

Attachment 1

Lobbyist Registration Lobbyist Registration Form

Form Confirmation #: L	Form Confirmation #: LR00020313
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Year of Registration: 2009-2010

Principal Lobbyist Information

(To make changes to any of the Lobbyist Information, go to "Manage Profile" in the Lobbyist menu).

Principal Lobbyist Name: HINMAN STRAUB ADVISORS LLC

Business Address 1: 121 STATE STREET

Address 2:

City: ALBANY

State: NY

Zip Code: 12207-1693

Business Phone: (518) 436-0751

Fax Number: (518) 436-4751

Email Address: LOLSON@HINMANSTRAUB.COM

Type of Lobbying: NonProcurement

Level of Government Lobbied: Both

Type of Lobbyist: Retained

Additional Lobbyist Information

Click "Remove" to delete any lobbyist(s) who will not be lobbying for this client for this registration period. To Add additional lobbyists, go to "Manage Profile" in the menu.

First Name	Last Name
JOHN	BLACK
JAMES	CARR
BARTLEY J.	COSTELLO, III
TERRI	CROWLEY
CARON	CRUMMEY
SEAN M.	DOOLAN
HEATHER	EVANS
JOHN	FEDERMAN
MARA	GINSBERG
VINCENT	GRABER
TRACY	LLOYD
ELIZABETH	MISA
MATTHEW	O'CONNOR
DONALD	ROBBINS
KELLY	RYAN

JANET	SILVER
DARREN	SUAREZ

Client Information

Client Business Name: RONALD EDELSTEIN
Business Address 1: 225 BENNETT AVENUE
Address 2:
City: NEW YORK
State: NY
Zip Code: 10040
Country: US
Business Phone: (212) 304-0900
Fax Number:
Chief Administrative Officer First Name: RONALD
Chief Administrative Officer Last Name: EDELSTEIN
Chief Administrative Officer Title: INDIVIDUAL

Third party information



Name:
Business Address 1:
Address 2:
City:
State:
Zip Code:
Country:
Business Phone:

Client Business Nature

Select the category that best describes the nature of the Client's business

- | | | |
|---|---|--|
| <input type="radio"/> Banking & Financial Services | <input type="radio"/> Communications | <input type="radio"/> Education |
| <input type="radio"/> Environment & Natural Resources | <input type="radio"/> Health & Mental Hygiene | <input type="radio"/> Insurance |
| <input type="radio"/> Labor | <input type="radio"/> Law | <input type="radio"/> Manufacturing |
| <input type="radio"/> Marketing & Sales | <input type="radio"/> Public Utilities | <input type="radio"/> Public, Community Interest |
| <input type="radio"/> Racing & Wagering | <input checked="" type="radio"/> Real Estate & Construction | <input type="radio"/> State & Local Government |
| <input type="radio"/> Trade Associations | <input type="radio"/> Transportation | <input type="radio"/> Travel & Tourism |

Subject

Subjects on which you expect to lobby:

CLASS A MULTIPLE DWELLINGS

Person

Person, State Agency, Municipality, or Legislative Body you expect to lobby:

EXECUTIVE CHAMBER, SENATE, ASSEMBLY, VARIOUS COMMITTEES

Bill

Bill, Rule, Regulation, Rate Number or brief description relative to the introduction or intended introduction of legislation or a resolution on which you lobbied:

S6873

Title

Title and Identifying # of procurement contracts and documents on which you expect to lobby:

No details were entered.

Number or Subject Matter

Number or Subject Matter of Executive Order of Governor/Municipality on which you expect to lobby:

No details were entered.

Subject Matter

Subject Matter of and Tribes involved in tribal-state compacts, etc on which you expect to lobby:

No details were entered.

Contract/Authorizations

You must either mail in a copy of your signed contract/authorization or upload and attach a scanned copy of the same. ?

Contract / Authorization Start Date (MM/DD/YYYY) :

7/15/2010 ?

