LEGISLATIVE ETHICS COMMISSION
STATE OF NEW YORK

MAIL: LEGISLATIVE OFFICE BUILDING • BOX 75 • ALBANY, NY 12247
LOCATION: ALFRED E. SMITH STATE OFFICE BUILDING • SUITE 1431 • ALBANY, NY 12247
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RECEIVED
MAY 06 2013
BY [signature]
LEG. ETHICS COMM.

ANNUAL STATEMENT OF FINANCIAL DISCLOSURE

For Calendar Year 2012

1. Name ANTHONY P. AVELLA, JR.

2. (a) Title of Position STATE SENATOR

   (b) Department, Agency or other Governmental Entity STATE SENATE

   (c) Address of Present Office 38-50 BELL BLVD., BAYSIDE NY 11361

   (d) Office Telephone Number 718-357-3094

3. (a) Marital Status MARRIED. If married, please give spouse's full name including maiden name where applicable.
   JUDITH A. CASHMAN

   (b) List the names of all unemancipated children.
   NONE

Answer each of the following questions completely, with respect to calendar year 2012, unless another period or date is otherwise specified. If additional space is needed, attach additional pages.

Whenever a "value" or "amount" is required to be reported herein, such value or amount shall be reported as being within one of the following Categories in Table I or Table II of this subdivision as called for in the question: A reporting individual shall indicate the Category by letter only.

Whenever "income" is required to be reported herein, the term "income" shall mean the aggregate net income before taxes from the source identified.

The term "calendar year" shall mean the year ending the December 31st preceding the date of filing of the annual statement.

4. (a) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the reporting individual with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency
NONE	NOT APPLICABLE	

(b) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the spouse or unemancipated child of the reporting individual, with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency
NONE	NOT APPLICABLE	

5. (a) List the name, address and description of any occupation, employment (other than the employment listed under Item 2 above), trade, business or profession engaged in by the reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
NONE	NOT APPLICABLE		

(b) If the spouse or unemancipated child of the reporting individual was engaged in any occupation, employment, trade, business or profession which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name, address and description of such occupation, employment, trade, business or profession and the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
CONSULTANT	IPRO	SEE ATTACHED	
MANAGER	VNSNY CHOICE	SEE ATTACHED	

6. List any interest, in EXCESS of $1,000, held by the reporting individual, such individual's spouse or unemancipated child, or partnership of which any such person is a member, or corporation, 10% or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse or such child to such entity and the interest in such contract. Do NOT include bonds and notes. Do NOT list any interest in any such contract on which final payment has been made and all obligations under the contract except for guarantees and warranties have been performed, provided, however, that such an interest must be listed if there has been an ongoing dispute during the calendar year for which this statement is

filed with respect to any such guarantees or warranties. Do NOT list any interest in a contract made or executed by a local agency after public notice and pursuant to a process for competitive bidding or a process for competitive requests for proposals.

Self, Spouse or Child	Entity Which Held Interest in Contract	Relationship to Entity and Interest in Contract	Contracting State or Local Agency	Category of Value of Contract (In Table II)
NONE	NOT APPLICABLE			

7. List any position the reporting individual held as an officer of any political party or political organization, as a member of any political party committee, or as a political party district leader. The term "party" shall have the same meaning as "party" in the election law. The term "political organization" means any party or independent body as defined in the election law or any organization that is affiliated with or a subsidiary of a party or independent body.

NONE NOT APPLICABLE

8. (a) If the reporting individual practices law, is licensed by the department of state as a real estate broker or agent or practices a profession licensed by the department of education, or works as a member or employee of a firm required to register pursuant to section one-e of the legislative law as a lobbyist, give a general description of the principal subject areas of matters undertaken by such individual. Additionally, if such an individual practices with a firm or corporation and is a partner or shareholder of the firm or corporation, give a general description of principal subject areas of matters undertaken by such firm or corporation.

NONE NOT APPLICABLE

(b) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE: If the reporting individual personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), then identify each client or customer to whom the reporting individual personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of $10,000 during the reporting period for such services rendered in direct connection with:

(i) A proposed bill or resolution in the senate or assembly during the reporting period;

(ii) A contract in an amount totaling $50,000 or more from the state or any state agency for services, materials, or property;

(iii) A grant of $25,000 or more from the state or any state agency during the reporting period;

(iv) A grant obtained through a legislative initiative during the reporting period; or

(v) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or knowingly solicit or direct to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in subparagraphs (i)through (v)of this paragraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c),(d), e)and (f)of subdivision seven of section seventy-three of this article.

