 5,000
Category D	\$ 5,000 to under \$ 20,000
Category E	\$ 20,000 to under \$ 50,000
Category F	\$ 50,000 to under \$ 75,000
Category G	\$ 75,000 to under \$ 100,000
Category H	\$ 100,000 to under \$ 150,000
Category I	\$ 150,000 to under \$ 250,000
Category J	\$ 250,000 to under \$ 500,000
Category K	\$ 500,000 to under \$ 750,000
Category L	\$ 750,000 to under \$1,000,000
Category M	\$1,000,000 to under \$1,250,000
Category N	\$1,250,000 to under \$1,500,000
Category O	\$1,500,000 to under \$1,750,000
Category P	\$1,750,000 to under \$2,000,000
Category Q	\$2,000,000 to under \$2,250,000
Category R	\$2,250,000 to under \$2,500,000
Category S	\$2,500,000 to under \$2,750,000
Category T	\$2,750,000 to under \$3,000,000
Category U	\$3,000,000 to under \$3,250,000
Category V	\$3,250,000 to under \$3,500,000
Category W	\$3,500,000 to under \$3,750,000
Category X	\$3,750,000 to under \$4,000,000
Category Y	\$4,000,000 to under \$4,250,000
Category Z	\$4,250,000 to under \$4,500,000
Category AA	\$4,500,000 to under \$4,750,000
Category BB	\$4,750,000 to under \$5,000,000
Category CC	\$5,000,000 to under \$5,250,000
Category DD	\$5,250,000 to under \$5,500,000
Category EE	\$5,500,000 to under \$5,750,000
Category FF	\$5,750,000 to under \$6,000,000
Category GG	\$6,000,000 to under \$6,250,000
Category HH	\$6,250,000 to under \$6,500,000
Category II	\$6,500,000 to under \$6,750,000
Category JJ	\$6,750,000 to under \$7,000,000
Category KK	\$7,000,000 to under \$7,250,000
Category LL	\$7,250,000 to under \$7,500,000
Category MM	\$7,500,000 to under \$7,750,000
Category NN	\$7,750,000 to under \$8,000,000
Category OO	\$8,000,000 to under \$8,250,000
Category PP	\$8,250,000 to under \$8,500,000
Category QQ	\$8,500,000 to under \$8,750,000
Category RR	\$8,750,000 to under \$9,000,000
Category SS	\$9,000,000 to under \$9,250,000
Category TT	\$9,250,000 to under \$9,500,000
Category UU	\$9,500,000 or over



THE TRUSTEES OF STEPHEN M. HAWLEY & ASSOC., LLC PROFIT SHARING PLAN

STEPHEN M. HAWLEY
ATTN: MARJORIE V. CHADDOCK

Retirement Account

Your retirement account value as of 12/31/2015
\$911,620.71

October 01, 2015 - December 31, 2015

STEPHEN M. HAWLEY

Batavia NY 14021

Your personal rate of return

This period	1.75%
For last 12 months	-5.83%
Since your account inception (Annualized)	4.30%

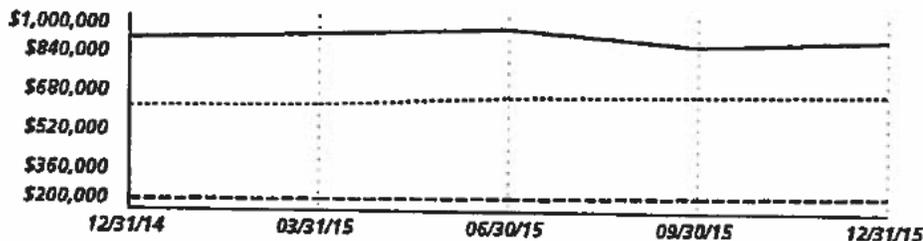
This period

Beginning balance	\$886,728.15
Money in	
Employee money	6,461.56
Employer money	2,853.90
Net change*	15,577.10
Ending balance	\$911,620.71

*Gain/loss for your account is net of the fees shown in the "Your summary of charges" section.

Looking back

Change in your account this period: \$24,892.56



--- Employee contributions Employee + employer contributions
 ——— Ending balance

You want to make sure your contributions continue working for the lifestyle you planned, both at retirement and beyond. Your plan contact can put you in touch with your local John Hancock New York representative to outline the many income-producing options open to you. Remember, you still have access to our website, where you can find a variety of resources, including articles, tools, calculators and more. Visit us online at www.jhnpensions.com.

Looking for help?

Important: Any inaccuracies in this statement must be reported to John Hancock New York within 45 days. See last page for details. For questions about your account with John Hancock New York, visit www.jhnpensions.com or 1-800-395-1113 (1-800-363-0530 Español) Monday - Friday, 8am - 8pm ET.

Changing jobs or retiring? Call 1-888-695-4472 if you have questions about your distribution options.



Retirement account of:
STEPHEN M. HAWLEY



Retirement Account
October 01, 2015 - December 31, 2015

Your profile at a glance

Your current portfolio allocation

Your current portfolio is following a balanced strategy. This strategy is designed to balance growth and security with a certain amount of risk in order to have the potential for higher returns. Your portfolio profile description may change as the value of some of your investment options change over time or if you change your strategy. Check your account profile periodically to help ensure that your current portfolio and your ongoing contributions are allocated in line with your desired investment strategy. Reviewing your strategy can be especially important if your financial circumstances or your life stage change. To make a change to your account, please call us at 1-800-395-1113 (English) or 1-800-363-0530 (Español), or go online at www.jhny pensions.com.

