

LEGISLATIVE ETHICS COMMISSION
STATE OF NEW YORK

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RECEIVED
MAY 18 2016
BY [Signature]
LEG. ETHICS COMM.

ANNUAL STATEMENT OF FINANCIAL DISCLOSURE
For Calendar Year 2015

1. Name Kenneth P. LaVale

2. (a) Title of Position SENATOR
(b) Department, Agency or other Governmental Entity NYS SENATE
(c) Address of Present Office ROOM 808, LOB, STATE ST., ALBANY, NY
(d) Office Telephone Number 518-455-3121

3. (a) Marital Status MARRIED. If married, please give spouse's full name including maiden name where applicable.
Darlene Penny Wells LaVale
(b) List the names of all unemancipated children.
None

Answer each of the following questions completely, with respect to calendar year 2015, unless another period or date is otherwise specified. If additional space is needed, attach additional pages.

Whenever a "value" or "amount" is required to be reported herein, such value or amount shall be reported as being within one of the following Categories in Table I or Table II of this subdivision as called for in the question: A reporting individual shall indicate the Category by letter only.

Whenever "income" is required to be reported herein, the term "income" shall mean the aggregate net income before taxes from the source identified.

The term "calendar year" shall mean the year ending the December 31st preceding the date of filing of the annual statement.

4. (a) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the reporting individual with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency
Attorney At Law	Twomey, Latham, Shea, Kelley, Dubin & Quaarro	Judicial 2nd Department
(From January 1, 2015 to April 15, 2015.)		

- (b) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the spouse or unemancipated child of the reporting individual, with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency
Not Applicable		

5. (a) List the name, address and description of any occupation, employment (other than the employment listed under Item 2 above), trade, business or profession engaged in by the reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
Attorney At Law	Twomey, Latham, Shea, Kelly, Dubin & Quartaro 33 West Second Street, Riverhead, New York 11901	Attorney (January 1, 2015 to April 15, 2018)	Judicial 2nd Department
Broker	Kenneth P. LaValle	Real Estate Broker - NYSDOS	Lic.#35L1069354

(b) If the spouse or unemancipated child of the reporting individual was engaged in any occupation, employment, trade, business or profession which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name, address and description of such occupation, employment, trade, business or profession and the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
Broker/salesperson	Wells Real Estate Route 112, Port Jefferson Station, NY 11776	Not Active in Profession	NYSDOS Lic.#0532881-30-23-832
Director	Suffolk County Real Property Tax Service Agency 300 Center Drive, Riverhead, NY 11901-3398	Administrator	NYSORPS/NYSDTF

6. List any interest, in EXCESS of \$1,000, held by the reporting individual, such individual's spouse or unemancipated child, or partnership of which any such person is a member, or corporation, 10% or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse or such child to such entity and the interest in such contract. Do NOT include bonds and notes. Do NOT list any interest in any such contract on which final payment has been made and all obligations under the contract except for guarantees and warranties have been performed, provided, however, that such an interest must be listed if there has been an ongoing dispute during the calendar year for which this statement is filed with respect to any such guarantees or warranties. Do NOT list any interest in a contract made or executed by a local agency after public notice and pursuant to a process for competitive bidding or a process for competitive requests for proposals.

Self, Spouse or Child	Entity Which Held Interest in Contract	Relationship to Entity and Interest in Contract	Contracting State or Local Agency	Category of Value of Contract (In Table II)
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Not Applicable

7. List any position the reporting individual held as an officer of any political party or political organization, as a member of any political party committee, or as a political party district leader. The term "party" shall have the same meaning as "party" in the election law. The term "political organization" means any party or independent body as defined in the election law or any organization that is affiliated with or a subsidiary of a party or independent body.

Not Applicable

8. (a) If the reporting individual practices law, is licensed by the department of state as a real estate broker or agent or practices a profession licensed by the department of education, or works as a member or employee of a firm required to register pursuant to section one-e of the legislative law as a lobbyist, describe the services rendered for which compensation was paid including a general description of the principal subject areas of matters undertaken by such individual and principal duties performed. Specifically state whether the reporting individual provides services directly to clients. Additionally, if such an individual practices with a firm or corporation and is a partner or

shareholder of the firm or corporation, give a general description of principal subject areas of matters undertaken by such firm or corporation.

Licensed to practice law in NYS. General Practice of Law, concentration in wills and trusts; estate planning; elder and real estate law; and municipal law.

(b) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN:

If the reporting individual personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), then identify each client or customer to whom the reporting individual personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of \$10,000 during the reporting period for such services rendered in direct connection with:

- (i) A contract in an amount totaling \$50,000 or more from the state or any state agency for services, materials, or property;
- (ii) A grant of \$25,000 or more from the state or any state agency during the reporting period;
- (iii) A grant obtained through a legislative initiative during the reporting period; or
- (iv) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or knowingly solicit or direct to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in subparagraphs (i) through (iv) of this paragraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

The disclosure requirement in this question shall not require disclosure of clients or customers receiving medical or dental services, mental health services, residential real estate brokering services, or insurance brokering services from the reporting individual or his or her firm. The reporting individual need not identify any client to whom he or she or his or her firm

provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, or domestic relations matters. With respect to clients represented in other matters, where disclosure of a client's identity is likely to cause harm, the reporting individual shall request an exemption from the joint commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law, provided, however, that a reporting individual who first enters public office after July first, two thousand twelve, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Client	Nature of Services Provided
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Not Applicable

(b-1) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN (FOR PURPOSES OF THIS QUESTION, "SERVICES" SHALL MEAN CONSULTATION, REPRESENTATION, ADVICE OR OTHER SERVICES):

If the reporting individual receives income from employment reportable in question 8(a) and personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), the reporting individual shall identify each client or customer to whom the reporting individual personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of \$10,000 during the reporting period in direct connection with:

- (i) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;
- (ii) A grant of \$10,000 or more from the state or any state agency during the reporting period;
- (iii) A grant obtained through a legislative initiative during the reporting period; or
- (iv) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For such services rendered by the reporting individual directly to each such client, describe each matter that was the subject of such representation, the services actually provided and the payment received.

For payments received from clients referred to the firm by the reporting individual, if the reporting individual directly received

a referral fee or fees for such referral, identify the client and the payment so received.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or having knowingly solicited or directed to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in clauses (i) through (iv) of this subparagraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting in his or her capacity as provided in paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

Client	Matter	Nature of Services Provided	Category of Amount (In Table I)
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Not Applicable

(b-2) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN (FOR PURPOSES OF THIS QUESTION, "SERVICES" SHALL MEAN CONSULTATION, REPRESENTATION, ADVICE OR OTHER SERVICES):

(i) With respect to reporting individuals who receive ten thousand dollars or more from employment or activity reportable under question 8(a), for each client or customer NOT otherwise disclosed or exempted in question 8 or 13, disclose the name of each client or customer known to the reporting individual to whom the reporting individual provided services: (A) who paid the reporting individual in excess of five thousand dollars for such services; or (B) who had been billed with the knowledge of the reporting individual in excess of five thousand dollars by the firm or other entity named in question 8(a) for the reporting individual's services.

Client	Services Actually Provided	Category of Amount (In Table I)
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Not Applicable

minors, the client's name may be replaced with initials. To the extent that the reporting individual, or his or her firm, provided legal representation with respect to an initial public offering, and professional disciplinary rules, federal law or regulations restrict the disclosure of information relating to such work, the reporting individual shall (i) disclose the identity of the client and the services provided relating to the initial public offering to the office of court administration, who will maintain such information confidentially in a locked box; and (ii) include in his or her response to questions (b-1) and (b-2) that pursuant to this paragraph, a disclosure to the office of court administration has been made. Upon such time that the disclosure of information maintained in the locked box is no longer restricted by professional disciplinary rules, federal law or regulation, the reporting individual shall disclose such information in an amended disclosure statement in response to the disclosure requirements in questions (b-1) and (b-2). The office of court administration shall develop and maintain a secure portal through which information submitted to it pursuant to this paragraph can be safely and confidentially stored. With respect to clients represented in other matters not otherwise exempt, the reporting individual may request an exemption to publicly disclosing the name of that client from the joint commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law, or from the office of court administration. In such application, the reporting individual shall state the following: "My client is not currently receiving my services or seeking my services in connection with:

- (i) A proposed bill or resolution in the senate or assembly during the reporting period;
- (ii) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;
- (iii) A grant of \$10,000 or more from the state or any state agency during the reporting period;
- (iv) A grant obtained through a legislative initiative during the reporting period; or
- (v) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period."

In reviewing the request for an exemption, the joint commission or the office of court administration may consult with bar or other professional associations and the legislative ethics commission for individuals subject to its jurisdiction and may consider the rules of professional conduct. In making its determination, the joint commission or the office of court administration shall conduct its own inquiry and shall consider factors including, but not limited to: (i) the nature and the size of the client; (ii) whether the client has any business before the state; and if so, how significant the business is; and whether the client has any particularized interest in pending legislation and if so how significant the interest is; (iii) whether disclosure may reveal trade secrets; (iv) whether disclosure could reasonably result in retaliation against the client; (v) whether disclosure may cause undue harm to the client; (vi) whether disclosure may result in undue harm to the attorney-client relationship; and

(vii) whether disclosure may result in an unnecessary invasion of privacy to the client.

The joint commission or, as the case may be, the office of court administration shall promptly make a final determination in response to such request, which shall include an explanation for its determination. The office of court administration shall issue its final determination within three days of receiving the request. Notwithstanding any other provision of law or any professional disciplinary rule to the contrary, the disclosure of the identity of any client or customer in response to this question shall not constitute professional misconduct or a ground for disciplinary action of any kind, or form the basis for any civil or criminal cause of action or proceeding. A reporting individual who first enters public office after January first, two thousand sixteen, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

(c) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN:

If the reporting individual receives income of ten thousand dollars or greater from any employment or activity reportable under question 8(a), identify each registered lobbyist who has directly referred to such individual a client who was successfully referred to the reporting individual's business and from whom the reporting individual or firm received a fee for services in excess of five thousand dollars. Report only those referrals that were made to a reporting individual by direct communication from a person known to such reporting individual to be a registered lobbyist at the time the referral is made. With respect to each such referral, the reporting individual shall identify the client, the registered lobbyist who has made the referral, the category of value of the compensation received and a general description of the type of matter so referred. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article. The disclosure requirements in this question shall not require disclosing clients or customers receiving medical, pharmaceutical or dental services, mental health services, or residential real estate brokering services from the reporting individual or his or her firm or if federal law prohibits or limits disclosure. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or

prosecution by law enforcement authorities, bankruptcy, family court, estate planning, or domestic relations matters, nor shall the reporting individual identify individuals represented pursuant to an insurance policy but the reporting individual shall in such circumstances only report the entity that provides compensation to the reporting individual; with respect to matters in which the client's name is required by law to be kept confidential (such as matters governed by the family court act) or in matters in which the reporting individual represents or provides services to minors, the client's name may be replaced with initials. To the extent that the reporting individual, or his or her firm, provided legal representation with respect to an initial public offering, and federal law or regulations restricts the disclosure of information relating to such work, the reporting individual shall (i) disclose the identity of the client and the services provided relating to the initial public offering to the office of court administration, who will maintain such information confidentially in a locked box; and (ii) include in his or her response a statement that pursuant to this paragraph, a disclosure to the office of court administration has been made. Upon such time that the disclosure of information maintained in the locked box is no longer restricted by federal law or regulation, the reporting individual shall disclose such information in an amended disclosure statement in response to the disclosure requirements of this paragraph. The office of court administration shall develop and maintain a secure portal through which information submitted to it pursuant to this paragraph can be safely and confidentially stored. With respect to clients represented in other matters not otherwise exempt, the reporting individual may request an exemption to publicly disclosing the name of that client from the joint commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law, or from the office of court administration. In such application, the reporting individual shall state the following: "My client is not currently receiving my services or seeking my services in connection with:

- (i) A proposed bill or resolution in the senate or assembly during the reporting period;
- (ii) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;
- (iii) A grant of \$10,000 or more from the state or any state agency during the reporting period;
- (iv) A grant obtained through a legislative initiative during the reporting period; or
- (v) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period."

In reviewing the request for an exemption, the joint commission or the office of court administration may consult with bar or other professional associations and the legislative ethics commission for individuals subject to its jurisdiction and may consider the rules of professional conduct. In making its determination, the joint commission or the office of court administration shall conduct its own inquiry and shall consider factors including, but not limited to: (i) the nature and the size

of the client; (ii) whether the client has any business before the state; and if so, how significant the business is; and whether the client has any particularized interest in pending legislation and if so how significant the interest is; (iii) whether disclosure may reveal trade secrets; (iv) whether disclosure could reasonably result in retaliation against the client; (v) whether disclosure may cause undue harm to the client; (vi) whether disclosure may result in undue harm to the attorney-client relationship; and (vii) whether disclosure may result in an unnecessary invasion of privacy to the client.

The joint commission or, as the case may be, the office of court administration shall promptly make a final determination in response to such request, which shall include an explanation for its determination. The office of court administration shall issue its final determination within three days of receiving the request. Notwithstanding any other provision of law or any professional disciplinary rule to the contrary, the disclosure of the identity of any client or customer in response to this question shall not constitute professional misconduct or a ground for disciplinary action of any kind, or form the basis for any civil or criminal cause of action or proceeding. A reporting individual who first enters public office after December thirty-first, two thousand fifteen, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Client	Name of Lobbyist	Category of Amount (In Table 1)
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Not Applicable

(d) List the name, principal address and general description or the nature of the business activity of any entity in which the reporting individual or such individual's spouse had an investment in excess of \$1,000 excluding investments in securities and interests in real property.

Not Applicable

9. List each source of gifts, EXCLUDING campaign contributions, in EXCESS of \$1,000, received during the reporting period for which this statement is filed by the reporting individual or such individual's spouse or unemancipated child from the same donor, EXCLUDING gifts from a relative. INCLUDE the name and address of the donor. The term "gifts" does not include reimbursements, which term is defined in item 10. Indicate the value and nature of each such gift.

Self, Spouse or Child	Name of Donor	Address	Nature of Gift	Category of Value of Gift (In Table I)
<u>Not Applicable</u>				

10. Identify and briefly describe the source of any reimbursements for expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of \$1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursements" does NOT include gifts reported under item 9.

Source	Description
<u>SUNY Stony Brook- Summer abroad program as a professor presenting a seminar for a period of 15 days.</u>	

11. List the identity and value, if reasonably ascertainable, of each interest in a trust, estate or other beneficial interest, including retirement plans (other than retirement plans of the state of New York or the city of New York), and deferred compensation plans (e.g., 401, 403(b), 457, etc.) established in accordance with the internal revenue code, in which the REPORTING INDIVIDUAL held a beneficial interest in EXCESS of \$1,000 at any time during the preceding year. Do NOT report interests in a trust, estate or other beneficial interest established by or for, or the estate of, a relative.

Identity	Category of Value* (In Table II)
NYS Deferred Compensation Plan	L
Transamerican Life Insurance Co. of New York	E
J.P. Morgan IRS/SEP	H

* The value of such interest shall be reported only if reasonably ascertainable.

12. (a) Describe the terms of, and the parties to, any contract, promise, or other agreement between the reporting individual and any person, firm, or corporation with respect to the employment of such individual after leaving office or position (other than a leave of absence).

Not Applicable.

(b) Describe the parties to and the terms of any agreement providing for continuation of payments or benefits to the REPORTING INDIVIDUAL in EXCESS of \$1,000 from a prior employer OTHER THAN the State. (This includes interests in or contributions to a pension fund, profit-sharing plan, or life or health insurance; buy-out agreements; severance payments; etc.)

Not Applicable.

13. List below the nature and amount of any income in EXCESS of \$1,000 from EACH SOURCE for the reporting individual and such individual's spouse for the taxable year last occurring prior to the date of filing. Each such source must be described with particularity. Nature of income includes, but is not limited to, all income (other than that received from the employment listed under Item 2 above) from compensated employment whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching income, partnerships, honorariums, lecture fees, consultant fees, bank and bond interest, dividends, income derived from a trust, real

estate rents, and recognized gains from the sale or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building address in the case of real estate rents and otherwise by the name of the entity and not by the name of the individual customers, clients or tenants, with the aggregate net income before taxes for each building address or entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments shall not be listed.

Self/ Spouse	Source	Nature	Category of Amount (In Table I)
	Spouse Suffolk County Real Property Tax Service Agency	Director	H
Joint	Teachers Federal Credit Union	Interest	C
Self	Twomey, Latham, Shea, Kelley, Dubin & Quartararo	Attorney At Law	E
Self	SUNY Stony Brook	Travel and expenses for giving seminar	C

14. List the sources of any deferred income (not retirement income) in EXCESS of \$1,000 from each source to be paid to the reporting individual following the close of the calendar year for which this disclosure statement is filed, other than deferred compensation reported in item 11 hereinabove. Deferred income derived from the practice of a profession shall be listed in the aggregate and shall identify as the source, the name of the firm, corporation, partnership or association through which the income was derived, but shall not identify individual clients.

Source	Category of Amount (In Table I)
Not Applicable	

15. List each assignment of income in EXCESS of \$1,000, and each transfer other than to a relative during the reporting period for which this statement is filed for less than fair consideration of an interest in a trust, estate or other beneficial interest, securities or real property, by the reporting individual, in excess of \$1,000, which would otherwise be required to be reported herein and is not or has not been so reported.

Item Assigned or Transferred	Assigned or Transferred to	Category of Value (In Table I)
Not Applicable		

16. List below the type and market value of securities held by the reporting individual or such individual's spouse from each issuing entity in EXCESS of \$1,000 at the close of the taxable year last occurring prior to the date of filing, including the name of the issuing entity exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed ONLY IF the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse has transferred assets to such trust for his or her benefit in which event such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which the reporting individual or the reporting individual's spouse is the owner of record but in which such individual or the reporting individual's spouse has no beneficial interest shall not be listed. Indicate percentage of ownership ONLY if the reporting person or the reporting person's spouse holds more than five percent (5%) of the stock of a corporation in which the stock is publicly traded or more than ten percent (10%) of the stock of a corporation in which the stock is NOT publicly traded. Also list securities owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. For the purpose of this item the term "securities" shall mean mutual funds, bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and certificates of deposits (CDs) and such other evidences of indebtedness and certificates of interest as are usually referred to as securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the security is an interest in a general partnership that was listed in item 8 (a) or if the security is corporate stock, NOT publicly traded, in a trade or business of a reporting individual or a reporting individual's spouse.

Self/ Spouse	Issuing Entity	Type of Security	Percentage of corporate stock owned or controlled (if more than 5% of pub- licly traded stock, or more than 10% of stock not publicly traded, is held)	Category of Market Value as of the close of the taxable year last occurring prior to the filing of this statement (In Table II)
Spouse	T Rowe Price	StableFund	Not Applicable	K
Joint	Teacher Federal Credit Union	Money Maket	Not Applicable	L
Self	T. Rowe Price	Stable Fund	L	
Self	J.P. Morgan Chase	(Exhibit "A" See Attached)	Not Applicable	H

17. List below the location, size, general nature, acquisition date, market value and percentage of ownership of any real property in which any vested or contingent interest in EXCESS of \$1,000 is held by the reporting individual or the reporting individual's spouse. Also list real property owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. Do NOT list any real property which is the primary or secondary personal residence of the reporting individual or the reporting individual's spouse, except where there is a co-owner who is other than a relative.

Self/ Spouse/ Corporation	Location	Size	General Nature	Acquisition Date	Percentage of Ownership	Category of Market Value (In Table II)
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Not Applicable

18. List below all notes and accounts receivable, other than from goods or services sold, held by the reporting individual at the close of the taxable year last occurring prior to the date of filing and other debts owed to such individual at the close of the taxable year last occurring prior to the date of filing, in EXCESS of \$1,000, including the name of the debtor, type of obligation, date due and the nature of the collateral securing payment of each, if any, excluding securities reported in item 16 hereinabove. Debts, notes and accounts receivable owed to the individual by a relative shall not be reported.

Name of Debtor	Type of Obligation, Date Due, and Nature of Collateral, if any	Category of Amount (In Table II)
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Not Applicable

19. List below all liabilities of the reporting individual and such individual's spouse, in EXCESS of \$10,000 as of the date of filing of this statement, other than liabilities to a relative. Do NOT list liabilities incurred by, or guarantees made by, the reporting individual or such individual's spouse or by any proprietorship, partnership or corporation in which the reporting individual or such individual's spouse has an interest, when incurred or made in the ordinary course of the trade, business or professional practice of

the reporting individual or such individual's spouse. Include the name of the creditor and any collateral pledged by such individual to secure payment of any such liability. A reporting individual shall not list any obligation to pay maintenance in connection with a matrimonial action, alimony or child support payments. Any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances shall be excluded. If any such reportable liability has been guaranteed by any third person, list the liability and name the guarantor.

Name of Creditor or Guarantor	Type of Liability and Collateral, if any	Category of Amount (In Table II)
Not Applicable		

The requirements of law relating to the reporting of financial interests are in the public interest and no adverse inference of unethical or illegal conduct or behavior will be drawn merely from compliance with these requirements.

Kenneth D. Davall
(Signature of Reporting Individual)

5/15/16
Date (month/day/year)

[CATEGORY OF INCOME TABLES]

TABLE I

Category A	none
Category B	\$ 1 to under \$ 1,000
Category C	\$ 1,000 to under \$ 5,000
Category D	\$ 5,000 to under \$ 20,000
Category E	\$ 20,000 to under \$ 50,000
Category F	\$ 50,000 to under \$ 75,000
Category G	\$ 75,000 to under \$ 100,000
Category H	\$ 100,000 to under \$ 150,000
Category I	\$ 150,000 to under \$ 250,000
Category J	\$ 250,000 to under \$ 350,000
Category K	\$ 350,000 to under \$ 450,000
Category L	\$ 450,000 to under \$ 550,000
Category M	\$ 550,000 to under \$ 650,000
Category N	\$ 650,000 to under \$ 750,000
Category O	\$ 750,000 to under \$ 850,000
Category P	\$ 850,000 to under \$ 950,000
Category Q	\$ 950,000 to under \$1,050,000
Category R	\$1,050,000 to under \$1,150,000
Category S	\$1,150,000 to under \$1,250,000
Category T	\$1,250,000 to under \$1,350,000
Category U	\$1,350,000 to under \$1,450,000
Category V	\$1,450,000 to under \$1,550,000
Category W	\$1,550,000 to under \$1,650,000
Category X	\$1,650,000 to under \$1,750,000
Category Y	\$1,750,000 to under \$1,850,000
Category Z	\$1,850,000 to under \$1,950,000
Category AA	\$1,950,000 to under \$2,050,000
Category BB	\$2,050,000 to under \$2,150,000
Category CC	\$2,150,000 to under \$2,250,000
Category DD	\$2,250,000 to under \$2,350,000
Category EE	\$2,350,000 to under \$2,450,000
Category FF	\$2,450,000 to under \$2,550,000
Category GG	\$2,550,000 to under \$2,650,000
Category HH	\$2,650,000 to under \$2,750,000
Category II	\$2,750,000 to under \$2,850,000
Category JJ	\$2,850,000 to under \$2,950,000
Category KK	\$2,950,000 to under \$3,050,000
Category LL	\$3,050,000 to under \$3,150,000
Category MM	\$3,150,000 to under \$3,250,000
Category NN	\$3,250,000 to under \$3,350,000
Category OO	\$3,350,000 to under \$3,450,000
Category PP	\$3,450,000 to under \$3,550,000
Category QQ	\$3,550,000 to under \$3,650,000
Category RR	\$3,650,000 to under \$3,750,000
Category SS	\$3,750,000 to under \$3,850,000
Category TT	\$3,850,000 to under \$3,950,000
Category UU	\$3,950,000 to under \$4,050,000
Category VV	\$4,050,000 to under \$4,150,000
Category WW	\$4,150,000 to under \$4,250,000
Category XX	\$4,250,000 to under \$4,350,000
Category YY	\$4,350,000 to under \$4,450,000
Category ZZ	\$4,450,000 to under \$4,550,000
Category AAA	\$4,550,000 to under \$4,650,000

Category BBB	\$4,650,000	to under	\$4,750,000
Category CCC	\$4,750,000	to under	\$4,850,000
Category DDD	\$4,850,000	to under	\$4,950,000
Category EEE	\$4,950,000	to under	\$5,050,000
Category FFF	\$5,050,000	to under	\$5,150,000
Category GGG	\$5,150,000	to under	\$5,250,000
Category HHH	\$5,250,000	to under	\$5,350,000
Category III	\$5,350,000	to under	\$5,450,000
Category JJJ	\$5,450,000	to under	\$5,550,000
Category KKK	\$5,550,000	to under	\$5,650,000
Category LLL	\$5,650,000	to under	\$5,750,000
Category MMM	\$5,750,000	to under	\$5,850,000
Category NNN	\$5,580,000	to under	\$5,950,000
Category OOO	\$5,950,000	to under	\$6,050,000
Category PPP	\$6,050,000	to under	\$6,150,000
Category QQQ	\$6,150,000	to under	\$6,250,000
Category RRR	\$6,250,000	to under	\$6,350,000
Category SSS	\$6,350,000	to under	\$6,450,000
Category TTT	\$6,450,000	to under	\$6,550,000
Category UUU	\$6,550,000	to under	\$6,650,000
Category VVV	\$6,650,000	to under	\$6,750,000
Category WWW	\$6,750,000	to under	\$6,850,000
Category XXX	\$6,850,000	to under	\$6,950,000
Category YYY	\$6,950,000	to under	\$7,050,000
Category ZZZ	\$7,050,000	to under	\$7,150,000
Category AAAA	\$7,150,000	to under	\$7,250,000
Category BBBB	\$7,250,000	to under	\$7,350,000
Category CCCC	\$7,350,000	to under	\$7,450,000
Category DDDD	\$7,450,000	to under	\$7,550,000
Category EEEE	\$7,550,000	to under	\$7,650,000
Category FFFF	\$7,650,000	to under	\$7,750,000
Category GGGG	\$7,750,000	to under	\$7,850,000
Category HHHH	\$7,850,000	to under	\$7,950,000
Category IIII	\$7,950,000	to under	\$8,050,000
Category JJJJ	\$8,050,000	to under	\$8,150,000
Category KKKK	\$8,150,000	to under	\$8,250,000
Category LLLL	\$8,250,000	to under	\$8,350,000
Category MMMM	\$8,350,000	to under	\$8,450,000
Category NNNN	\$8,450,000	to under	\$8,550,000
Category OOOO	\$8,550,000	to under	\$8,650,000
Category PPPP	\$8,650,000	to under	\$8,750,000
Category QQQQ	\$8,750,000	to under	\$8,850,000
Category RRRR	\$8,850,000	to under	\$8,950,000
Category SSSS	\$8,950,000	to under	\$9,050,000
Category TTTT	\$9,050,000	to under	\$9,150,000
Category UUUU	\$9,150,000	to under	\$9,250,000
Category VVVV	\$9,250,000	to under	\$9,350,000
Category WWWW	\$9,350,000	to under	\$9,450,000
Category XXXX	\$9,450,000	to under	\$9,550,000
Category YYYY	\$9,550,000	to under	\$9,650,000
Category ZZZZ	\$9,650,000	to under	\$9,750,000
Category AAAAA	\$9,750,000	to under	\$9,850,000
Category BBBBB	\$9,850,000	to under	\$9,950,000
Category CCCCC	\$9,950,000	to under	\$10,000,000
Category DDDDD	\$10,000,000	or over	

TABLE II

Category A		none	
Category B	\$	1 to under \$	1,000
Category C	\$	1,000 to under \$	5,000
Category D	\$	5,000 to under \$	20,000
Category E	\$	20,000 to under \$	50,000
Category F	\$	50,000 to under \$	75,000
Category G	\$	75,000 to under \$	100,000
Category H	\$	100,000 to under \$	150,000
Category I	\$	150,000 to under \$	250,000
Category J	\$	250,000 to under \$	500,000
Category K	\$	500,000 to under \$	750,000
Category L	\$	750,000 to under \$	1,000,000
Category M	\$	1,000,000 to under \$	1,250,000
Category N	\$	1,250,000 to under \$	1,500,000
Category O	\$	1,500,000 to under \$	1,750,000
Category P	\$	1,750,000 to under \$	2,000,000
Category Q	\$	2,000,000 to under \$	2,250,000
Category R	\$	2,250,000 to under \$	2,500,000
Category S	\$	2,500,000 to under \$	2,750,000
Category T	\$	2,750,000 to under \$	3,000,000
Category U	\$	3,000,000 to under \$	3,250,000
Category V	\$	3,250,000 to under \$	3,500,000
Category W	\$	3,500,000 to under \$	3,750,000
Category X	\$	3,750,000 to under \$	4,000,000
Category Y	\$	4,000,000 to under \$	4,250,000
Category Z	\$	4,250,000 to under \$	4,500,000
Category AA	\$	4,500,000 to under \$	4,750,000
Category BB	\$	4,750,000 to under \$	5,000,000
Category CC	\$	5,000,000 to under \$	5,250,000
Category DD	\$	5,250,000 to under \$	5,500,000
Category EE	\$	5,500,000 to under \$	5,750,000
Category FF	\$	5,750,000 to under \$	6,000,000
Category GG	\$	6,000,000 to under \$	6,250,000
Category HH	\$	6,250,000 to under \$	6,500,000
Category II	\$	6,500,000 to under \$	6,750,000
Category JJ	\$	6,750,000 to under \$	7,000,000
Category KK	\$	7,000,000 to under \$	7,250,000
Category LL	\$	7,250,000 to under \$	7,500,000
Category MM	\$	7,500,000 to under \$	7,750,000
Category NN	\$	7,750,000 to under \$	8,000,000
Category OO	\$	8,000,000 to under \$	8,250,000
Category PP	\$	8,250,000 to under \$	8,500,000
Category QQ	\$	8,500,000 to under \$	8,750,000
Category RR	\$	8,750,000 to under \$	9,000,000
Category SS	\$	9,000,000 to under \$	9,250,000
Category TT	\$	9,250,000 to under \$	9,500,000
Category UU	\$	9,500,000 or over	



LEGISLATIVE ETHICS COMMISSION STATE OF NEW YORK

MAIL: LEGISLATIVE OFFICE BUILDING • BOX 75 • ALBANY, NY 12247
LOCATION: ALFRED E. SMITH OFFICE BUILDING • SUITE 1431 • ALBANY, NY 12247
PHONE: (518) 432-7837/7838 FAX: (518) 426-6850

RECEIVED

JUN 29 2016

BY nm
LEG. ETHICS COMM.