The disclosure requirement in this question shall not require disclosure of clients or customers receiving medical or dental services, mental health services, residential real estate brokering services, or insurance brokering services from the reporting individual or his or her firm. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, or domestic relations matters. With respect to clients represented in other matters, where disclosure of a client's identity is likely to cause harm, the reporting individual shall request an exemption from the joint commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law. Only a reporting individual who first enters public office after July first, two thousand twelve, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Client	Nature of Services Provided
NOT APPLICABLE	

(c) List the name, principal address and general description or the nature of the business activity of any entity in which the reporting individual or such individual's spouse had an investment in excess of $1,000 excluding investments in securities and interests in real property.

NONE NOT APPLICABLE

9. List each source of gifts, EXCLUDING campaign contributions, in EXCESS of $1,000, received during the reporting period for which this statement is filed by the reporting individual or such individual's spouse or unemancipated child from the same donor, EXCLUDING gifts from a relative. INCLUDE the name and address of the donor. The term "gifts" does not include reimbursements, which term is defined in item 10. Indicate the value and nature of each such gift.

Self, Spouse or Child	Name of Donor	Address	Nature of Gift	Category of Value of Gift (In Table I)
NONE	NOT APPLICABLE			

10. Identify and briefly describe the source of any reimbursements for expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of $1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursements" does NOT include gifts reported under item 9.

Source	Description
NONE NOT APPLICABLE	

11. List the identity and value, if reasonably ascertainable, of each interest in a trust, estate or other beneficial interest, including retirement plans (other than retirement plans of the state of New York or the city of New York), and deferred compensation plans (e.g., 401, 403(b), 457, etc.) established in accordance with the

internal revenue code, in which the REPORTING INDIVIDUAL held a beneficial interest in EXCESS of $1,000 at any time during the preceding year. Do NOT report interests in a trust, estate or other beneficial interest established by or for, or the estate of, a relative.

Identity	Category of Value* (In Table II)
SEE ATTACHED	

* The value of such interest shall be reported only if reasonably ascertainable.

12. (a) Describe the terms of, and the parties to, any contract, promise, or other agreement between the reporting individual and any person, firm, or corporation with respect to the employment of such individual after leaving office or position (other than a leave of absence).

NOT APPLICABLE

(b) Describe the parties to and the terms of any agreement providing for continuation of payments or benefits to the REPORTING INDIVIDUAL in EXCESS of $1,000 from a prior employer OTHER THAN the State. (This includes interests in or contributions to a pension fund, profit-sharing plan, or life or health insurance; buy-out agreements; severance payments; etc.)

NOT APPLICABLE

13. List below the nature and amount of any income in EXCESS of $1,000 from EACH SOURCE for the reporting individual and such individual's spouse for the taxable year last occurring prior to the date of filing. Nature of income includes, but is not limited to, all income (other than that received from the employment listed under Item 2 above) from compensated employment whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching income, partnerships, honorariums, lecture fees, consultant fees, bank and bond interest, dividends, income derived from a trust, real estate rents, and recognized gains from the sale or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building address in the case of real estate rents and otherwise by the name of the entity and not by the name of the individual customers, clients or tenants, with the

aggregate net income before taxes for each building address or entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments shall not be listed.

Self/ Spouse	Source	Nature	Category of Amount (In Table I)
SPOUSE	VNSNY CHOICE	SALARY	H
SPOUSE	IPRO	SALARY	B
SELF/SPOUSE	NATIONAL FINANCIAL SERVICES	INTEREST	D

14. List the sources of any deferred income (not retirement income) in EXCESS of $1,000 from each source to be paid to the reporting individual following the close of the calendar year for which this disclosure statement is filed, other than deferred compensation reported in item 11 hereinabove. Deferred income derived from the practice of a profession shall be listed in the aggregate and shall identify as the source, the name of the firm, corporation, partnership or association through which the income was derived, but shall not identify individual clients.

Source	Category of Amount (In Table I)
NOT APPLICABLE	

15. List each assignment of income in EXCESS of $1,000, and each transfer other than to a relative during the reporting period for which this statement is filed for less than fair consideration of an interest in a trust, estate or other beneficial interest, securities or real property, by the reporting individual, in excess of $1,000, which would otherwise be required to be reported herein and is not or has not been so reported.