	Account value	% of account
Income	\$313,963.64	34.53%
Growth & Income	433,398.04	47.68
Growth	86,211.27	9.48
Aggressive Growth	75,386.20	8.31
† Accrual contributions	\$2,661.56	
Total account value	\$911,620.71	



Your ongoing contribution allocation

Your ongoing contributions are following a balanced strategy. This strategy is designed to balance growth and security with a certain amount of risk in order to have the potential for higher returns. Your portfolio profile description may change as the value of some of your investment options change over time or if you change your strategy.

	% of ongoing contributions
Income	35.00%
Growth & Income	45.00
Growth	10.00
Aggressive Growth	10.00



What investment options make up your account

	Current portfolio	Ongoing instructions††	Units held as of 10/01/15	Units held as of 12/31/15	Unit value as of 10/01/15	Unit value as of 12/31/15	Value as of 10/01/15	Value as of 12/31/15
Income								
Vanguard Short-Term Federal	9.94%	10.00%	4,988.016772	5,024.887242	\$18.093595	\$17.977038	\$90,251.17	\$90,332.62
Fidelity Advisor Total Bond	5.01	5.00	0	4,341.794485	0	10.498511	0.00	45,582.40
Investment Qual Bond Fund	5.12	5.00	1,715.219263	1,727.472311	27.134146	26.950219	46,541.03	46,555.78
PIMCO Total Return		0.00	1,809.195792	0	25.202623	0	45,596.49	0.00
T. Rowe Price Spectrum Inc High Yield Fund	9.94	10.00	3,140.539233	3,163.566533	28.489842	28.565483	89,473.49	90,368.83
	4.52	5.00	1,362.280328	1,372.819674	31.346300	29.955862	42,702.47	41,124.01
Growth & income								
Mutual Global Discovery	10.21	10.00	1,147.660866	1,155.810516	77.414751	80.310256	88,845.90	92,823.46
Franklin Mutual Beacon Fund	5.15	5.00	1,034.884378	1,042.138753	43.524281	44.919229	45,042.62	46,812.08
JPMorgan MidCap Value Fund	17.07	15.00	3,069.021630	3,088.668460	48.538078	50.230165	148,964.43	155,144.35
T. Rowe Price Equity Inc	15.25	15.00	2,431.236161	2,448.620504	53.635212	56.610703	130,399.89	138,618.15
Growth								
Utilities Fund	9.48	10.00	2,695.638349	2,715.962553	32.437147	31.742427	87,438.84	86,211.27
Aggressive Growth								
Oppenheimer Developing Mkt	8.31	10.00	1,400.982440	1,413.063692	51.015484	53.349456	71,471.82	75,386.20
† Accrual contributions: The contributions made to your account after 12/31/2015 that are applicable to this quarter.								2,661.56
Total account	100.00%	100.00%					\$886,728.15	\$911,620.71

† The dollar value of contributions made after quarter-end (accrual contributions) is reflected in your account balance for this quarter. Units purchased with any accrual contributions will be reflected on your next statement, reporting activity for the quarter in which the units were purchased.

(continued)



Retirement account of:
STEPHEN M. HAWLEY

Retirement Account
October 01, 2015 - December 31, 2015

What investment options make up your account (continued)

* The number of units shown are as of 12/31/2015 and do not include any units purchased with contributions that were received and processed after 12/31/2015.

†† Your allocation instructions, as of 12/31/2015, are shown above.

♣ A unit value is the value of a unit in a sub-account (or Fund). Contributions to a sub-account purchase units of that Fund. A unit represents a portion of the sub-account's total assets.

You are allowed a maximum of two exchanges per month. After the exchange limit, you may move 100% of your account to a money market or stable value fund where it must remain for 30 days. Trading can resume only once the 30 days have expired. Also, additional restrictions may be imposed if it is determined that any exchange activity may disrupt or be potentially disruptive to an investment option, even though in compliance with our policy. For more information, visit www.jhnpensions.com. Also check with your Plan Administrator for additional restrictions that may be imposed by your plan.

It is important for your long-term retirement security that your portfolio be well-balanced and diversified. If you invest more than 20% of your portfolio in any one industry or company, your savings may not be properly diversified. Market or other economic conditions generally have different impact on different asset categories. Although it is not a guarantee against loss, diversification among different types of investments can help you manage investment risk. In deciding how to invest your retirement savings, you should take into account all your assets, and other factors such as your financial goals, time horizons, and risk tolerance. It is also important to periodically review your investment portfolio, your investment objectives, and the investment options under the Plan to help ensure that your retirement savings will meet your retirement goals. For more information on individual investing and diversification, visit the Department of Labor's website at www.dol.gov/ebsa/investing.html.

Investment options added after the start of the reporting period show a unit value of 0.00 at the start of the reporting period.

Your summary of activity

Total Value on 10/01/2015	\$886,728.15		
Employee money	This period	Year-to-date since 01/01/2015	Since your account inception
Beginning balance	\$335,197.81	\$343,183.20	\$0.00
Pre tax contributions			
EE ELECTIVE DEFERRAL	6,461.56	24,904.05	267,547.55
Net change*	5,852.20	-20,575.68	79,968.14
Adjustments	0.00	0.00	-4.12
Balance of employee money	\$347,511.57		
Employer money			
Beginning balance	\$551,530.34	\$563,185.39	\$0.00
Employer contributions			
ER PROFIT SHARING	0.00	24,100.00	299,154.84
SAFE HARBOR MATCHING CONTRIBUT	2,853.90	11,146.24	121,354.06
Transfers into the plan	0.00	0.00	745.16
Net change*	9,724.90	-34,322.49	142,855.08
Balance of employer money	\$564,109.14		
Total Value on 12/31/2015	\$911,620.71		

Are you getting the most out of your plan? Take full advantage of its employer match by opting for a deferral amount that ensures the maximum matching contribution.