Contract / Authorization End Date (MM/DD/YYYY) :

12/31/2010

Check here if mailing:

Or

Click here to upload signed contract/authorizations:

Or

Choose previous uploaded and unassigned contract/authorization(s) below:

Original Contract

FileName	Description	Date
L28_edelstein.pdf	EDEL	7/27/2010 2:41:08 PM

If an uploaded contract/authorization does not appear, click here to see updated list of choices:

Declaration

I declare under penalty of perjury that the information contained in this registration is true, correct and complete to the best of my knowledge and belief.

(If form is being entered or was entered into the system by NYSJCOPE personnel, a checked box below indicates that the Responsible Persons signature was on the original paper form submitted to NYSJCOPE)

Actual

Date : 7/27/2010 submission date:
First Name: SEAN Last Name: DOOLAN
Comments:

Fees

Please Check one of the following: ?

Amount :100.00

IMPORTANT : Please choose your payment carefully - Registration fees are non-refundable.

Payment Mode

Credit Card

Credit Card Authorization Code : **09814Z**

Prior to final submission please verify reporting year you have selected.

Workflow

Date	User	Queue	Comments
7/27/2010	LB000028	Submitted	Form Submitted by User...
7/27/2010	ETALBOT	Approved	FATT CATT

Attachment 2

**HINMAN
STRAUB**
ATTORNEYS AT LAW

181 STATE STREET
ALBANY, NEW YORK 12207-1893
TEL: 518-436-0751
FAX: 518-436-4751

SEAN M. DOOLAN
DIRECT PHONE: 518-880-7217
E-MAIL: SDOOLAN@HINMAN.COM

July 22, 2010

Ronald Edelstein
225 Bennett Ave.
New York, NY 10040

Dear Mr. Edelstein:

The following is an outline of the effort to be undertaken by Hinman Straub Advisors, LLC on your behalf for July 15, 2010 through December 31, 2010.

The goal is to represent you relating to the occupancy of class A multiple dwellings. Hinman Straub Advisors, LLC will provide the following services:

- 1) Assist in advancing your objectives before the Governor's Office, the Legislature and appropriate State agencies;
- 2) Research and prepare necessary memoranda; and
- 3) Provide such other governmental and legal services which are deemed to be necessary and proper.

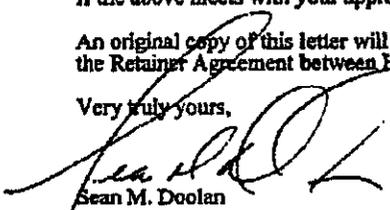
As compensation for such services, Ronald Edelstein shall pay Hinman Straub Advisors, LLC a monthly fee of \$5,000.00. Disbursements for such reasonable expenses as long-distance telephone calls, printing, postage, xeroxing, legislative bill services, travel and entertainment expenses will be billed separately on a monthly basis.

Either party, for any reason, may terminate this agreement upon thirty days written notice.

If the above meets with your approval, please sign this letter and return it to me as soon as possible.

An original copy of this letter will be filed with the New York Commission on Public Integrity as evidence of the Retainer Agreement between Hinman Straub Advisors, LLC and Ronald Edelstein

Very truly yours,


Sean M. Doolan

SMD:imo

APPROVED:

Ronald Edelstein


DATE 7/23/10

Attachment 3

Lobbyist Bi-Monthly Report

Form Confirmation #: LBR0140132

Year of Registration : 2010

Reporting Period:

Mark One

- January - February
 March - April
 May - June
 July - August
 September - October
 November - December

Principal Lobbyist Information

(To make changes to any of the Lobbyist Information, go to "Manage Profile" in the Lobbyist menu).

Principal Lobbyist Name: HINMAN STRAUB ADVISORS LLC
Business Address 1: 121 STATE STREET
Address 2:
City: ALBANY
State: NY
Zip Code: 12207-1693
Business Phone: (518) 436-0751
Fax Number: (518) 436-4751
Email Address: LOLSON@HINMANSTRAUB.COM
Type of Lobbying: NonProcurement
Level of Government Lobbied: Both
Type of Lobbyist: Retained

Additional Lobbyist Information

Delete from the following list any lobbyist(s) who did not lobby this period. To permanently remove lobbyist(s) who will no longer be performing lobbying services you must go to the "Lobbyist Registration Termination Form" in your "Lobbyist Registration Details Menu".