Item Assigned or Transferred	Assigned or Transferred to	Category of Value (In Table I)
NOT APPLICABLE		

16. List below the type and market value of securities held by the reporting individual or such individual's spouse from each issuing entity in EXCESS of $1,000 at the close of the taxable year last occurring prior to the date of filing, including the name of the

issuing entity exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed ONLY IF the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse has transferred assets to such trust for his or her benefit in which event such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which the reporting individual or the reporting individual's spouse is the owner of record but in which such individual or the reporting individual's spouse has no beneficial interest shall not be listed. Indicate percentage of ownership ONLY if the reporting person or the reporting person's spouse holds more than five percent (5%) of the stock of a corporation in which the stock is publicly traded or more than ten percent (10%) of the stock of a corporation in which the stock is NOT publicly traded. Also list securities owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. For the purpose of this item the term "securities" shall mean mutual funds, bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and certificates of deposits (CDs) and such other evidences of indebtedness and certificates of interest as are usually referred to as securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the security is an interest in a general partnership that was listed in item 8 (a) or if the security is corporate stock, NOT publicly traded, in a trade or business of a reporting individual or a reporting individual's spouse.

Self/ Spouse	Issuing Entity	Type of Security	Percentage of corporate stock owned or controlled (if more than 5% of publicly traded stock, or more than 10% if stock not publicly traded, is held)	Category of Market Value as of the close of the taxable year last occurring prior to the filing of this statement (In Table II)
	SEE ATTACHED			

17. List below the location, size, general nature, acquisition date, market value and percentage of ownership of any real property in which any vested or contingent interest in EXCESS of $1,000 is held

by the reporting individual or the reporting individual's spouse. Also list real property owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. Do NOT list any real property which is the primary or secondary personal residence of the reporting individual or the reporting individual's spouse, except where there is a co-owner who is other than a relative.

Self/ Spouse/ Corporation	Location	Size	General Nature	Acquisition Date	Percentage of Ownership	Category of Market Value (In Table II)
	NOT APPLICABLE					

18. List below all notes and accounts receivable, other than from goods or services sold, held by the reporting individual at the close of the taxable year last occurring prior to the date of filing and other debts owed to such individual at the close of the taxable year last occurring prior to the date of filing, in EXCESS of $1,000, including the name of the debtor, type of obligation, date due and the nature of the collateral securing payment of each, if any, excluding securities reported in item 16 hereinabove. Debts, notes and accounts receivable owed to the individual by a relative shall not be reported.

Name of Debtor	Type of Obligation, Date Due, and Nature of Collateral, if any	Category of Amount (In Table II)
	NOT APPLICABLE	

19. List below all liabilities of the reporting individual and such individual's spouse, in EXCESS of $10,000 as of the date of filing of this statement, other than liabilities to a relative. Do NOT list liabilities incurred by, or guarantees made by, the reporting individual or such individual's spouse or by any proprietorship, partnership or corporation in which the reporting individual or such individual's spouse has an interest, when incurred or made in the ordinary course of the trade, business or professional practice of the reporting individual or such individual's spouse. Include the name of the creditor and any collateral pledged by such individual to secure payment of any such liability. A reporting individual shall not list any obligation to pay maintenance in connection with a matrimonial action, alimony or child support payments. Any loan

issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances shall be excluded. If any such reportable liability has been guaranteed by any third person, list the liability and name the guarantor.

Name of Creditor or Guarantor	Type of Liability and Collateral, if any	Category of Amount (In Table II)
NATIONAL BANK OF NYC	GUARANTEE ON A LINE OF CREDIT TO THE NYS DEMOCRATIC SENATE CAMPAIGN COMMITTEE	H

The requirements of law relating to the reporting of financial interests are in the public interest and no adverse inference of unethical or illegal conduct or behavior will be drawn merely from compliance with these requirements.