Question 8(b-2)(ii) Amendment Form for Calendar Year 2015

Please complete the form. If a column is not applicable specify NONE.

8. (b-2) FOLLOWING IS AN ILLUSTRATIVE, NON-EXCLUSIVE LIST OF EXAMPLES OF DESCRIPTIONS OF "SERVICES ACTUALLY PROVIDED":

- *REVIEWED DOCUMENTS AND CORRESPONDENCE;
- *REPRESENTED CLIENT (IDENTIFY CLIENT BY NAME) IN LEGAL PROCEEDING;
- *PROVIDED LEGAL ADVICE ON CLIENT MATTER (IDENTIFY CLIENT BY NAME);
- *CONSULTED WITH CLIENT OR CONSULTED WITH LAW PARTNERS/ASSOCIATES/MEMBERS OF FIRM ON CLIENT MATTER (IDENTIFY CLIENT BY NAME);
- *PREPARED CERTIFIED FINANCIAL STATEMENT FOR CLIENT (IDENTIFY CLIENT BY NAME);
- *REFERRED INDIVIDUAL OR ENTITY (IDENTIFY CLIENT BY NAME) FOR REPRESENTATION OR CONSULTATION;
- * COMMERCIAL BROKERING SERVICES (IDENTIFY CUSTOMER BY NAME);
- * PREPARED CERTIFIED ARCHITECTURAL OR ENGINEERING RENDERINGS FOR CLIENT (IDENTIFY CUSTOMER BY NAME);
- *COURT APPOINTED GUARDIAN OR EVALUATOR (IDENTIFY COURT NOT CLIENT).

(ii) With respect to reporting individuals who disclosed in question 8(a) that the reporting individual did not provide services to a client but provided services to a firm or business, identify the category of amount received for providing such services and describe the services rendered.

Services Actually Provided

Category of Amount (Table I)

<u>Not Applicable</u>	

A reporting individual need not disclose activities performed while lawfully acting in his or her capacity as provided in paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

The disclosure requirement in questions (b-1) and (b-2) shall not require disclosing clients or customers receiving medical, pharmaceutical or dental services, mental health services, or residential real estate brokering services from the reporting individual or his or her firm or if federal law prohibits or limits disclosure. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, family court, estate planning, or domestic relations matters, nor shall the reporting individual identify individuals represented pursuant to an insurance policy but the reporting individual shall in such circumstances only report the entity that provides compensation to the reporting individual; with respect to matters in which the client's name is required by law to be kept confidential (such as matters governed by the family court act) or in matters in which the reporting individual represents or provides services to minors, the client's name may be replaced with initials. To the extent that the reporting individual, or his or her firm, provided legal representation with respect to an initial public offering, and professional disciplinary rules, federal law or regulations restrict the disclosure of information relating to such work, the reporting individual shall (i) disclose the identity of the client and the services provided relating to the initial public offering to the office of court administration, who will maintain such information confidentially in a locked box; and (ii) include in his or her response to questions (b-1) and (b-2) that pursuant to this paragraph, a disclosure to the office of court administration has been made. Upon such time that the disclosure of information maintained in the locked box is no longer restricted by professional disciplinary rules, federal law or regulation, the reporting individual shall disclose such information in an amended disclosure statement in response to the disclosure requirements in questions (b-1) and (b-2). The office of court administration shall develop and maintain a secure portal through which information submitted to it pursuant to this paragraph can be safely and confidentially stored. With respect to clients represented in other matters not otherwise exempt, the reporting individual may request an exemption to publicly disclosing the name of that client from the joint commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law, or from the office of court administration. In such application, the reporting individual shall state the following: "My client is not currently receiving my services or seeking my services in connection with:

- (i) A proposed bill or resolution in the senate or assembly during the reporting period;
- (ii) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;
- (iii) A grant of \$10,000 or more from the state or any state agency during the reporting period;
- (iv) A grant obtained through a legislative initiative during the reporting period; or
- (v) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period."

In reviewing the request for an exemption, the joint commission or the office of court administration may consult with bar or other professional associations and the legislative

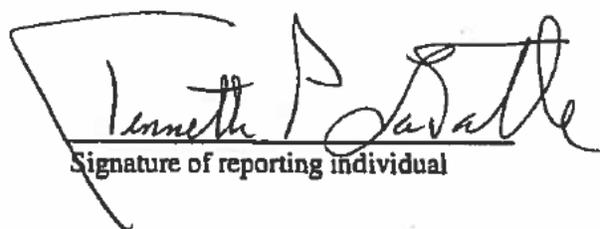
ethics commission for individuals subject to its jurisdiction and may consider the rules of professional conduct. In making its determination, the joint commission or the office of court administration shall conduct its own inquiry and shall consider factors including, but not limited to: (i) the nature and the size of the client; (ii) whether the client has any business before the state; and if so, how significant the business is; and whether the client has any particularized interest in pending legislation and if so how significant the interest is; (iii) whether disclosure may reveal trade secrets; (iv) whether disclosure could reasonably result in retaliation against the client; (v) whether disclosure may cause undue harm to the client; (vi) whether disclosure may result in undue harm to the attorney-client relationship; and (vii) whether disclosure may result in an unnecessary invasion of privacy to the client.

The joint commission or, as the case may be, the office of court administration shall promptly make a final determination in response to such request, which shall include an explanation for its determination. The office of court administration shall issue its final determination within three days of receiving the request. Notwithstanding any other provision of law or any professional disciplinary rule to the contrary, the disclosure of the identity of any client or customer in response to this question shall not constitute professional misconduct or a ground for disciplinary action of any kind, or form the basis for any civil or criminal cause of action or proceeding. A reporting individual who first enters public office after January first, two thousand sixteen, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Name: Kenneth P. LaValle

Title of Position: Senator

Address of Present Office: Room 806, 108, STATE ST, ALBANY, NY


Signature of reporting individual

6/25/16
Date (month/day/year)

TABLE I

Category A	none
Category B	\$ 1 to under \$ 1,000
Category C	\$ 1,000 to under \$ 5,000
Category D	\$ 5,000 to under \$ 20,000
Category E	\$ 20,000 to under \$ 50,000
Category F	\$ 50,000 to under \$ 75,000
Category G	\$ 75,000 to under \$ 100,000
Category H	\$ 100,000 to under \$ 150,000
Category I	\$ 150,000 to under \$ 250,000
Category J	\$ 250,000 to under \$ 350,000
Category K	\$ 350,000 to under \$ 450,000
Category L	\$ 450,000 to under \$ 550,000
Category M	\$ 550,000 to under \$ 650,000
Category N	\$ 650,000 to under \$ 750,000
Category O	\$ 750,000 to under \$ 850,000
Category P	\$ 850,000 to under \$ 950,000
Category Q	\$ 950,000 to under \$1,050,000
Category R	\$1,050,000 to under \$1,150,000
Category S	\$1,150,000 to under \$1,250,000
Category T	\$1,250,000 to under \$1,350,000
Category U	\$1,350,000 to under \$1,450,000
Category V	\$1,450,000 to under \$1,550,000
Category W	\$1,550,000 to under \$1,650,000
Category X	\$1,650,000 to under \$1,750,000
Category Y	\$1,750,000 to under \$1,850,000
Category Z	\$1,850,000 to under \$1,950,000
Category AA	\$1,950,000 to under \$2,050,000
Category BB	\$2,050,000 to under \$2,150,000
Category CC	\$2,150,000 to under \$2,250,000
Category DD	\$2,250,000 to under \$2,350,000
Category EE	\$2,350,000 to under \$2,450,000
Category FF	\$2,450,000 to under \$2,550,000
Category GG	\$2,550,000 to under \$2,650,000
Category HH	\$2,650,000 to under \$2,750,000
Category II	\$2,750,000 to under \$2,850,000
Category JJ	\$2,850,000 to under \$2,950,000
Category KK	\$2,950,000 to under \$3,050,000
Category LL	\$3,050,000 to under \$3,150,000
Category MM	\$3,150,000 to under \$3,250,000
Category NN	\$3,250,000 to under \$3,350,000
Category OO	\$3,350,000 to under \$3,450,000
Category PP	\$3,450,000 to under \$3,550,000
Category QQ	\$3,550,000 to under \$3,650,000
Category RR	\$3,650,000 to under \$3,750,000
Category SS	\$3,750,000 to under \$3,850,000
Category TT	\$3,850,000 to under \$3,950,000
Category UU	\$3,950,000 to under \$4,050,000
Category VV	\$4,050,000 to under \$4,150,000
Category WW	\$4,150,000 to under \$4,250,000
Category XX	\$4,250,000 to under \$4,350,000
Category YY	\$4,350,000 to under \$4,450,000
Category ZZ	\$4,450,000 to under \$4,550,000
Category AAA	\$4,550,000 to under \$4,650,000
Category BBB	\$4,650,000 to under \$4,750,000

Category CCC	\$4,750,000 to under \$4,850,000
Category DDD	\$4,850,000 to under \$4,950,000
Category EEE	\$4,950,000 to under \$5,050,000
Category FFF	\$5,050,000 to under \$5,150,000
Category GGG	\$5,150,000 to under \$5,250,000
Category HHH	\$5,250,000 to under \$5,350,000
Category III	\$5,350,000 to under \$5,450,000
Category JJJ	\$5,450,000 to under \$5,550,000
Category KKK	\$5,550,000 to under \$5,650,000
Category LLL	\$5,650,000 to under \$5,750,000
Category MMM	\$5,750,000 to under \$5,850,000
Category NNN	\$5,850,000 to under \$5,950,000
Category OOO	\$5,950,000 to under \$6,050,000
Category PPP	\$6,050,000 to under \$6,150,000
Category QQQ	\$6,150,000 to under \$6,250,000
Category RRR	\$6,250,000 to under \$6,350,000
Category SSS	\$6,350,000 to under \$6,450,000
Category TTT	\$6,450,000 to under \$6,550,000
Category UUU	\$6,550,000 to under \$6,650,000
Category VVV	\$6,650,000 to under \$6,750,000
Category WWW	\$6,750,000 to under \$6,850,000
Category XXX	\$6,850,000 to under \$6,950,000
Category YYY	\$6,950,000 to under \$7,050,000
Category ZZZ	\$7,050,000 to under \$7,150,000
Category AAAA	\$7,150,000 to under \$7,250,000
Category BBBB	\$7,250,000 to under \$7,350,000
Category CCCC	\$7,350,000 to under \$7,450,000
Category DDDD	\$7,450,000 to under \$7,550,000
Category EEEE	\$7,550,000 to under \$7,650,000
Category FFFF	\$7,650,000 to under \$7,750,000
Category GGGG	\$7,750,000 to under \$7,850,000
Category HHHH	\$7,850,000 to under \$7,950,000
Category IIII	\$7,950,000 to under \$8,050,000
Category JJJJ	\$8,050,000 to under \$8,150,000
Category KKKK	\$8,150,000 to under \$8,250,000
Category LLLL	\$8,250,000 to under \$8,350,000
Category MMMM	\$8,350,000 to under \$8,450,000
Category NNNN	\$8,450,000 to under \$8,550,000
Category OOOO	\$8,550,000 to under \$8,650,000
Category PPPP	\$8,650,000 to under \$8,750,000
Category QQQQ	\$8,750,000 to under \$8,850,000
Category RRRR	\$8,850,000 to under \$8,950,000
Category SSSS	\$8,950,000 to under \$9,050,000
Category TTTT	\$9,050,000 to under \$9,150,000
Category UUUU	\$9,150,000 to under \$9,250,000
Category VVVV	\$9,250,000 to under \$9,350,000
Category WWWW	\$9,350,000 to under \$9,450,000
Category XXXX	\$9,450,000 to under \$9,550,000
Category YYYYY	\$9,550,000 to under \$9,650,000
Category ZZZZ	\$9,650,000 to under \$9,750,000
Category AAAAA	\$9,750,000 to under \$9,850,000
Category BBBBB	\$9,850,000 to under \$9,950,000
Category CCCCC	\$9,950,000 to under \$10,000,000
Category DDDDD	\$10,000,000 or over

ENV#

AXIOM CAPITAL MANAGEMENT, INC.
780 THIRD AVENUE, 43RD FLOOR
NEW YORK, NEW YORK 10017-2024

AXIOM

003248 FIDX0W01 000000 AT 10

NFS/FMTC SEP IRA
FRO KENNETH P LAVALLE
PORT JEFFERSON NY 11777

STATEMENT FOR THE PERIOD DECEMBER 1, 2015 TO DECEMBER 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
[REDACTED]

YOUR FINANCIAL ADVISOR IS:
[REDACTED]

For questions about your accounts:
[REDACTED]

TOTAL VALUE OF YOUR PORTFOLIO

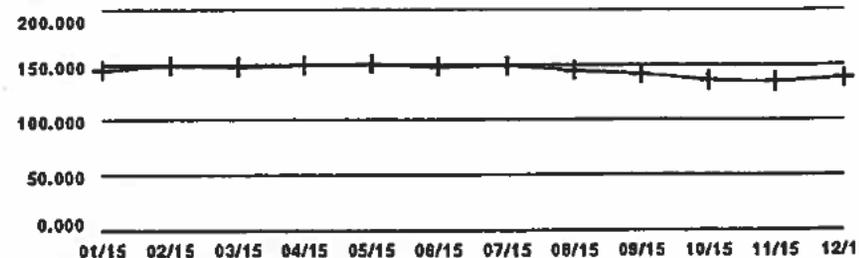
\$138,624.16

FOR YOUR INFORMATION

Please be advised that the cost basis column on your January monthly statement may not appear for positions received from J.P. Morgan. You will be able to view the cost basis information on www.mystreetscape.com on or about February 5, and will appear on all future Axiom monthly statements. Welcome to NFS and thank you for your continued business.

CHANGE IN VALUE OF YOUR PORTFOLIO

\$ thousands



Change In Value Of Your Portfolio information can be found in Miscellaneous Footnotes at the end of this statement.

Account carried with National Financial Services LLC, Member
NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA

Account Number: [REDACTED]

AXIOM

Account Overview *continued*

RETIREMENT CONTRIBUTIONS/DISTRIBUTIONS *continued*

CONTRIBUTIONS	<i>Current Period</i>	<i>Year-to-Date</i>
REQUIRED MINIMUM DISTRIBUTION (RMD)		<i>Total This Year</i>
2015 RMD for this account		\$6,644.09

Your RMD amount was calculated using the IRS Uniform Life Expectancy Table. Refer to Miscellaneous Footnotes at the end of this statement for more information.

Retirement account maintenance fee waived or subsidized for 2015

INCOME	<i>Current Period</i>	<i>Year-to-Date</i>
Dividends	\$839.96	\$6,789.92
Capital Gain	\$299.78	\$299.78
Return of Capital	\$2.18	\$2.18
TOTAL INCOME	\$1,141.92	\$7,091.88

All income is tax deferred until it is distributed from the account.

TAXES, FEES AND EXPENSES	<i>Current Period</i>	<i>Year-to-Date</i>
Federal Tax Withheld	\$0.00	(\$1,919.43)
State Tax Withheld	\$0.00	(\$959.72)
TOTAL TAXES, FEES AND EXPENSES	\$0.00	(\$2,879.15)

[REDACTED]

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: [REDACTED]



Account Overview

CHANGE IN ACCOUNT VALUE	Current Period	Year-to-Date
BEGINNING VALUE	\$135,053.01	\$0.00
Securities Transfers	\$1,848.84	\$148,854.18
Additions and Withdrawals	\$5,000.00	(\$1,718.03)
Income	\$1,141.92	\$7,091.88
Taxes, Fees and Expenses	\$0.00	(\$2,879.15)
Change in Investment Value	(\$4,419.41)	(\$12,724.70)
ACCOUNT VALUE (AS OF 12/31/15)	\$138,624.16	\$138,624.16

Refer to Miscellaneous Footnotes for more information on Change in Investment Value.

RETIREMENT CONTRIBUTIONS/DISTRIBUTIONS

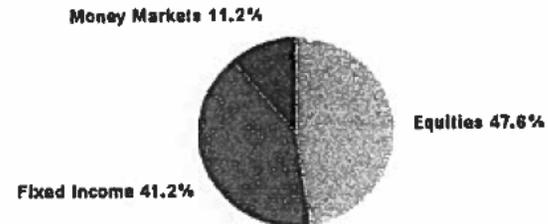
CONTRIBUTIONS	Current Period	Year-to-Date
For Current Year 2015	\$5,000.00	\$5,000.00
For Prior Year 2014	\$0.00	\$0.00

SEP IRA employer contributions are reflected as current year contributions. Per IRS guidelines, NFS does not report the year for which SEP contributions are made.

DISTRIBUTIONS

For Current Year 2015	\$0.00	(\$6,718.03)
State Withholding	\$0.00	(\$959.72)
Federal Withholding	\$0.00	(\$1,919.43)
TOTAL DISTRIBUTIONS AND WITHHOLDINGS	\$0.00	(\$9,597.18)

ACCOUNT ALLOCATION



	Percent	Prior Period	Current Period
Money Markets	11.2 %	\$9,422.81	\$15,480.59
Equities	47.6	\$68,892.82	\$65,967.93
Fixed Income	41.2	\$56,737.38	\$57,175.64
TOTAL	100.0 %	\$135,053.01	\$138,624.16

Account Allocation shows the percentage that each asset class represents of your total account value. Account Allocation for equities, fixed income, and other categories may include mutual funds and may be net of short positions. NFS has made assumptions concerning how certain mutual funds are allocated. Closed-end mutual funds and Exchange Traded Products (ETPs) listed on an exchange may be included in the equity allocation. The chart may not reflect your actual portfolio allocation. Consult your broker/dealer prior to making investment decisions.

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: [REDACTED]

A X I O M

Account Overview *continued*

REALIZED GAIN (LOSS)	<i>Current Period</i>	<i>Year-to-Date</i>
FROM RETIREMENT DISTRIBUTION OF IN-KIND SHARES		
Realized Gain (Loss)	\$0.00	(\$690.22)
TOTAL GAIN (LOSS)	\$0.00	(\$690.22)

The account cost and associated gain (loss) is provided for informational purposes only and should not be used for tax-reporting purposes. Such information is provided to help you estimate and track the change in market value of each position relative to your investment into this security (not including reinvestments). Please refer to Footnotes and Cost Information at the end of this statement for more information.

REALIZED GAIN (LOSS) Estimated realized gains (losses) for transactions in your account as of the trade date, excluding transactions where cost basis information is incomplete.

GAIN (LOSS) FROM RETIREMENT DISTRIBUTION OF IN-KIND SHARES is the change in value of shares distributed compared to their cost and is provided for informational purposes only.



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number:

AXIOM

Holdings

The account cost and associated gain (loss) is provided for informational purposes only and should not be used for tax-reporting purposes. Such information is provided to help you estimate and track the change in market value of each position relative to your investment into this security (not including reinvestments). Please refer to Footnotes and Cost Information at the end of this statement for more information.

CASH AND CASH EQUIVALENTS - 11.17% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/15	Current Market Value	Estimated Annual Income
Money Markets					
FIDELITY GOVT MMKT CAPITAL RESERVES CL 7 DAY YIELD .01% Dividend Option Cash Capital Gain Option Cash	FZAXX CASH	15,480.59	\$1.00	\$15,480.59	
Total Cash and Cash Equivalents				\$15,480.59	

HOLDINGS > EQUITIES - 35.65% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/15	Current Market Value	Estimated Annual Income	Cost	Net Change
Equity							
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #USD2106D2076 SEDOL #BHLSQX6 Estimated Yield 8.98% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/29/16	AOD CASH	265	\$7.68	\$2,035.20	\$182.85	\$8,277.14 T	(\$4,241.94)
BLACKROCK ENHANCED EQUITY DIVID TR COM Estimated Yield 7.36% Dividend Option Cash Capital Gain Option Cash	BDJ CASH	242	\$7.61	\$1,841.62	\$135.62		

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: [REDACTED]

A X I O M

HOLDINGS > EQUITIES *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/15	Current Market Value	Estimated Annual Income	Cost	Net Change
BLACKROCK FLOATING RATE INCOME STRATEGIES FD INC COM Estimated Yield 8.07% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/08/16	FRA CASH	183	\$12.90	\$2,360.70	\$143.33	\$3,338.24 T	(\$977.54)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD Estimated Yield 9.62% Dividend Option Cash Capital Gain Option Cash	DEX CASH	114	\$9.35	\$1,065.90	\$102.60	\$2,334.84 T	(\$1,268.94)
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #805Q1G5 Estimated Yield 7.69% Dividend Option Cash Capital Gain Option Cash	EOS CASH	185	\$13.64	\$2,523.40	\$194.25	\$2,735.78 T	(\$212.38)
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454 Estimated Yield 8.17% Dividend Option Cash Capital Gain Option Cash	EVT CASH	276	\$19.34	\$5,337.84	\$436.28	\$5,261.07 T	\$76.77
EATON VANCE TX ADV GLBL DIV FD COM ISIN #US27828S1015 SEDOL #2347589 Estimated Yield 7.92% Dividend Option Cash Capital Gain Option Cash	ETG CASH	110	\$15.52	\$1,707.20	\$135.30	\$2,343.46 T	(\$636.26)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM Estimated Yield 9.51% Dividend Option Cash Capital Gain Option Cash	NHS CASH	276	\$10.09	\$2,784.84	\$264.96	\$4,352.14 T	(\$1,567.30)
NEW YORK COMMUNITY BANCORP Estimated Yield 8.12% Dividend Option Cash Capital Gain Option Cash	NYCB CASH	368	\$16.32	\$6,005.76	\$368.00	\$5,282.25 T	\$723.51



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: [REDACTED]

A X I O M

HOLDINGS > EQUITIES *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/15	Current Market Value	Estimated Annual Income	Cost	Net Change
NUVEEN CREDIT STRATEGIES INCOME FUND Estimated Yield 7.88% Dividend Option Cash Capital Gain Option Cash	JQC CASH	242	\$7.84	\$1,897.28	\$149.56	\$2,610.26 T	(\$712.98)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114 Estimated Yield 7.41% Dividend Option Cash Capital Gain Option Cash	DIAX CASH	479	\$14.36	\$6,878.44	\$509.66	\$7,813.52 T	(\$935.08)
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6706991071 SEDOL #BTLF9VD Estimated Yield 7.22% Dividend Option Cash Capital Gain Option Cash	DOOQ CASH	286	\$19.37	\$5,539.82	\$400.40	\$5,940.31 T	(\$400.49)
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1 Estimated Yield 7.41% Dividend Option Cash Capital Gain Option Cash	BXMX CASH	374	\$13.43	\$5,022.82	\$372.50	\$5,558.82 T	(\$538.00)
VOYA GLBL ADV & PREM OPP FD COM Estimated Yield 10.71% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/15/16	IGA CASH	251	\$10.45	\$2,622.95	\$281.12	\$3,291.82 T	(\$868.87)
WELLS FARGO INCOME OPPORTUNITIES FUND Estimated Yield 10.95% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/04/16	EAD CASH	242	\$7.45	\$1,802.90	\$197.47	\$2,552.01 T	(\$749.11)
Total Equity				\$49,428.87	\$3,873.90	\$59,691.68	(\$12,108.81)
Total Equities				\$49,428.87	\$3,873.90	\$59,691.68	(\$12,108.81)

[REDACTED]

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA

Account Number: [REDACTED]



HOLDINGS > MUTUAL FUNDS - 53.18% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/15	Current Market Value	Estimated Annual Income	Cost	Net Change
Equity							
FEDERATED CAPITAL INCOME CL C Estimated Yield 4.71 % Dividend Option Cash Capital Gain Option Cash	CAPCX CASH	600.962	\$7.44	\$4,471.16	\$211.00	\$5,000.00	(\$528.84)
FIRST INV FUND FOR INCOME CL B Estimated Yield 4.53 % Dividend Option Cash Capital Gain Option Cash	FIFJX CASH	1,711.448	\$2.34	\$4,004.78	\$181.41	\$4,300.00 T	(\$295.22)
MFS UTILITIES CLASS B Estimated Yield 1.83 % Dividend Option Cash Capital Gain Option Cash	MMUBX CASH	238.105	\$16.75	\$3,988.26	\$73.19	\$4,509.67 T	(\$521.41)
PUTNAM GLOBAL UTILITIES CL B Estimated Yield 1.03 % Dividend Option Cash Capital Gain Option Cash	PUTBX CASH	360.164	\$11.32	\$4,077.06	\$42.14	\$3,930.25 T	\$146.81
Total Equity				\$18,541.28	\$507.74	\$17,739.92	(\$1,198.86)
Fixed Income							
CS FLOATING RATE HIGH INCOME CL B Estimated Yield 3.43 % Dividend Option Cash Capital Gain Option Cash	CHDBX CASH	340.735	\$6.63	\$2,259.07	\$77.68	\$2,225.00 T	\$34.07
EATON VANCE HIGH INC OPPORTUNITIES CL B Estimated Yield 5.58 % Dividend Option Cash Capital Gain Option Cash	EVHIX CASH	490.868	\$4.22	\$2,071.46	\$115.32	\$2,150.00 T	(\$78.54)
EATON VANCE INCOME FUND OF BOSTON CL B Estimated Yield 5.72 % Dividend Option Cash Capital Gain Option Cash	EBIBX CASH	474.724	\$5.40	\$2,563.51	\$146.81	\$2,630.00 T	(\$66.49)

Account carried with National Financial Services LLC, Member
NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: [REDACTED]

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HOLDINGS > MUTUAL FUNDS *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/15	Current Market Value	Estimated Annual Income	Cost	Net Change
GOLDMAN SACHS HIGH YIELD CLASS A Estimated Yield 5.98% Dividend Option Reinvest Capital Gain Option Reinvest	GSHAX CASH	540.951	\$6.03	\$3,281.93	\$195.27	\$3,322.39 T	(\$60.46)
INVESCO HIGH YIELD C ASS B Estimated Yield 5.24% Dividend Option Cash Capital Gain Option Cash	AHYBX CASH	479.616	\$3.94	\$1,889.69	\$99.09	\$2,000.00 T	(\$110.31)
IVY HIGH INCOME CL B Estimated Yield 7.49% Dividend Option Cash Capital Gain Option Cash	WHIBX CASH	552.772	\$6.94	\$3,836.24	\$287.65	\$4,799.01 T	(\$962.77)
JOHN HANCOCK FLOATING RATE INC B Estimated Yield 4.54% Dividend Option Reinvest Capital Gain Option Reinvest	JFIBX CASH	1,262.233	\$8.17	\$10,312.44	\$468.70	\$11,833.88 T	(\$1,521.44)
JOHN HANCOCK INCOME FUND CLASS B Estimated Yield 2.49% Dividend Option Cash Capital Gain Option Cash	STIBX CASH	707.923	\$6.37	\$4,509.47	\$112.67	\$4,650.00 T	(\$140.53)
JPMORGAN HIGH YIELD BOND FUND CLASS A Estimated Yield 6.00% Dividend Option Cash Capital Gain Option Cash	OHYAX CASH	419.57	\$6.80	\$2,853.08	\$171.18	\$3,201.94 T	(\$348.86)
MAINSTAY HIGH YIELD CORP CLASS A Estimated Yield 6.72% Dividend Option Reinvest Capital Gain Option Reinvest	MHCAX CASH	338.775	\$5.30	\$1,795.51	\$120.74	\$1,961.96 T	(\$166.45)
MAINSTAY HIGH YIELD CORPORATE BOND CL B Estimated Yield 5.85% Dividend Option Cash Capital Gain Option Cash	MKHCX CASH	771.195	\$5.27	\$4,084.20	\$237.84	\$4,583.88 T	(\$519.66)
MFS CORPORATE BOND CLASS B Estimated Yield 2.83% Dividend Option Reinvest Capital Gain Option Reinvest	MFBFX CASH	160.24	\$13.39	\$2,145.61	\$60.84	\$2,220.59 T	(\$74.98)

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████

AXIOM

HOLDINGS > MUTUAL FUNDS *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/15	Current Market Value	Estimated Annual Income	Cost	Net Change
MFS GLOBAL HIGH YIELD CL B Estimated Yield 5.52% Dividend Option Cash Capital Gain Option Cash	MHOBX CASH	137.403	\$5.75	\$790.07	\$43.65	\$905.49 T	(\$115.42)
PIONEER GLOBAL HIGH YIELD CL A Estimated Yield 6.53% Dividend Option Cash Capital Gain Option Cash	PGHYX CASH	318.315	\$8.16	\$2,597.45	\$169.66	\$3,035.77 T	(\$438.32)
PRUDENTIAL HIGH YIELD CLASS B Estimated Yield 6.14% Dividend Option Cash Capital Gain Option Cash	PBHYX CASH	739.308	\$5.04	\$3,726.11	\$228.94	\$4,250.00 T	(\$523.89)
PUTNAM HIGH YIELD TRUST CLASS B Estimated Yield 5.15% Dividend Option Cash Capital Gain Option Cash	PHBBX CASH	265.038	\$7.00	\$1,855.27	\$95.68	\$2,101.75 T	(\$246.48)
SUNAMERICA FLEXIBLE CREDIT CLASS A Estimated Yield 4.44% Dividend Option Cash Capital Gain Option Cash	SHMAX CASH	902.058	\$3.28	\$2,940.71	\$130.64	\$2,966.59 T	(\$25.88)
WESTERN ASSET GLOB HI YIELD BOND CL B Estimated Yield 6.66% Dividend Option Cash Capital Gain Option Cash	SBHYX CASH	317.404	\$5.89	\$1,869.51	\$124.50	\$2,225.00 T	(\$355.49)
WESTERN ASSET SHORT DURATION HIGH INC A Estimated Yield 7.62% Dividend Option Cash Capital Gain Option Cash	SHIAX CASH	358.264	\$5.12	\$1,834.31	\$139.86	\$2,300.00 T	(\$465.69)
Total Fixed Income				\$57,175.64	\$3,028.72	\$63,383.23	(\$6,187.69)
Total Mutual Funds				\$73,716.90	\$3,534.48	\$81,103.15	(\$7,386.25)
Total Securities				\$123,143.57	\$7,408.38	\$140,794.81	(\$19,492.86)



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: [REDACTED]

AXIOM

HOLDINGS *continued*

	Quantity	Price on 12/31/15	Current Market Value	Estimated Annual Income	Cost	Net Change
TOTAL PORTFOLIO VALUE			\$138,624.16	\$7,408.38	\$140,794.81	(\$19,492.86)

Activity

The account cost and associated gain (loss) is provided for informational purposes only and should not be used for tax-reporting purposes. Such information is provided to help you estimate and track the change in market value of each position relative to your investment into this security (not including reinvestments). Please refer to Footnotes and Cost Information at the end of this statement for more information.