Your employer account balance may not be 100% vested. This means that if you terminate employment, you might not receive all of the money your employer has contributed to the plan on your behalf. Your plan contact has information on your vesting schedule.

If this option is available to your contract, your account transaction activity can be downloaded from our Web site using Intuit Quicken® or Microsoft® Money personal finance software. Log on to www.jhnpensions.com for details.

*Gain/loss for your account is net of the fees shown in the "Your summary of charges" section.

(continued)



Retirement account of:
STEPHEN M. HAWLEY



Retirement Account
October 01, 2015 - December 31, 2015

Investment options and performance

Listed below are historical returns as of December 31, 2015 for your selected investment options in your group annuity contract. Past performance is no guarantee of future results. Historical returns may be helpful as a planning tool. Your actual results may vary. For more information, including the historical returns of all the investment options available to your group annuity contract, log on to www.jhnypensions.com.

Ongoing instructions	Fund code	Investment options	3 Months	Percentage compound average annual returns ⁺				
				1 Year	3 Years	5 Years	10 Years	
		Income						
10.00%	056	Vanguard Short-Term Federal	-0.65	0.26	0.08	0.68	2.53	
5.00%	414	Fidelity Advisor Total Bond	-0.86	-0.65	0.98	3.17	4.40	
5.00%	067	Investment Qual Bond Fund	-0.68	-0.75	0.78	3.38	4.45	
10.00%	044	T. Rowe Price Spectrum Inc	0.26	-2.47	1.18	3.37	4.76	
5.00%	073	High Yield Fund	-4.43	-8.10	-0.33	3.46	5.72	
		Growth & Income						
10.00%	069	Mutual Global Discovery	3.74	-3.70	8.21	6.87	6.48	
5.00%	062	Franklin Mutual Beacon Fund	3.21	-4.45	9.42	8.23	4.61	
15.00%	136	JPMorgan MidCap Value Fund	3.49	-2.84	13.54	12.37	8.31	
15.00%	025	T. Rowe Price Equity Inc	5.55	-7.08	8.74	8.25	5.27	
		Growth						
10.00%	123	Utilities Fund	-2.14	-14.85	4.92	6.96	8.13	
		Aggressive Growth						
10.00%	063	Oppenheimer Developing Mkt	4.58	-14.15	-3.97	-2.57	5.85	

Investment options and performance are as of December 31, 2015.

⁺ Performance data for a sub-account for any period prior to the date introduced is hypothetical based on the performance of the underlying portfolio. All other performance data is actual. Returns for any period greater than one year are annualized. Performance data reflects changes in the prices of investments, reinvestment of any dividends and capital gains, and deductions for the Annual Investment Charge. Performance does not reflect any contract-level or participant recordkeeping charges, which would otherwise reduce the total return for an account. Past performance is no guarantee of future results. An investment in a sub-account will fluctuate in value to reflect the investment results of the underlying portfolio and, when redeemed, may be more or less than original cost. Performance of John Hancock New York Funds prior to 1998 is hypothetical, and is derived from the historical performance information of the underlying fund.

Your summary of charges

The plan's administrative expenses for the quarter were paid from the total annual operating expenses of the investment options in which you are invested. For information related to the total annual operating expenses for each investment option, refer to the Investment Comparative Chart available at www.jhnypensions.com. Speak to your plan administrator for details.

Your transaction activity detail

You can download your transaction activity from your detailed statement into Intuit Quicken[®] or Microsoft[®] Money personal finance software. Log on to www.jhnypensions.com for details.

Investment date	Investment option	Payroll period end date	Transaction type	Transaction amount	Number of units	Unit value
10/05/2015	Vanguard Short-Term Federal	10/02/2015	Employee Contributions	\$46.15	2.550463	\$18.094749
		10/02/2015	Employer Contributions	20.38	1.126293	18.094749
	Investment Qual Bond Fund	10/02/2015	Employee Contributions	23.07	0.849536	27.155988
		10/02/2015	Employer Contributions	10.19	0.375239	27.155988
	PIMCO Total Return	10/02/2015	Employee Contributions	23.07	0.908942	25.381153
		10/02/2015	Employer Contributions	10.19	0.401479	25.381153
	T. Rowe Price Spectrum Inc	10/02/2015	Employee Contributions	46.15	1.608629	28.689013
		10/02/2015	Employer Contributions	20.38	0.710376	28.689013

(continued)

Retirement account of:
STEPHEN M. HAWLEY



Retirement Account
October 01, 2015 - December 31, 2015

Your transaction activity detail (continued)