(Signature of Reporting Individual)

5/5/13
Date (month/day/year)

[CATEGORY OF INCOME TABLES]

TABLE I

Category A	none	
Category B	$ 1 to under $ 1,000	
Category C	$ 1,000 to under $ 5,000	
Category D	$ 5,000 to under $ 20,000	
Category E	$ 20,000 to under $ 50,000	
Category F	$ 50,000 to under $ 75,000	
Category G	$ 75,000 to under $ 100,000	
Category H	$ 100,000 to under $ 150,000	
Category I	$ 150,000 to under $ 250,000	
Category J	$ 250,000 to under $ 350,000	
Category K	$ 350,000 to under $ 450,000	
Category L	$ 450,000 to under $ 550,000	
Category M	$ 550,000 to under $ 650,000	
Category N	$ 650,000 to under $ 750,000	
Category O	$ 750,000 to under $ 850,000	
Category P	$ 850,000 to under $ 950,000	
Category Q	$ 950,000 to under $1,050,000	
Category R	$1,050,000 to under $1,150,000	
Category S	$1,150,000 to under $1,250,000	
Category T	$1,250,000 to under $1,350,000	
Category U	$1,350,000 to under $1,450,000	
Category V	$1,450,000 to under $1,550,000	
Category W	$1,550,000 to under $1,650,000	
Category X	$1,650,000 to under $1,750,000	

Category Y	$1,750,000 to under $1,850,000
Category Z	$1,850,000 to under $1,950,000
Category AA	$1,950,000 to under $2,050,000
Category BB	$2,050,000 to under $2,150,000
Category CC	$2,150,000 to under $2,250,000
Category DD	$2,250,000 to under $2,350,000
Category EE	$2,350,000 to under $2,450,000
Category FF	$2,450,000 to under $2,550,000
Category GG	$2,550,000 to under $2,650,000
Category HH	$2,650,000 to under $2,750,000
Category II	$2,750,000 to under $2,850,000
Category JJ	$2,850,000 to under $2,950,000
Category KK	$2,950,000 to under $3,050,000
Category LL	$3,050,000 to under $3,150,000
Category MM	$3,150,000 to under $3,250,000
Category NN	$3,250,000 to under $3,350,000
Category OO	$3,350,000 to under $3,450,000
Category PP	$3,450,000 to under $3,550,000
Category QQ	$3,550,000 to under $3,650,000
Category RR	$3,650,000 to under $3,750,000
Category SS	$3,750,000 to under $3,850,000
Category TT	$3,850,000 to under $3,950,000
Category UU	$3,950,000 to under $4,050,000
Category VV	$4,050,000 to under $4,150,000
Category WW	$4,150,000 to under $4,250,000
Category XX	$4,250,000 to under $4,350,000
Category YY	$4,350,000 to under $4,450,000
Category ZZ	$4,450,000 to under $4,550,000
Category AAA	$4,550,000 to under $4,650,000
Category BBB	$4,650,000 to under $4,750,000
Category CCC	$4,750,000 to under $4,850,000
Category DDD	$4,850,000 to under $4,950,000
Category EEE	$4,950,000 to under $5,050,000
Category FFF	$5,050,000 to under $5,150,000
Category GGG	$5,150,000 to under $5,250,000
Category HHH	$5,250,000 to under $5,350,000
Category III	$5,350,000 to under $5,450,000
Category JJJ	$5,450,000 to under $5,550,000
Category KKK	$5,550,000 to under $5,650,000
Category LLL	$5,650,000 to under $5,750,000
Category MMM	$5,750,000 to under $5,850,000
Category NNN	$5,850,000 to under $5,950,000
Category OOO	$5,950,000 to under $6,050,000
Category PPP	$6,050,000 to under $6,150,000
Category QQQ	$6,150,000 to under $6,250,000
Category RRR	$6,250,000 to under $6,350,000
Category SSS	$6,350,000 to under $6,450,000
Category TTT	$6,450,000 to under $6,550,000
Category UUU	$6,550,000 to under $6,650,000
Category VVV	$6,650,000 to under $6,750,000
Category WWW	$6,750,000 to under $6,850,000
Category XXX	$6,850,000 to under $6,950,000
Category YYY	$6,950,000 to under $7,050,000
Category ZZZ	$7,050,000 to under $7,150,000
Category AAAA	$7,150,000 to under $7,250,000
Category BBBB	$7,250,000 to under $7,350,000
Category CCCC	$7,350,000 to under $7,450,000