TRADING

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Cost	Transaction Gain (Loss)
Securities Purchased							
11/30/15	CASH	REINVESTMENT	GOLDMAN SACHS HIGH YIELD CLASS A REINVEST @ \$6.230	2.648	(\$16.50)		
11/30/15	CASH	REINVESTMENT	JOHN HANCOCK FLOATING RATE INC B REINVEST @ \$8.340	4.456	(\$37.16)		
11/30/15	CASH	REINVESTMENT	MFS CORPORATE BOND CLASS B REINVEST @ \$13.580	0.353	(\$4.80)		
11/30/15	CASH	REINVESTMENT	MAINSTAY HIGH YIELD CORP CLASS A REINVEST @ \$5.460	1.822	(\$9.95)		
12/10/15	CASH	REINVESTMENT	MFS CORPORATE BOND CLASS B REINVEST @ \$13.480	0.064	(\$0.86)	\$0.86	
12/10/15	CASH	REINVESTMENT	MFS CORPORATE BOND CLASS B REINVEST @ \$13.480	0.361	(\$4.86)	\$4.86	

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████

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TRADING *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Cost	Transaction Gain (Loss)
12/16/15	CASH	REINVESTMENT	MAINSTAY HIGH YIELD CORP CLASS A REINVEST @ \$5.300	1.889	(\$10.01)	\$10.01	
Total Securities Purchased							(\$84.14)

ACTIVITY > CORE FUND ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount		
12/01/15	CASH	YOU BOUGHT	FIDELITY GOVT MMKT CAPITAL RESERVES CL @ 1	190.32	(\$190.32)		
12/02/15	CASH	YOU BOUGHT	FIDELITY GOVT MMKT CAPITAL RESERVES CL @ 1	15.9	(\$15.90)		
12/07/15	CASH	YOU BOUGHT	FIDELITY GOVT MMKT CAPITAL RESERVES CL @ 1	13.69	(\$13.69)		
12/14/15	CASH	YOU BOUGHT	FIDELITY GOVT MMKT CAPITAL RESERVES CL @ 1	1.68	(\$1.68)		
12/16/15	CASH	YOU BOUGHT	FIDELITY GOVT MMKT CAPITAL RESERVES CL @ 1	4.83	(\$4.83)		
12/17/15	CASH	YOU BOUGHT	FIDELITY GOVT MMKT CAPITAL RESERVES CL @ 1	34.3	(\$34.30)		
12/18/15	CASH	YOU BOUGHT	FIDELITY GOVT MMKT CAPITAL RESERVES CL @ 1	11.16	(\$11.16)		
12/23/15	CASH	YOU BOUGHT	FIDELITY GOVT MMKT CAPITAL RESERVES CL @ 1	254.36	(\$254.36)		
12/28/15	CASH	YOU BOUGHT	FIDELITY GOVT MMKT CAPITAL RESERVES CL @ 1	7.95	(\$7.95)		

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Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: [REDACTED]

A X I O M

ACTIVITY > CORE FUND ACTIVITY *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/30/15	CASH	YOU BOUGHT	FIDELITY GOVT MMKT CAPITAL RESERVES CL @ 1	95.8	(\$95.80)
12/31/15	CASH	YOU BOUGHT	FIDELITY GOVT MMKT CAPITAL RESERVES CL @ 1	5,427.79	(\$5,427.79)
TOTAL CORE FUND ACTIVITY					(\$6,057.78)

ACTIVITY > ADDITIONS AND WITHDRAWALS > CURRENT YEAR CONTRIBUTION

Date	Account Type	Transaction	Description	Quantity	Amount	Cost	Transaction Gain (Loss)
Current Year Contribution							
12/31/15	CASH	RETIREMENT CONTRIB	CHECK RECEIVED KENNETH P LAVALLE		\$5,000.00		
Total Current Year Contribution					\$5,000.00		
TOTAL ADDITIONS AND WITHDRAWALS					\$5,000.00		

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
11/27/15	CASH	DIVIDEND RECEIVED	IVY HIGH INCOME CL B		\$28.05
11/30/15	CASH	DIVIDEND RECEIVED	INVESCO HIGH YIELD C ASS B		\$8.11
11/30/15	CASH	DIVIDEND RECEIVED	CS FLOATING RATE HIGH INCOME CL B		\$6.23

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: [REDACTED]

AXIOM

ACTIVITY > INCOME *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
11/30/15	CASH	DIVIDEND RECEIVED	EATON VANCE INCOME FUND OF BOSTON CL B		\$11.75
11/30/15	CASH	DIVIDEND RECEIVED	EATON VANCE HIGH INC OPPORTUNITIES CL B		\$9.05
11/30/15	CASH	DIVIDEND RECEIVED	GOLDMAN SACHS HIGH YIELD CLASS A		\$16.50
11/30/15	CASH	DIVIDEND RECEIVED	JOHN HANCOCK INCOME FUND CLASS B		\$8.27
11/30/15	CASH	DIVIDEND RECEIVED	JOHN HANCOCK FLOATING RATE INC B		\$37.16
11/30/15	CASH	DIVIDEND RECEIVED	WESTERN ASSET GLOB HI YIELD BOND CL B		\$9.52
11/30/15	CASH	DIVIDEND RECEIVED	WESTERN ASSET SHORT DURATION HIGH INC B		\$9.26
11/30/15	CASH	DIVIDEND RECEIVED	MFS CORPORATE BOND CLASS B		\$4.80
11/30/15	CASH	DIVIDEND RECEIVED	MFS GLOBAL HIGH YIELD CL B		\$3.36
11/30/15	CASH	DIVIDEND RECEIVED	MAINSTAY HIGH YIELD CORPORATE BOND CL B		\$19.82
11/30/15	CASH	DIVIDEND RECEIVED	MAINSTAY HIGH YIELD CORP CLASS A		\$9.95
11/30/15	CASH	DIVIDEND RECEIVED	PIONEER GLOBAL HIGH YIELD CL A		\$13.33
11/30/15	CASH	DIVIDEND RECEIVED	PRUDENTIAL HIGH YIELD CLASS B		\$18.17

Account carried with National Financial Services LLC, Member NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number [REDACTED]

AXIOM

ACTIVITY > INCOME *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
11/30/15	CASH	DIVIDEND RECEIVED	SUNAMERICA FLEXIBLE CREDIT CLASS A		\$9.67
12/01/15	CASH	DIVIDEND RECEIVED	FEDERATED CAPITAL INCOME CL C		\$18.39
12/01/15	CASH	DIVIDEND RECEIVED	MFS UTILITIES CLASS B		\$6.68
12/01/15	CASH	DIVIDEND RECEIVED	NUVEEN CREDIT STRATEGIES INCOME FUND		\$12.10
12/01/15	CASH	DIVIDEND RECEIVED	WELLS FARGO ADVANTAGE INCOME OPPORTUNITIES FD COM SHS		\$16.46
12/05/15	CASH	DIVIDEND RECEIVED	FIRST INV FUND FOR INCOME CL B		\$13.69
12/15/15	CASH	DIVIDEND RECEIVED	WESTERN ASSET SHORT DURATION HIGH INC B		\$4.83
12/16/15	CASH	DIVIDEND RECEIVED	MAINSTAY HIGH YIELD CORPORATE BOND CL B		\$19.82
12/16/15	CASH	DIVIDEND RECEIVED	MAINSTAY HIGH YIELD CORP CLASS A		\$10.01
12/18/15	CASH	DIVIDEND RECEIVED	BLACKROCK FLOATING RATE INCOME STRATEGIES FD INC COM		\$11.18
12/28/15	CASH	DIVIDEND RECEIVED	PUTNAM HIGH YIELD TRUST CLASS B		\$7.95
12/30/15	CASH	DIVIDEND RECEIVED	EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5		\$16.19

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████

A X I O M

ACTIVITY > INCOME *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/30/15	CASH	DIVIDEND RECEIVED	EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1078 SEDOL #2885454		\$16.48
12/30/15	CASH	DIVIDEND ADJUSTMENT	EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1078 SEDOL #2885454		(\$40.02)
12/30/15	CASH	DIVIDEND RECEIVED	EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1078 SEDOL #2885454		\$40.02
12/30/15	CASH	DIVIDEND RECEIVED	EATON VANCE TX ADV GLBL DIV FD COM ISIN #US27828S1015 SEDOL #2347589		\$9.10
12/30/15	CASH	DIVIDEND ADJUSTMENT	EATON VANCE TX ADV GLBL DIV FD COM ISIN #US27828S1015 SEDOL #2347589		(\$11.28)
12/30/15	CASH	DIVIDEND RECEIVED	EATON VANCE TX ADV GLBL DIV FD COM ISIN #US27828S1015 SEDOL #2347589		\$11.28
12/30/15	CASH	DIVIDEND RECEIVED	JPMORGAN HIGH YIELD BOND FUND CLASS A		\$16.78
12/30/15	CASH	DIVIDEND RECEIVED	PUTNAM GLOBAL UTILITIES CL B		\$11.53
12/31/15	CASH	DIVIDEND RECEIVED	ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602078 SEDOL #BHLSDX6		\$15.24



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: [REDACTED]

AXIOM

ACTIVITY > INCOME *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/31/15	CASH	DIVIDEND RECEIVED	BLACKROCK ENHANCED EQUITY DIVID TR COM		\$11.30
12/31/15	CASH	DIVIDEND RECEIVED	DELAWARE ENHANCED GLOBAL DIVID & INCOME FD		\$8.55
12/31/15	CASH	DIVIDEND RECEIVED	FEDERATED CAPITAL INCOME CL C		\$37.44
12/31/15	CASH	DIVIDEND RECEIVED	FIDELITY GOVT MMTK CAPITAL RESERVES CL DIVIDEND RECEIVED		\$0.08
12/31/15	CASH	DIVIDEND RECEIVED	NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM		\$22.08
12/31/15	CASH	DIVIDEND RECEIVED	NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1		\$93.13
12/31/15	CASH	DIVIDEND RECEIVED	NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6706991071 SEDOL #BTLF9VD		\$100.10
12/31/15	CASH	DIVIDEND RECEIVED	NUVEEN CREDIT STRATEGIES INCOME FUND		\$12.46
12/31/15	CASH	DIVIDEND RECEIVED	NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114		\$127.41
Total Dividends					\$839.98
Capital Gain					
12/10/15	CASH	LONG TERM CAP GAIN	MFS CORPORATE BOND CLASS B		\$4.86
12/10/15	CASH	SHORT CAP GAIN	MFS CORPORATE BOND CLASS B		\$0.86

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████



ACTIVITY > INCOME *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/14/15	CASH	LONG CAP GAIN	JPMORGAN HIGH YIELD BOND FUND CLASS A		\$1.88
12/17/15	CASH	SHORT CAP GAIN	JOHN HANCOCK INCOME FUND CLASS B		\$14.48
12/22/15	CASH	LONG CAP GAIN	MFS UTILITIES CLASS B		\$251.25
12/22/15	CASH	SHORT CAP GAIN	MFS UTILITIES CLASS B		\$3.11
12/30/15	CASH	LONG CAP GAIN	EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454		\$23.54
Total Capital Gain					\$299.78
Return of Capital					
12/30/15	CASH	RETURN OF CAPITAL	EATON VANCE TX ADV GBL DIV FD COM ISIN #US27828S1015 SEDOL #2347589		\$2.18
Total Return of Capital					\$2.18
TOTAL INCOME					\$1,141.92

ACTIVITY > OTHER ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Cost	Transaction Gain (Loss)
12/15/15	CASH	CONVERSION	WESTERN ASSET SHORT DURATION HIGH INC B TO 52469F630	(361.068)	\$0.00		



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: ██████████

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ACTIVITY > OTHER ACTIVITY *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Cost	Transaction Gain (Loss)
12/15/15	CASH	CONVERSION	WESTERN ASSET SHORT DURATION HIGH INC A FROM 52469F622	358.284	\$0.00	\$0.00	\$0.00
					\$0.00		

TOTAL OTHER ACTIVITY

Unrealized Gain (Loss) Lot Detail

The account cost and associated gain (loss) information is provided for informational purposes only and should not be used for tax-reporting purposes. Such information is provided to help you estimate and track the change in market value of each position relative to your investments into this security (not including reinvestments). Refer to the Footnotes and Cost Information at the end of this statement for more information.

EQUITIES

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	04/23/07	A00	\$7.68	46	\$353.28	\$2,016.24 T	(\$1,662.96)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	11/27/07	A00	\$7.68	88	\$675.84	\$2,199.91 T	(\$1,524.07)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	01/15/08	A00	\$7.68	3	\$23.04	\$117.60 T	(\$94.56)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	01/15/08	A00	\$7.68	1	\$7.88	\$33.54 T	(\$25.66)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	01/31/08	A00	\$7.68	1	\$7.68	\$32.10 T	(\$24.42)

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number [REDACTED]



UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	03/03/08	A00	\$7.68	1	\$7.68	\$32.32 T	(\$24.64)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	04/09/08	A00	\$7.68	1	\$7.68	\$30.78 T	(\$23.10)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	05/09/08	A00	\$7.68	1	\$7.68	\$32.10 T	(\$24.42)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	06/06/08	A00	\$7.68	1	\$7.68	\$31.76 T	(\$24.08)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	07/11/08	A00	\$7.68	1	\$7.68	\$42.72 T	(\$35.04)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	08/05/08	A00	\$7.68	1	\$7.68	\$38.58 T	(\$30.90)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	09/17/08	A00	\$7.68	1	\$7.68	\$35.78 T	(\$28.10)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	10/14/08	A00	\$7.68	2	\$15.36	\$41.18 T	(\$25.82)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	11/10/08	A00	\$7.68	2	\$15.36	\$39.30 T	(\$23.94)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	12/10/08	A00	\$7.68	3	\$23.04	\$42.93 T	(\$19.89)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	01/09/09	A00	\$7.68	3	\$23.04	\$48.68 T	(\$25.64)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	02/04/09	A00	\$7.68	3	\$23.04	\$49.21 T	(\$26.17)

Account carried with National Financial Services LLC, Member
 NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: [REDACTED]

AXIOM

UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	02/06/09	A00	\$7.68	3	\$23.04	\$49.21 T	(\$26.17)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	03/09/09	A00	\$7.68	4	\$30.72	\$46.34 T	(\$15.62)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	04/07/09	A00	\$7.68	2	\$15.36	\$31.00 T	(\$15.64)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	05/04/09	A00	\$7.68	2	\$15.36	\$31.15 T	(\$15.79)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	06/10/09	A00	\$7.68	2	\$15.36	\$29.20 T	(\$13.84)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	07/06/09	A00	\$7.68	2	\$15.36	\$36.35 T	(\$20.99)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	08/04/09	A00	\$7.68	2	\$15.36	\$37.15 T	(\$21.79)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	09/03/09	A00	\$7.68	2	\$15.36	\$31.76 T	(\$16.40)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	10/02/09	A00	\$7.68	2	\$15.36	\$34.08 T	(\$18.72)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	11/03/09	A00	\$7.68	2	\$15.36	\$32.16 T	(\$16.80)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	12/03/09	A00	\$7.68	2	\$15.36	\$33.12 T	(\$17.76)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	01/06/10	A00	\$7.68	2	\$15.36	\$33.88 T	(\$18.52)

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number [REDACTED]

AXIOM

UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #USD210802076 SEDOL #BHLSQX6	CASH	02/02/10	A00	\$7.68	2	\$15.36	\$40.35 T	(\$24.99)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #USD210802076 SEDOL #BHLSQX6	CASH	03/02/10	A00	\$7.68	2	\$15.36	\$40.10 T	(\$24.74)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #USD210802076 SEDOL #BHLSQX6	CASH	04/06/10	A00	\$7.68	2	\$15.36	\$34.36 T	(\$19.00)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #USD210802076 SEDOL #BHLSQX6	CASH	05/04/10	A00	\$7.68	2	\$15.36	\$33.84 T	(\$18.48)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #USD210682076 SEDOL #BHLSQX6	CASH	06/02/10	A00	\$7.68	3	\$23.04	\$39.36 T	(\$16.32)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #USD210802076 SEDOL #BHLSQX6	CASH	07/07/10	A00	\$7.68	4	\$30.72	\$40.49 T	(\$9.77)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #USD210602076 SEDOL #BHLSQX6	CASH	08/06/10	A00	\$7.68	1	\$7.68	\$16.44 T	(\$8.76)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #USD210802076 SEDOL #BHLSQX6	CASH	09/07/10	A00	\$7.68	1	\$7.68	\$15.72 T	(\$8.04)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #USD210602076 SEDOL #BHLSQX6	CASH	10/05/10	A00	\$7.68	1	\$7.68	\$16.63 T	(\$8.95)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #USD210802076 SEDOL #BHLSQX6	CASH	11/03/10	A00	\$7.68	1	\$7.68	\$17.20 T	(\$9.52)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #USD210802076 SEDOL #BHLSQX6	CASH	12/08/10	A00	\$7.68	1	\$7.68	\$17.47 T	(\$9.79)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #USD210802076 SEDOL #BHLSQX6	CASH	01/18/11	A00	\$7.68	1	\$7.68	\$18.24 T	(\$10.56)

Account carried with National Financial Services LLC, Member
 NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: [REDACTED]

AXIOM

UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210802078 SEDOL #BHLSQX6	CASH	02/15/11	ADD	\$7.68	1	\$7.68	\$18.46 T	(\$10.78)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210802078 SEDOL #BHLSQX6	CASH	03/11/11	ADD	\$7.68	1	\$7.68	\$18.48 T	(\$10.78)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210802078 SEDOL #BHLSQX6	CASH	04/13/11	ADD	\$7.68	1	\$7.68	\$18.70 T	(\$11.02)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210802078 SEDOL #BHLSQX6	CASH	05/10/11	ADD	\$7.68	1	\$7.68	\$19.11 T	(\$11.43)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210802078 SEDOL #BHLSQX6	CASH	06/13/11	ADD	\$7.68	1	\$7.68	\$18.52 T	(\$10.84)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210802078 SEDOL #BHLSQX6	CASH	07/12/11	ADD	\$7.68	1	\$7.68	\$18.55 T	(\$10.87)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210802078 SEDOL #BHLSQX6	CASH	08/12/11	ADD	\$7.68	1	\$7.68	\$17.43 T	(\$9.75)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210802078 SEDOL #BHLSQX6	CASH	09/13/11	ADD	\$7.68	2	\$15.36	\$20.50 T	(\$5.14)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210802078 SEDOL #BHLSQX6	CASH	10/13/11	ADD	\$7.68	2	\$15.36	\$22.02 T	(\$6.66)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210802078 SEDOL #BHLSQX6	CASH	11/11/11	ADD	\$7.68	2	\$15.36	\$19.41 T	(\$4.05)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210802078 SEDOL #BHLSQX6	CASH	12/12/11	ADD	\$7.68	2	\$15.36	\$23.01 T	(\$7.65)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210802078 SEDOL #BHLSQX6	CASH	01/11/12	ADD	\$7.68	2	\$15.36	\$22.41 T	(\$7.05)

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number ██████████

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UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	02/10/12	AOD	\$7.68	2	\$15.36	\$19.06 T	(\$3.70)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	03/13/12	AOD	\$7.68	2	\$15.36	\$19.26 T	(\$3.90)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	04/12/12	AOD	\$7.68	2	\$15.36	\$23.87 T	(\$8.51)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	05/09/12	AOD	\$7.68	2	\$15.36	\$23.31 T	(\$7.95)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	06/12/12	AOD	\$7.68	3	\$23.04	\$24.53 T	(\$1.49)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	07/13/12	AOD	\$7.68	2	\$15.36	\$21.47 T	(\$6.11)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	08/09/12	AOD	\$7.68	2	\$15.36	\$21.45 T	(\$6.09)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210802076 SEDOL #BHLSQX6	CASH	09/14/12	AOD	\$7.68	2	\$15.36	\$22.19 T	(\$6.83)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	10/10/12	AOD	\$7.68	2	\$15.36	\$22.50 T	(\$7.14)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	11/14/12	AOD	\$7.68	3	\$23.04	\$25.71 T	(\$2.67)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	12/11/12	AOD	\$7.68	3	\$23.04	\$24.84 T	(\$1.80)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	01/11/13	AOD	\$7.68	3	\$23.04	\$25.06 T	(\$2.02)

Account carried with National Financial Services LLC, Member
 NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: ██████████

A X I O M

UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	02/13/13	AOD	\$7.68	3	\$23.04	\$24.38 T	(\$1.34)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	03/13/13	AOD	\$7.68	1	\$7.68	\$12.19 T	(\$4.51)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	04/12/13	AOD	\$7.68	1	\$7.68	\$12.28 T	(\$4.60)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	05/10/13	AOD	\$7.68	1	\$7.68	\$12.63 T	(\$4.95)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	06/17/13	AOD	\$7.68	1	\$7.68	\$12.30 T	(\$4.62)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	07/12/13	AOD	\$7.68	1	\$7.68	\$11.93 T	(\$4.25)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	08/13/13	AOD	\$7.68	1	\$7.68	\$12.19 T	(\$4.51)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	09/12/13	AOD	\$7.68	1	\$7.68	\$11.94 T	(\$4.26)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	10/10/13	AOD	\$7.68	1	\$7.68	\$12.25 T	(\$4.57)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	11/08/13	AOD	\$7.68	1	\$7.68	\$12.55 T	(\$4.87)
ALPINE TOTAL DYNAMIC DIVID FD COM SH BEN INT N ISIN #US0210602076 SEDOL #BHLSQX6	CASH	12/11/13	AOD	\$7.68	1	\$7.68	\$12.34 T	(\$4.66)
Position Total			AOD		265	\$2,035.20	\$8,277.14	(\$4,241.94)

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number [REDACTED]

AXIOM

UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
BLACKROCK ENHANCED EQUITY DIVID TR COM	CASH		BDJ	\$7.61	242	\$1,841.62	Unknown	
BLACKROCK FLOATING RATE INCOME STRATEGIES FD INC COM	CASH	02/11/08	FRA	\$12.90	164	\$2,115.60	\$3,103.50 T	(\$987.90)
BLACKROCK FLOATING RATE INCOME STRATEGIES FD INC COM	CASH	10/13/08	FRA	\$12.90	1	\$12.90	\$19.36 T	(\$6.46)
BLACKROCK FLOATING RATE INCOME STRATEGIES FD INC COM	CASH	11/13/08	FRA	\$12.90	1	\$12.90	\$16.44 T	(\$3.54)
BLACKROCK FLOATING RATE INCOME STRATEGIES FD INC COM	CASH	12/11/08	FRA	\$12.90	2	\$25.80	\$22.98 T	\$2.82
BLACKROCK FLOATING RATE INCOME STRATEGIES FD INC COM	CASH	12/31/08	FRA	\$12.90	2	\$25.80	\$23.16 T	\$2.64
BLACKROCK FLOATING RATE INCOME STRATEGIES FD INC COM	CASH	02/02/09	FRA	\$12.90	2	\$25.80	\$19.23 T	\$6.57
BLACKROCK FLOATING RATE INCOME STRATEGIES FD INC COM	CASH	03/09/09	FRA	\$12.90	2	\$25.80	\$22.75 T	\$3.05
BLACKROCK FLOATING RATE INCOME STRATEGIES FD INC COM	CASH	04/15/09	FRA	\$12.90	2	\$25.80	\$17.92 T	\$7.88
BLACKROCK FLOATING RATE INCOME STRATEGIES FD INC COM	CASH	05/11/09	FRA	\$12.90	2	\$25.80	\$19.94 T	\$5.86
BLACKROCK FLOATING RATE INCOME STRATEGIES FD INC COM	CASH	06/11/09	FRA	\$12.90	2	\$25.80	\$23.48 T	\$2.32
BLACKROCK FLOATING RATE INCOME STRATEGIES FD INC COM	CASH	07/10/09	FRA	\$12.90	1	\$12.90	\$15.39 T	(\$2.49)
BLACKROCK FLOATING RATE INCOME STRATEGIES FD INC COM	CASH	08/07/09	FRA	\$12.90	1	\$12.90	\$16.69 T	(\$3.79)
BLACKROCK FLOATING RATE INCOME STRATEGIES FD INC COM	CASH	09/02/09	FRA	\$12.90	1	\$12.90	\$17.40 T	(\$4.50)
	Position Total		FRA		183	\$2,380.70	\$3,338.24	(\$977.54)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	06/18/07	DEX	\$9.35	85.083	\$795.53	\$2,011.76 T	(\$1,216.23)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	04/09/08	DEX	\$9.35	0.567	\$5.30	\$9.00 T	(\$3.70)

Account carried with National Financial Services LLC, Member NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: [REDACTED]

AXIOM

UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	05/05/08	DEX	\$9.35	0.567	\$5.30	\$9.51 T	(\$4.21)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	05/05/08	DEX	\$9.35	0.567	\$5.30	\$9.13 T	(\$3.83)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	06/06/08	DEX	\$9.35	0.567	\$5.30	\$9.65 T	(\$4.35)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	07/10/08	DEX	\$9.35	0.567	\$5.30	\$8.85 T	(\$3.55)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	08/05/08	DEX	\$9.35	0.567	\$5.30	\$8.04 T	(\$2.74)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	09/11/08	DEX	\$9.35	0.567	\$5.30	\$7.82 T	(\$2.52)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	10/07/08	DEX	\$9.35	0.567	\$5.30	\$6.20 T	(\$0.90)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	11/13/08	DEX	\$9.35	1.134	\$10.60	\$9.80 T	\$0.80
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	12/08/08	DEX	\$9.35	1.701	\$15.90	\$11.67 T	\$4.23
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	01/05/09	DEX	\$9.35	1.134	\$10.60	\$8.74 T	\$1.88
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	02/11/09	DEX	\$9.35	0.567	\$5.30	\$4.55 T	\$0.75
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	03/04/09	DEX	\$9.35	1.134	\$10.60	\$7.29 T	\$3.31
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	04/02/09	DEX	\$9.35	1.134	\$10.60	\$8.27 T	\$2.33
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	04/29/09	DEX	\$9.35	0.567	\$5.30	\$4.77 T	\$0.53
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	06/04/09	DEX	\$9.35	0.567	\$5.30	\$5.29 T	\$0.01
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	07/02/09	DEX	\$9.35	0.567	\$5.30	\$5.45 T	(\$0.15)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	08/06/09	DEX	\$9.35	0.567	\$5.30	\$5.76 T	(\$0.46)

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number [REDACTED]

AXIOM

UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	09/08/09	DEX	\$9.35	0.567	\$5.30	\$5.89 T	(\$0.59)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	09/30/09	DEX	\$9.35	0.567	\$5.30	\$8.11 T	(\$2.81)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	11/04/09	DEX	\$9.35	0.567	\$5.30	\$6.10 T	(\$0.80)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	12/02/09	DEX	\$9.35	0.567	\$5.30	\$6.39 T	(\$1.09)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	12/31/09	DEX	\$9.35	0.567	\$5.30	\$6.91 T	(\$1.61)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	02/03/10	DEX	\$9.35	0.567	\$5.30	\$6.91 T	(\$1.61)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	03/04/10	DEX	\$9.35	0.567	\$5.30	\$6.79 T	(\$1.49)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	03/31/10	DEX	\$9.35	0.567	\$5.30	\$6.94 T	(\$1.64)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	05/06/10	DEX	\$9.35	0.567	\$5.30	\$7.06 T	(\$1.76)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	06/04/10	DEX	\$9.35	0.567	\$5.30	\$6.26 T	(\$0.96)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	07/06/10	DEX	\$9.35	0.567	\$5.30	\$6.65 T	(\$1.35)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	08/05/10	DEX	\$9.35	0.567	\$5.30	\$6.83 T	(\$1.53)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	09/02/10	DEX	\$9.35	0.567	\$5.30	\$6.59 T	(\$1.29)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	09/30/10	DEX	\$9.35	0.567	\$5.30	\$6.75 T	(\$1.45)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	11/05/10	DEX	\$9.35	0.567	\$5.30	\$6.93 T	(\$1.63)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	12/01/10	DEX	\$9.35	0.567	\$5.30	\$6.79 T	(\$1.49)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	01/06/11	DEX	\$9.35	0.567	\$5.30	\$6.96 T	(\$1.66)