To Add Additional Lobbyists, who lobbied for this client for this bimonthly period, you must go to your "Lobbyist Registration Amendment form" in your "Lobbyist Registration Details Menu".

First Name	Last Name
JOHN	BLACK
JAMES	CARR
BARTLEY J.	COSTELLO, III
TERRI	CROWLEY
CARON	CRUMMEY
SEAN M.	DOOLAN
HEATHER	EVANS



JOHN	FEDERMAN
MARA	GINSBERG
VINCENT	GRABER
TRACY	LLOYD
ELIZABETH	MISA
MATTHEW	O'CONNOR
DONALD	ROBBINS
KELLY	RYAN
JANET	SILVER
DARREN	SUAREZ

Client Information

Client Business Name: RONALD EDELSTEIN
Business Address 1: 225 BENNETT AVENUE
Address 2:
City: NEW YORK
State: NY
Zip Code: 10040
Country: US
Business Phone: (212) 304-0900
Fax Number:
Chief Administrative Officer First Name: RONALD
Chief Administrative Officer Last Name: EDELSTEIN
Chief Administrative Officer Title: INDIVIDUAL

Third Party Information

Name:
Business Address 1:
Address 2:
City:
State:
Zip Code:
Country:
Business Phone:

Summary of Compensation and Reimbursed Expenses for this period

Compensation (Current Period Only)	Reimbursed Expenses (Current Period Only)
7500	0

Other Lobbying Expenses (Current Period Only)

- A. Report in the aggregate all expenses less than or equal to \$75 : 0
 - B. Report in the aggregate all expenses for salaries of non-lobbying employees : 105
 - C. Itemize all expenses exceeding \$75 : ?
- I have no itemized expenses to report for this period.

Check box to agree with previous statement or enter expenses below

Top

D. Total expenses for current period : \$ 105

Subject

Subjects on which you lobbied:

CLASS A MULTIPLE DWELLINGS

Person

Person, State Agency, Municipality or Legislative Body lobbied:

EXECUTIVE CHAMBER, SENATE, ASSEMBLY, VARIOUS COMMITTEES

Bill

Bill, Rule, Regulation, Rate Number or brief description relative to the introduction or intended introduction of legislation or a resolution on which you lobbied:

S6873

Title

Title and Identifying # of procurement contracts and documents on which you expect to lobby:

No details were entered.

Number or Subject Matter

Number or Subject Matter of Executive Order of Governor/Municipality on which you expect to lobby:

No details were entered.

Subject Matter

Subject Matter of and Tribes involved in tribal-state compacts, etc on which you expect to lobby:

No details were entered.

Declaration

I declare under penalty of perjury that the information contained in this Bi-Monthly report is true, correct and complete to the best of my knowledge and belief.

(If form is being entered or was entered into the system by NYSJCOPE personnel, a checked box below indicates that the Responsible Persons signature was on the original paper form submitted to NYSJCOPE)

Check box to agree with previous statement

Date : 9/15/2010 Actual submission date:

First Name: SEAN Last Name: DOOLAN

Comments:

Workflow

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Date	User	Queue	Comments
9/15/2010	LB000028	Submitted	Form Submitted by User...
9/19/2010	CIRISH	Approved	

Lobbyist Bi-Monthly Report

Form Confirmation #: LBR0143872

Year of Registration : 2010

Reporting Period:

Mark One

- January - February
 March - April
 May - June
 July - August
 September - October
 November - December

Principal Lobbyist Information

(To make changes to any of the Lobbyist Information, go to "Manage Profile" in the Lobbyist menu).

Principal Lobbyist Name: HINMAN STRAUB ADVISORS LLC
Business Address 1: 121 STATE STREET
Address 2:
City: ALBANY
State: NY
Zip Code: 12207-1693
Business Phone: (518) 436-0751
Fax Number: (518) 436-4751
Email Address: LOLSON@HINMANSTRAUB.COM
Type of Lobbying: NonProcurement
Level of Government Lobbied: Both
Type of Lobbyist: Retained

Additional Lobbyist Information

Delete from the following list any lobbyist(s) who did not lobby this period. To permanently remove lobbyist(s) who will no longer be performing lobbying services you must go to the "Lobbyist Registration Termination Form" in your "Lobbyist Registration Details Menu".