Category DDDD	$7,450,000 to under $7,550,000
Category EEEE	$7,550,000 to under $7,650,000
Category FFFF	$7,650,000 to under $7,750,000
Category GGGG	$7,750,000 to under $7,850,000
Category HHHH	$7,850,000 to under $7,950,000
Category IIII	$7,950,000 to under $8,050,000
Category JJJJ	$8,050,000 to under $8,150,000
Category KKKK	$8,150,000 to under $8,250,000
Category LLLL	$8,250,000 to under $8,350,000
Category MMMM	$8,350,000 to under $8,450,000
Category NNNN	$8,450,000 to under $8,550,000
Category OOOO	$8,550,000 to under $8,650,000
Category PPPP	$8,650,000 to under $8,750,000
Category QQQQ	$8,750,000 to under $8,850,000
Category RRRR	$8,850,000 to under $8,950,000
Category SSSS	$8,950,000 to under $9,050,000
Category TTTT	$9,050,000 to under $9,150,000
Category UUUU	$9,150,000 to under $9,250,000
Category VVVV	$9,250,000 to under $9,350,000
Category WWWW	$9,350,000 to under $9,450,000
Category XXXX	$9,450,000 to under $9,550,000
Category YYYY	$9,550,000 to under $9,650,000
Category ZZZZ	$9,650,000 to under $9,750,000
Category AAAAA	$9,750,000 to under $9,850,000
Category BBBBB	$9,850,000 to under $9,950,000
Category CCCCC	$9,950,000 to under $10,000,000
Category DDDDD	$10,000,000 or over

TABLE II

Category A	none
Category B	$ 1 to under $ 1,000
Category C	$ 1,000 to under $ 5,000
Category D	$ 5,000 to under $ 20,000
Category E	$ 20,000 to under $ 50,000
Category F	$ 50,000 to under $ 75,000
Category G	$ 75,000 to under $ 100,000
Category H	$ 100,000 to under $ 150,000
Category I	$ 150,000 to under $ 250,000
Category J	$ 250,000 to under $ 500,000
Category K	$ 500,000 to under $ 750,000
Category L	$ 750,000 to under $1,000,000
Category M	$1,000,000 to under $1,250,000
Category N	$1,250,000 to under $1,500,000
Category O	$1,500,000 to under $1,750,000
Category P	$1,750,000 to under $2,000,000
Category Q	$2,000,000 to under $2,250,000
Category R	$2,250,000 to under $2,500,000
Category S	$2,500,000 to under $2,750,000
Category T	$2,750,000 to under $3,000,000
Category U	$3,000,000 to under $3,250,000
Category V	$3,250,000 to under $3,500,000
Category W	$3,500,000 to under $3,750,000
Category X	$3,750,000 to under $4,000,000
Category Y	$4,000,000 to under $4,250,000
Category Z	$4,250,000 to under $4,500,000

Category AA	$4,500,000 to under $4,750,000
Category BB	$4,750,000 to under $5,000,000
Category CC	$5,000,000 to under $5,250,000
Category DD	$5,250,000 to under $5,500,000
Category EE	$5,500,000 to under $5,750,000
Category FF	$5,750,000 to under $6,000,000
Category GG	$6,000,000 to under $6,250,000
Category HH	$6,250,000 to under $6,500,000
Category II	$6,500,000 to under $6,750,000
Category JJ	$6,750,000 to under $7,000,000
Category KK	$7,000,000 to under $7,250,000
Category LL	$7,250,000 to under $7,500,000
Category MM	$7,500,000 to under $7,750,000
Category NN	$7,750,000 to under $8,000,000
Category OO	$8,000,000 to under $8,250,000
Category PP	$8,250,000 to under $8,500,000
Category QQ	$8,500,000 to under $8,750,000
Category RR	$8,750,000 to under $9,000,000
Category SS	$9,000,000 to under $9,250,000
Category TT	$9,250,000 to under $9,500,000
Category UU	$9,500,000 or over