Account carried with National Financial Services LLC, Member NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: ██████████

AXIOM

UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	02/02/11	DEX	\$9.35	0.587	\$5.30	\$6.95 T	(\$1.65)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	03/02/11	DEX	\$9.35	0.567	\$5.30	\$7.04 T	(\$1.74)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	03/30/11	DEX	\$9.35	0.587	\$5.30	\$7.02 T	(\$1.72)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	05/03/11	DEX	\$9.35	0.587	\$5.30	\$7.33 T	(\$2.03)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	06/01/11	DEX	\$9.35	0.587	\$5.30	\$7.44 T	(\$2.14)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	06/28/11	DEX	\$9.35	0.587	\$5.30	\$7.29 T	(\$1.99)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	08/02/11	DEX	\$9.35	0.587	\$5.30	\$7.07 T	(\$1.77)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	08/30/11	DEX	\$9.35	0.587	\$5.30	\$6.31 T	(\$1.01)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	10/04/11	DEX	\$9.35	0.587	\$5.30	\$6.05 T	(\$0.75)
DELAWARE ENHANCED GLOBAL DIVID & INCOME FD	CASH	10/18/11	DEX	\$9.35	0.587	\$5.30	\$5.93 T	(\$0.63)
	Position Total		DEX		114.000	\$1,065.90	\$2,334.84	(\$1,268.94)
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #805Q1G5	CASH	11/09/05	EOS	\$13.64	117	\$1,595.88	\$2,025.10 T	(\$429.22)
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #805Q1G5	CASH	07/08/08	EOS	\$13.64	1	\$13.64	\$14.93 T	(\$1.29)
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #805Q1G5	CASH	08/06/08	EOS	\$13.64	1	\$13.64	\$14.11 T	(\$0.47)
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #805Q1G5	CASH	09/09/08	EOS	\$13.64	1	\$13.64	\$14.38 T	(\$0.74)

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████

AXIOM

UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	10/13/08	EOS	\$13.64	1	\$13.64	\$10.62 T	\$3.02
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	11/12/08	EOS	\$13.64	1	\$13.64	\$10.52 T	\$3.12
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	12/09/08	EOS	\$13.64	1	\$13.64	\$7.91 T	\$5.73
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	01/14/09	EOS	\$13.64	1	\$13.64	\$9.35 T	\$4.29
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	02/09/09	EOS	\$13.64	1	\$13.64	\$9.28 T	\$4.36
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	03/10/09	EOS	\$13.64	2	\$27.28	\$12.34 T	\$14.94
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	04/13/09	EOS	\$13.64	1	\$13.64	\$8.03 T	\$5.61
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	05/12/09	EOS	\$13.64	1	\$13.64	\$9.09 T	\$4.55
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	06/04/09	EOS	\$13.64	1	\$13.64	\$9.81 T	\$3.83
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	07/07/09	EOS	\$13.64	1	\$13.64	\$9.78 T	\$3.86
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	08/05/09	EOS	\$13.64	1	\$13.64	\$10.60 T	\$3.04
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	09/04/09	EOS	\$13.64	1	\$13.64	\$10.47 T	\$3.17

Account carried with National Financial Services LLC, Member
 NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: ██████████

AXIOM

UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #805Q1G5	CASH	10/07/09	EOS	\$13.64	1	\$13.64	\$10.66 T	\$2.98
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #805Q1G5	CASH	11/04/09	EOS	\$13.64	1	\$13.64	\$10.33 T	\$3.31
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #805Q1G5	CASH	12/04/09	EOS	\$13.64	1	\$13.64	\$11.22 T	\$2.42
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #805Q1G5	CASH	01/07/10	EOS	\$13.64	1	\$13.64	\$11.56 T	\$2.08
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #805Q1G5	CASH	02/08/10	EOS	\$13.64	1	\$13.64	\$10.70 T	\$2.94
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #805Q1G5	CASH	03/10/10	EOS	\$13.64	1	\$13.64	\$10.73 T	\$2.91
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #805Q1G5	CASH	04/07/10	EOS	\$13.64	1	\$13.64	\$11.19 T	\$2.45
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #805Q1G5	CASH	05/06/10	EOS	\$13.64	1	\$13.64	\$11.16 T	\$2.48
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #805Q1G5	CASH	06/11/10	EOS	\$13.64	1	\$13.64	\$10.13 T	\$3.51
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #805Q1G5	CASH	08/15/10	EOS	\$13.64	1	\$13.64	\$10.14 T	\$3.50
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #805Q1G5	CASH	07/08/10	EOS	\$13.64	1	\$13.64	\$9.63 T	\$4.01
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #805Q1G5	CASH	08/05/10	EOS	\$13.64	1	\$13.64	\$10.11 T	\$3.53

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████



UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	09/08/10	EOS	\$13.64	1	\$13.64	\$9.55 T	\$4.09
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	10/07/10	EOS	\$13.64	1	\$13.64	\$10.25 T	\$3.39
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	11/04/10	EOS	\$13.64	1	\$13.64	\$10.49 T	\$3.15
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	12/08/10	EOS	\$13.64	1	\$13.64	\$10.42 T	\$3.22
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	01/12/11	EOS	\$13.64	1	\$13.64	\$10.26 T	\$3.38
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	02/14/11	EOS	\$13.64	1	\$13.64	\$10.27 T	\$3.37
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	03/10/11	EOS	\$13.64	1	\$13.64	\$10.48 T	\$3.16
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	04/11/11	EOS	\$13.64	1	\$13.64	\$10.72 T	\$2.92
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	05/11/11	EOS	\$13.64	1	\$13.64	\$10.53 T	\$3.11
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	06/10/11	EOS	\$13.64	1	\$13.64	\$10.51 T	\$3.13
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	07/13/11	EOS	\$13.64	1	\$13.64	\$10.48 T	\$3.16
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	08/10/11	EOS	\$13.64	1	\$13.64	\$9.48 T	\$4.16

Account carried with National Financial Services LLC, Member
 NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: [REDACTED]

AXIOM

UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
EATON VANCE ENH EDY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	09/12/11	EOS	\$13.64	1	\$13.64	\$9.17 T	\$4.47
EATON VANCE ENH EDY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	10/13/11	EOS	\$13.64	1	\$13.64	\$8.43 T	\$5.21
EATON VANCE ENH EDY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	11/10/11	EOS	\$13.64	1	\$13.64	\$9.08 T	\$4.56
EATON VANCE ENH EDY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	12/13/11	EOS	\$13.64	1	\$13.64	\$9.27 T	\$4.37
EATON VANCE ENH EDY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	01/18/12	EOS	\$13.64	1	\$13.64	\$9.42 T	\$4.22
EATON VANCE ENH EDY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	02/10/12	EOS	\$13.64	1	\$13.64	\$10.08 T	\$3.56
EATON VANCE ENH EDY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	03/13/12	EOS	\$13.64	1	\$13.64	\$10.25 T	\$3.39
EATON VANCE ENH EDY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	04/12/12	EOS	\$13.64	1	\$13.64	\$10.18 T	\$3.46
EATON VANCE ENH EDY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	05/14/12	EOS	\$13.64	1	\$13.64	\$10.29 T	\$3.35
EATON VANCE ENH EDY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	06/19/12	EOS	\$13.64	1	\$13.64	\$9.67 T	\$3.97
EATON VANCE ENH EDY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	07/13/12	EOS	\$13.64	1	\$13.64	\$10.10 T	\$3.54
EATON VANCE ENH EDY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	08/08/12	EOS	\$13.64	1	\$13.64	\$10.26 T	\$3.38

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████

AXIOM

UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	09/13/12	EOS	\$13.64	1	\$13.64	\$10.69 T	\$2.95
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	10/12/12	EOS	\$13.64	1	\$13.64	\$10.98 T	\$2.66
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	11/09/12	EOS	\$13.64	1	\$13.64	\$10.74 T	\$2.90
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	12/12/12	EOS	\$13.64	1	\$13.64	\$10.47 T	\$3.17
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	01/11/13	EOS	\$13.64	1	\$13.64	\$10.75 T	\$2.89
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	02/12/13	EOS	\$13.64	1	\$13.64	\$11.02 T	\$2.62
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	03/13/13	EOS	\$13.64	1	\$13.64	\$11.16 T	\$2.48
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	04/11/13	EOS	\$13.64	1	\$13.64	\$11.28 T	\$2.36
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	05/10/13	EOS	\$13.64	1	\$13.64	\$11.50 T	\$2.14
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	06/13/13	EOS	\$13.64	1	\$13.64	\$11.55 T	\$2.09
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	07/11/13	EOS	\$13.64	1	\$13.64	\$11.60 T	\$2.04
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #B05Q1G5	CASH	08/19/13	EOS	\$13.64	1	\$13.64	\$11.99 T	\$1.65

Account carried with National Financial Services LLC, Member
 NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: ~~XXXXXXXXXX~~

AXIOM

UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #805Q1G5	CASH	09/16/13	EOS	\$13.64	1	\$13.64	\$11.77 T	\$1.87
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #805Q1G5	CASH	10/15/13	EOS	\$13.64	1	\$13.64	\$11.86 T	\$1.78
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #805Q1G5	CASH	11/14/13	EOS	\$13.64	1	\$13.64	\$12.37 T	\$1.27
EATON VANCE ENH EQTY INC FD II COM ISIN #US2782771081 SEDOL #805Q1G5	CASH	12/11/13	EOS	\$13.64	1	\$13.64	\$12.53 T	\$1.11
		Position Total	EOS		185	\$2,523.40	\$2,735.78	(\$212.38)
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	09/30/04	EVT	\$19.34	182	\$3,519.88	\$3,641.82 T	(\$121.94)
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	11/05/04	EVT	\$19.34	1	\$19.34	\$20.02 T	(\$0.68)
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	05/09/05	EVT	\$19.34	1	\$19.34	\$20.37 T	(\$1.03)
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	11/08/05	EVT	\$19.34	1	\$19.34	\$21.73 T	(\$2.39)
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	12/07/05	EVT	\$19.34	1	\$19.34	\$22.32 T	(\$2.98)
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	01/06/06	EVT	\$19.34	1	\$19.34	\$23.21 T	(\$3.87)
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	06/08/06	EVT	\$19.34	1	\$19.34	\$24.17 T	(\$4.83)

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ~~XXXXXXXXXX~~

AXIOM

UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	07/10/06	EVT	\$19.34	1	\$19.34	\$24.30 T	(\$4.96)
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	08/11/06	EVT	\$19.34	1	\$19.34	\$24.60 T	(\$5.26)
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	08/09/07	EVT	\$19.34	1	\$19.34	\$26.92 T	(\$7.58)
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	09/13/07	EVT	\$19.34	1	\$19.34	\$26.95 T	(\$7.61)
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	10/15/07	EVT	\$19.34	1	\$19.34	\$28.75 T	(\$9.41)
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	11/12/07	EVT	\$19.34	1	\$19.34	\$28.77 T	(\$9.43)
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	12/11/07	EVT	\$19.34	1	\$19.34	\$27.45 T	(\$8.11)
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	01/14/08	EVT	\$19.34	1	\$19.34	\$27.04 T	(\$7.70)
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	02/05/08	EVT	\$19.34	1	\$19.34	\$26.65 T	(\$7.31)
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	03/12/08	EVT	\$19.34	1	\$19.34	\$24.13 T	(\$4.79)
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	04/11/08	EVT	\$19.34	1	\$19.34	\$24.29 T	(\$4.95)
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	05/09/08	EVT	\$19.34	1	\$19.34	\$25.57 T	(\$6.23)

Account carried with National Financial Services LLC, Member
 NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: [REDACTED]

AXIOM

UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	06/02/08	EVT	\$19.34	1	\$19.34	\$25.91 T	(\$6.57)
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	07/08/08	EVT	\$19.34	1	\$19.34	\$23.02 T	(\$3.68)
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	08/05/08	EVT	\$19.34	1	\$19.34	\$21.85 T	(\$2.51)
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	09/08/08	EVT	\$19.34	1	\$19.34	\$21.23 T	(\$1.89)
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	10/13/08	EVT	\$19.34	2	\$38.68	\$29.27 T	\$9.41
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	11/12/08	EVT	\$19.34	2	\$38.68	\$25.91 T	\$12.77
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	12/08/08	EVT	\$19.34	3	\$58.02	\$29.57 T	\$28.45
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	01/13/09	EVT	\$19.34	2	\$38.68	\$24.40 T	\$14.28
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	01/21/09	EVT	\$19.34	1	\$19.34	\$11.85 T	\$7.49
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	03/10/09	EVT	\$19.34	3	\$58.02	\$21.78 T	\$36.24
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	04/13/09	EVT	\$19.34	2	\$38.68	\$18.58 T	\$20.10
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	05/12/09	EVT	\$19.34	2	\$38.68	\$21.29 T	\$17.39

Account carried with National Financial Services LLC, Member
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Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████



UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	08/11/09	EVT	\$19.34	1	\$19.34	\$12.17 T	\$7.17
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	07/10/09	EVT	\$19.34	1	\$19.34	\$11.94 T	\$7.40
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	08/11/09	EVT	\$19.34	1	\$19.34	\$13.82 T	\$5.52
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	09/10/09	EVT	\$19.34	1	\$19.34	\$13.79 T	\$5.55
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	10/09/09	EVT	\$19.34	1	\$19.34	\$14.60 T	\$4.74
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	11/12/09	EVT	\$19.34	1	\$19.34	\$14.40 T	\$4.94
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	12/09/09	EVT	\$19.34	1	\$19.34	\$15.83 T	\$3.51
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	01/14/10	EVT	\$19.34	1	\$19.34	\$18.22 T	\$3.12
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	01/21/10	EVT	\$19.34	1	\$19.34	\$18.34 T	\$3.00
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	03/10/10	EVT	\$19.34	1	\$19.34	\$15.68 T	\$3.66
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	04/14/10	EVT	\$19.34	1	\$19.34	\$18.45 T	\$2.89
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	05/14/10	EVT	\$19.34	1	\$19.34	\$15.45 T	\$3.89

Account carried with National Financial Services LLC, Member
 NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: ██████████

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UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	06/14/10	EVT	\$19.34	1	\$19.34	\$14.30 T	\$5.04
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	07/13/10	EVT	\$19.34	1	\$19.34	\$13.86 T	\$5.48
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	08/11/10	EVT	\$19.34	1	\$19.34	\$15.43 T	\$3.91
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	09/14/10	EVT	\$19.34	1	\$19.34	\$15.28 T	\$4.06
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	10/15/10	EVT	\$19.34	1	\$19.34	\$18.00 T	\$3.34
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	11/10/10	EVT	\$19.34	1	\$19.34	\$16.69 T	\$2.65
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	12/13/10	EVT	\$19.34	1	\$19.34	\$16.38 T	\$2.96
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	01/12/11	EVT	\$19.34	1	\$19.34	\$18.69 T	\$2.65
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	01/27/11	EVT	\$19.34	1	\$19.34	\$17.11 T	\$2.23
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	03/10/11	EVT	\$19.34	1	\$19.34	\$17.71 T	\$1.63
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	04/11/11	EVT	\$19.34	1	\$19.34	\$17.82 T	\$1.52
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	05/11/11	EVT	\$19.34	1	\$19.34	\$17.84 T	\$1.50

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████



UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	06/10/11	EVT	\$19.34	1	\$19.34	\$17.38 T	\$1.96
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	07/13/11	EVT	\$19.34	1	\$19.34	\$17.44 T	\$1.90
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	08/10/11	EVT	\$19.34	1	\$19.34	\$15.97 T	\$3.37
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	09/12/11	EVT	\$19.34	1	\$19.34	\$14.88 T	\$4.46
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	10/13/11	EVT	\$19.34	1	\$19.34	\$13.55 T	\$5.79
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	11/10/11	EVT	\$19.34	1	\$19.34	\$14.78 T	\$4.56
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	12/13/11	EVT	\$19.34	1	\$19.34	\$14.78 T	\$4.56
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	01/12/12	EVT	\$19.34	1	\$19.34	\$15.00 T	\$4.34
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	01/27/12	EVT	\$19.34	1	\$19.34	\$15.47 T	\$3.87
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	03/13/12	EVT	\$19.34	1	\$19.34	\$18.36 T	\$2.98
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	04/12/12	EVT	\$19.34	1	\$19.34	\$18.69 T	\$2.65
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	05/14/12	EVT	\$19.34	1	\$19.34	\$16.58 T	\$2.76

Account carried with National Financial Services LLC, Member
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Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ~~XXXXXXXXXX~~

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UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	08/19/12	EVT	\$19.34	1	\$19.34	\$15.22 T	\$4.12
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	07/13/12	EVT	\$19.34	1	\$19.34	\$16.17 T	\$3.17
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	08/08/12	EVT	\$19.34	1	\$19.34	\$16.60 T	\$2.74
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	09/13/12	EVT	\$19.34	1	\$19.34	\$16.94 T	\$2.40
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	10/12/12	EVT	\$19.34	1	\$19.34	\$17.33 T	\$2.01
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	11/09/12	EVT	\$19.34	1	\$19.34	\$17.32 T	\$2.02
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	12/12/12	EVT	\$19.34	1	\$19.34	\$16.68 T	\$2.66
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	01/10/13	EVT	\$19.34	1	\$19.34	\$17.11 T	\$2.23
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	01/24/13	EVT	\$19.34	1	\$19.34	\$17.83 T	\$1.51
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	03/13/13	EVT	\$19.34	1	\$19.34	\$18.76 T	\$0.58
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	04/11/13	EVT	\$19.34	1	\$19.34	\$18.65 T	\$0.69
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	05/10/13	EVT	\$19.34	1	\$19.34	\$19.40 T	(\$0.06)

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████



UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	06/13/13	EVT	\$19.34	1	\$19.34	\$18.52 T	\$0.82
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	07/11/13	EVT	\$19.34	1	\$19.34	\$18.26 T	\$1.08
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	08/12/13	EVT	\$19.34	1	\$19.34	\$18.88 T	\$0.46
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	09/16/13	EVT	\$19.34	1	\$19.34	\$17.77 T	\$1.57
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	10/15/13	EVT	\$19.34	1	\$19.34	\$17.97 T	\$1.37
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	11/14/13	EVT	\$19.34	1	\$19.34	\$18.66 T	\$0.68
EATON VANCE TAX ADVT DIV INCM COM ISIN #US27828G1076 SEDOL #2885454	CASH	12/11/13	EVT	\$19.34	1	\$19.34	\$18.60 T	\$0.74
	Position Total		EVT		276	\$5,337.84	\$5,281.07	\$76.77
EATON VANCE TX ADV GBLB DIV FD COM ISIN #US27828S1015 SEDOL #2347589	CASH	05/26/06	ETG	\$15.52	100	\$1,552.00	\$2,240.02 T	(\$688.02)
EATON VANCE TX ADV GBLB DIV FD COM ISIN #US27828S1015 SEDOL #2347589	CASH	10/13/08	ETG	\$15.52	1	\$15.52	\$13.83 T	\$1.69
EATON VANCE TX ADV GBLB DIV FD COM ISIN #US27828S1015 SEDOL #2347589	CASH	11/12/08	ETG	\$15.52	1	\$15.52	\$12.38 T	\$3.14
EATON VANCE TX ADV GBLB DIV FD COM ISIN #US27828S1015 SEDOL #2347589	CASH	12/08/08	ETG	\$15.52	1	\$15.52	\$9.18 T	\$6.34

Account carried with National Financial Services LLC, Member
 NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: ██████████

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UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
EATON VANCE TX ADV GBL DIV FD COM ISIN #US27828S1015 SEDOL #2347589	CASH	01/13/09	ETG	\$15.52	2	\$31.04	\$22.37 T	\$8.67
EATON VANCE TX ADV GBL DIV FD COM ISIN #US27828S1015 SEDOL #2347589	CASH	03/10/09	ETG	\$15.52	1	\$15.52	\$8.64 T	\$8.88
EATON VANCE TX ADV GBL DIV FD COM ISIN #US27828S1015 SEDOL #2347589	CASH	04/13/09	ETG	\$15.52	1	\$15.52	\$8.39 T	\$7.13
EATON VANCE TX ADV GBL DIV FD COM ISIN #US27828S1015 SEDOL #2347589	CASH	05/12/09	ETG	\$15.52	1	\$15.52	\$9.42 T	\$6.10
EATON VANCE TX ADV GBL DIV FD COM ISIN #US27828S1015 SEDOL #2347589	CASH	06/11/09	ETG	\$15.52	1	\$15.52	\$10.78 T	\$4.76
EATON VANCE TX ADV GBL DIV FD COM ISIN #US27828S1015 SEDOL #2347589	CASH	07/10/09	ETG	\$15.52	1	\$15.52	\$10.47 T	\$5.05
	Position Total		ETG		110	\$1,707.20	\$2,343.48	(\$636.28)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	09/30/04	NHS	\$10.09	224	\$2,280.16	\$3,588.22 T	(\$1,328.06)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	11/02/04	NHS	\$10.09	2	\$20.18	\$30.64 T	(\$10.48)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	12/02/04	NHS	\$10.09	2	\$20.18	\$30.82 T	(\$10.64)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	01/10/05	NHS	\$10.09	2	\$20.18	\$31.32 T	(\$11.14)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	02/02/05	NHS	\$10.09	1	\$10.09	\$15.49 T	(\$5.40)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	03/04/05	NHS	\$10.09	2	\$20.18	\$29.46 T	(\$9.28)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	03/08/05	NHS	\$10.09	1	\$10.09	\$15.51 T	(\$5.42)

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ~~XXXXXXXXXX~~



UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	04/04/05	NHS	\$10.09	2	\$20.18	\$29.66 T	(\$9.48)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	05/03/05	NHS	\$10.09	2	\$20.18	\$28.76 T	(\$8.58)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	06/03/05	NHS	\$10.09	2	\$20.18	\$29.30 T	(\$9.12)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	07/05/05	NHS	\$10.09	2	\$20.18	\$29.90 T	(\$9.72)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	08/03/05	NHS	\$10.09	2	\$20.18	\$30.30 T	(\$10.12)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	09/02/05	NHS	\$10.09	2	\$20.18	\$30.10 T	(\$9.92)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	10/04/05	NHS	\$10.09	2	\$20.18	\$29.46 T	(\$9.28)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	10/10/05	NHS	\$10.09	2	\$20.18	\$29.72 T	(\$9.54)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	11/02/05	NHS	\$10.09	2	\$20.18	\$28.96 T	(\$8.78)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	12/06/05	NHS	\$10.09	2	\$20.18	\$28.96 T	(\$8.78)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	01/05/06	NHS	\$10.09	2	\$20.18	\$29.66 T	(\$9.48)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	02/06/06	NHS	\$10.09	2	\$20.18	\$28.14 T	(\$7.96)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	03/02/06	NHS	\$10.09	1	\$10.09	\$14.64 T	(\$4.55)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	04/12/06	NHS	\$10.09	1	\$10.09	\$14.51 T	(\$4.42)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	05/04/06	NHS	\$10.09	2	\$20.18	\$27.53 T	(\$7.35)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	06/06/06	NHS	\$10.09	2	\$20.18	\$27.56 T	(\$7.38)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	07/12/06	NHS	\$10.09	2	\$20.18	\$27.30 T	(\$7.12)

Account carried with National Financial Services LLC, Member NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ~~XXXXXXXXXX~~

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UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	08/02/06	NHS	\$10.09	2	\$20.18	\$28.66 T	(\$8.48)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	09/05/06	NHS	\$10.09	2	\$20.18	\$28.96 T	(\$8.78)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	10/03/06	NHS	\$10.09	2	\$20.18	\$29.16 T	(\$8.98)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	11/02/06	NHS	\$10.09	2	\$20.18	\$29.58 T	(\$9.40)
NEUBERGER BERMAN HIGH YIELD STRATEGIES FD COM	CASH	12/04/06	NHS	\$10.09	2	\$20.18	\$29.86 T	(\$9.68)
	Position Total		NHS		276	\$2,784.84	\$4,352.14	(\$1,567.30)
NEW YORK COMMUNITY BANCORP	CASH	07/06/05	NYCB	\$16.32	348	\$5,679.36	\$4,947.00 T	\$732.36
NEW YORK COMMUNITY BANCORP	CASH	02/15/06	NYCB	\$16.32	5	\$81.60	\$86.00 T	(\$4.40)
NEW YORK COMMUNITY BANCORP	CASH	05/16/06	NYCB	\$16.32	5	\$81.60	\$85.90 T	(\$4.30)
NEW YORK COMMUNITY BANCORP	CASH	08/15/06	NYCB	\$16.32	5	\$81.60	\$82.30 T	(\$0.70)
NEW YORK COMMUNITY BANCORP	CASH	11/15/06	NYCB	\$16.32	5	\$81.60	\$81.05 T	\$0.55
	Position Total		NYCB		368	\$6,005.76	\$5,282.25	\$723.51
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	05/19/05	BXMX	\$13.43	128	\$1,719.04	\$1,907.21 T	(\$188.17)
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	12/13/06	BXMX	\$13.43	97	\$1,302.71	\$1,701.41 T	(\$398.70)
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	07/10/07	BXMX	\$13.43	4	\$53.72	\$81.98 T	(\$28.26)
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	10/15/07	BXMX	\$13.43	4	\$53.72	\$76.92 T	(\$23.20)
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	01/11/08	BXMX	\$13.43	4	\$53.72	\$72.14 T	(\$18.42)

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ~~XXXXXXXXXX~~



UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	04/08/08	BXMX	\$13.43	4	\$53.72	\$67.46 T	(\$13.74)
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	07/08/08	BXMX	\$13.43	5	\$67.15	\$80.19 T	(\$13.04)
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	10/13/08	BXMX	\$13.43	6	\$80.58	\$77.94 T	\$2.64
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	01/12/09	BXMX	\$13.43	6	\$80.58	\$63.05 T	\$17.53
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	04/13/09	BXMX	\$13.43	7	\$94.01	\$66.48 T	\$27.53
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	07/10/09	BXMX	\$13.43	6	\$80.58	\$63.05 T	\$17.53
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	10/09/09	BXMX	\$13.43	6	\$80.58	\$72.68 T	\$7.90
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	01/08/10	BXMX	\$13.43	5	\$67.15	\$67.59 T	(\$0.44)
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	04/09/10	BXMX	\$13.43	5	\$67.15	\$68.39 T	(\$1.24)
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	07/09/10	BXMX	\$13.43	6	\$80.58	\$70.60 T	\$9.98
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	10/11/10	BXMX	\$13.43	5	\$67.15	\$65.86 T	\$1.29
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	01/11/11	BXMX	\$13.43	6	\$80.58	\$75.77 T	\$4.81

Account carried with National Financial Services LLC, Member
 NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: ~~XXXXXXXXXX~~

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UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6708ER1015 SEDOL #B038CH1	CASH	04/13/11	BXMX	\$13.43	6	\$80.58	\$75.36 T	\$5.22
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6708ER1015 SEDOL #B038CH1	CASH	07/12/11	BXMX	\$13.43	6	\$80.58	\$73.70 T	\$6.88
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	10/11/11	BXMX	\$13.43	7	\$94.01	\$72.67 T	\$21.34
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	01/10/12	BXMX	\$13.43	6	\$80.58	\$66.78 T	\$13.80
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	04/09/12	BXMX	\$13.43	6	\$80.58	\$73.59 T	\$6.99
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	07/13/12	BXMX	\$13.43	6	\$80.58	\$73.63 T	\$6.95
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	10/12/12	BXMX	\$13.43	6	\$80.58	\$81.18 T	(\$0.60)
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	01/10/13	BXMX	\$13.43	7	\$94.01	\$88.54 T	\$5.47
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	04/09/13	BXMX	\$13.43	6	\$80.58	\$83.10 T	(\$2.52)
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	07/09/13	BXMX	\$13.43	7	\$94.01	\$95.60 T	(\$1.59)
NUVEEN S&P 500 BUY-WRITE INC COM ISIN #US6706ER1015 SEDOL #B038CH1	CASH	10/04/13	BXMX	\$13.43	7	\$94.01	\$95.95 T	(\$1.94)
Position Total			BXMX		374	\$5,022.82	\$5,558.82	(\$536.00)

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████



UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6708991071 SEDOL #BTLF9V0	CASH	10/03/05	QQQX	\$19.37	169	\$3,273.53	\$3,852.18 T	(\$578.65)
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6708991071 SEDOL #BTLF9V0	CASH	07/11/07	QQQX	\$19.37	3	\$58.11	\$81.34 T	(\$23.23)
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6708991071 SEDOL #BTLF9V0	CASH	10/15/07	QQQX	\$19.37	3	\$58.11	\$75.36 T	(\$17.25)
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6708991071 SEDOL #BTLF9V0	CASH	01/11/08	QQQX	\$19.37	4	\$77.48	\$86.13 T	(\$8.65)
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6708991071 SEDOL #BTLF9V0	CASH	04/08/08	QQQX	\$19.37	4	\$77.48	\$79.65 T	(\$2.17)
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6708991071 SEDOL #BTLF9V0	CASH	07/08/08	QQQX	\$19.37	4	\$77.48	\$78.62 T	(\$1.14)
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6708991071 SEDOL #BTLF9V0	CASH	10/13/08	QQQX	\$19.37	5	\$96.85	\$83.30 T	\$13.55
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6708991071 SEDOL #BTLF9V0	CASH	01/12/09	QQQX	\$19.37	4	\$77.48	\$59.52 T	\$17.96
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6708991071 SEDOL #BTLF9V0	CASH	04/09/09	QQQX	\$19.37	5	\$96.85	\$64.86 T	\$31.99
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6708991071 SEDOL #BTLF9V0	CASH	07/08/09	QQQX	\$19.37	5	\$96.85	\$70.40 T	\$26.45
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6708991071 SEDOL #BTLF9V0	CASH	10/07/09	QQQX	\$19.37	4	\$77.48	\$71.93 T	\$5.55
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6708991071 SEDOL #BTLF9V0	CASH	01/08/10	QQQX	\$19.37	4	\$77.48	\$75.70 T	\$1.78