Investment date	Investment option	Payroll period end date	Transaction type	Transaction amount	Number of units	Unit value
10/05/2015	High Yield Fund	10/02/2015	Employee Contributions	23.07	0.734122	31.425256
		10/02/2015	Employer Contributions	10.19	0.324261	31.425256
	Mutual Global Discovery	10/02/2015	Employee Contributions	46.15	0.578591	79.762621
		10/02/2015	Employer Contributions	20.38	0.255508	79.762621
	Franklin Mutual Beacon Fund	10/02/2015	Employee Contributions	23.07	0.513998	44.883405
		10/02/2015	Employer Contributions	10.19	0.227032	44.883405
	JPMorgan MidCap Value Fund	10/02/2015	Employee Contributions	69.23	1.382172	50.087817
		10/02/2015	Employer Contributions	30.57	0.610328	50.087817
	T. Rowe Price Equity Inc	10/02/2015	Employee Contributions	69.23	1.245864	55.567853
		10/02/2015	Employer Contributions	30.57	0.550138	55.567853
	Utilities Fund	10/02/2015	Employee Contributions	46.15	1.361715	33.891062
		10/02/2015	Employer Contributions	20.38	0.601338	33.891062
	Oppenheimer Developing Mkt	10/02/2015	Employee Contributions	46.20	0.861468	53.629368
		10/02/2015	Employer Contributions	20.43	0.380947	53.629368
10/12/2015	Vanguard Short-Term Federal	10/09/2015	Employee Contributions	46.15	2.550285	18.096010
		10/09/2015	Employer Contributions	20.38	1.126215	18.096010
	Investment Qual Bond Fund	10/09/2015	Employee Contributions	23.07	0.848167	27.199816
		10/09/2015	Employer Contributions	10.19	0.374634	27.199816
	PIMCO Total Return	10/09/2015	Employee Contributions	23.07	0.906745	25.442643
		10/09/2015	Employer Contributions	10.19	0.400508	25.442643
	T. Rowe Price Spectrum Inc	10/09/2015	Employee Contributions	46.15	1.592142	28.986107
		10/09/2015	Employer Contributions	20.38	0.703095	28.986107
	High Yield Fund	10/09/2015	Employee Contributions	23.07	0.719600	32.059469
		10/09/2015	Employer Contributions	10.19	0.317846	32.059469
	Mutual Global Discovery	10/09/2015	Employee Contributions	46.15	0.568363	81.197973
		10/09/2015	Employer Contributions	20.38	0.250991	81.197973
	Franklin Mutual Beacon Fund	10/09/2015	Employee Contributions	23.07	0.505554	45.633094
		10/09/2015	Employer Contributions	10.19	0.223302	45.633094
	JPMorgan MidCap Value Fund	10/09/2015	Employee Contributions	69.23	1.364186	50.748176
		10/09/2015	Employer Contributions	30.57	0.602386	50.748176
	T. Rowe Price Equity Inc	10/09/2015	Employee Contributions	69.23	1.221197	56.690268
		10/09/2015	Employer Contributions	30.57	0.539245	56.690268
	Utilities Fund	10/09/2015	Employee Contributions	46.15	1.342201	34.383807
		10/09/2015	Employer Contributions	20.38	0.592720	34.383807
	Oppenheimer Developing Mkt	10/09/2015	Employee Contributions	46.20	0.832710	55.481478
		10/09/2015	Employer Contributions	20.43	0.368230	55.481478
10/16/2015	Fidelity Advisor Total Bond		Existing Balance Changes	46,028.48	4,316.722264	10.662831
	PIMCO Total Return		Existing Balance Changes	-46,028.48	-1,811.813466	25.404638
10/19/2015	Vanguard Short-Term Federal	10/16/2015	Employee Contributions	46.15	2.547751	18.114011
		10/16/2015	Employer Contributions	20.38	1.125095	18.114011
	Fidelity Advisor Total Bond	10/16/2015	Employee Contributions	23.07	2.163452	10.663512
		10/16/2015	Employer Contributions	10.19	0.955595	10.663512
	Investment Qual Bond Fund	10/16/2015	Employee Contributions	23.07	0.846114	27.265828
		10/16/2015	Employer Contributions	10.19	0.373727	27.265828
	T. Rowe Price Spectrum Inc	10/16/2015	Employee Contributions	46.15	1.588769	29.047641
		10/16/2015	Employer Contributions	20.38	0.701606	29.047641
	High Yield Fund	10/16/2015	Employee Contributions	23.07	0.720506	32.019151
		10/16/2015	Employer Contributions	10.19	0.318247	32.019151
	Mutual Global Discovery	10/16/2015	Employee Contributions	46.15	0.566991	81.394512
		10/16/2015	Employer Contributions	20.38	0.250385	81.394512
	Franklin Mutual Beacon Fund	10/16/2015	Employee Contributions	23.07	0.505268	45.658852
		10/16/2015	Employer Contributions	10.19	0.223176	45.658852

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Retirement account of:
STEPHEN M. HAWLEY



Retirement Account
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Your transaction activity detail *(continued)*