To Add Additional Lobbyists, who lobbied for this client for this bimonthly period, you must go to your "Lobbyist Registration Amendment form" in your "Lobbyist Registration Details Menu".

First Name	Last Name
JOHN	BLACK
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BARTLEY J.	COSTELLO, III
TERRI	CROWLEY
CARON	CRUMMEY
SEAN M.	DOOLAN
HEATHER	EVANS



JOHN	FEDERMAN
MARA	GINSBERG
VINCENT	GRABER
TRACY	LLOYD
ELIZABETH	MISA
MATTHEW	O'CONNOR
DONALD	ROBBINS
KELLY	RYAN
JANET	SILVER
DARREN	SUAREZ

Client Information

Client Business Name: RONALD EDELSTEIN
Business Address 1: 225 BENNETT AVENUE
Address 2:
City: NEW YORK
State: NY
Zip Code: 10040
Country: US
Business Phone: (212) 304-0900
Fax Number:
Chief Administrative Officer First Name: RONALD
Chief Administrative Officer Last Name: EDELSTEIN
Chief Administrative Officer Title: INDIVIDUAL

Third Party Information

Name:
Business Address 1:
Address 2:
City:
State:
Zip Code:
Country:
Business Phone:

Summary of Compensation and Reimbursed Expenses for this period

Compensation (Current Period Only)	Reimbursed Expenses (Current Period Only)
10000	0

Other Lobbying Expenses (Current Period Only)

A. Report in the aggregate all expenses less than or equal to \$75 : 0
B. Report in the aggregate all expenses for salaries of non-lobbying employees : 105

C. Itemize all expenses exceeding \$75 : (?)

I have no itemized expenses to report for this period.

Check box to agree with previous statement or enter expenses below

Top

D. Total expenses for current period : \$ 105

Subject

Subjects on which you lobbied:

CLASS A MULTIPLE DWELLINGS

Person

Person, State Agency, Municipality or Legislative Body lobbied:

EXECUTIVE CHAMBER, SENATE, ASSEMBLY, VARIOUS COMMITTEES

Bill

Bill, Rule, Regulation, Rate Number or brief description relative to the introduction or intended introduction of legislation or a resolution on which you lobbied:

S6873

Title

Title and Identifying # of procurement contracts and documents on which you expect to lobby:

No details were entered.

Number or Subject Matter

Number or Subject Matter of Executive Order of Governor/Municipality on which you expect to lobby:

No details were entered.

Subject Matter

Subject Matter of and Tribes involved in tribal-state compacts, etc on which you expect to lobby:

No details were entered.

Declaration

I declare under penalty of perjury that the information contained in this Bi-Monthly report is true, correct and complete to the best of my knowledge and belief.

(If form is being entered or was entered into the system by NYSJCOPE personnel, a checked box below indicates that the Responsible Persons signature was on the original paper form submitted to NYSJCOPE)

Check box to agree with previous statement

Date : 11/15/2010 Actual submission date:

First Name: SEAN Last Name: DOOLAN

Comments:

Workflow

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Date	User	Queue	Comments
11/15/2010	LB000028	Submitted	Form Submitted by User...
11/26/2010	CIRISH	Approved	

Lobbyist Bi-Monthly Report

Form Confirmation #: LBR0146080

Year of Registration : 2010

Reporting Period:

Mark One

- January - February
 March - April
 May - June
 July - August
 September - October
 November - December

Principal Lobbyist Information

(To make changes to any of the Lobbyist Information, go to "Manage Profile" in the Lobbyist menu).

Principal Lobbyist Name: HINMAN STRAUB ADVISORS LLC
Business Address 1: 121 STATE STREET
Address 2:
City: ALBANY
State: NY
Zip Code: 12207-1693
Business Phone: (518) 436-0751
Fax Number: (518) 436-4751
Email Address: LOLSON@HINMANSTRAUB.COM
Type of Lobbying: NonProcurement
Level of Government Lobbied: Both
Type of Lobbyist: Retained

Additional Lobbyist Information

Delete from the following list any lobbyist(s) who did not lobby this period. To permanently remove lobbyist(s) who will no longer be performing lobbying services you must go to the "Lobbyist Registration Termination Form" in your "Lobbyist Registration Details Menu".