Account carried with National Financial Services LLC, Member NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: ██████████

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UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6706991071 SEDOL #BTLF9V0	CASH	04/09/10	QQQX	\$19.37	4	\$77.48	\$79.55 T	(\$2.07)
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6706991071 SEDOL #BTLF9V0	CASH	07/12/10	QQQX	\$19.37	5	\$96.85	\$79.54 T	\$17.31
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6706991071 SEDOL #BTLF9V0	CASH	10/11/10	QQQX	\$19.37	4	\$77.48	\$76.18 T	\$1.30
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6706991071 SEDOL #BTLF9V0	CASH	01/11/11	QQQX	\$19.37	4	\$77.48	\$74.48 T	\$3.00
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6706991071 SEDOL #BTLF9V0	CASH	04/13/11	QQQX	\$19.37	4	\$77.48	\$75.14 T	\$2.34
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6706991071 SEDOL #BTLF9V0	CASH	07/12/11	QQQX	\$19.37	5	\$96.85	\$83.42 T	\$13.43
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6706991071 SEDOL #BTLF9V0	CASH	10/11/11	QQQX	\$19.37	5	\$96.85	\$73.62 T	\$23.23
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6706991071 SEDOL #BTLF9V0	CASH	01/10/12	QQQX	\$19.37	5	\$96.85	\$75.72 T	\$21.13
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6706991071 SEDOL #BTLF9V0	CASH	04/09/12	QQQX	\$19.37	5	\$96.85	\$83.00 T	\$13.85
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6706991071 SEDOL #BTLF9V0	CASH	07/13/12	QQQX	\$19.37	5	\$98.85	\$83.98 T	\$12.87
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6706991071 SEDOL #BTLF9V0	CASH	10/12/12	QQQX	\$19.37	5	\$98.85	\$91.84 T	\$5.01
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6706991071 SEDOL #BTLF9V0	CASH	01/10/13	QQQX	\$19.37	5	\$96.85	\$86.92 T	\$9.93

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████

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UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6706991071 SEDOL #BTLF9V0	CASH	04/05/13	QQQX	\$19.37	5	\$96.85	\$94.14 T	\$2.71
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6706991071 SEDOL #BTLF9V0	CASH	07/09/13	QQQX	\$19.37	5	\$96.85	\$95.79 T	\$1.06
NUVEEN NASDAQ 100 DYNAMIC OVER COM SHS ISIN #US6706991071 SEDOL #BTLF9V0	CASH	10/07/13	QQQX	\$19.37	6	\$116.22	\$108.00 T	\$8.22
		Position Total	QQQX		266	\$5,539.82	\$5,940.31	(\$400.49)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	10/04/07	JQC	\$7.84	150	\$1,176.00	\$1,872.50 T	(\$696.50)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	04/07/08	JQC	\$7.84	4	\$31.36	\$40.80 T	(\$9.44)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	07/08/08	JQC	\$7.84	4	\$31.36	\$39.68 T	(\$8.32)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	10/14/08	JQC	\$7.84	6	\$47.04	\$36.20 T	\$10.84
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	01/12/09	JQC	\$7.84	6	\$47.04	\$29.54 T	\$17.50
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	04/13/09	JQC	\$7.84	6	\$47.04	\$23.62 T	\$23.42
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	07/09/09	JQC	\$7.84	4	\$31.36	\$23.13 T	\$8.23
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	10/07/09	JQC	\$7.84	3	\$23.52	\$21.73 T	\$1.79
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	01/06/10	JQC	\$7.84	4	\$31.36	\$30.81 T	\$0.55
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	04/08/10	JQC	\$7.84	3	\$23.52	\$24.94 T	(\$1.42)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	07/02/10	JQC	\$7.84	4	\$31.36	\$31.12 T	\$0.24
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	10/06/10	JQC	\$7.84	3	\$23.52	\$26.49 T	(\$2.97)

Account carried with National Financial Services LLC, Member NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: [REDACTED]

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UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	01/11/11	JQC	\$7.84	3	\$23.52	\$28.53 T	(\$3.01)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	04/07/11	JQC	\$7.84	4	\$31.36	\$35.94 T	(\$4.58)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	07/14/11	JQC	\$7.84	4	\$31.36	\$36.60 T	(\$5.24)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	10/11/11	JQC	\$7.84	5	\$39.20	\$40.44 T	(\$1.24)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	01/10/12	JQC	\$7.84	5	\$39.20	\$40.13 T	(\$0.93)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	04/09/12	JQC	\$7.84	4	\$31.36	\$36.02 T	(\$4.66)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	05/08/12	JQC	\$7.84	1	\$7.84	\$9.21 T	(\$1.37)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	06/14/12	JQC	\$7.84	1	\$7.84	\$9.08 T	(\$1.24)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	07/13/12	JQC	\$7.84	1	\$7.84	\$9.30 T	(\$1.46)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	08/13/12	JQC	\$7.84	1	\$7.84	\$9.34 T	(\$1.50)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	09/14/12	JQC	\$7.84	1	\$7.84	\$9.51 T	(\$1.67)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	10/12/12	JQC	\$7.84	1	\$7.84	\$9.73 T	(\$1.89)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	11/09/12	JQC	\$7.84	1	\$7.84	\$9.82 T	(\$1.98)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	12/07/12	JQC	\$7.84	1	\$7.84	\$9.75 T	(\$1.91)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	01/10/13	JQC	\$7.84	1	\$7.84	\$9.74 T	(\$1.90)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	02/08/13	JQC	\$7.84	1	\$7.84	\$10.07 T	(\$2.23)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	03/06/13	JQC	\$7.84	1	\$7.84	\$10.04 T	(\$2.20)

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████



UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	04/05/13	JQC	\$7.84	1	\$7.84	\$10.14 T	(\$2.30)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	05/08/13	JQC	\$7.84	1	\$7.84	\$10.20 T	(\$2.36)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	06/06/13	JQC	\$7.84	1	\$7.84	\$10.14 T	(\$2.30)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	07/08/13	JQC	\$7.84	1	\$7.84	\$9.96 T	(\$2.12)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	08/13/13	JQC	\$7.84	1	\$7.84	\$9.96 T	(\$2.12)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	09/09/13	JQC	\$7.84	1	\$7.84	\$9.77 T	(\$1.93)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	10/04/13	JQC	\$7.84	1	\$7.84	\$9.40 T	(\$1.56)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	11/05/13	JQC	\$7.84	1	\$7.84	\$9.58 T	(\$1.72)
NUVEEN CREDIT STRATEGIES INCOME FUND	CASH	12/05/13	JQC	\$7.84	1	\$7.84	\$9.32 T	(\$1.48)
	Position Total		JQC		242	\$1,897.28	\$2,610.28	(\$712.98)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM USDD.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	07/10/06	DIAX	\$14.36	150	\$2,154.00	\$2,666.83 T	(\$512.83)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM USDD.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	08/02/06	DIAX	\$14.36	1	\$14.36	\$17.48 T	(\$3.12)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM USDD.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	09/05/06	DIAX	\$14.36	1	\$14.36	\$17.65 T	(\$3.29)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM USDD.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	09/26/06	DIAX	\$14.36	115	\$1,851.40	\$2,163.15 T	(\$511.75)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM USDD.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	10/03/06	DIAX	\$14.36	1	\$14.36	\$17.91 T	(\$3.55)

Account carried with National Financial Services LLC, Member NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: ██████████

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UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	11/01/06	DIAX	\$14.36	2	\$28.72	\$36.60 T	(\$7.88)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	12/04/06	DIAX	\$14.36	2	\$28.72	\$36.86 T	(\$8.14)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	01/08/07	DIAX	\$14.36	2	\$28.72	\$37.32 T	(\$8.60)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	02/02/07	DIAX	\$14.36	2	\$28.72	\$37.60 T	(\$8.88)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	03/02/07	DIAX	\$14.36	2	\$28.72	\$36.44 T	(\$7.72)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	04/04/07	DIAX	\$14.36	2	\$28.72	\$37.80 T	(\$9.08)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	05/08/07	DIAX	\$14.36	2	\$28.72	\$37.96 T	(\$9.24)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	06/01/07	DIAX	\$14.36	2	\$28.72	\$39.10 T	(\$10.38)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	07/09/07	DIAX	\$14.36	2	\$28.72	\$38.48 T	(\$9.74)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	08/10/07	DIAX	\$14.36	2	\$28.72	\$37.63 T	(\$8.91)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	09/13/07	DIAX	\$14.36	2	\$28.72	\$37.78 T	(\$9.06)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	10/10/07	DIAX	\$14.36	2	\$28.72	\$37.11 T	(\$8.39)

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████



UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	11/13/07	DIAX	\$14.36	2	\$28.72	\$35.27 T	(\$6.55)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	12/11/07	DIAX	\$14.36	2	\$28.72	\$34.01 T	(\$5.29)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	01/10/08	DIAX	\$14.36	2	\$28.72	\$33.00 T	(\$4.28)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	02/12/08	DIAX	\$14.36	2	\$28.72	\$33.32 T	(\$4.60)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	03/11/08	DIAX	\$14.36	2	\$28.72	\$32.18 T	(\$3.46)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	04/17/08	DIAX	\$14.36	2	\$28.72	\$33.61 T	(\$4.89)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	05/09/08	DIAX	\$14.36	2	\$28.72	\$35.51 T	(\$6.79)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	06/10/08	DIAX	\$14.36	2	\$28.72	\$34.66 T	(\$5.94)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	07/11/08	DIAX	\$14.36	2	\$28.72	\$30.94 T	(\$2.22)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	08/05/08	DIAX	\$14.36	2	\$28.72	\$30.88 T	(\$2.16)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	09/11/08	DIAX	\$14.36	2	\$28.72	\$31.14 T	(\$2.42)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	10/14/08	DIAX	\$14.36	3	\$43.08	\$36.60 T	\$6.48

Account carried with National Financial Services LLC, Member
 NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: ██████████

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UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDDL #BTF3114	CASH	11/12/08	DIAX	\$14.36	3	\$43.08	\$35.51 T	\$7.57
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDDL #BTF3114	CASH	12/17/08	DIAX	\$14.36	3	\$43.08	\$32.87 T	\$10.21
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDDL #BTF3114	CASH	01/21/09	DIAX	\$14.36	3	\$43.08	\$33.39 T	\$9.69
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDDL #BTF3114	CASH	02/04/09	DIAX	\$14.36	3	\$43.08	\$33.12 T	\$9.98
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDDL #BTF3114	CASH	03/04/09	DIAX	\$14.36	4	\$57.44	\$37.44 T	\$20.00
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDDL #BTF3114	CASH	04/03/09	DIAX	\$14.36	4	\$57.44	\$41.35 T	\$16.09
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDDL #BTF3114	CASH	05/05/09	DIAX	\$14.36	4	\$57.44	\$43.94 T	\$13.50
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDDL #BTF3114	CASH	06/03/09	DIAX	\$14.36	3	\$43.08	\$34.60 T	\$8.48
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDDL #BTF3114	CASH	07/06/09	DIAX	\$14.36	3	\$43.08	\$35.97 T	\$7.11
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDDL #BTF3114	CASH	08/04/09	DIAX	\$14.36	3	\$43.08	\$39.82 T	\$3.28
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDDL #BTF3114	CASH	09/09/09	DIAX	\$14.36	3	\$43.08	\$40.28 T	\$2.80
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDDL #BTF3114	CASH	10/02/09	DIAX	\$14.36	3	\$43.08	\$40.10 T	\$2.98

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████



UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM USDD.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	11/03/09	DIAX	\$14.36	3	\$43.08	\$39.79 T	\$3.29
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM USDD.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	12/03/09	DIAX	\$14.36	3	\$43.08	\$41.70 T	\$1.38
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM USDD.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	01/08/10	DIAX	\$14.36	3	\$43.08	\$37.54 T	\$5.54
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM USDD.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	02/10/10	DIAX	\$14.36	2	\$28.72	\$23.87 T	\$4.85
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM USDD.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	03/03/10	DIAX	\$14.36	2	\$28.72	\$24.44 T	\$4.28
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM USDD.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	04/08/10	DIAX	\$14.36	2	\$28.72	\$25.42 T	\$3.30
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM USDD.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	05/05/10	DIAX	\$14.36	2	\$28.72	\$25.58 T	\$3.14
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM USDD.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	06/09/10	DIAX	\$14.36	2	\$28.72	\$23.03 T	\$5.69
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM USDD.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	07/06/10	DIAX	\$14.36	3	\$43.08	\$33.81 T	\$9.27
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM USDD.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	08/05/10	DIAX	\$14.36	2	\$28.72	\$24.14 T	\$4.58
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM USDD.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	09/03/10	DIAX	\$14.36	3	\$43.08	\$34.50 T	\$8.58
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM USDD.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	10/05/10	DIAX	\$14.36	2	\$28.72	\$24.58 T	\$4.14

Account carried with National Financial Services LLC, Member
 NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: ██████████

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UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	11/05/10	DIAX	\$14.36	2	\$28.72	\$25.14 T	\$3.58
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	12/06/10	DIAX	\$14.36	2	\$28.72	\$25.30 T	\$3.42
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	01/06/11	DIAX	\$14.36	2	\$28.72	\$25.82 T	\$2.90
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	04/13/11	DIAX	\$14.36	8	\$114.88	\$109.10 T	\$5.78
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	07/18/11	DIAX	\$14.36	8	\$114.88	\$108.19 T	\$6.69
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	10/11/11	DIAX	\$14.36	8	\$114.88	\$95.70 T	\$19.18
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	01/10/12	DIAX	\$14.36	8	\$114.88	\$99.75 T	\$15.13
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	04/09/12	DIAX	\$14.36	7	\$100.52	\$96.48 T	\$4.04
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	07/08/12	DIAX	\$14.36	8	\$114.88	\$104.44 T	\$10.44
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	10/10/12	DIAX	\$14.36	8	\$114.88	\$110.94 T	\$3.94
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	01/10/13	DIAX	\$14.36	9	\$129.24	\$117.39 T	\$11.85
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #BTF3114	CASH	04/05/13	DIAX	\$14.36	8	\$114.88	\$116.43 T	(\$1.55)

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████



UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #8TF3114	CASH	07/15/13	DIAX	\$14.36	8	\$114.88	\$118.67 T	(\$3.79)
NUVEEN DOW 30 DYNAMIC OVERWRITE FD COM US00.001 ISIN #US67075F1057 SEDOL #8TF3114	CASH	10/04/13	DIAX	\$14.36	8	\$114.88	\$118.58 T	(\$3.70)
	Position Total		DIAX		479	\$6,078.44	\$7,813.52	(\$935.08)
VOYA GLBL ADV & PREM OPP FD COM	CASH	01/03/06	IGA	\$10.45	115	\$1,201.75	\$1,703.86 T	(\$502.11)
VOYA GLBL ADV & PREM OPP FD COM	CASH	05/01/06	IGA	\$10.45	2	\$20.90	\$31.43 T	(\$10.53)
VOYA GLBL ADV & PREM OPP FD COM	CASH	08/22/06	IGA	\$10.45	2	\$20.90	\$32.14 T	(\$11.24)
VOYA GLBL ADV & PREM OPP FD COM	CASH	10/19/06	IGA	\$10.45	2	\$20.90	\$34.93 T	(\$14.03)
VOYA GLBL ADV & PREM OPP FD COM	CASH	01/19/07	IGA	\$10.45	2	\$20.90	\$35.83 T	(\$14.93)
VOYA GLBL ADV & PREM OPP FD COM	CASH	04/20/07	IGA	\$10.45	2	\$20.90	\$36.69 T	(\$15.79)
VOYA GLBL ADV & PREM OPP FD COM	CASH	07/27/07	IGA	\$10.45	2	\$20.90	\$35.78 T	(\$14.88)
VOYA GLBL ADV & PREM OPP FD COM	CASH	10/30/07	IGA	\$10.45	2	\$20.90	\$34.32 T	(\$13.42)
VOYA GLBL ADV & PREM OPP FD COM	CASH	01/25/08	IGA	\$10.45	11	\$114.95	\$160.65 T	(\$45.70)
VOYA GLBL ADV & PREM OPP FD COM	CASH	04/30/08	IGA	\$10.45	3	\$31.35	\$40.11 T	(\$8.76)
VOYA GLBL ADV & PREM OPP FD COM	CASH	08/01/08	IGA	\$10.45	4	\$41.80	\$45.89 T	(\$3.89)
VOYA GLBL ADV & PREM OPP FD COM	CASH	10/28/08	IGA	\$10.45	6	\$62.70	\$43.15 T	\$19.55
VOYA GLBL ADV & PREM OPP FD COM	CASH	01/27/09	IGA	\$10.45	5	\$52.25	\$44.46 T	\$7.79
VOYA GLBL ADV & PREM OPP FD COM	CASH	05/12/09	IGA	\$10.45	6	\$62.70	\$53.57 T	\$9.13
VOYA GLBL ADV & PREM OPP FD COM	CASH	07/17/09	IGA	\$10.45	6	\$62.70	\$81.27 T	\$1.43
VOYA GLBL ADV & PREM OPP FD COM	CASH	10/19/09	IGA	\$10.45	5	\$52.25	\$57.64 T	(\$5.39)
VOYA GLBL ADV & PREM OPP FD COM	CASH	01/21/10	IGA	\$10.45	4	\$41.80	\$48.39 T	(\$6.59)
VOYA GLBL ADV & PREM OPP FD COM	CASH	04/19/10	IGA	\$10.45	4	\$41.80	\$49.03 T	(\$7.23)
VOYA GLBL ADV & PREM OPP FD COM	CASH	07/20/10	IGA	\$10.45	4	\$41.80	\$44.79 T	(\$2.99)
VOYA GLBL ADV & PREM OPP FD COM	CASH	10/19/10	IGA	\$10.45	4	\$41.80	\$46.35 T	(\$4.55)
VOYA GLBL ADV & PREM OPP FD COM	CASH	01/21/11	IGA	\$10.45	4	\$41.80	\$48.39 T	(\$6.59)
VOYA GLBL ADV & PREM OPP FD COM	CASH	04/20/11	IGA	\$10.45	4	\$41.80	\$47.71 T	(\$5.91)
VOYA GLBL ADV & PREM OPP FD COM	CASH	07/20/11	IGA	\$10.45	5	\$52.25	\$57.64 T	(\$5.39)

Account carried with National Financial Services LLC, Member
 NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: ██████████

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UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
VOYA GLBL ADV & PREM OPP FD COM	CASH	10/21/11	IGA	\$10.45	6	\$62.70	\$58.85 T	\$3.85
VOYA GLBL ADV & PREM OPP FD COM	CASH	01/24/12	IGA	\$10.45	5	\$52.25	\$48.14 T	\$4.11
VOYA GLBL ADV & PREM OPP FD COM	CASH	04/23/12	IGA	\$10.45	5	\$52.25	\$51.20 T	\$1.05
VOYA GLBL ADV & PREM OPP FD COM	CASH	07/23/12	IGA	\$10.45	6	\$62.70	\$59.77 T	\$2.93
VOYA GLBL ADV & PREM OPP FD COM	CASH	10/22/12	IGA	\$10.45	5	\$52.25	\$51.93 T	\$0.32
VOYA GLBL ADV & PREM OPP FD COM	CASH	01/23/13	IGA	\$10.45	5	\$52.25	\$54.09 T	(\$1.84)
VOYA GLBL ADV & PREM OPP FD COM	CASH	04/24/13	IGA	\$10.45	5	\$52.25	\$59.14 T	(\$6.89)
VOYA GLBL ADV & PREM OPP FD COM	CASH	07/23/13	IGA	\$10.45	5	\$52.25	\$58.54 T	(\$8.29)
VOYA GLBL ADV & PREM OPP FD COM	CASH	10/23/13	IGA	\$10.45	5	\$52.25	\$56.34 T	(\$4.09)
		Position Total	IGA		251	\$2,622.95	\$3,291.82	(\$668.87)
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	11/27/07	EAD	\$7.45	180	\$1,341.00	\$2,042.00 T	(\$701.00)
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	03/11/08	EAD	\$7.45	1	\$7.45	\$11.15 T	(\$3.70)
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	04/09/08	EAD	\$7.45	1	\$7.45	\$10.84 T	(\$3.39)
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	05/09/08	EAD	\$7.45	1	\$7.45	\$11.84 T	(\$4.39)
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	06/10/08	EAD	\$7.45	1	\$7.45	\$11.84 T	(\$4.39)
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	07/11/08	EAD	\$7.45	1	\$7.45	\$10.39 T	(\$2.94)
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	08/11/08	EAD	\$7.45	1	\$7.45	\$10.24 T	(\$2.79)
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	09/11/08	EAD	\$7.45	2	\$14.90	\$20.19 T	(\$5.29)
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	10/13/08	EAD	\$7.45	2	\$14.90	\$14.58 T	\$0.32
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	11/13/08	EAD	\$7.45	3	\$22.35	\$19.45 T	\$2.90
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	12/10/08	EAD	\$7.45	4	\$29.80	\$18.50 T	\$11.30

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: [REDACTED]

AXIOM

UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	01/09/09	EAD	\$7.45	3	\$22.35	\$18.84 T	\$3.71
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	02/09/09	EAD	\$7.45	3	\$22.35	\$21.12 T	\$1.23
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	03/09/09	EAD	\$7.45	3	\$22.35	\$18.41 T	\$3.94
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	04/09/09	EAD	\$7.45	3	\$22.35	\$19.80 T	\$2.55
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	05/08/09	EAD	\$7.45	3	\$22.35	\$22.17 T	\$0.18
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	06/04/09	EAD	\$7.45	2	\$14.90	\$16.04 T	(\$1.14)
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	07/08/09	EAD	\$7.45	2	\$14.90	\$16.12 T	(\$1.22)
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	08/07/09	EAD	\$7.45	2	\$14.90	\$17.12 T	(\$2.22)
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	09/04/09	EAD	\$7.45	2	\$14.90	\$17.24 T	(\$2.34)
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	10/07/09	EAD	\$7.45	2	\$14.90	\$17.94 T	(\$3.04)
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	11/08/09	EAD	\$7.45	2	\$14.90	\$17.86 T	(\$2.96)
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	12/08/09	EAD	\$7.45	2	\$14.90	\$18.32 T	(\$3.42)
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	01/08/10	EAD	\$7.45	2	\$14.90	\$18.80 T	(\$3.90)
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	02/04/10	EAD	\$7.45	2	\$14.90	\$18.78 T	(\$3.88)
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	03/05/10	EAD	\$7.45	2	\$14.90	\$18.64 T	(\$3.74)
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	04/09/10	EAD	\$7.45	2	\$14.90	\$19.02 T	(\$4.12)
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	05/07/10	EAD	\$7.45	2	\$14.90	\$19.35 T	(\$4.45)

Account carried with National Financial Services LLC, Member NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: ██████████

AXIOM

UNREALIZED GAIN (LOSS) LOT DETAIL > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	06/08/10	EAD	\$7.45	2	\$14.90	\$18.04 T	(\$3.14)
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	07/06/10	EAD	\$7.45	2	\$14.90	\$18.48 T	(\$3.58)
WELLS FARGO INCOME OPPORTUNITIES FUND	CASH	08/13/10	EAD	\$7.45	2	\$14.90	\$19.10 T	(\$4.20)
Position Total			EAD		242	\$1,802.90	\$2,552.01	(\$749.11)
Total Equities					3,893.000	\$49,426.67	Unknown	

UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
INVESCO HIGH YIELD C ASS B	CASH	09/09/10	AHYBX	\$3.94	479.616	\$1,889.69	\$2,000.00 T	(\$110.31)
CS FLOATING RATE HIGH INCOME CL B	CASH	09/09/10	CHOBX	\$6.63	340.735	\$2,259.07	\$2,225.00 T	\$34.07
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	10/20/09	EBIBX	\$5.40	334.384	\$1,805.67	\$1,809.02 T	(\$3.35)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	11/02/09	EBIBX	\$5.40	1.037	\$5.60	\$5.61 T	(\$0.01)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	12/01/09	EBIBX	\$5.40	2.783	\$15.03	\$15.14 T	(\$0.11)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	01/04/10	EBIBX	\$5.40	2.742	\$14.81	\$15.19 T	(\$0.38)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	02/01/10	EBIBX	\$5.40	2.729	\$14.74	\$15.23 T	(\$0.49)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	03/01/10	EBIBX	\$5.40	2.514	\$13.58	\$13.95 T	(\$0.37)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	04/01/10	EBIBX	\$5.40	2.739	\$14.79	\$15.50 T	(\$0.71)

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████



UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	05/03/10	EBIBX	\$5.40	2.625	\$14.18	\$15.04 T	(\$0.87)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	06/01/10	EBIBX	\$5.40	2.851	\$15.40	\$15.74 T	(\$0.34)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	07/01/10	EBIBX	\$5.40	2.762	\$14.91	\$15.30 T	(\$0.39)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	08/02/10	EBIBX	\$5.40	2.79	\$15.07	\$15.85 T	(\$0.78)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	09/01/10	EBIBX	\$5.40	2.849	\$15.38	\$16.07 T	(\$0.69)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	10/01/10	EBIBX	\$5.40	2.718	\$14.68	\$15.60 T	(\$0.92)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	11/01/10	EBIBX	\$5.40	2.759	\$14.90	\$16.17 T	(\$1.27)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	12/01/10	EBIBX	\$5.40	2.742	\$14.81	\$15.85 T	(\$1.04)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	01/03/11	EBIBX	\$5.40	2.597	\$14.02	\$15.19 T	(\$1.17)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	02/01/11	EBIBX	\$5.40	2.573	\$13.89	\$15.23 T	(\$1.34)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	03/01/11	EBIBX	\$5.40	2.341	\$12.64	\$13.95 T	(\$1.31)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	04/01/11	EBIBX	\$5.40	2.505	\$13.53	\$14.88 T	(\$1.35)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	05/02/11	EBIBX	\$5.40	2.412	\$13.02	\$14.45 T	(\$1.43)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	06/01/11	EBIBX	\$5.40	2.53	\$13.66	\$15.13 T	(\$1.47)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	07/01/11	EBIBX	\$5.40	2.489	\$13.44	\$14.66 T	(\$1.22)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	08/01/11	EBIBX	\$5.40	2.57	\$13.88	\$15.19 T	(\$1.31)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	09/01/11	EBIBX	\$5.40	2.533	\$13.68	\$14.31 T	(\$0.63)

Account carried with National Financial Services LLC, Member NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████

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UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	10/04/11	EBIBX	\$5.40	2.315	\$12.50	\$12.64 T	(\$0.14)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	11/01/11	EBIBX	\$5.40	2.322	\$12.54	\$13.28 T	(\$0.74)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	12/01/11	EBIBX	\$5.40	2.305	\$12.45	\$12.86 T	(\$0.41)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	01/03/12	EBIBX	\$5.40	2.302	\$12.43	\$13.03 T	(\$0.60)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	02/01/12	EBIBX	\$5.40	2.246	\$12.13	\$12.96 T	(\$0.83)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	03/01/12	EBIBX	\$5.40	2.08	\$11.23	\$12.17 T	(\$0.94)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	04/02/12	EBIBX	\$5.40	2.241	\$12.10	\$13.02 T	(\$0.92)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	05/01/12	EBIBX	\$5.40	2.164	\$11.69	\$12.64 T	(\$0.95)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	06/01/12	EBIBX	\$5.40	2.158	\$11.65	\$12.41 T	(\$0.76)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	07/02/12	EBIBX	\$5.40	2.078	\$11.22	\$12.05 T	(\$0.83)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	08/01/12	EBIBX	\$5.40	2.065	\$11.15	\$12.10 T	(\$0.95)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	09/04/12	EBIBX	\$5.40	2.056	\$11.10	\$12.09 T	(\$0.99)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	10/01/12	EBIBX	\$5.40	1.986	\$10.72	\$11.74 T	(\$1.02)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	11/01/12	EBIBX	\$5.40	2.047	\$11.05	\$12.14 T	(\$1.09)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	12/03/12	EBIBX	\$5.40	1.872	\$10.11	\$11.14 T	(\$1.03)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	01/02/13	EBIBX	\$5.40	1.917	\$10.35	\$11.52 T	(\$1.17)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	02/01/13	EBIBX	\$5.40	1.94	\$10.48	\$11.72 T	(\$1.24)