Investment date	Investment option	Payroll period end date	Transaction type	Transaction amount	Number of units	Unit value
10/19/2015	JPMorgan MidCap Value Fund	10/16/2015	Employee Contributions	69.23	1.364696	50.729241
		10/16/2015	Employer Contributions	30.57	0.602611	50.729241
	T. Rowe Price Equity Inc	10/16/2015	Employee Contributions	69.23	1.216067	56.929418
		10/16/2015	Employer Contributions	30.57	0.536980	56.929418
	Utilities Fund	10/16/2015	Employee Contributions	46.15	1.334166	34.590873
		10/16/2015	Employer Contributions	20.38	0.589172	34.590873
	Oppenheimer Developing Mkt	10/16/2015	Employee Contributions	46.20	0.832768	55.477593
		10/16/2015	Employer Contributions	20.43	0.368256	55.477593
10/26/2015	Vanguard Short-Term Federal	10/23/2015	Employee Contributions	46.15	2.549922	18.098591
		10/23/2015	Employer Contributions	20.38	1.126054	18.098591
	Fidelity Advisor Total Bond	10/23/2015	Employee Contributions	23.07	2.158286	10.689034
		10/23/2015	Employer Contributions	10.19	0.953313	10.689034
	Investment Qual Bond Fund	10/23/2015	Employee Contributions	23.07	0.844756	27.309653
		10/23/2015	Employer Contributions	10.19	0.373128	27.309653
	T. Rowe Price Spectrum Inc	10/23/2015	Employee Contributions	46.15	1.586701	29.085503
		10/23/2015	Employer Contributions	20.38	0.700692	29.085503
	High Yield Fund	10/23/2015	Employee Contributions	23.07	0.717852	32.137538
		10/23/2015	Employer Contributions	10.19	0.317074	32.137538
	Mutual Global Discovery	10/23/2015	Employee Contributions	46.15	0.560417	82.349303
		10/23/2015	Employer Contributions	20.38	0.247482	82.349303
	Franklin Mutual Beacon Fund	10/23/2015	Employee Contributions	23.07	0.498663	46.263626
		10/23/2015	Employer Contributions	10.19	0.220259	46.263626
	JPMorgan MidCap Value Fund	10/23/2015	Employee Contributions	69.23	1.360372	50.890477
		10/23/2015	Employer Contributions	30.57	0.600701	50.890477
	T. Rowe Price Equity Inc	10/23/2015	Employee Contributions	69.23	1.196045	57.882426
		10/23/2015	Employer Contributions	30.57	0.528139	57.882426
	Utilities Fund	10/23/2015	Employee Contributions	46.15	1.363887	33.837091
		10/23/2015	Employer Contributions	20.38	0.602297	33.837091
	Oppenheimer Developing Mkt	10/23/2015	Employee Contributions	46.20	0.822865	56.145261
		10/23/2015	Employer Contributions	20.43	0.363877	56.145261
11/05/2015	Vanguard Short-Term Federal	10/30/2015	Employee Contributions	46.15	2.561540	18.016503
		10/30/2015	Employer Contributions	20.38	1.131185	18.016503
	Fidelity Advisor Total Bond	10/30/2015	Employee Contributions	23.07	2.171278	10.625076
		10/30/2015	Employer Contributions	10.19	0.959051	10.625076
	Investment Qual Bond Fund	10/30/2015	Employee Contributions	23.07	0.850309	27.131304
		10/30/2015	Employer Contributions	10.19	0.375580	27.131304
	T. Rowe Price Spectrum Inc	10/30/2015	Employee Contributions	46.15	1.589464	29.034932
		10/30/2015	Employer Contributions	20.38	0.701913	29.034932
	High Yield Fund	10/30/2015	Employee Contributions	23.07	0.721437	31.977810
		10/30/2015	Employer Contributions	10.19	0.318658	31.977810
	Mutual Global Discovery	10/30/2015	Employee Contributions	46.15	0.558423	82.643356
		10/30/2015	Employer Contributions	20.38	0.246601	82.643356
	Franklin Mutual Beacon Fund	10/30/2015	Employee Contributions	23.07	0.496544	46.461077
		10/30/2015	Employer Contributions	10.19	0.219323	46.461077
	JPMorgan MidCap Value Fund	10/30/2015	Employee Contributions	69.23	1.339074	51.699887
		10/30/2015	Employer Contributions	30.57	0.591297	51.699887
	T. Rowe Price Equity Inc	10/30/2015	Employee Contributions	69.23	1.182781	58.531537
		10/30/2015	Employer Contributions	30.57	0.522282	58.531537
	Utilities Fund	10/30/2015	Employee Contributions	46.15	1.370241	33.680201
		10/30/2015	Employer Contributions	20.38	0.605103	33.680201
	Oppenheimer Developing Mkt	10/30/2015	Employee Contributions	46.20	0.812725	56.845791
		10/30/2015	Employer Contributions	20.43	0.359393	56.845791

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Retirement account of:
STEPHEN M. HAWLEY