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First Name	Last Name
JOHN	BLACK
JAMES	CARR
BARTLEY J.	COSTELLO, III
TERRI	CROWLEY
CARON	CRUMMEY
SEAN M.	DOOLAN
HEATHER	EVANS



JOHN	FEDERMAN
MARA	GINSBERG
VINCENT	GRABER
TRACY	LLOYD
ELIZABETH	MISA
MATTHEW	O'CONNOR
DONALD	ROBBINS
KELLY	RYAN
JANET	SILVER
DARREN	SUAREZ

Client Information

Client Business Name: RONALD EDELSTEIN
Business Address 1: 225 BENNETT AVENUE
Address 2:
City: NEW YORK
State: NY
Zip Code: 10040
Country: US
Business Phone: (212) 304-0900
Fax Number:
Chief Administrative Officer First Name: RONALD
Chief Administrative Officer Last Name: EDELSTEIN
Chief Administrative Officer Title: INDIVIDUAL

Third Party Information

Name:
Business Address 1:
Address 2:
City:
State:
Zip Code:
Country:
Business Phone:

Summary of Compensation and Reimbursed Expenses for this period

Compensation (Current Period Only)	Reimbursed Expenses (Current Period Only)
5000	0

Other Lobbying Expenses (Current Period Only)

A. Report in the aggregate all expenses less than or equal to \$75 : 0
B. Report in the aggregate all expenses for salaries of non-lobbying employees : 105

C. Itemize all expenses exceeding \$75 : ?
 I have no itemized expenses to report for this period.

Check box to agree with previous statement or enter expenses below

[Top](#)

D. Total expenses for current period : \$ 105

Subject

Subjects on which you lobbied:

CLASS A MULTIPLE DWELLINGS

Person

Person, State Agency, Municipality or Legislative Body lobbied:

EXECUTIVE CHAMBER, SENATE, ASSEMBLY, VARIOUS COMMITTEES

Bill

Bill, Rule, Regulation, Rate Number or brief description relative to the introduction or intended introduction of legislation or a resolution on which you lobbied:

S6873

Title

Title and Identifying # of procurement contracts and documents on which you expect to lobby:

No details were entered.

Number or Subject Matter

Number or Subject Matter of Executive Order of Governor/Municipality on which you expect to lobby:

No details were entered.

Subject Matter

Subject Matter of and Tribes involved in tribal-state compacts, etc on which you expect to lobby:

No details were entered.

Declaration

I declare under penalty of perjury that the information contained in this Bi-Monthly report is true, correct and complete to the best of my knowledge and belief.

(If form is being entered or was entered into the system by NYSJCOPE personnel, a checked box below indicates that the Responsible Persons signature was on the original paper form submitted to NYSJCOPE)

Check box to agree with previous statement

Date : 1/6/2011 Actual submission date:

First Name: SEAN Last Name: DOOLAN

Comments:

Workflow

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Date	User	Queue	Comments
1/6/2011	LB000028	Submitted	Form Submitted by User...
1/6/2011	CIRISH	Approved	

Attachment 4

2010 J-D 44
due: 5/4/12
filed:ELLEN N. BIBEN
EXECUTIVE DIRECTORHON. JANET DIFIORE
CHAIRNEW YORK STATE
JOINT COMMISSION ON PUBLIC ETHICSPHONE: (518) 408-3976
FAX: (518) 408-3975RAVI BATRA
PATRICK J. BULGARO
HON. JOSEPH COVELLO
HON. VINCENT A. DEIORIO
MITRA HORMOZI
DANIEL J. HORWITZ
MARVIN E. JACOB
SEYMOUR KNOX, IV
GARY J. LAVINE
HON. MARY LOU RATH
DAVID A. RENZI
GEORGE H. WEISSMAN
ELLEN YAROSHEFSKY
MEMBERS540 BROADWAY
ALBANY, NEW YORK 12207
www.jcpe.ny.gov

April 19, 2012

Ronald Edelstein
225 Bennett Ave.
New York, NY 10040

Dear Mr. Edelstein:

RE: 2010 July-December Client Semi-Annual Report

NOTICE OF FAILURE TO FILE

Please be advised that records of the Joint Commission on Public Ethics ("Commission") indicate your failure to file the 2010 July-December Client Semi-Annual Report. Pursuant to Section 1-j of Legislative Law Article 1-A ("Lobbying Act"), you were required to file the above-referenced report with the Commission on or before January 18, 2011. Our records further reflect that you have previously been given notice of your failure to file and, to date, have still failed to submit the required filing.