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████



UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	03/01/13	EBIBX	\$5.40	1.755	\$9.48	\$10.60 T	(\$1.12)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	04/01/13	EBIBX	\$5.40	1.941	\$10.48	\$11.78 T	(\$1.30)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	05/01/13	EBIBX	\$5.40	1.854	\$10.01	\$11.40 T	(\$1.39)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	06/03/13	EBIBX	\$5.40	1.944	\$10.50	\$11.82 T	(\$1.32)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	07/01/13	EBIBX	\$5.40	1.941	\$10.48	\$11.45 T	(\$0.97)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	08/01/13	EBIBX	\$5.40	2.01	\$10.85	\$12.04 T	(\$1.19)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	09/03/13	EBIBX	\$5.40	2.032	\$10.97	\$12.05 T	(\$1.08)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	10/01/13	EBIBX	\$5.40	1.963	\$10.60	\$11.70 T	(\$1.10)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	11/01/13	EBIBX	\$5.40	1.992	\$10.76	\$12.09 T	(\$1.33)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	12/02/13	EBIBX	\$5.40	1.941	\$10.48	\$11.76 T	(\$1.28)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	01/02/14	EBIBX	\$5.40	2.003	\$10.82	\$12.14 T	(\$1.32)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	02/03/14	EBIBX	\$5.40	2.021	\$10.91	\$12.25 T	(\$1.34)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	03/03/14	EBIBX	\$5.40	1.741	\$9.40	\$10.69 T	(\$1.29)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	04/01/14	EBIBX	\$5.40	1.987	\$10.62	\$12.04 T	(\$1.42)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	05/01/14	EBIBX	\$5.40	1.905	\$10.29	\$11.66 T	(\$1.37)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	06/02/14	EBIBX	\$5.40	1.989	\$10.63	\$12.09 T	(\$1.46)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	07/01/14	EBIBX	\$5.40	1.902	\$10.27	\$11.70 T	(\$1.43)

Account carried with National Financial Services LLC, Member NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA

Account Number: ██████████

AXIOM

UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	08/01/14	EBIBX	\$5.40	2.008	\$10.84	\$12.13 T	(\$1.29)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	09/02/14	EBIBX	\$5.40	1.987	\$10.73	\$12.14 T	(\$1.41)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	10/01/14	EBIBX	\$5.40	2.002	\$10.81	\$11.95 T	(\$1.14)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	11/03/14	EBIBX	\$5.40	2.053	\$11.09	\$12.36 T	(\$1.27)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	12/01/14	EBIBX	\$5.40	2.013	\$10.87	\$12.00 T	(\$1.13)
EATON VANCE INCOME FUND OF BOSTON CL B	CASH	01/02/15	EBIBX	\$5.40	2.112	\$11.40	\$12.40 T	(\$1.00)
		Position Total	EBIBX		474.724	\$2,583.51	\$2,630.00	(\$66.49)
EATON VANCE HIGH INC OPPORTUNITIES CL B	CASH	12/27/10	EVHIX	\$4.22	490.868	\$2,071.46	\$2,150.00 T	(\$78.54)
FEDERATED CAPITAL INCOME CL C	CASH	03/09/15	CAPCX	\$7.44	600.962	\$4,471.16	\$5,000.00	(\$528.84)
FIRST INV FUND FOR INCOME CL B	CASH	09/09/10	FIFJX	\$2.34	813.008	\$1,902.44	\$2,000.00 T	(\$97.56)
FIRST INV FUND FOR INCOME CL B	CASH	04/18/11	FIFJX	\$2.34	898.438	\$2,102.34	\$2,300.00 T	(\$197.66)
		Position Total	FIFJX		1,711.448	\$4,004.78	\$4,300.00	(\$295.22)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	04/30/09	GSHAX	\$6.03	337.8375	\$2,037.16	\$1,900.00 T	\$137.16
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	06/01/09	GSHAX	\$6.03	2.2142	\$13.35	\$13.07 T	\$0.28
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	07/01/09	GSHAX	\$6.03	2.2523	\$13.58	\$13.50 T	\$0.08
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	08/03/09	GSHAX	\$6.03	2.0337	\$12.26	\$12.90 T	(\$0.64)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	09/01/09	GSHAX	\$6.03	2.0197	\$12.18	\$12.97 T	(\$0.79)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	10/01/09	GSHAX	\$6.03	1.9164	\$11.56	\$12.90 T	(\$1.34)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	11/02/09	GSHAX	\$6.03	2.1009	\$12.67	\$14.27 T	(\$1.60)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	12/01/09	GSHAX	\$6.03	2.1701	\$13.09	\$14.76 T	(\$1.67)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	01/04/10	GSHAX	\$6.03	2.9884	\$18.01	\$20.87 T	(\$2.86)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	02/01/10	GSHAX	\$6.03	2.141	\$12.91	\$14.88 T	(\$1.97)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	03/01/10	GSHAX	\$6.03	2.0698	\$12.48	\$14.28 T	(\$1.80)

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████

AXIOM

UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	04/01/10	GSHAX	\$6.03	2.0137	\$12.14	\$14.22 T	(\$2.08)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	05/03/10	GSHAX	\$6.03	1.9274	\$11.62	\$13.80 T	(\$2.18)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	06/01/10	GSHAX	\$6.03	2.0247	\$12.21	\$13.91 T	(\$1.70)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	07/01/10	GSHAX	\$6.03	2.2373	\$13.49	\$15.44 T	(\$1.95)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	08/02/10	GSHAX	\$6.03	1.9986	\$12.05	\$14.15 T	(\$2.10)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	09/01/10	GSHAX	\$6.03	2.1681	\$13.07	\$15.22 T	(\$2.15)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	10/01/10	GSHAX	\$6.03	2.2834	\$13.77	\$16.35 T	(\$2.58)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	11/01/10	GSHAX	\$6.03	2.0508	\$12.37	\$14.93 T	(\$2.56)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	12/01/10	GSHAX	\$6.03	2.147	\$12.95	\$15.35 T	(\$2.40)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	01/03/11	GSHAX	\$6.03	2.1079	\$12.71	\$15.32 T	(\$2.61)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	02/01/11	GSHAX	\$6.03	2.0608	\$12.43	\$15.21 T	(\$2.78)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	03/01/11	GSHAX	\$6.03	2.1511	\$12.97	\$15.96 T	(\$2.99)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	04/01/11	GSHAX	\$6.03	2.2914	\$13.82	\$16.91 T	(\$3.09)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	05/02/11	GSHAX	\$6.03	2.0879	\$12.59	\$15.55 T	(\$2.96)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	06/01/11	GSHAX	\$6.03	2.0768	\$12.52	\$15.41 T	(\$2.89)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	07/01/11	GSHAX	\$6.03	2.136	\$12.88	\$15.57 T	(\$2.69)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	08/01/11	GSHAX	\$6.03	2.1009	\$12.67	\$15.36 T	(\$2.69)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	09/01/11	GSHAX	\$6.03	2.2343	\$13.47	\$15.46 T	(\$1.99)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	10/03/11	GSHAX	\$6.03	2.2764	\$13.73	\$15.05 T	(\$1.32)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	11/01/11	GSHAX	\$6.03	2.0768	\$12.52	\$14.52 T	(\$2.00)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	12/01/11	GSHAX	\$6.03	2.127	\$12.83	\$14.42 T	(\$1.59)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	12/15/11	GSHAX	\$6.03	4.1447	\$24.99	\$28.06 T	(\$3.07)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	01/03/12	GSHAX	\$6.03	2.0558	\$12.40	\$14.06 T	(\$1.66)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	02/01/12	GSHAX	\$6.03	1.9675	\$11.86	\$13.83 T	(\$1.97)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	03/01/12	GSHAX	\$6.03	1.9695	\$11.88	\$14.10 T	(\$2.22)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	04/02/12	GSHAX	\$6.03	1.9956	\$12.03	\$14.19 T	(\$2.16)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	05/01/12	GSHAX	\$6.03	2.0207	\$12.18	\$14.45 T	(\$2.27)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	06/01/12	GSHAX	\$6.03	2.0086	\$12.11	\$14.06 T	(\$1.95)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	07/02/12	GSHAX	\$6.03	1.8863	\$11.37	\$13.41 T	(\$2.04)

Account carried with National Financial Services LLC, Member
 NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: ██████████

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UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	08/01/12	GSHAX	\$6.03	1.8652	\$11.25	\$13.43 T	(\$2.18)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	09/04/12	GSHAX	\$8.03	1.9825	\$11.83	\$14.21 T	(\$2.38)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	10/01/12	GSHAX	\$6.03	1.9425	\$11.71	\$14.18 T	(\$2.47)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	11/06/12	GSHAX	\$6.03	1.9485	\$11.75	\$14.28 T	(\$2.53)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	12/03/12	GSHAX	\$6.03	2.0387	\$12.29	\$14.96 T	(\$2.67)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	12/13/12	GSHAX	\$6.03	7.1121	\$42.89	\$51.84 T	(\$8.95)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	12/13/12	GSHAX	\$6.03	0.7484	\$4.51	\$5.43 T	(\$0.92)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	01/02/13	GSHAX	\$6.03	2.0678	\$12.47	\$15.05 T	(\$2.58)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	02/01/13	GSHAX	\$6.03	2.0287	\$12.23	\$14.91 T	(\$2.68)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	03/01/13	GSHAX	\$6.03	2.1089	\$12.72	\$15.48 T	(\$2.76)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	04/01/13	GSHAX	\$6.03	1.9585	\$11.80	\$14.48 T	(\$2.68)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	05/01/13	GSHAX	\$6.03	1.9766	\$11.92	\$14.78 T	(\$2.86)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	06/03/13	GSHAX	\$6.03	1.9585	\$11.81	\$14.51 T	(\$2.70)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	07/01/13	GSHAX	\$6.03	2.0287	\$12.22	\$14.51 T	(\$2.29)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	08/01/13	GSHAX	\$6.03	2.0016	\$12.07	\$14.55 T	(\$2.48)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	09/03/13	GSHAX	\$6.03	2.0307	\$12.25	\$14.58 T	(\$2.33)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	10/01/13	GSHAX	\$6.03	2.0016	\$12.07	\$14.41 T	(\$2.34)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	11/01/13	GSHAX	\$6.03	1.9856	\$11.97	\$14.59 T	(\$2.62)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	12/02/13	GSHAX	\$6.03	1.9515	\$11.77	\$14.34 T	(\$2.57)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	12/13/13	GSHAX	\$6.03	13.2716	\$80.03	\$94.36 T	(\$14.33)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	12/13/13	GSHAX	\$6.03	3.3976	\$20.49	\$24.16 T	(\$3.67)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	01/02/14	GSHAX	\$6.03	2.128	\$12.83	\$15.15 T	(\$2.32)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	02/03/14	GSHAX	\$6.03	1.9886	\$11.99	\$14.18 T	(\$2.19)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	03/03/14	GSHAX	\$6.03	2.0989	\$12.64	\$15.20 T	(\$2.56)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	04/01/14	GSHAX	\$6.03	1.9846	\$11.97	\$14.35 T	(\$2.38)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	05/01/14	GSHAX	\$6.03	1.8793	\$11.33	\$13.57 T	(\$2.24)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	08/02/14	GSHAX	\$6.03	1.8311	\$11.04	\$13.24 T	(\$2.20)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	07/01/14	GSHAX	\$6.03	1.9054	\$11.49	\$13.83 T	(\$2.34)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	08/01/14	GSHAX	\$6.03	1.9465	\$11.74	\$13.88 T	(\$2.12)

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████

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UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	09/02/14	GSHAX	\$6.03	1.8372	\$11.08	\$13.21 T	(\$2.13)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	10/01/14	GSHAX	\$6.03	1.8632	\$11.24	\$13.08 T	(\$1.84)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	11/03/14	GSHAX	\$6.03	1.9094	\$11.51	\$13.48 T	(\$1.97)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	11/18/14	GSHAX	\$6.03	1.033	\$6.23	\$7.28 T	(\$1.03)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	12/01/14	GSHAX	\$6.03	1.083	\$6.41	\$7.42 T	(\$1.01)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	12/12/14	GSHAX	\$6.03	8.556	\$51.59	\$58.98 T	(\$5.39)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	12/12/14	GSHAX	\$6.03	1.787	\$10.78	\$11.90 T	(\$1.12)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	01/02/15	GSHAX	\$6.03	2.411	\$14.54	\$16.20 T	(\$1.66)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	02/27/15	GSHAX	\$6.03	2.175	\$13.12	\$14.90	(\$1.78)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	03/31/15	GSHAX	\$6.03	2.199	\$13.26	\$14.95	(\$1.69)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	04/30/15	GSHAX	\$6.03	2.282	\$13.76	\$15.63	(\$1.87)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	05/29/15	GSHAX	\$6.03	2.284	\$13.77	\$15.60	(\$1.83)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	06/30/15	GSHAX	\$6.03	2.364	\$14.25	\$15.79	(\$1.54)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	07/31/15	GSHAX	\$6.03	2.424	\$14.62	\$16.07	(\$1.45)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	08/31/15	GSHAX	\$6.03	2.499	\$15.07	\$16.22	(\$1.15)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	09/30/15	GSHAX	\$6.03	2.583	\$15.58	\$16.17	(\$0.59)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	10/30/15	GSHAX	\$6.03	2.522	\$15.21	\$16.14	(\$0.93)
GOLDMAN SACHS HIGH YIELD CLASS A	CASH	11/30/15	GSHAX	\$6.03	2.648	\$15.97	\$16.50	(\$0.53)
Position Total			GSHAX		540.9510	\$3,261.93	\$3,322.39	(\$60.46)
JOHN HANCOCK INCOME FUND CLASS B	CASH	09/09/10	STIBX	\$8.37	384.615	\$2,450.00	\$2,500.00 T	(\$50.00)
JOHN HANCOCK INCOME FUND CLASS B	CASH	12/27/10	STIBX	\$8.37	323.308	\$2,059.47	\$2,150.00 T	(\$90.53)
Position Total			STIBX		707.923	\$4,509.47	\$4,650.00	(\$140.53)
IVY HIGH INCOME CL B	CASH	01/28/13	WHIBX	\$6.94	125.462	\$870.71	\$1,089.01 T	(\$218.30)
IVY HIGH INCOME CL B	CASH	04/08/13	WHIBX	\$6.94	200.458	\$1,391.18	\$1,750.00 T	(\$358.82)
IVY HIGH INCOME CL B	CASH	12/23/13	WHIBX	\$6.94	228.852	\$1,574.35	\$1,960.00 T	(\$385.65)
Position Total			WHIBX		552.772	\$3,836.24	\$4,799.01	(\$962.77)
JOHN HANCOCK FLOATING RATE INC B	CASH	04/18/12	JFIBX	\$8.17	535.332	\$4,373.68	\$5,000.00 T	(\$626.34)
JOHN HANCOCK FLOATING RATE INC B	CASH	05/01/12	JFIBX	\$8.17	0.66	\$5.39	\$8.18 T	(\$0.79)

Account carried with National Financial Services LLC, Member NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: [REDACTED]

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UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
JOHN HANCOCK FLOATING RATE INC B	CASH	05/10/12	JFIBX	\$8.17	202.128	\$1,651.39	\$1,900.00 T	(\$248.61)
JOHN HANCOCK FLOATING RATE INC B	CASH	06/01/12	JFIBX	\$8.17	2.345	\$19.16	\$21.69 T	(\$2.53)
JOHN HANCOCK FLOATING RATE INC B	CASH	07/02/12	JFIBX	\$8.17	2.722	\$22.24	\$25.31 T	(\$3.07)
JOHN HANCOCK FLOATING RATE INC B	CASH	08/01/12	JFIBX	\$8.17	2.638	\$21.55	\$24.72 T	(\$3.17)
JOHN HANCOCK FLOATING RATE INC B	CASH	09/04/12	JFIBX	\$8.17	2.529	\$20.86	\$23.80 T	(\$3.14)
JOHN HANCOCK FLOATING RATE INC B	CASH	10/01/12	JFIBX	\$8.17	2.435	\$19.89	\$23.08 T	(\$3.17)
JOHN HANCOCK FLOATING RATE INC B	CASH	11/01/12	JFIBX	\$8.17	2.248	\$18.37	\$21.29 T	(\$2.92)
JOHN HANCOCK FLOATING RATE INC B	CASH	12/03/12	JFIBX	\$8.17	2.199	\$17.97	\$20.76 T	(\$2.79)
JOHN HANCOCK FLOATING RATE INC B	CASH	12/24/12	JFIBX	\$8.17	5.525	\$45.14	\$51.88 T	(\$6.74)
JOHN HANCOCK FLOATING RATE INC B	CASH	12/24/12	JFIBX	\$8.17	0.813	\$6.64	\$7.63 T	(\$0.99)
JOHN HANCOCK FLOATING RATE INC B	CASH	01/02/13	JFIBX	\$8.17	2.464	\$20.13	\$23.16 T	(\$3.03)
JOHN HANCOCK FLOATING RATE INC B	CASH	01/28/13	JFIBX	\$8.17	184.599	\$1,508.17	\$1,750.00 T	(\$241.83)
JOHN HANCOCK FLOATING RATE INC B	CASH	02/01/13	JFIBX	\$8.17	2.566	\$20.96	\$24.27 T	(\$3.31)
JOHN HANCOCK FLOATING RATE INC B	CASH	03/01/13	JFIBX	\$8.17	2.808	\$22.94	\$28.56 T	(\$3.62)
JOHN HANCOCK FLOATING RATE INC B	CASH	04/01/13	JFIBX	\$8.17	2.963	\$24.21	\$28.12 T	(\$3.91)
JOHN HANCOCK FLOATING RATE INC B	CASH	04/08/13	JFIBX	\$8.17	184.405	\$1,506.59	\$1,750.00 T	(\$243.41)
JOHN HANCOCK FLOATING RATE INC B	CASH	05/01/13	JFIBX	\$8.17	3.263	\$26.86	\$31.06 T	(\$4.40)
JOHN HANCOCK FLOATING RATE INC B	CASH	06/03/13	JFIBX	\$8.17	3.505	\$28.64	\$33.19 T	(\$4.55)
JOHN HANCOCK FLOATING RATE INC B	CASH	07/01/13	JFIBX	\$8.17	3.818	\$29.56	\$33.90 T	(\$4.34)
JOHN HANCOCK FLOATING RATE INC B	CASH	08/01/13	JFIBX	\$8.17	3.768	\$30.78	\$35.49 T	(\$4.71)
JOHN HANCOCK FLOATING RATE INC B	CASH	09/03/13	JFIBX	\$8.17	3.862	\$29.92	\$34.31 T	(\$4.39)
JOHN HANCOCK FLOATING RATE INC B	CASH	10/01/13	JFIBX	\$8.17	3.359	\$27.44	\$31.47 T	(\$4.03)
JOHN HANCOCK FLOATING RATE INC B	CASH	11/01/13	JFIBX	\$8.17	3.273	\$26.74	\$30.83 T	(\$4.09)
JOHN HANCOCK FLOATING RATE INC B	CASH	12/02/13	JFIBX	\$8.17	3.186	\$26.03	\$30.04 T	(\$4.01)
JOHN HANCOCK FLOATING RATE INC B	CASH	12/24/13	JFIBX	\$8.17	1.801	\$14.71	\$16.95 T	(\$2.24)
JOHN HANCOCK FLOATING RATE INC B	CASH	12/24/13	JFIBX	\$8.17	1.243	\$10.16	\$11.70 T	(\$1.54)
JOHN HANCOCK FLOATING RATE INC B	CASH	01/02/14	JFIBX	\$8.17	3.318	\$27.11	\$31.26 T	(\$4.15)
JOHN HANCOCK FLOATING RATE INC B	CASH	02/03/14	JFIBX	\$8.17	3.281	\$26.81	\$30.94 T	(\$4.13)
JOHN HANCOCK FLOATING RATE INC B	CASH	03/03/14	JFIBX	\$8.17	2.977	\$24.32	\$28.07 T	(\$3.75)

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████



UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
JOHN HANCOCK FLOATING RATE INC B	CASH	04/01/14	JFIBX	\$8.17	3.175	\$25.94	\$29.91 T	(\$3.97)
JOHN HANCOCK FLOATING RATE INC B	CASH	05/01/14	JFIBX	\$8.17	3.019	\$24.67	\$28.38 T	(\$3.71)
JOHN HANCOCK FLOATING RATE INC B	CASH	05/02/14	JFIBX	\$8.17	3.057	\$24.98	\$28.74 T	(\$3.76)
JOHN HANCOCK FLOATING RATE INC B	CASH	07/01/14	JFIBX	\$8.17	3.018	\$24.88	\$28.43 T	(\$3.77)
JOHN HANCOCK FLOATING RATE INC B	CASH	08/01/14	JFIBX	\$8.17	3.22	\$26.31	\$30.20 T	(\$3.89)
JOHN HANCOCK FLOATING RATE INC B	CASH	09/02/14	JFIBX	\$8.17	3.276	\$26.76	\$30.68 T	(\$3.90)
JOHN HANCOCK FLOATING RATE INC B	CASH	10/01/14	JFIBX	\$8.17	3.458	\$28.25	\$31.95 T	(\$3.70)
JOHN HANCOCK FLOATING RATE INC B	CASH	11/03/14	JFIBX	\$8.17	3.781	\$30.73	\$34.68 T	(\$3.95)
JOHN HANCOCK FLOATING RATE INC B	CASH	12/01/14	JFIBX	\$8.17	3.776	\$30.85	\$34.78 T	(\$3.93)
JOHN HANCOCK FLOATING RATE INC B	CASH	12/24/14	JFIBX	\$8.17	3.092	\$25.28	\$27.67 T	(\$2.41)
JOHN HANCOCK FLOATING RATE INC B	CASH	01/02/15	JFIBX	\$8.17	7.453	\$60.89	\$68.78 T	(\$5.89)
JOHN HANCOCK FLOATING RATE INC B	CASH	02/27/15	JFIBX	\$8.17	3.703	\$30.25	\$33.38	(\$3.11)
JOHN HANCOCK FLOATING RATE INC B	CASH	03/31/15	JFIBX	\$8.17	4.054	\$33.12	\$38.49	(\$3.37)
JOHN HANCOCK FLOATING RATE INC B	CASH	04/30/15	JFIBX	\$8.17	3.784	\$30.75	\$34.10	(\$3.35)
JOHN HANCOCK FLOATING RATE INC B	CASH	05/29/15	JFIBX	\$8.17	3.942	\$32.21	\$35.60	(\$3.39)
JOHN HANCOCK FLOATING RATE INC B	CASH	06/30/15	JFIBX	\$8.17	3.813	\$31.15	\$34.05	(\$2.90)
JOHN HANCOCK FLOATING RATE INC B	CASH	07/31/15	JFIBX	\$8.17	3.98	\$32.52	\$35.22	(\$2.70)
JOHN HANCOCK FLOATING RATE INC B	CASH	08/31/15	JFIBX	\$8.17	3.995	\$32.64	\$34.80	(\$2.16)
JOHN HANCOCK FLOATING RATE INC B	CASH	09/30/15	JFIBX	\$8.17	4.123	\$33.88	\$35.33	(\$1.65)
JOHN HANCOCK FLOATING RATE INC B	CASH	10/30/15	JFIBX	\$8.17	4.465	\$36.48	\$37.95	(\$1.47)
JOHN HANCOCK FLOATING RATE INC B	CASH	11/30/15	JFIBX	\$8.17	4.456	\$36.41	\$37.16	(\$0.75)
		Position Total	JFIBX		1,282.233	\$10,312.44	\$11,833.88	(\$1,521.44)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	10/20/09	OHYAX	\$6.80	283.32189	\$1,926.59	\$2,125.00 T	(\$198.41)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	11/02/09	OHYAX	\$6.80	1.73484	\$11.80	\$12.98 T	(\$1.18)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	12/01/09	OHYAX	\$6.80	1.89689	\$11.54	\$12.78 T	(\$1.24)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	01/04/10	OHYAX	\$6.80	2.18863	\$14.88	\$16.85 T	(\$1.97)

Account carried with National Financial Services LLC, Member NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: [REDACTED]

AXIOM

UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	02/01/10	DHYAX	\$6.80	1.67159	\$11.37	\$12.95 T	(\$1.58)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	03/01/10	DHYAX	\$6.80	1.69287	\$11.51	\$13.03 T	(\$1.52)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	04/01/10	DHYAX	\$6.80	1.76998	\$12.04	\$13.98 T	(\$1.94)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	05/03/10	DHYAX	\$6.80	1.71476	\$11.66	\$13.77 T	(\$2.11)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	06/01/10	DHYAX	\$6.80	2.0802	\$14.15	\$15.91 T	(\$1.76)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	07/01/10	DHYAX	\$6.80	1.93563	\$13.16	\$14.83 T	(\$1.67)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	08/02/10	DHYAX	\$6.80	1.81917	\$12.37	\$14.33 T	(\$1.96)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	09/01/10	DHYAX	\$6.80	1.84628	\$12.55	\$14.42 T	(\$1.87)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	10/01/10	DHYAX	\$6.80	1.7007	\$11.56	\$13.60 T	(\$2.04)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	11/01/10	DHYAX	\$6.80	1.82319	\$12.40	\$14.89 T	(\$2.49)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	12/01/10	DHYAX	\$6.80	1.94266	\$13.21	\$15.60 T	(\$2.39)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	12/15/10	DHYAX	\$6.80	0.54314	\$3.69	\$4.39 T	(\$0.70)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	12/15/10	DHYAX	\$6.80	0.51202	\$3.48	\$4.14 T	(\$0.66)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	01/03/11	DHYAX	\$6.80	1.90451	\$12.95	\$15.44 T	(\$2.49)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	02/01/11	DHYAX	\$6.80	1.81637	\$10.99	\$13.36 T	(\$2.37)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	03/01/11	DHYAX	\$6.80	1.46477	\$9.96	\$12.18 T	(\$2.22)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	04/01/11	DHYAX	\$6.80	1.74588	\$11.87	\$14.43 T	(\$2.56)

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████



UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	05/02/11	OHYAX	\$6.80	1.77901	\$12.10	\$14.83 T	(\$2.73)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	06/01/11	OHYAX	\$6.80	1.75893	\$11.96	\$14.59 T	(\$2.83)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	07/01/11	OHYAX	\$6.80	1.60332	\$10.90	\$13.08 T	(\$2.18)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	08/01/11	OHYAX	\$6.80	1.89066	\$11.50	\$13.79 T	(\$2.29)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	09/01/11	OHYAX	\$6.80	1.83423	\$12.47	\$14.18 T	(\$1.71)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	10/03/11	OHYAX	\$6.80	1.78403	\$12.13	\$13.29 T	(\$1.16)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	11/01/11	OHYAX	\$6.80	1.62742	\$11.07	\$12.71 T	(\$1.64)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	12/01/11	OHYAX	\$6.80	1.85029	\$12.58	\$14.08 T	(\$1.50)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	12/16/11	OHYAX	\$6.80	4.23068	\$28.77	\$31.90 T	(\$3.13)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	12/16/11	OHYAX	\$6.80	2.59322	\$17.63	\$19.55 T	(\$1.92)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	01/03/12	OHYAX	\$6.80	1.90652	\$12.96	\$14.45 T	(\$1.49)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	02/01/12	OHYAX	\$6.80	1.52501	\$10.37	\$11.83 T	(\$1.46)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	03/01/12	OHYAX	\$6.80	1.63746	\$11.13	\$12.90 T	(\$1.77)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	04/02/12	OHYAX	\$6.80	1.73885	\$11.82	\$13.65 T	(\$1.83)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	05/01/12	OHYAX	\$6.80	1.43866	\$9.77	\$11.32 T	(\$1.55)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	06/01/12	OHYAX	\$6.80	1.60633	\$10.92	\$12.40 T	(\$1.48)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	07/02/12	OHYAX	\$6.80	1.59027	\$10.81	\$12.45 T	(\$1.64)



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: ██████████

AXIOM

UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	08/01/12	OHYAX	\$6.80	1.66858	\$11.35	\$13.21 T	(\$1.86)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	09/04/12	OHYAX	\$6.80	1.86358	\$11.31	\$13.27 T	(\$1.96)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	10/01/12	OHYAX	\$6.80	1.70171	\$11.57	\$13.68 T	(\$2.11)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	11/02/12	OHYAX	\$6.80	1.7499	\$11.90	\$14.10 T	(\$2.20)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	12/03/12	OHYAX	\$6.80	1.71074	\$11.63	\$13.82 T	(\$2.19)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	12/14/12	OHYAX	\$6.80	1.82821	\$12.43	\$14.86 T	(\$2.43)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	12/14/12	OHYAX	\$6.80	0.49796	\$3.39	\$4.05 T	(\$0.66)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	01/02/13	OHYAX	\$6.80	2.03803	\$13.86	\$16.48 T	(\$2.62)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	02/01/13	OHYAX	\$6.80	1.54609	\$10.51	\$12.61 T	(\$2.10)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	03/01/13	OHYAX	\$6.80	1.5973	\$10.86	\$13.03 T	(\$2.17)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	04/01/13	OHYAX	\$6.80	1.68263	\$11.44	\$13.81 T	(\$2.37)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	05/01/13	OHYAX	\$6.80	1.8001	\$12.24	\$14.97 T	(\$2.73)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	06/03/13	OHYAX	\$6.80	1.58015	\$10.61	\$12.84 T	(\$2.23)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	07/01/13	OHYAX	\$6.80	1.61637	\$10.99	\$12.90 T	(\$1.91)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	08/01/13	OHYAX	\$6.80	1.50995	\$10.27	\$12.21 T	(\$1.94)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	09/03/13	OHYAX	\$6.80	1.72078	\$11.70	\$13.75 T	(\$2.05)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	10/01/13	OHYAX	\$6.80	1.53606	\$10.45	\$12.32 T	(\$1.87)