Retirement Account
October 01, 2015 - December 31, 2015

Your transaction activity detail *(continued)*

Investment date	Investment option	Payroll period end date	Transaction type	Transaction amount	Number of units	Unit value
11/06/2015	Vanguard Short-Term Federal	11/06/2015	Employee Contributions	46.15	2.566147	17.984160
		11/06/2015	Employer Contributions	20.38	1.133219	17.984160
	Fidelity Advisor Total Bond	11/06/2015	Employee Contributions	23.07	2.179134	10.586770
		11/06/2015	Employer Contributions	10.19	0.962522	10.586770
	Investment Qual Bond Fund	11/06/2015	Employee Contributions	23.07	0.853803	27.020275
		11/06/2015	Employer Contributions	10.19	0.377124	27.020275
	T. Rowe Price Spectrum Inc	11/06/2015	Employee Contributions	46.15	1.595581	28.923632
		11/06/2015	Employer Contributions	20.38	0.704614	28.923632
	High Yield Fund	11/06/2015	Employee Contributions	23.07	0.724135	31.858658
		11/06/2015	Employer Contributions	10.19	0.319850	31.858658
	Mutual Global Discovery	11/06/2015	Employee Contributions	46.15	0.557068	82.844379
		11/06/2015	Employer Contributions	20.38	0.246003	82.844379
	Franklin Mutual Beacon Fund	11/06/2015	Employee Contributions	23.07	0.496551	46.460424
		11/06/2015	Employer Contributions	10.19	0.219326	46.460424
	JPMorgan MidCap Value Fund	11/06/2015	Employee Contributions	69.23	1.346318	51.421722
		11/06/2015	Employer Contributions	30.57	0.594495	51.421722
	T. Rowe Price Equity Inc	11/06/2015	Employee Contributions	69.23	1.181664	58.586835
		11/06/2015	Employer Contributions	30.57	0.521789	58.586835
	Utilities Fund	11/06/2015	Employee Contributions	46.15	1.390618	33.186681
		11/06/2015	Employer Contributions	20.38	0.614101	33.186681
	Oppenheimer Developing Mkt	11/06/2015	Employee Contributions	46.20	0.817054	56.544602
		11/06/2015	Employer Contributions	20.43	0.361307	56.544602
11/16/2015	Vanguard Short-Term Federal	11/13/2015	Employee Contributions	46.15	2.561181	18.019030
		11/13/2015	Employer Contributions	20.38	1.131026	18.019030
	Fidelity Advisor Total Bond	11/13/2015	Employee Contributions	23.07	2.182072	10.572519
		11/13/2015	Employer Contributions	10.19	0.963819	10.572519
	Investment Qual Bond Fund	11/13/2015	Employee Contributions	23.07	0.853123	27.041811
		11/13/2015	Employer Contributions	10.19	0.376823	27.041811
	T. Rowe Price Spectrum Inc	11/13/2015	Employee Contributions	46.15	1.603842	28.774637
		11/13/2015	Employer Contributions	20.38	0.708262	28.774637
	High Yield Fund	11/13/2015	Employee Contributions	23.07	0.736069	31.342136
		11/13/2015	Employer Contributions	10.19	0.325121	31.342136
	Mutual Global Discovery	11/13/2015	Employee Contributions	46.15	0.568917	81.119011
		11/13/2015	Employer Contributions	20.38	0.251235	81.119011
	Franklin Mutual Beacon Fund	11/13/2015	Employee Contributions	23.07	0.506373	45.559230
		11/13/2015	Employer Contributions	10.19	0.223664	45.559230
	JPMorgan MidCap Value Fund	11/13/2015	Employee Contributions	69.23	1.378423	50.224030
		11/13/2015	Employer Contributions	30.57	0.608672	50.224030
	T. Rowe Price Equity Inc	11/13/2015	Employee Contributions	69.23	1.202221	57.585071
		11/13/2015	Employer Contributions	30.57	0.530866	57.585071
	Utilities Fund	11/13/2015	Employee Contributions	46.15	1.404942	32.848313
		11/13/2015	Employer Contributions	20.38	0.620427	32.848313
	Oppenheimer Developing Mkt	11/13/2015	Employee Contributions	46.20	0.846759	54.560957
		11/13/2015	Employer Contributions	20.43	0.374443	54.560957
12/07/2015	Vanguard Short-Term Federal	11/20/2015	Employee Contributions	46.15	2.562909	18.006880
		11/20/2015	Employer Contributions	20.38	1.131789	18.006880
		11/27/2015	Employee Contributions	46.15	2.562909	18.006880
		11/27/2015	Employer Contributions	20.38	1.131789	18.006880
		12/04/2015	Employee Contributions	46.15	2.562909	18.006880
		12/04/2015	Employer Contributions	20.38	1.131789	18.006880
		11/20/2015	Employee Contributions	23.07	2.178841	10.588196
		11/27/2015	Employer Contributions	10.19	0.962392	10.588196
	Fidelity Advisor Total Bond	11/27/2015	Employee Contributions	23.07	2.178841	10.588196

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Retirement account of:
STEPHEN M. HAWLEY

Retirement Account
October 01, 2015 - December 31, 2015

Your transaction activity detail (continued)