Executive Law §94(13)(a) requires that the Commission notify you of this possible or alleged violation and provide you with a fifteen-day period to submit a written response setting forth information relating to the activities cited as the possible or alleged violation of the Lobbying Act. Your written response should include any relevant information or explanation for your failure to file. In addition to, or in lieu of a written explanation, you may submit the required filing. Please respond on or before May 4, 2012, which is fifteen-days from the date of this letter.

Pursuant to Lobbying Act §1-j, if you submit the required filing after your receipt of this Notice, you may be subject to a late fee of up to \$25.00 per day from the original due date. If after receipt of your written response to this Notice, including any filing, the Commission makes a determination that further inquiry is warranted, you will be so notified pursuant to Executive Law §94(13)(b).

Mr. Edelstein
April 19, 2012
RE: 2010 July-December Client Semi-Annual Report
Page 2

In accordance with Executive Law §§94(14) and (14)(c), if the Commission determines that there is a substantial basis to conclude that a violation has occurred, the Commission shall issue a substantial basis investigation report, which the Commission would be required to make publicly available and to post on its website. Pursuant to Lobbying Act §1-o, if the Commission finds after a hearing that you knowingly and willfully violated the above-referenced provision of the Lobbying Act, you may be subject to a civil penalty of up to \$25,000 or three times the amount you failed to report, whichever is greater, in addition to any late fees that have been assessed.

The pertinent regulations governing the hearing process appear on the Commission's website at <http://www.jCOPE.ny.gov/law/ethc/Part941.pdf>.

If for any reason you believe this Notice has been sent to you in error, please notify the Commission immediately.

If you need assistance filing the above-requested report, please contact Cindy Morrill. Any other questions should be directed to Lori Donadio.

Sincerely,



Ellen N. Biben
Executive Director

Attachment 5

IN THE MATTER OF

Ronald Edelstein

NOTICE OF SUBSTANTIAL BASIS INVESTIGATION
Case No. 12-24

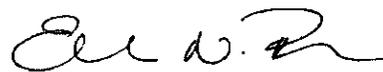
Pursuant to Executive Law §94(13), the New York State Joint Commission on Public Ethics ("Commission") has commenced an investigation to determine whether a substantial basis exists to conclude that you violated Section 1-j of the New York State Legislative Law ("Lobbying Act") in that you knowingly and wilfully failed to file timely your 2010 July/December Client Semi-Annual Report, and that you are subject to a civil penalty pursuant to the Lobbying Act §1-o.

Executive Law §94 authorizes the Commission to enforce the Lobbying Act. Please be advised that, pursuant to the Lobbying Act §1-o, an individual or entity who, following a hearing, is found to have knowingly and wilfully violated the provisions of the Lobbying Act §1-j shall be subject to a civil penalty for each violation in an amount not to exceed the greater of twenty-five thousand dollars (\$25,000) or three times the amount the person failed to report properly, and other possible penalties, including suspension of lobbying activities.

You have a right to submit a written response to the Commission within 30 days of this Notice. If after its investigation, the Commission finds a substantial basis to conclude that you have violated the relevant laws, the Commission shall send to you a substantial basis investigation report containing its findings of fact and conclusions of law, which shall be released publicly within forty-five days of its issuance. Upon receipt of such report, you will have a right to be heard, and the pertinent regulations and rules regarding the conduct of adjudicatory proceedings, appeals and due process procedural mechanisms available to you are set forth in 19 NYCRR Part 941 and are available on the Commission's website at www.jcope.ny.gov.

Date: May 14, 2012

By:



Ellen N. Biben
Executive Director