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████

AXIOM

UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	11/01/13	OHYAX	\$6.80	1.65051	\$11.22	\$13.50 T	(\$2.28)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	12/02/13	OHYAX	\$6.80	1.61537	\$10.98	\$13.18 T	(\$2.20)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	12/13/13	OHYAX	\$6.80	8.77057	\$59.64	\$69.80 T	(\$10.16)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	12/13/13	OHYAX	\$6.80	1.28005	\$8.70	\$10.19 T	(\$1.49)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	01/02/14	OHYAX	\$6.80	2.05309	\$13.96	\$16.30 T	(\$2.34)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	02/03/14	OHYAX	\$6.80	1.4718	\$10.01	\$11.71 T	(\$1.70)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	03/03/14	OHYAX	\$6.80	1.50493	\$10.23	\$12.14 T	(\$1.91)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	04/01/14	OHYAX	\$6.80	1.75994	\$11.97	\$14.15 T	(\$2.18)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	05/01/14	OHYAX	\$6.80	1.521	\$10.34	\$12.24 T	(\$1.90)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	06/02/14	OHYAX	\$6.80	1.46779	\$9.98	\$11.89 T	(\$1.91)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	07/01/14	OHYAX	\$6.80	1.51698	\$10.32	\$12.33 T	(\$2.01)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	08/01/14	OHYAX	\$6.80	1.60432	\$10.91	\$12.78 T	(\$1.87)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	09/02/14	OHYAX	\$6.80	1.54108	\$10.48	\$12.43 T	(\$1.95)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	10/01/14	OHYAX	\$6.80	1.53505	\$10.44	\$12.08 T	(\$1.64)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	11/03/14	OHYAX	\$6.80	1.52702	\$10.38	\$12.12 T	(\$1.74)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	12/01/14	OHYAX	\$6.80	1.5471	\$10.52	\$12.17 T	(\$1.65)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	12/15/14	OHYAX	\$6.80	8.25253	\$56.12	\$61.57 T	(\$5.45)

Account carried with National Financial Services LLC, Member
 NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: ██████████

AXIOM

UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	12/15/14	DHYAX	\$6.80	0.84031	\$5.71	\$6.27 T	(\$0.56)
JPMORGAN HIGH YIELD BOND FUND CLASS A	CASH	12/31/14	DHYAX	\$6.80	1.76398	\$12.00	\$13.32 T	(\$1.32)
		Position Total	DHYAX		419.57000	\$2,853.08	\$3,201.94	(\$348.86)
WESTERN ASSET GLOB HI YIELD BOND CL B	CASH	09/09/10	SBHYX	\$5.89	317.404	\$1,869.51	\$2,225.00 T	(\$355.49)
WESTERN ASSET SHORT DURATION HIGH INC A	CASH	04/18/11	SHIAX	\$5.12	358.264	\$1,834.31	\$2,300.00 T	(\$465.69)
MFS CORPORATE BOND CLASS B	CASH	05/03/12	MFBBX	\$13.39	144.404	\$1,933.57	\$2,000.00 T	(\$66.43)
MFS CORPORATE BOND CLASS B	CASH	06/01/12	MFBBX	\$13.39	0.407	\$5.45	\$5.61 T	(\$0.16)
MFS CORPORATE BOND CLASS B	CASH	07/02/12	MFBBX	\$13.39	0.456	\$6.11	\$6.30 T	(\$0.19)
MFS CORPORATE BOND CLASS B	CASH	08/01/12	MFBBX	\$13.39	0.422	\$5.65	\$5.95 T	(\$0.30)
MFS CORPORATE BOND CLASS B	CASH	09/04/12	MFBBX	\$13.39	0.398	\$5.33	\$5.62 T	(\$0.29)
MFS CORPORATE BOND CLASS B	CASH	10/01/12	MFBBX	\$13.39	0.402	\$5.38	\$5.70 T	(\$0.32)
MFS CORPORATE BOND CLASS B	CASH	11/01/12	MFBBX	\$13.39	0.39	\$5.22	\$5.58 T	(\$0.36)
MFS CORPORATE BOND CLASS B	CASH	12/03/12	MFBBX	\$13.39	0.357	\$4.78	\$5.10 T	(\$0.32)
MFS CORPORATE BOND CLASS B	CASH	01/02/13	MFBBX	\$13.39	0.348	\$4.68	\$4.96 T	(\$0.30)
MFS CORPORATE BOND CLASS B	CASH	02/01/13	MFBBX	\$13.39	0.353	\$4.73	\$5.00 T	(\$0.27)
MFS CORPORATE BOND CLASS B	CASH	03/01/13	MFBBX	\$13.39	0.336	\$4.50	\$4.76 T	(\$0.26)
MFS CORPORATE BOND CLASS B	CASH	04/01/13	MFBBX	\$13.39	0.311	\$4.16	\$4.40 T	(\$0.24)
MFS CORPORATE BOND CLASS B	CASH	05/01/13	MFBBX	\$13.39	0.314	\$4.20	\$4.50 T	(\$0.30)
MFS CORPORATE BOND CLASS B	CASH	06/03/13	MFBBX	\$13.39	0.309	\$4.14	\$4.34 T	(\$0.20)
MFS CORPORATE BOND CLASS B	CASH	07/01/13	MFBBX	\$13.39	0.312	\$4.18	\$4.25 T	(\$0.07)
MFS CORPORATE BOND CLASS B	CASH	08/01/13	MFBBX	\$13.39	0.317	\$4.24	\$4.34 T	(\$0.10)
MFS CORPORATE BOND CLASS B	CASH	09/03/13	MFBBX	\$13.39	0.323	\$4.32	\$4.38 T	(\$0.06)
MFS CORPORATE BOND CLASS B	CASH	10/01/13	MFBBX	\$13.39	0.326	\$4.37	\$4.45 T	(\$0.08)
MFS CORPORATE BOND CLASS B	CASH	11/01/13	MFBBX	\$13.39	0.333	\$4.46	\$4.61 T	(\$0.15)
MFS CORPORATE BOND CLASS B	CASH	12/02/13	MFBBX	\$13.39	0.345	\$4.62	\$4.74 T	(\$0.12)
MFS CORPORATE BOND CLASS B	CASH	12/02/13	MFBBX	\$13.39	0.33	\$4.42	\$4.54 T	(\$0.12)

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████



UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
MFS CORPORATE BOND CLASS B	CASH	12/02/13	MFBBX	\$13.39	0.047	\$0.63	\$0.65 T	(\$0.02)
MFS CORPORATE BOND CLASS B	CASH	01/02/14	MFBBX	\$13.39	0.345	\$4.62	\$4.71 T	(\$0.09)
MFS CORPORATE BOND CLASS B	CASH	02/03/14	MFBBX	\$13.39	0.358	\$4.79	\$4.95 T	(\$0.16)
MFS CORPORATE BOND CLASS B	CASH	03/03/14	MFBBX	\$13.39	0.378	\$5.06	\$5.28 T	(\$0.22)
MFS CORPORATE BOND CLASS B	CASH	04/01/14	MFBBX	\$13.39	0.379	\$5.07	\$5.27 T	(\$0.20)
MFS CORPORATE BOND CLASS B	CASH	05/01/14	MFBBX	\$13.39	0.388	\$4.93	\$5.15 T	(\$0.22)
MFS CORPORATE BOND CLASS B	CASH	06/02/14	MFBBX	\$13.39	0.356	\$4.77	\$5.02 T	(\$0.25)
MFS CORPORATE BOND CLASS B	CASH	07/01/14	MFBBX	\$13.39	0.361	\$4.83	\$5.10 T	(\$0.27)
MFS CORPORATE BOND CLASS B	CASH	08/01/14	MFBBX	\$13.39	0.355	\$4.75	\$4.96 T	(\$0.21)
MFS CORPORATE BOND CLASS B	CASH	09/02/14	MFBBX	\$13.39	0.351	\$4.70	\$4.96 T	(\$0.26)
MFS CORPORATE BOND CLASS B	CASH	10/01/14	MFBBX	\$13.39	0.364	\$4.87	\$5.05 T	(\$0.18)
MFS CORPORATE BOND CLASS B	CASH	11/03/14	MFBBX	\$13.39	0.354	\$4.74	\$4.96 T	(\$0.22)
MFS CORPORATE BOND CLASS B	CASH	12/01/14	MFBBX	\$13.39	0.368	\$4.93	\$5.16 T	(\$0.23)
MFS CORPORATE BOND CLASS B	CASH	01/02/15	MFBBX	\$13.39	0.378	\$5.06	\$5.27 T	(\$0.21)
MFS CORPORATE BOND CLASS B	CASH	02/27/15	MFBBX	\$13.39	0.372	\$4.98	\$5.27 T	(\$0.29)
MFS CORPORATE BOND CLASS B	CASH	03/31/15	MFBBX	\$13.39	0.353	\$4.73	\$5.00 T	(\$0.27)
MFS CORPORATE BOND CLASS B	CASH	04/30/15	MFBBX	\$13.39	0.359	\$4.81	\$5.06 T	(\$0.25)
MFS CORPORATE BOND CLASS B	CASH	05/29/15	MFBBX	\$13.39	0.351	\$4.70	\$4.91 T	(\$0.21)
MFS CORPORATE BOND CLASS B	CASH	06/30/15	MFBBX	\$13.39	0.353	\$4.73	\$4.84 T	(\$0.11)
MFS CORPORATE BOND CLASS B	CASH	07/31/15	MFBBX	\$13.39	0.358	\$4.79	\$4.92 T	(\$0.13)
MFS CORPORATE BOND CLASS B	CASH	08/31/15	MFBBX	\$13.39	0.361	\$4.83	\$4.91 T	(\$0.08)
MFS CORPORATE BOND CLASS B	CASH	09/30/15	MFBBX	\$13.39	0.356	\$4.77	\$4.84 T	(\$0.07)
MFS CORPORATE BOND CLASS B	CASH	10/30/15	MFBBX	\$13.39	0.344	\$4.61	\$4.70 T	(\$0.09)
MFS CORPORATE BOND CLASS B	CASH	11/30/15	MFBBX	\$13.39	0.353	\$4.73	\$4.80 T	(\$0.07)
MFS CORPORATE BOND CLASS B	CASH	12/10/15	MFBBX	\$13.39	0.064	\$0.86	\$0.86	(\$0.00)
MFS CORPORATE BOND CLASS B	CASH	12/10/15	MFBBX	\$13.39	0.361	\$4.83	\$4.88	(\$0.03)
Position Total			MFBBX		160.240	\$2,145.61	\$2,220.59	(\$74.98)
MFS GLOBAL HIGH YIELD CL B	CASH	12/23/13	MH0BX	\$5.75	137.403	\$790.07	\$905.49 T	(\$115.42)

Account carried with National Financial Services LLC, Member
 NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████

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UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
MFS UTILITIES CLASS B	CASH	04/18/11	MMUBX	\$16.75	115.807	\$1,936.42	\$2,000.00 T	(\$63.58)
MFS UTILITIES CLASS B	CASH	05/02/11	MMUBX	\$16.75	0.175	\$2.93	\$3.18 T	(\$0.25)
MFS UTILITIES CLASS B	CASH	06/01/11	MMUBX	\$16.75	0.164	\$2.75	\$2.98 T	(\$0.23)
MFS UTILITIES CLASS B	CASH	07/01/11	MMUBX	\$16.75	0.204	\$3.42	\$3.65 T	(\$0.23)
MFS UTILITIES CLASS B	CASH	08/01/11	MMUBX	\$16.75	0.207	\$3.47	\$3.65 T	(\$0.18)
MFS UTILITIES CLASS B	CASH	09/01/11	MMUBX	\$16.75	0.268	\$4.49	\$4.53 T	(\$0.04)
MFS UTILITIES CLASS B	CASH	10/03/11	MMUBX	\$16.75	0.298	\$4.98	\$4.66 T	\$0.30
MFS UTILITIES CLASS B	CASH	11/01/11	MMUBX	\$16.75	0.269	\$4.51	\$4.58 T	(\$0.07)
MFS UTILITIES CLASS B	CASH	12/01/11	MMUBX	\$16.75	0.28	\$4.69	\$4.70 T	(\$0.01)
MFS UTILITIES CLASS B	CASH	01/03/12	MMUBX	\$16.75	0.557	\$9.33	\$9.41 T	(\$0.08)
MFS UTILITIES CLASS B	CASH	02/01/12	MMUBX	\$16.75	0.201	\$3.37	\$3.41 T	(\$0.04)
MFS UTILITIES CLASS B	CASH	03/01/12	MMUBX	\$16.75	0.2	\$3.35	\$3.51 T	(\$0.16)
MFS UTILITIES CLASS B	CASH	04/02/12	MMUBX	\$16.75	0.195	\$3.27	\$3.45 T	(\$0.18)
MFS UTILITIES CLASS B	CASH	05/01/12	MMUBX	\$16.75	0.199	\$3.33	\$3.52 T	(\$0.19)
MFS UTILITIES CLASS B	CASH	06/08/12	MMUBX	\$16.75	0.203	\$3.40	\$3.38 T	\$0.02
MFS UTILITIES CLASS B	CASH	07/02/12	MMUBX	\$16.75	0.205	\$3.43	\$3.58 T	(\$0.15)
MFS UTILITIES CLASS B	CASH	08/01/12	MMUBX	\$16.75	0.196	\$3.28	\$3.49 T	(\$0.21)
MFS UTILITIES CLASS B	CASH	09/04/12	MMUBX	\$16.75	0.191	\$3.20	\$3.42 T	(\$0.22)
MFS UTILITIES CLASS B	CASH	10/01/12	MMUBX	\$16.75	0.187	\$3.13	\$3.44 T	(\$0.31)
MFS UTILITIES CLASS B	CASH	11/02/12	MMUBX	\$16.75	0.179	\$3.00	\$3.32 T	(\$0.32)
MFS UTILITIES CLASS B	CASH	12/03/12	MMUBX	\$16.75	0.253	\$4.24	\$4.62 T	(\$0.38)
MFS UTILITIES CLASS B	CASH	12/12/12	MMUBX	\$16.75	0.049	\$0.82	\$0.91 T	(\$0.09)
MFS UTILITIES CLASS B	CASH	01/02/13	MMUBX	\$16.75	0.581	\$9.73	\$10.78 T	(\$1.05)
MFS UTILITIES CLASS B	CASH	02/01/13	MMUBX	\$16.75	0.151	\$2.53	\$2.90 T	(\$0.37)
MFS UTILITIES CLASS B	CASH	03/01/13	MMUBX	\$16.75	0.143	\$2.40	\$2.79 T	(\$0.39)
MFS UTILITIES CLASS B	CASH	04/01/13	MMUBX	\$16.75	0.143	\$2.40	\$2.91 T	(\$0.51)
MFS UTILITIES CLASS B	CASH	04/08/13	MMUBX	\$16.75	85.784	\$1,436.88	\$1,750.00 T	(\$313.12)
MFS UTILITIES CLASS B	CASH	05/01/13	MMUBX	\$16.75	0.207	\$3.47	\$4.36 T	(\$0.89)
MFS UTILITIES CLASS B	CASH	06/03/13	MMUBX	\$16.75	0.227	\$3.80	\$4.57 T	(\$0.77)

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████



UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
MFS UTILITIES CLASS B	CASH	06/03/13	MMUBX	\$16.75	0.054	\$0.90	\$1.09 T	(\$0.19)
MFS UTILITIES CLASS B	CASH	07/01/13	MMUBX	\$16.75	0.236	\$3.95	\$4.75 T	(\$0.80)
MFS UTILITIES CLASS B	CASH	08/01/13	MMUBX	\$16.75	0.359	\$6.01	\$7.50 T	(\$1.49)
MFS UTILITIES CLASS B	CASH	09/03/13	MMUBX	\$16.75	0.384	\$6.43	\$7.75 T	(\$1.32)
MFS UTILITIES CLASS B	CASH	10/01/13	MMUBX	\$16.75	0.376	\$6.30	\$7.87 T	(\$1.57)
MFS UTILITIES CLASS B	CASH	11/01/13	MMUBX	\$16.75	0.394	\$6.60	\$8.55 T	(\$1.95)
MFS UTILITIES CLASS B	CASH	12/02/13	MMUBX	\$16.75	0.401	\$6.72	\$8.62 T	(\$1.90)
MFS UTILITIES CLASS B	CASH	12/11/13	MMUBX	\$16.75	4.71	\$78.89	\$96.17 T	(\$17.28)
MFS UTILITIES CLASS B	CASH	12/11/13	MMUBX	\$16.75	4.502	\$75.41	\$91.94 T	(\$18.53)
MFS UTILITIES CLASS B	CASH	01/02/14	MMUBX	\$16.75	0.903	\$15.13	\$18.75 T	(\$3.62)
MFS UTILITIES CLASS B	CASH	02/03/14	MMUBX	\$16.75	0.295	\$4.94	\$6.10 T	(\$1.16)
MFS UTILITIES CLASS B	CASH	03/03/14	MMUBX	\$16.75	0.288	\$4.82	\$6.14 T	(\$1.32)
MFS UTILITIES CLASS B	CASH	04/01/14	MMUBX	\$16.75	0.272	\$4.56	\$5.98 T	(\$1.42)
MFS UTILITIES CLASS B	CASH	05/01/14	MMUBX	\$16.75	0.316	\$5.29	\$7.14 T	(\$1.85)
MFS UTILITIES CLASS B	CASH	06/02/14	MMUBX	\$16.75	0.399	\$6.68	\$9.19 T	(\$2.51)
MFS UTILITIES CLASS B	CASH	07/01/14	MMUBX	\$16.75	0.358	\$5.98	\$8.53 T	(\$2.57)
MFS UTILITIES CLASS B	CASH	08/01/14	MMUBX	\$16.75	0.354	\$5.93	\$8.09 T	(\$2.16)
MFS UTILITIES CLASS B	CASH	09/02/14	MMUBX	\$16.75	0.359	\$6.01	\$8.51 T	(\$2.50)
MFS UTILITIES CLASS B	CASH	10/01/14	MMUBX	\$16.75	0.362	\$6.06	\$8.24 T	(\$2.18)
MFS UTILITIES CLASS B	CASH	11/03/14	MMUBX	\$16.75	0.37	\$6.20	\$8.61 T	(\$2.41)
MFS UTILITIES CLASS B	CASH	12/01/14	MMUBX	\$16.75	0.424	\$7.10	\$9.95 T	(\$2.85)
MFS UTILITIES CLASS B	CASH	12/23/14	MMUBX	\$16.75	11.305	\$189.36	\$242.61 T	(\$53.25)
MFS UTILITIES CLASS B	CASH	12/23/14	MMUBX	\$16.75	2.871	\$44.74	\$57.31 T	(\$12.57)
MFS UTILITIES CLASS B	CASH	01/02/15	MMUBX	\$16.75	0.494	\$8.27	\$10.58 T	(\$2.31)
		Position Total	MMUBX		238.105	\$3,988.28	\$4,509.67	(\$521.41)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	06/30/10	MKHGX	\$5.27	3.317	\$17.48	\$18.68 T	(\$1.20)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	08/02/10	MKHGX	\$5.27	4.789	\$25.24	\$27.49 T	(\$2.25)

Account carried with National Financial Services LLC, Member NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ~~XXXXXXXXXX~~

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UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	09/01/10	MKHGX	\$5.27	4.824	\$25.42	\$27.64 T	(\$2.22)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	09/30/10	MKHGX	\$5.27	4.791	\$25.25	\$27.79 T	(\$2.54)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	11/01/10	MKHGX	\$5.27	4.736	\$24.96	\$27.94 T	(\$2.98)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	12/01/10	MKHGX	\$5.27	4.826	\$25.43	\$28.09 T	(\$2.66)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	12/21/10	MKHGX	\$5.27	4.844	\$25.53	\$28.24 T	(\$2.71)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	02/01/11	MKHGX	\$5.27	4.788	\$25.23	\$28.39 T	(\$3.16)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	03/01/11	MKHGX	\$5.27	4.789	\$25.24	\$28.54 T	(\$3.30)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	03/31/11	MKHGX	\$5.27	4.83	\$25.45	\$28.69 T	(\$3.24)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	05/02/11	MKHGX	\$5.27	4.823	\$25.42	\$28.84 T	(\$3.42)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	06/01/11	MKHGX	\$5.27	4.856	\$25.59	\$28.99 T	(\$3.40)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	06/30/11	MKHGX	\$5.27	4.958	\$26.12	\$29.14 T	(\$3.02)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	08/01/11	MKHGX	\$5.27	4.941	\$26.04	\$29.30 T	(\$3.26)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	09/01/11	MKHGX	\$5.27	3.162	\$16.66	\$18.12 T	(\$1.46)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	09/30/11	MKHGX	\$5.27	3.236	\$17.05	\$18.22 T	(\$1.17)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	11/01/11	MKHGX	\$5.27	3.153	\$16.62	\$18.32 T	(\$1.70)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	12/01/11	MKHGX	\$5.27	3.237	\$17.06	\$18.42 T	(\$1.36)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	12/14/11	MKHGX	\$5.27	3.238	\$17.06	\$18.52 T	(\$1.46)

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████



UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	02/01/12	MKHCX	\$5.27	3.172	\$16.72	\$18.62 T	(\$1.90)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	02/06/12	MKHCX	\$5.27	288.625	\$1,521.05	\$1,700.00 T	(\$178.95)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	03/01/12	MKHCX	\$5.27	4.668	\$24.60	\$27.73 T	(\$3.13)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	04/02/12	MKHCX	\$5.27	4.724	\$24.90	\$27.87 T	(\$2.97)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	05/01/12	MKHCX	\$5.27	4.733	\$24.94	\$28.02 T	(\$3.08)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	06/01/12	MKHCX	\$5.27	4.824	\$25.42	\$28.17 T	(\$2.75)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	06/29/12	MKHCX	\$5.27	4.816	\$25.38	\$28.32 T	(\$2.94)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	08/01/12	MKHCX	\$5.27	4.777	\$25.17	\$28.47 T	(\$3.30)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	09/04/12	MKHCX	\$5.27	4.77	\$25.14	\$28.62 T	(\$3.48)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	09/28/12	MKHCX	\$5.27	4.771	\$25.14	\$28.77 T	(\$3.63)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	11/06/12	MKHCX	\$5.27	2.124	\$11.19	\$12.85 T	(\$1.66)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	12/03/12	MKHCX	\$5.27	2.136	\$11.26	\$12.92 T	(\$1.66)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	12/04/12	MKHCX	\$5.27	2.154	\$11.35	\$12.99 T	(\$1.64)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	02/01/13	MKHCX	\$5.27	2.134	\$11.25	\$13.08 T	(\$1.81)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	03/01/13	MKHCX	\$5.27	2.144	\$11.30	\$13.12 T	(\$1.82)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	04/01/13	MKHCX	\$5.27	2.152	\$11.34	\$13.19 T	(\$1.85)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	05/01/13	MKHCX	\$5.27	2.148	\$11.31	\$13.26 T	(\$1.95)

Account carried with National Financial Services LLC, Member NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: [REDACTED]

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UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	06/03/13	MKHCX	\$5.27	2.178	\$11.47	\$13.32 T	(\$1.85)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	06/28/13	MKHCX	\$5.27	2.254	\$11.88	\$13.39 T	(\$1.51)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	08/01/13	MKHCX	\$5.27	2.243	\$11.82	\$13.46 T	(\$1.64)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	09/03/13	MKHCX	\$5.27	2.274	\$11.98	\$13.53 T	(\$1.55)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	09/30/13	MKHCX	\$5.27	2.278	\$12.01	\$13.60 T	(\$1.59)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	11/01/13	MKHCX	\$5.27	2.28	\$11.91	\$13.67 T	(\$1.76)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	12/02/13	MKHCX	\$5.27	2.279	\$12.01	\$13.74 T	(\$1.73)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	12/17/13	MKHCX	\$5.27	2.302	\$12.13	\$13.81 T	(\$1.68)
MAINSTAY HIGH YIELD CORPORATE BOND CL B	CASH	12/23/13	MKHCX	\$5.27	328.123	\$1,718.67	\$1,960.00 T	(\$241.33)
		Position Total	MKHCX		771.195	\$4,064.20	\$4,583.86	(\$519.66)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	07/05/05	MHCAX	\$5.30	1.6138	\$8.55	\$10.20 T	(\$1.65)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	08/02/05	MHCAX	\$5.30	3.4418	\$18.24	\$22.00 T	(\$3.76)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	09/02/05	MHCAX	\$5.30	3.4877	\$18.38	\$22.13 T	(\$3.75)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	10/04/05	MHCAX	\$5.30	3.5314	\$18.72	\$22.25 T	(\$3.53)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	11/02/05	MHCAX	\$5.30	3.5961	\$19.08	\$22.37 T	(\$3.31)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	12/02/05	MHCAX	\$5.30	3.599	\$19.07	\$22.50 T	(\$3.43)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	12/30/05	MHCAX	\$5.30	3.614	\$19.15	\$22.63 T	(\$3.48)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	02/02/06	MHCAX	\$5.30	3.6239	\$19.21	\$22.76 T	(\$3.55)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	03/02/06	MHCAX	\$5.30	3.6498	\$19.34	\$22.89 T	(\$3.55)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	03/30/06	MHCAX	\$5.30	3.6847	\$19.42	\$23.02 T	(\$3.60)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	05/02/06	MHCAX	\$5.30	3.6856	\$19.53	\$23.15 T	(\$3.62)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	06/02/06	MHCAX	\$5.30	3.7125	\$19.68	\$23.28 T	(\$3.60)

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████



UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
MAINSTAY HIGH YIELD CORP CLASS A	CASH	06/29/06	MHCAX	\$5.30	3.7811	\$20.04	\$23.41 T	(\$3.37)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	08/02/06	MHCAX	\$5.30	3.7901	\$20.09	\$23.54 T	(\$3.45)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	09/05/06	MHCAX	\$5.30	3.7941	\$20.11	\$23.68 T	(\$3.57)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	09/28/06	MHCAX	\$5.30	3.7971	\$20.12	\$23.81 T	(\$3.69)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	11/02/06	MHCAX	\$5.30	3.7831	\$20.05	\$23.95 T	(\$3.90)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	12/04/06	MHCAX	\$5.30	3.4468	\$18.27	\$22.24 T	(\$3.97)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	12/27/06	MHCAX	\$5.30	3.4478	\$18.27	\$22.35 T	(\$4.08)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	02/02/07	MHCAX	\$5.30	3.4488	\$18.28	\$22.46 T	(\$4.18)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	03/02/07	MHCAX	\$5.30	3.4518	\$18.29	\$22.58 T	(\$4.29)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	03/30/07	MHCAX	\$5.30	3.4786	\$18.44	\$22.69 T	(\$4.25)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	05/02/07	MHCAX	\$5.30	3.4846	\$18.47	\$22.80 T	(\$4.33)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	06/04/07	MHCAX	\$5.30	3.4976	\$18.54	\$22.92 T	(\$4.38)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	06/29/07	MHCAX	\$5.30	3.5801	\$18.97	\$23.03 T	(\$4.06)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	08/02/07	MHCAX	\$5.30	3.7155	\$19.69	\$23.15 T	(\$3.46)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	09/04/07	MHCAX	\$5.30	3.7403	\$19.82	\$23.27 T	(\$3.45)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	09/27/07	MHCAX	\$5.30	3.7115	\$19.67	\$23.39 T	(\$3.72)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	11/01/07	MHCAX	\$5.30	3.7085	\$19.66	\$23.52 T	(\$3.86)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	12/03/07	MHCAX	\$5.30	3.806	\$20.17	\$23.64 T	(\$3.47)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	12/11/07	MHCAX	\$5.30	3.8319	\$20.31	\$23.76 T	(\$3.45)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	02/01/08	MHCAX	\$5.30	3.9822	\$21.00	\$23.89 T	(\$2.89)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	03/03/08	MHCAX	\$5.30	4.0578	\$21.51	\$24.02 T	(\$2.51)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	03/27/08	MHCAX	\$5.30	4.1364	\$21.92	\$24.15 T	(\$2.23)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	05/01/08	MHCAX	\$5.30	4.0558	\$21.50	\$24.29 T	(\$2.79)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	06/02/08	MHCAX	\$5.30	4.1165	\$21.82	\$24.49 T	(\$2.67)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	06/26/08	MHCAX	\$5.30	4.204	\$22.28	\$24.63 T	(\$2.35)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	08/01/08	MHCAX	\$5.30	4.3165	\$22.88	\$24.77 T	(\$1.89)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	09/02/08	MHCAX	\$5.30	4.3483	\$23.05	\$24.91 T	(\$1.86)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	09/25/08	MHCAX	\$5.30	4.5155	\$23.93	\$25.05 T	(\$1.12)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	11/03/08	MHCAX	\$5.30	5.4867	\$29.08	\$25.20 T	\$3.88

Account carried with National Financial Services LLC, Member NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: ██████████