Question Number = 5B Name of Reporting Individual = Tony Avella

Position	Name & Address of Organization	Description	State or local agency
Consultant, Managed Care	IPRO 1979 Marcus Avenue Lake Success, NY 11042	Health care quality improvement and external quality review organization	**NEW YORK STATE (NYS) CONTRACTS**
			Albany County
			Dutchess County
			Rensselaer County
			Westchester County
			Health Research Inc.
			Monroe County
			NYS Department of Health
			NYS Department of Health AIDS Institute
			NYS Health Research Inc.
			NYS Fair Health
			NYS Department of Health Office of Medicaid Inspector General
			NYS Insurance Department
			NYS Health Foundation
			NYS Worker's Compensation
			NYS Hospital Compliance
			New York City Department of Health and Mental Hygiene
			OTHER STATE GOVERNMENT CONTRACTS
			Puerto Rico
			Commonwealth of Kentucky
			Commonwealth of Pennsylvania - Department of Health
			Commonwealth of Pennsylvania - Insurance Department
			Commonwealth of Pennsylvania - Department of Public Welfare
			District of Columbia
			State of Arkansas
			State of Colorado
			State of Connecticut Insurance Department
			State of Illinois
			State of Louisana
			State of Maine
			State of Maryland
			State of Massachusetts
			State of New Hampshire Insurance Department
			State of New Jersey
			State of Oklahoma
			State of Oregon
			State of South Dakota
			State of Rhode Island
			State of Vermont
			State of Washington
			State of Wisconsin

2012 Consulting Dates
January 2012

Position	Name & Address of Organization	Description	State or local agency
Manager	VNSNY CHOICE 1250 Broadway New York, NY 10001	Managed care organization and certified home health care agency	New York City Department of Health and Mental Hygiene
			New York City Human Resources Administration
			New York State Department of Health
			New York State Department of Mental Hygiene
			New York City Department for the Aging
			New York State Department of Aging
			Westchester County Department of Health
			New York State Office of Children and Family Services