Investment date	Investment option	Payroll period end date	Transaction type	Transaction amount	Number of units	Unit value
12/07/2015	Fidelity Advisor Total Bond	11/27/2015	Employer Contributions	10.19	0.962392	10.588196
		12/04/2015	Employee Contributions	23.07	2.178841	10.588196
		12/04/2015	Employer Contributions	10.19	0.962392	10.588196
	Investment Qual Bond Fund	11/20/2015	Employee Contributions	23.07	0.851076	27.106845
		11/20/2015	Employer Contributions	10.19	0.375919	27.106845
		11/27/2015	Employee Contributions	23.07	0.851076	27.106845
		11/27/2015	Employer Contributions	10.19	0.375919	27.106845
		12/04/2015	Employee Contributions	23.07	0.851076	27.106845
		12/04/2015	Employer Contributions	10.19	0.375919	27.106845
	T. Rowe Price Spectrum Inc	11/20/2015	Employee Contributions	46.15	1.602756	28.794139
		11/20/2015	Employer Contributions	20.38	0.707782	28.794139
		11/27/2015	Employee Contributions	46.15	1.602756	28.794139
		11/27/2015	Employer Contributions	20.38	0.707782	28.794139
		12/04/2015	Employee Contributions	46.15	1.602756	28.794139
		12/04/2015	Employer Contributions	20.38	0.707782	28.794139
	High Yield Fund	11/20/2015	Employee Contributions	23.07	0.745548	30.943666
		11/20/2015	Employer Contributions	10.19	0.329308	30.943666
		11/27/2015	Employee Contributions	23.07	0.745548	30.943666
		11/27/2015	Employer Contributions	10.19	0.329308	30.943666
		12/04/2015	Employee Contributions	23.07	0.745548	30.943666
		12/04/2015	Employer Contributions	10.19	0.329308	30.943666
	Mutual Global Discovery	11/20/2015	Employee Contributions	46.15	0.564805	81.709489
		11/20/2015	Employer Contributions	20.38	0.249420	81.709489
		11/27/2015	Employee Contributions	46.15	0.564805	81.709489
		11/27/2015	Employer Contributions	20.38	0.249420	81.709489
		12/04/2015	Employee Contributions	46.15	0.564805	81.709489
		12/04/2015	Employer Contributions	20.38	0.249420	81.709489
	Franklin Mutual Beacon Fund	11/20/2015	Employee Contributions	23.07	0.502958	45.868631
		11/20/2015	Employer Contributions	10.19	0.222156	45.868631
		11/27/2015	Employee Contributions	23.07	0.502958	45.868631
		11/27/2015	Employer Contributions	10.19	0.222156	45.868631
		12/04/2015	Employee Contributions	23.07	0.502958	45.868631
		12/04/2015	Employer Contributions	10.19	0.222156	45.868631
	JPMorgan MidCap Value Fund	11/20/2015	Employee Contributions	69.23	1.364506	50.736308
		11/20/2015	Employer Contributions	30.57	0.602527	50.736308
		11/27/2015	Employee Contributions	69.23	1.364506	50.736308
		11/27/2015	Employer Contributions	30.57	0.602527	50.736308
		12/04/2015	Employee Contributions	69.23	1.364506	50.736308
		12/04/2015	Employer Contributions	30.57	0.602527	50.736308
	T. Rowe Price Equity Inc	11/20/2015	Employee Contributions	69.23	1.204488	57.476675
		11/20/2015	Employer Contributions	30.57	0.531867	57.476675
		11/27/2015	Employee Contributions	69.23	1.204488	57.476675
		11/27/2015	Employer Contributions	30.57	0.531867	57.476675
		12/04/2015	Employee Contributions	69.23	1.204488	57.476675
		12/04/2015	Employer Contributions	30.57	0.531867	57.476675
	Utilities Fund	11/20/2015	Employee Contributions	46.15	1.510188	30.559103
		11/20/2015	Employer Contributions	20.38	0.666904	30.559103
		11/27/2015	Employee Contributions	46.15	1.510188	30.559103
		11/27/2015	Employer Contributions	20.38	0.666904	30.559103
		12/04/2015	Employee Contributions	46.15	1.510188	30.559103
		12/04/2015	Employer Contributions	20.38	0.666904	30.559103
	Oppenheimer Developing Mkt	11/20/2015	Employee Contributions	46.20	0.850190	54.340788
		11/20/2015	Employer Contributions	20.43	0.375960	54.340788
		11/27/2015	Employee Contributions	46.20	0.850190	54.340788
		11/27/2015	Employer Contributions	20.43	0.375960	54.340788

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Retirement account of:
STEPHEN M. HAWLEY



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Your transaction activity detail (continued)

Investment date	Investment option	Payroll period end date	Transaction type	Transaction amount	Number of units	Unit value		
12/07/2015	Oppenheimer Developing Mkt	12/04/2015	Employee Contributions	46.20	0.850190	54.340788		
		12/04/2015	Employer Contributions	20.43	0.375960	54.340788		
01/19/2016	Vanguard Short-Term Federal	12/11/2015	Employee Contributions	46.15	2.556911	18.049117		
		12/11/2015	Employer Contributions	20.38	1.129141	18.049117		
		12/18/2015	Employee Contributions	46.15	2.556911	18.049117		
		12/18/2015	Employer Contributions	20.38	1.129141	18.049117		
		12/24/2015	Employee Contributions	46.15	2.556911	18.049117		
		12/24/2015	Employer Contributions	20.38	1.129141	18.049117		
		12/31/2015	Employee Contributions	46.15	2.556911	18.049117		
		12/31/2015	Employer Contributions	20.38	1.129141	18.049117		
		Fidelity Advisor Total Bond		12/11/2015	Employee Contributions	23.07	2.198495	10.493542
				12/11/2015	Employer Contributions	10.19	0.971073	10.493542
12/18/2015	Employee Contributions			23.07	2.198495	10.493542		
12/18/2015	Employer Contributions			10.19	0.971073	10.493542		
12/24/2015	Employee Contributions			23.07	2.198495	10.493542		
12/24/2015	Employer Contributions			10.19	0.971073	10.493542		
12/31/2015	Employee Contributions			23.07	2.198495	10.493542		
12/31/2015	Employer Contributions			10.19	0.971073	10.493542		
Investment Qual Bond Fund		12/11/2015	Employee Contributions	23.07	0.852494	27.061771		
		12/11/2015	Employer Contributions	10.19	0.376545	27.061771		
		12/18/2015	Employee Contributions	23.07	0.852494	27.061771		
		12/18/2015	Employer Contributions	10.19	0.376545	27.061771		
		12/24/2015	Employee Contributions	23.07	0.852494	27.061771		
		12/24/2015	Employer Contributions	10.19	0.376545	27.061771		
		12/31/2015	Employee Contributions	23.07	0.852494	27.061771		
		12/31/2015	Employer Contributions	10.19	0.376545	27.061771		
T. Rowe Price Spectrum Inc		12/11/2015	Employee Contributions	46.15	1.639910	28.141772		
		12/11/2015	Employer Contributions	20.38	0.724190	28.141772		
		12/18/2015	Employee Contributions	46.15	1.639910	28.141772		
		12/18/2015	Employer Contributions	20.38	0.724190	28.141772		
		12/24/2015	Employee Contributions	46.15	1.639910	28.141772		
		12/24/2015	Employer Contributions	20.38	0.724190	28.141772		
		12/31/2015	Employee Contributions	46.15	1.639910	28.141772		
		12/31/2015	Employer Contributions	20.38	0.724190	28.141772		
		High Yield Fund		12/11/2015	Employee Contributions	23.07	0.798291	28.899210
				12/11/2015	Employer Contributions	10.19	0.352604	28.899210
12/18/2015	Employee Contributions			23.07	0.798291	28.899210		
12/18/2015	Employer Contributions			10.19	0.352604	28.899210		
12/24/2015	Employee Contributions			23.07	0.798291	28.899210		
12/24/2015	Employer Contributions			10.19	0.352604	28.899210		
12/31/2015	Employee Contributions			23.07	0.798291	28.899210		
12/31/2015	Employer Contributions			10.19	0.352604	28.899210		
Mutual Global Discovery		12/11/2015	Employee Contributions	46.15	0.622223	74.169492		
		12/11/2015	Employer Contributions	20.38	0.274776	74.169492		
		12/18/2015	Employee Contributions	46.15	0.622223	74.169492		
		12/18/2015	Employer Contributions	20.38	0.274776	74.169492		
		12/24/2015	Employee Contributions	46.15	0.622223	74.169492		
		12/24/2015	Employer Contributions	20.38	0.274776	74.169492		
		12/31/2015	Employee Contributions	46.15	0.622223	74.169492		
		12/31/2015	Employer Contributions	20.38	0.274776	74.169492		
		Franklin Mutual Beacon Fund		12/11/2015	Employee Contributions	23.07	0.556473	41.457489
				12/11/2015	Employer Contributions	10.19	0.245793	41.457489
12/18/2015	Employee Contributions			23.07	0.556473	41.457489		
12/18/2015	Employer Contributions			10.19	0.245793	41.457489		
12/24/2015	Employee Contributions			23.07	0.556473	41.457489		