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UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
MAINSTAY HIGH YIELD CORP CLASS A	CASH	12/01/08	MHCAX	\$5.30	5.9991	\$31.80	\$25.38 T	\$6.42
MAINSTAY HIGH YIELD CORP CLASS A	CASH	12/19/08	MHCAX	\$5.30	6.1782	\$32.74	\$25.58 T	\$7.16
MAINSTAY HIGH YIELD CORP CLASS A	CASH	02/02/09	MHCAX	\$5.30	5.7384	\$30.41	\$25.78 T	\$4.63
MAINSTAY HIGH YIELD CORP CLASS A	CASH	03/02/09	MHCAX	\$5.30	5.9135	\$31.34	\$25.97 T	\$5.37
MAINSTAY HIGH YIELD CORP CLASS A	CASH	03/30/09	MHCAX	\$5.30	5.9155	\$31.35	\$26.16 T	\$5.19
MAINSTAY HIGH YIELD CORP CLASS A	CASH	05/01/09	MHCAX	\$5.30	5.5334	\$29.33	\$26.36 T	\$2.97
MAINSTAY HIGH YIELD CORP CLASS A	CASH	06/01/09	MHCAX	\$5.30	5.3563	\$28.39	\$26.54 T	\$1.85
MAINSTAY HIGH YIELD CORP CLASS A	CASH	06/29/09	MHCAX	\$5.30	5.2857	\$28.01	\$26.72 T	\$1.29
MAINSTAY HIGH YIELD CORP CLASS A	CASH	08/03/09	MHCAX	\$5.30	5.0677	\$26.86	\$26.89 T	(\$0.03)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	09/01/09	MHCAX	\$5.30	5.0608	\$26.82	\$27.06 T	(\$0.24)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	09/28/09	MHCAX	\$5.30	4.9424	\$26.19	\$27.22 T	(\$1.03)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	11/02/09	MHCAX	\$5.30	4.9195	\$26.07	\$27.39 T	(\$1.32)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	12/01/09	MHCAX	\$5.30	4.9304	\$26.13	\$27.55 T	(\$1.42)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	12/21/09	MHCAX	\$5.30	4.9235	\$26.09	\$27.71 T	(\$1.62)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	02/01/10	MHCAX	\$5.30	4.8996	\$25.97	\$27.87 T	(\$1.90)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	03/01/10	MHCAX	\$5.30	4.7274	\$25.08	\$26.75 T	(\$1.69)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	03/31/10	MHCAX	\$5.30	4.6856	\$24.83	\$26.89 T	(\$2.06)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	05/03/10	MHCAX	\$5.30	4.6797	\$24.80	\$27.04 T	(\$2.24)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	06/01/10	MHCAX	\$5.30	4.8309	\$25.60	\$27.19 T	(\$1.59)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	06/30/10	MHCAX	\$5.30	1.5341	\$8.13	\$8.66 T	(\$0.53)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	11/06/12	MHCAX	\$5.30	2.957	\$15.67	\$17.98 T	(\$2.31)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	12/03/12	MHCAX	\$5.30	2.974	\$15.76	\$18.08 T	(\$2.32)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	12/04/12	MHCAX	\$5.30	3.007	\$15.94	\$18.19 T	(\$2.25)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	02/01/13	MHCAX	\$5.30	2.974	\$15.76	\$18.29 T	(\$2.53)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	03/01/13	MHCAX	\$5.30	2.992	\$15.86	\$18.40 T	(\$2.54)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	04/01/13	MHCAX	\$5.30	3.003	\$15.92	\$18.50 T	(\$2.58)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	05/01/13	MHCAX	\$5.30	2.997	\$15.88	\$18.61 T	(\$2.73)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	08/03/13	MHCAX	\$5.30	3.042	\$16.12	\$18.71 T	(\$2.59)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	06/28/13	MHCAX	\$5.30	3.152	\$16.71	\$18.82 T	(\$2.11)

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████



UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
MAINSTAY HIGH YIELD CORP CLASS A	CASH	08/01/13	MHCAX	\$5.30	3.139	\$16.64	\$18.93 T	(\$2.29)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	09/03/13	MHCAX	\$5.30	3.184	\$16.88	\$19.04 T	(\$2.16)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	09/30/13	MHCAX	\$5.30	3.197	\$16.94	\$19.15 T	(\$2.21)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	11/01/13	MHCAX	\$5.30	3.189	\$16.80	\$19.27 T	(\$2.47)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	12/02/13	MHCAX	\$5.30	3.198	\$16.95	\$19.38 T	(\$2.43)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	12/17/13	MHCAX	\$5.30	1.733	\$9.18	\$10.45 T	(\$1.27)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	02/03/14	MHCAX	\$5.30	1.62	\$8.59	\$9.82 T	(\$1.23)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	03/03/14	MHCAX	\$5.30	1.612	\$8.54	\$9.88 T	(\$1.34)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	03/31/14	MHCAX	\$5.30	1.625	\$8.61	\$9.93 T	(\$1.32)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	05/01/14	MHCAX	\$5.30	1.633	\$8.65	\$9.98 T	(\$1.33)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	06/02/14	MHCAX	\$5.30	1.641	\$8.70	\$10.04 T	(\$1.34)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	06/30/14	MHCAX	\$5.30	1.646	\$8.72	\$10.09 T	(\$1.37)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	08/01/14	MHCAX	\$5.30	1.683	\$8.92	\$10.15 T	(\$1.23)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	09/02/14	MHCAX	\$5.30	1.683	\$8.92	\$10.20 T	(\$1.28)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	09/30/14	MHCAX	\$5.30	1.739	\$9.22	\$10.26 T	(\$1.04)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	11/03/14	MHCAX	\$5.30	1.739	\$9.22	\$10.31 T	(\$1.09)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	12/01/14	MHCAX	\$5.30	1.77	\$9.38	\$10.37 T	(\$0.99)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	12/17/14	MHCAX	\$5.30	1.863	\$9.87	\$10.43 T	(\$0.56)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	02/27/15	MHCAX	\$5.30	1.627	\$8.62	\$9.50	(\$0.88)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	03/31/15	MHCAX	\$5.30	1.649	\$8.74	\$9.55	(\$0.81)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	04/30/15	MHCAX	\$5.30	1.644	\$8.71	\$9.60	(\$0.89)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	05/29/15	MHCAX	\$5.30	1.651	\$8.75	\$9.64	(\$0.89)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	08/30/15	MHCAX	\$5.30	1.885	\$8.93	\$9.69	(\$0.76)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	07/31/15	MHCAX	\$5.30	1.703	\$9.03	\$9.74	(\$0.71)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	08/31/15	MHCAX	\$5.30	1.745	\$9.25	\$9.79	(\$0.54)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	09/30/15	MHCAX	\$5.30	1.794	\$9.51	\$9.85	(\$0.34)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	10/30/15	MHCAX	\$5.30	1.777	\$9.42	\$9.90	(\$0.48)
MAINSTAY HIGH YIELD CORP CLASS A	CASH	11/30/15	MHCAX	\$5.30	1.822	\$9.66	\$9.95	(\$0.29)

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: [REDACTED]

AXIOM

UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
MAINSTAY HIGH YIELD CORP CLASS A	CASH	12/16/15	MHCAX	\$5.30	1.889	\$10.01	\$10.01	\$0.00
		Position Total	MHCAX		338.7750	\$1,795.51	\$1,961.96	(\$166.45)
PIONEER GLOBAL HIGH YIELD CL A	CASH	10/20/09	PGHYX	\$8.16	227.4979	\$1,856.38	\$2,125.00	T (\$268.62)
PIONEER GLOBAL HIGH YIELD CL A	CASH	11/02/09	PGHYX	\$8.16	0.3583	\$2.92	\$3.33	T (\$0.41)
PIONEER GLOBAL HIGH YIELD CL A	CASH	12/01/09	PGHYX	\$8.16	1.4449	\$11.79	\$13.64	T (\$1.85)
PIONEER GLOBAL HIGH YIELD CL A	CASH	01/04/10	PGHYX	\$8.16	1.4249	\$11.63	\$13.68	T (\$2.05)
PIONEER GLOBAL HIGH YIELD CL A	CASH	02/01/10	PGHYX	\$8.16	1.3688	\$11.17	\$13.30	T (\$2.13)
PIONEER GLOBAL HIGH YIELD CL A	CASH	03/01/10	PGHYX	\$8.16	1.3237	\$10.88	\$12.88	T (\$2.00)
PIONEER GLOBAL HIGH YIELD CL A	CASH	04/01/10	PGHYX	\$8.16	1.3477	\$11.00	\$13.53	T (\$2.53)
PIONEER GLOBAL HIGH YIELD CL A	CASH	05/03/10	PGHYX	\$8.16	1.2385	\$10.09	\$12.60	T (\$2.51)
PIONEER GLOBAL HIGH YIELD CL A	CASH	06/01/10	PGHYX	\$8.16	1.3597	\$11.10	\$13.16	T (\$2.06)
PIONEER GLOBAL HIGH YIELD CL A	CASH	07/01/10	PGHYX	\$8.16	1.3988	\$11.41	\$13.53	T (\$2.12)
PIONEER GLOBAL HIGH YIELD CL A	CASH	08/02/10	PGHYX	\$8.16	1.3457	\$10.98	\$13.46	T (\$2.48)
PIONEER GLOBAL HIGH YIELD CL A	CASH	09/01/10	PGHYX	\$8.16	1.3187	\$10.76	\$13.17	T (\$2.41)
PIONEER GLOBAL HIGH YIELD CL A	CASH	10/01/10	PGHYX	\$8.16	1.3788	\$11.25	\$14.15	T (\$2.90)
PIONEER GLOBAL HIGH YIELD CL A	CASH	11/01/10	PGHYX	\$8.16	1.3207	\$10.78	\$13.88	T (\$3.10)
PIONEER GLOBAL HIGH YIELD CL A	CASH	12/01/10	PGHYX	\$8.16	1.3357	\$10.90	\$13.82	T (\$2.92)
PIONEER GLOBAL HIGH YIELD CL A	CASH	01/03/11	PGHYX	\$8.16	1.481	\$12.08	\$15.59	T (\$3.51)
PIONEER GLOBAL HIGH YIELD CL A	CASH	02/01/11	PGHYX	\$8.16	1.2808	\$10.45	\$13.70	T (\$3.25)
PIONEER GLOBAL HIGH YIELD CL A	CASH	03/01/11	PGHYX	\$8.16	1.2746	\$10.40	\$13.76	T (\$3.36)
PIONEER GLOBAL HIGH YIELD CL A	CASH	04/01/11	PGHYX	\$8.16	1.2856	\$10.49	\$13.91	T (\$3.42)
PIONEER GLOBAL HIGH YIELD CL A	CASH	05/02/11	PGHYX	\$8.16	1.3006	\$10.61	\$14.20	T (\$3.59)
PIONEER GLOBAL HIGH YIELD CL A	CASH	06/01/11	PGHYX	\$8.16	1.3086	\$10.68	\$14.14	T (\$3.46)
PIONEER GLOBAL HIGH YIELD CL A	CASH	07/01/11	PGHYX	\$8.16	1.3557	\$11.06	\$14.36	T (\$3.30)
PIONEER GLOBAL HIGH YIELD CL A	CASH	08/01/11	PGHYX	\$8.16	1.3668	\$11.15	\$14.46	T (\$3.31)
PIONEER GLOBAL HIGH YIELD CL A	CASH	09/01/11	PGHYX	\$8.16	1.4539	\$11.86	\$14.52	T (\$2.66)
PIONEER GLOBAL HIGH YIELD CL A	CASH	10/03/11	PGHYX	\$8.16	1.5702	\$12.81	\$14.70	T (\$1.89)
PIONEER GLOBAL HIGH YIELD CL A	CASH	11/01/11	PGHYX	\$8.16	1.5171	\$12.38	\$14.88	T (\$2.50)

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████



UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
PIONEER GLOBAL HIGH YIELD CL A	CASH	12/01/11	PGHYX	\$8.16	1.5842	\$12.93	\$15.00 T	(\$2.07)
PIONEER GLOBAL HIGH YIELD CL A	CASH	01/03/12	PGHYX	\$8.16	3.0041	\$24.51	\$28.51 T	(\$4.00)
PIONEER GLOBAL HIGH YIELD CL A	CASH	02/01/12	PGHYX	\$8.16	1.5572	\$12.71	\$15.31 T	(\$2.60)
PIONEER GLOBAL HIGH YIELD CL A	CASH	03/01/12	PGHYX	\$8.16	1.504	\$12.27	\$15.07 T	(\$2.80)
PIONEER GLOBAL HIGH YIELD CL A	CASH	04/02/12	PGHYX	\$8.16	1.505	\$12.28	\$15.04 T	(\$2.76)
PIONEER GLOBAL HIGH YIELD CL A	CASH	05/01/12	PGHYX	\$8.16	1.5251	\$12.44	\$15.14 T	(\$2.70)
PIONEER GLOBAL HIGH YIELD CL A	CASH	06/01/12	PGHYX	\$8.16	1.5592	\$12.72	\$14.92 T	(\$2.20)
PIONEER GLOBAL HIGH YIELD CL A	CASH	07/02/12	PGHYX	\$8.16	1.5912	\$12.98	\$15.37 T	(\$2.39)
PIONEER GLOBAL HIGH YIELD CL A	CASH	08/01/12	PGHYX	\$8.16	1.5762	\$12.86	\$15.42 T	(\$2.56)
PIONEER GLOBAL HIGH YIELD CL A	CASH	09/04/12	PGHYX	\$8.16	1.5892	\$12.80	\$15.50 T	(\$2.70)
PIONEER GLOBAL HIGH YIELD CL A	CASH	10/01/12	PGHYX	\$8.16	1.5592	\$12.72	\$15.84 T	(\$2.92)
PIONEER GLOBAL HIGH YIELD CL A	CASH	11/01/12	PGHYX	\$8.16	1.5391	\$12.56	\$15.57 T	(\$3.01)
PIONEER GLOBAL HIGH YIELD CL A	CASH	12/03/12	PGHYX	\$8.16	1.5531	\$12.67	\$15.65 T	(\$2.98)
PIONEER GLOBAL HIGH YIELD CL A	CASH	01/02/13	PGHYX	\$8.16	1.5211	\$12.41	\$15.56 T	(\$3.15)
PIONEER GLOBAL HIGH YIELD CL A	CASH	02/01/13	PGHYX	\$8.16	1.4269	\$11.84	\$14.84 T	(\$3.20)
PIONEER GLOBAL HIGH YIELD CL A	CASH	03/01/13	PGHYX	\$8.16	1.3978	\$11.41	\$14.55 T	(\$3.14)
PIONEER GLOBAL HIGH YIELD CL A	CASH	04/01/13	PGHYX	\$8.16	1.3728	\$11.20	\$14.28 T	(\$3.08)
PIONEER GLOBAL HIGH YIELD CL A	CASH	05/01/13	PGHYX	\$8.16	1.3868	\$11.32	\$14.48 T	(\$3.14)
PIONEER GLOBAL HIGH YIELD CL A	CASH	06/03/13	PGHYX	\$8.16	1.3858	\$11.31	\$14.30 T	(\$2.99)
PIONEER GLOBAL HIGH YIELD CL A	CASH	07/01/13	PGHYX	\$8.16	1.4098	\$11.50	\$14.04 T	(\$2.54)
PIONEER GLOBAL HIGH YIELD CL A	CASH	08/01/13	PGHYX	\$8.16	1.3878	\$11.32	\$13.92 T	(\$2.60)
PIONEER GLOBAL HIGH YIELD CL A	CASH	09/03/13	PGHYX	\$8.16	1.4249	\$11.63	\$14.06 T	(\$2.43)
PIONEER GLOBAL HIGH YIELD CL A	CASH	10/01/13	PGHYX	\$8.16	1.4078	\$11.49	\$14.01 T	(\$2.52)
PIONEER GLOBAL HIGH YIELD CL A	CASH	11/01/13	PGHYX	\$8.16	1.3728	\$11.20	\$13.91 T	(\$2.71)
PIONEER GLOBAL HIGH YIELD CL A	CASH	12/02/13	PGHYX	\$8.16	1.4139	\$11.54	\$14.21 T	(\$2.67)
PIONEER GLOBAL HIGH YIELD CL A	CASH	01/02/14	PGHYX	\$8.16	2.2095	\$18.03	\$22.12 T	(\$4.09)
PIONEER GLOBAL HIGH YIELD CL A	CASH	02/03/14	PGHYX	\$8.16	1.4409	\$11.78	\$14.32 T	(\$2.56)
PIONEER GLOBAL HIGH YIELD CL A	CASH	03/03/14	PGHYX	\$8.16	1.4449	\$11.79	\$14.52 T	(\$2.73)
PIONEER GLOBAL HIGH YIELD CL A	CASH	04/01/14	PGHYX	\$8.16	1.4119	\$11.52	\$14.26 T	(\$2.74)

Account carried with National Financial Services LLC, Member NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: ██████████

AXIOM

UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
PIONEER GLOBAL HIGH YIELD CL A	CASH	05/01/14	PGHYX	\$8.16	1.3878	\$11.32	\$14.06 T	(\$2.74)
PIONEER GLOBAL HIGH YIELD CL A	CASH	06/02/14	PGHYX	\$8.16	1.3808	\$11.27	\$14.10 T	(\$2.83)
PIONEER GLOBAL HIGH YIELD CL A	CASH	07/01/14	PGHYX	\$8.16	1.3557	\$11.06	\$13.90 T	(\$2.84)
PIONEER GLOBAL HIGH YIELD CL A	CASH	08/01/14	PGHYX	\$8.16	1.3197	\$10.77	\$13.33 T	(\$2.58)
PIONEER GLOBAL HIGH YIELD CL A	CASH	09/02/14	PGHYX	\$8.16	1.3207	\$10.78	\$13.34 T	(\$2.58)
PIONEER GLOBAL HIGH YIELD CL A	CASH	10/01/14	PGHYX	\$8.16	1.3848	\$11.14	\$13.44 T	(\$2.30)
PIONEER GLOBAL HIGH YIELD CL A	CASH	11/03/14	PGHYX	\$8.16	1.3888	\$11.15	\$13.37 T	(\$2.22)
PIONEER GLOBAL HIGH YIELD CL A	CASH	11/12/14	PGHYX	\$8.16	0.503	\$4.10	\$4.88 T	(\$0.78)
PIONEER GLOBAL HIGH YIELD CL A	CASH	12/01/14	PGHYX	\$8.16	0.938	\$7.65	\$8.99 T	(\$1.34)
PIONEER GLOBAL HIGH YIELD CL A	CASH	01/02/15	PGHYX	\$8.16	1.68	\$13.71	\$15.51 T	(\$1.80)
		Position Total	PGHYX		318.3150	\$2,597.45	\$3,035.77	(\$438.32)
PRUDENTIAL HIGH YIELD CLASS B	CASH	12/23/13	PBHYX	\$5.04	437.063	\$2,202.80	\$2,500.00 T	(\$297.20)
PRUDENTIAL HIGH YIELD CLASS B	CASH	04/28/14	PBHYX	\$5.04	302.245	\$1,523.31	\$1,750.00 T	(\$226.69)
		Position Total	PBHYX		739.308	\$3,726.11	\$4,250.00	(\$523.89)
PUTNAM HIGH YIELD TRUST CLASS B	CASH	04/18/11	PHBBX	\$7	265.038	\$1,855.27	\$2,101.75 T	(\$246.48)
PUTNAM GLOBAL UTILITIES CL B	CASH	04/18/11	PUTBX	\$11.32	188.147	\$2,129.82	\$2,000.00 T	\$129.82
PUTNAM GLOBAL UTILITIES CL B	CASH	06/29/11	PUTBX	\$11.32	0.956	\$10.82	\$10.16 T	\$0.66
PUTNAM GLOBAL UTILITIES CL B	CASH	09/29/11	PUTBX	\$11.32	1.086	\$12.29	\$10.78 T	\$1.51
PUTNAM GLOBAL UTILITIES CL B	CASH	12/29/11	PUTBX	\$11.32	1.603	\$18.15	\$15.98 T	\$2.17
PUTNAM GLOBAL UTILITIES CL B	CASH	03/29/12	PUTBX	\$11.32	1.067	\$12.08	\$10.93 T	\$1.15
PUTNAM GLOBAL UTILITIES CL B	CASH	06/28/12	PUTBX	\$11.32	0.906	\$10.26	\$9.26 T	\$1.00
PUTNAM GLOBAL UTILITIES CL B	CASH	09/27/12	PUTBX	\$11.32	0.888	\$10.05	\$9.30 T	\$0.75
PUTNAM GLOBAL UTILITIES CL B	CASH	12/27/12	PUTBX	\$11.32	0.907	\$10.27	\$9.34 T	\$0.93
PUTNAM GLOBAL UTILITIES CL B	CASH	03/27/13	PUTBX	\$11.32	0.838	\$9.49	\$9.19 T	\$0.30
PUTNAM GLOBAL UTILITIES CL B	CASH	04/08/13	PUTBX	\$11.32	155.894	\$1,762.48	\$1,750.00 T	\$12.48
PUTNAM GLOBAL UTILITIES CL B	CASH	06/27/13	PUTBX	\$11.32	1.447	\$16.38	\$15.84 T	\$0.54
PUTNAM GLOBAL UTILITIES CL B	CASH	09/27/13	PUTBX	\$11.32	1.396	\$15.80	\$15.91 T	(\$0.11)
PUTNAM GLOBAL UTILITIES CL B	CASH	12/27/13	PUTBX	\$11.32	1.417	\$16.04	\$15.97 T	\$0.07

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████



UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
PUTNAM GLOBAL UTILITIES CL B	CASH	03/27/14	PUTBX	\$11.32	1.337	\$15.13	\$16.04 T	(\$0.91)
PUTNAM GLOBAL UTILITIES CL B	CASH	06/27/14	PUTBX	\$11.32	0.798	\$9.03	\$10.37 T	(\$1.34)
PUTNAM GLOBAL UTILITIES CL B	CASH	09/29/14	PUTBX	\$11.32	0.852	\$9.64	\$10.40 T	(\$0.76)
PUTNAM GLOBAL UTILITIES CL B	CASH	12/29/14	PUTBX	\$11.32	0.825	\$9.34	\$10.78 T	(\$1.44)
		<i>Position Total</i>	PUTBX		380.164	\$4,077.06	\$3,930.25	\$146.81
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	10/20/09	SHNAX	\$3.26	656.68787	\$2,140.80	\$2,125.00 T	\$15.80
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	11/02/09	SHNAX	\$3.26	1.6701	\$5.44	\$5.42 T	\$0.02
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	12/01/09	SHNAX	\$3.26	4.32443	\$14.10	\$14.08 T	\$0.02
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	01/04/10	SHNAX	\$3.26	14.2649	\$46.50	\$46.73 T	(\$0.23)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	02/01/10	SHNAX	\$3.26	4.45058	\$14.51	\$14.67 T	(\$0.16)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	03/01/10	SHNAX	\$3.26	4.03506	\$13.15	\$13.26 T	(\$0.11)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	04/01/10	SHNAX	\$3.26	4.45759	\$14.53	\$14.96 T	(\$0.43)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	05/03/10	SHNAX	\$3.26	4.52067	\$14.74	\$15.44 T	(\$0.70)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	06/01/10	SHNAX	\$3.26	4.11616	\$13.42	\$13.36 T	\$0.06
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	07/01/10	SHNAX	\$3.26	4.28838	\$13.97	\$14.00 T	(\$0.03)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	08/02/10	SHNAX	\$3.26	4.89589	\$15.31	\$15.71 T	(\$0.40)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	09/01/10	SHNAX	\$3.26	4.3044	\$14.03	\$14.36 T	(\$0.33)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	10/01/10	SHNAX	\$3.26	4.25133	\$13.86	\$14.52 T	(\$0.66)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	11/01/10	SHNAX	\$3.26	4.16823	\$13.58	\$14.44 T	(\$0.86)

Account carried with National Financial Services LLC, Member NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ~~XXXXXXXXXX~~

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UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	12/01/10	SHNAX	\$3.26	3.92893	\$12.81	\$13.34 T	(\$0.53)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	01/03/11	SHNAX	\$3.26	8.91868	\$22.55	\$23.70 T	(\$1.15)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	02/01/11	SHNAX	\$3.26	3.84482	\$12.53	\$13.40 T	(\$0.87)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	03/01/11	SHNAX	\$3.26	3.4303	\$11.18	\$12.06 T	(\$0.88)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	04/01/11	SHNAX	\$3.26	3.87761	\$11.99	\$12.93 T	(\$0.94)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	05/02/11	SHNAX	\$3.26	3.69964	\$12.06	\$13.08 T	(\$1.02)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	06/01/11	SHNAX	\$3.26	3.5835	\$11.68	\$12.67 T	(\$0.99)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	07/01/11	SHNAX	\$3.26	3.56947	\$11.60	\$12.37 T	(\$0.77)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	08/01/11	SHNAX	\$3.26	3.71566	\$12.11	\$12.95 T	(\$0.84)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	09/01/11	SHNAX	\$3.26	3.71468	\$12.11	\$12.28 T	(\$0.17)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	10/03/11	SHNAX	\$3.26	3.94895	\$12.87	\$12.66 T	\$0.21
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	11/01/11	SHNAX	\$3.26	3.55947	\$11.60	\$11.91 T	(\$0.31)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	12/01/11	SHNAX	\$3.26	3.44432	\$11.23	\$11.25 T	(\$0.02)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	01/03/12	SHNAX	\$3.26	11.7207	\$38.21	\$38.63 T	(\$0.42)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	02/01/12	SHNAX	\$3.26	3.46735	\$11.30	\$11.67 T	(\$0.37)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	03/01/12	SHNAX	\$3.26	3.25208	\$10.60	\$11.14 T	(\$0.54)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	04/02/12	SHNAX	\$3.26	3.61453	\$11.78	\$12.31 T	(\$0.53)

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
 Account Number: ██████████



UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	05/01/12	SHNAX	\$3.26	3.25809	\$10.62	\$11.13 T	(\$0.51)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	06/01/12	SHNAX	\$3.26	3.53443	\$11.52	\$11.86 T	(\$0.34)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	07/02/12	SHNAX	\$3.26	3.37824	\$11.01	\$11.54 T	(\$0.53)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	08/01/12	SHNAX	\$3.26	3.28412	\$10.71	\$11.35 T	(\$0.64)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	09/04/12	SHNAX	\$3.26	3.75071	\$12.23	\$13.00 T	(\$0.77)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	10/01/12	SHNAX	\$3.26	2.98975	\$9.75	\$10.42 T	(\$0.67)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	11/02/12	SHNAX	\$3.26	3.52042	\$11.48	\$12.27 T	(\$0.79)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	12/03/12	SHNAX	\$3.26	3.57549	\$11.66	\$12.50 T	(\$0.84)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	01/02/13	SHNAX	\$3.26	3.44632	\$11.24	\$12.15 T	(\$0.91)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	02/01/13	SHNAX	\$3.26	3.41428	\$11.13	\$12.14 T	(\$1.01)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	03/01/13	SHNAX	\$3.26	3.07185	\$10.01	\$10.89 T	(\$0.88)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	04/01/13	SHNAX	\$3.26	3.40127	\$11.09	\$12.16 T	(\$1.07)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	05/01/13	SHNAX	\$3.26	3.27511	\$10.68	\$11.81 T	(\$1.13)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	06/03/13	SHNAX	\$3.26	3.5845	\$11.69	\$12.78 T	(\$1.09)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	07/01/13	SHNAX	\$3.26	3.01779	\$9.84	\$10.43 T	(\$0.59)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	08/01/13	SHNAX	\$3.26	3.28312	\$10.70	\$11.51 T	(\$0.81)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	09/03/13	SHNAX	\$3.26	3.43231	\$11.19	\$11.86 T	(\$0.67)

Account carried with National Financial Services LLC, Member NYSE, SIPC



Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: ██████████

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UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	10/01/13	SHNAX	\$3.26	2.93468	\$9.57	\$10.20 T	(\$0.63)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	11/01/13	SHNAX	\$3.26	3.25809	\$10.62	\$11.52 T	(\$0.90)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	12/02/13	SHNAX	\$3.26	3.26209	\$10.63	\$11.50 T	(\$0.87)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	01/02/14	SHNAX	\$3.26	3.08888	\$10.07	\$10.89 T	(\$0.82)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	02/03/14	SHNAX	\$3.26	3.42129	\$11.15	\$12.13 T	(\$0.98)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	03/03/14	SHNAX	\$3.26	3.05083	\$9.95	\$10.97 T	(\$1.02)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	04/01/14	SHNAX	\$3.26	2.95971	\$9.65	\$10.64 T	(\$0.99)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	05/01/14	SHNAX	\$3.26	3.07886	\$10.04	\$11.10 T	(\$1.06)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	06/02/14	SHNAX	\$3.26	3.21704	\$10.49	\$11.63 T	(\$1.14)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	07/01/14	SHNAX	\$3.26	2.89063	\$9.42	\$10.48 T	(\$1.06)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	08/01/14	SHNAX	\$3.26	3.17298	\$10.34	\$11.25 T	(\$0.91)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	09/02/14	SHNAX	\$3.26	3.25208	\$10.60	\$11.66 T	(\$1.06)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	10/01/14	SHNAX	\$3.26	2.91466	\$9.50	\$10.19 T	(\$0.69)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	11/03/14	SHNAX	\$3.26	3.21603	\$10.48	\$11.37 T	(\$0.89)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	12/01/14	SHNAX	\$3.26	2.57623	\$8.40	\$9.03 T	(\$0.63)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	01/02/15	SHNAX	\$3.26	4.66786	\$15.22	\$15.99 T	(\$0.77)
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	01/28/15	SHNAX	\$3.26	0.082	\$0.27	\$0.28	(\$0.01)

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

KENNETH P LAVALLE - Premiere Select SEP IRA
Account Number: ~~XXXXXXXXXX~~

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UNREALIZED GAIN (LOSS) LOT DETAIL > MUTUAL FUNDS *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/15	Quantity	Market Value	Cost	Net Change
SUNAMERICA FLEXIBLE CREDIT CLASS A	CASH	01/30/15	SHNAX	\$3.26	0.484	\$1.58	\$1.66	(\$0.08)
	Position Total		SHNAX		902.05800	\$2,940.71	\$2,966.59	(\$25.88)
Total Mutual Funds					12,488.06900	\$73,716.89	\$81,103.15	(\$7,386.25)

Footnotes and Cost Information

NFS makes no warranties with respect to, and specifically disclaims any liability arising out of your use of, or any position taken in reliance upon, NFS-provided cost and net change information. NFS determines gain (loss) using the first-in, first-out (FIFO) method on all transactions in a retirement account unless an alternative disposal method has been selected by you, or your broker dealer has elected to use another disposal method in the event you do not elect a method. For retirement accounts, gain/loss information should not be used for tax purposes. Cost information for debt securities has not been adjusted for amortization or accretion.

T - Cost basis information was provided by a third party. We treat it as original cost basis, as of the date it is provided, and we assume that for equities, it reflects any prior corporate actions, and for asset-backed fixed income securities, it reflects any prior principal pay downs.

Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN INVESTMENT VALUE is the difference between the prior period and current period values which includes the difference between securities that were bought, sold and redeemed during this time period as well as any activity that occurred such as additions and withdrawals, securities transferred, income, expenses, and other activity. This does not reflect activity related to assets in which National Financial is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).