2012 Employment Dates
January 1, 2012 - December 31, 2012

2012 Question Number = 16				
Name of Reporting Individual = Tony Avella				
Self/ Spouse	**Issuing Entity/Fund Name**	**Type of Security**	**% Owned**	**Category of Value**
Joint	Federal Reserve	Bonds	N/A	C
Spouse	Diversified Investment Advisors (see below for fund names)	401(a) Total	N/A	G
	Diversified Investment Advisors-Amer Fds Cap G&I	401(a)	N/A	
	Diversified Investment Advisors-Amer Fds Grwth Fd	401(a)	N/A	
	Diversified Investment Advisors-Nuveen MidCp Gr	401(a)	N/A	
	Diversified Investment Advisors-TAP Instl Core	401(a)	N/A	
	Diversified Investment Advisors-TAP Instl Hi Qly Bd	401(a)	N/A	
	Diversified Investment Advisors-TAP Instl High Yld	401(a)	N/A	
	Diversified Investment Advisors-TAP Instl Inf P Sec	401(a)	N/A	
	Diversified Investment Advisors-TAP Instl Mid Value	401(a)	N/A	
	Diversified Investment Advisors-TAP Instl Sm Core	401(a)	N/A	
	Diversified Investment Advisors-Thornberg Value	401(a)	N/A	
Self	NYC Deferred Compensation Plan	457 Plan	N/A	E
Self	NYC Deferred Compensation Plan	401K Plan	N/A	E
Self	NFS/Ameritas Invesment Corp-Janus Balanced Fund A	IRA - Mutual Fund	N/A	D
Self	NFS/Ameritas Invesment Corp-BlackRock Global Alloc A	IRA - Mutual Fund	N/A	C
Self	NFS/Ameritas Invesment Corp-American Balanced Fund A	Roth IRA - Mutual Fund	N/A	C
Spouse	NFS/Ameritas Invesment Corp-Janus Balanced Fund A	IRA - Mutual Fund	N/A	D
Joint	NFS/Ameritas Invesment Corp-DISNEY WALT CO	Common Stocks/ETFs	N/A	F
Joint	NFS/Ameritas Invesment Corp-INTEL CORP	Common Stocks/ETFs	N/A	D
Joint	NFS/Ameritas Invesment Corp-TIME WARNER CABLE INC COM	Common Stocks/ETFs	N/A	D
Joint	NFS/Ameritas Invesment Corp-TIME WARNER INC NEW COM NEW	Common Stocks/ETFs	N/A	D
Joint	NFS/Ameritas Invesment Corp-AMERICAN EUROPACIFIC GROWTH CLASS A	Mutual Funds	N/A	D
Joint	NFS/Ameritas Invesment Corp-COLUMBIA MID CAP VALUE CLASS A	Mutual Funds	N/A	D
Joint	NFS/Ameritas Invesment Corp-COLUMBIA SMALL CAP VAL I FUND CL A	Mutual Funds	N/A	C
Joint	NFS/Ameritas Invesment Corp-EATON VANCE TAX MGD VALUE CLASS A	Mutual Funds	N/A	D
Joint	NFS/Ameritas Invesment Corp-EATON VANCE STRAT INCOME CLASS A	Mutual Funds	N/A	D
Joint	NFS/Ameritas Invesment Corp-JANUS FORTY CLASS A	Mutual Funds	N/A	D
Joint	NFS/Ameritas Invesment Corp-LOOMIS SAYLES INVST GRADE BOND CL A	Mutual Funds	N/A	D
Joint	NFS/Ameritas Invesment Corp-MUNDER MIDCAP CORE GROWTH CL A	Mutual Funds	N/A	D
Joint	NFS/Ameritas Invesment Corp-AMERICAN NEW WORLD FUND CLASS A	Mutual Funds	N/A	D
Joint	NFS/Ameritas Invesment Corp-COLUMBIA SMALL CAP GROWTH FD II CL A	Mutual Funds	N/A	C
Joint	NFS/Ameritas Invesment Corp-PIMCO EMERGING MARKETS BOND FUND A	Mutual Funds	N/A	D
Joint	NFS/Ameritas Invesment Corp-PIMCO HIGH YIELD CLASS A	Mutual Funds	N/A	C
Joint	NFS/Ameritas Invesment Corp-PIMCO REAL RETURN CLASS A	Mutual Funds	N/A	D
Joint	NFS/Ameritas Invesment Corp-PIMCO TOTAL RETURN CLASS A	Mutual Funds	N/A	D
Joint	NFS/Ameritas Invesment Corp-AT&T INC NOTES 05.80000% 02/15/2019 CALL MAKE WHOLE	Corporate Bond	N/A	E
Joint	NFS/Ameritas Invesment Corp-ALCOA INC SR NT 5.40000% 04/15/2021	Corporate Bond	N/A	E
Joint	NFS/Ameritas Invesment Corp- AMERICAN EXPRESS CO SR NT 7.00000% 03/19/2018	Corporate Bond	N/A	E
Joint	NFS/Ameritas Invesment Corp-COMPUTER SCIENCES CORP 6.50000% 03/15/2018 SR NT	Corporate Bond	N/A	E
Joint	NFS/Ameritas Invesment Corp-GENL ELEC CAP CORP MTN 5.625% 09/15/2017 ISIN #US36962G3H54	Corporate Bond	N/A	E
Joint	NFS/Ameritas Invesment Corp-GOLDMAN SACHS GROUP INC NOTE 5.95000% 01/18/2018	Corporate Bond	N/A	E
Joint	NFS/Ameritas Invesment Corp-RESIDENTIAL ASST SEC SER 2003-A15 CL 1A1 04.25000% 02/25/2034 0.11338374	Corporate Bond	N/A	C
Joint	NFS/Ameritas Invesment Corp-MORGAN STANLEY NT 5.75000% 10/18/2016	Corporate Bond	N/A	E