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Retirement account of:
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Retirement Account
October 01, 2015 - December 31, 2015

Your transaction activity detail (continued)

Investment date	Investment option	Payroll period end date	Transaction type	Transaction amount	Number of units	Unit value		
01/19/2016	Franklin Mutual Beacon Fund	12/24/2015	Employer Contributions	10.19	0.245793	41.457489		
		12/31/2015	Employee Contributions	23.07	0.556473	41.457489		
		12/31/2015	Employer Contributions	10.19	0.245793	41.457489		
	JPMorgan MidCap Value Fund	12/11/2015	Employee Contributions	69.23	1.506746	45.946695		
		12/11/2015	Employer Contributions	30.57	0.665336	45.946695		
		12/18/2015	Employee Contributions	69.23	1.506746	45.946695		
		12/18/2015	Employer Contributions	30.57	0.665336	45.946695		
		12/24/2015	Employee Contributions	69.23	1.506746	45.946695		
		12/24/2015	Employer Contributions	30.57	0.665336	45.946695		
		12/31/2015	Employee Contributions	69.23	1.506746	45.946695		
		12/31/2015	Employer Contributions	30.57	0.665336	45.946695		
			T. Rowe Price Equity Inc	12/11/2015	Employee Contributions	69.23	1.341982	51.587840
				12/11/2015	Employer Contributions	30.57	0.592581	51.587840
				12/18/2015	Employee Contributions	69.23	1.341982	51.587840
				12/18/2015	Employer Contributions	30.57	0.592581	51.587840
12/24/2015	Employee Contributions			69.23	1.341982	51.587840		
12/24/2015	Employer Contributions			30.57	0.592581	51.587840		
12/31/2015	Employee Contributions			69.23	1.341982	51.587840		
12/31/2015	Employer Contributions			30.57	0.592581	51.587840		
	Utilities Fund			12/11/2015	Employee Contributions	46.15	1.564300	29.502013
		12/11/2015	Employer Contributions	20.38	0.690800	29.502013		
		12/18/2015	Employee Contributions	46.15	1.564300	29.502013		
		12/18/2015	Employer Contributions	20.38	0.690800	29.502013		
		12/24/2015	Employee Contributions	46.15	1.564300	29.502013		
		12/24/2015	Employer Contributions	20.38	0.690800	29.502013		
		12/31/2015	Employee Contributions	46.15	1.564300	29.502013		
		12/31/2015	Employer Contributions	20.38	0.690800	29.502013		
			Oppenheimer Developing Mkt	12/11/2015	Employee Contributions	46.20	0.959549	48.147593
12/11/2015	Employer Contributions			20.43	0.424320	48.147593		
12/18/2015	Employee Contributions			46.20	0.959549	48.147593		
12/18/2015	Employer Contributions			20.43	0.424320	48.147593		
12/24/2015	Employee Contributions			46.20	0.959549	48.147593		
12/24/2015	Employer Contributions			20.43	0.424320	48.147593		
12/31/2015	Employee Contributions			46.20	0.959549	48.147593		
12/31/2015	Employer Contributions			20.43	0.424320	48.147593		

Bulletin board

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(continued)

Retirement account of:
STEPHEN M. HAWLEY

John Hancock
Retirement Account

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{Feb 05, 2016}/Combo Summary Statement (R)