2012 Question Number = 16				
Name of Reporting Individual = Tony Avella				
Self/ Spouse	**Issuing Entity/Fund Name**	**Type of Security**	**% Owned**	**Category of Value**
Joint	NFS/Ameritas Invesment Corp-RESIDENTIAL ACCREDIT SER 2004-QS6 CL A1 05.00000% 05/25/2019 LOANS INC 0.21969577	Corporate Bond	N/A	C
Joint	NFS/Ameritas Invesment Corp-MARICOPA CNTY ARIZ SCH DIST NO 028 05.60000% 07/01/2020 KYRENE ELEM SCH IMPT BDS SER. 2009D	Municipal Bond	N/A	E
Joint	NFS/Ameritas Invesment Corp-AMERICAN INTL GROUP INC MTN BE 5.45000% 05/18/2017 FR	Corporate Bond	N/A	E
Joint	NFS/Ameritas Invesment Corp-ARCELORMITTAL NOTE 05.00000% 02/25/2017	Bond	N/A	D
Joint	NFS/Ameritas Invesment Corp-PITNEY BOWES INC NT	Corporate Bond	N/A	E
Joint	NFS/Ameritas Invesment Corp-PARNASSUS EQUITY INCOME FUND INV	Mutual Funds	N/A	D
Joint	Prudential-AST Cohen & Steers Realty	Annuity-Mutual Funds	N/A	D
Joint	Prudential-AST Federated Aggressive Growth	Annuity-Mutual Funds	N/A	D
Joint	Prudential-AST Goldman Sachs Concentrated Growth	Annuity-Mutual Funds	N/A	E
Joint	Prudential-AST Goldman Sachs Large-Cap Value Portfolio	Annuity-Mutual Funds	N/A	D
Joint	Prudential-AST Goldman Sachs Mid-Cap Growth	Annuity-Mutual Funds	N/A	E
Joint	Prudential-AST Goldman Sachs Small-Cap Value	Annuity-Mutual Funds	N/A	D
Joint	Prudential-AST High Yield Portfolio	Annuity-Mutual Funds	N/A	D
Joint	Prudential-AST Investment Grade Bond Portfolio	Annuity-Mutual Funds	N/A	F
Joint	Prudential-AST MFS Global Equity	Annuity-Mutual Funds	N/A	D
Joint	Prudential-AST MFS Growth	Annuity-Mutual Funds	N/A	D
Joint	Prudential-AST Mid-Cap Value	Annuity-Mutual Funds	N/A	D
Joint	Prudential-AST Neuberger Berman / LSV Mid-Cap Value Portfolio	Annuity-Mutual Funds	N/A	D
Joint	Prudential-AST PIMCO Limited Maturity Bond	Annuity-Mutual Funds	N/A	D
Joint	Prudential-AST PIMCO Total Return Bond	Annuity-Mutual Funds	N/A	F
Joint	Prudential-AST Parametric Emerging Markets Equity	Annuity-Mutual Funds	N/A	D
Joint	Prudential-AST QMA US Equity Alpha	Annuity-Mutual Funds	N/A	D
Joint	Prudential-AST T.Rowe Price Equity Income Portfolio	Annuity-Mutual Funds	N/A	D
Joint	Prudential-AST T.Rowe Price Global Bond	Annuity-Mutual Funds	N/A	D
Joint	Prudential-AST T.Rowe Price Natural Resources	Annuity-Mutual Funds	N/A	D
Spouse	Prudential-AST Advanced Strategies Portfolio	IRA Annuity-Mutual Funds	N/A	C
Spouse	Prudential-AST Balanced Asset Allocation Portfolio	IRA Annuity-Mutual Funds	N/A	C
Spouse	Prudential-AST CLS Moderate Asset Allocation Portfolio	IRA Annuity-Mutual Funds	N/A	C
Spouse	Prudential-AST Capital Growth Asset Allocation Portfolio	IRA Annuity-Mutual Funds	N/A	C
Spouse	Prudential-AST First Trust Balanced Target Portfolio	IRA Annuity-Mutual Funds	N/A	C
Spouse	Prudential-AST Horizon Moderate Asset Allocation Portfolio	IRA Annuity-Mutual Funds	N/A	C
Spouse	Prudential-AST Investment Grade Bond Portfolio	IRA Annuity-Mutual Funds	N/A	C
Spouse	Prudential-AST Preservation Asset Allocation Portfolio	IRA Annuity-Mutual Funds	N/A	C
Spouse	Prudential-AST Schroders Multi-Asset World Strategies Portfolio	IRA Annuity-Mutual Funds	N/A	C
Spouse	Prudential-AST T.Rowe Price Asset Allocation	IRA Annuity-Mutual Funds	N/A	D
Spouse	John Hancock -Lifestyle Moderate	IRA Annuity-Mutual Funds	N/A	H
Spouse	John Hancock -Fundamental Holdings	IRA Annuity-Mutual Funds	N/A	E
Spouse	John Hancock-Global Diversification	IRA Annuity-Mutual Funds	N/A	D
Spouse	John Hancock -Core Allocation Plus	IRA Annuity-Mutual Funds	N/A	E
Spouse	John Hancock-Disciplined Diversification	IRA Annuity-Mutual Funds	N/A	D
Spouse	John Hancock-Capital Appreciation Value	IRA Annuity-Mutual Funds	N/A	D

Anthony Avella	2012	
Question #11		
		Category
Self/Spouse	**Company**	**of Value**
Joint	Prudential - Annuity	J
Self	IRA Annuity	D
Self	IRA Annuity	C
Self	Bank of America - IRA	C
Self	NYC Deferred Compensation Plan	E