

# Attachment 1

**Lobbyist Registration Form**

Form Confirmation #: LR00019223

Year of Registration: 2009-2010

**Principal Lobbyist Information**

(To make changes to any of the Lobbyist Information, go to "Manage Profile" in the Lobbyist menu).

Principal Lobbyist Name: ARZT, GEORGE COMMUNICATIONS, INC.  
 Business Address 1: 123 WILLIAM STREET, 22ND FLOOR  
 Address 2:  
 City: NEW YORK  
 State: NY  
 Zip Code: 10038  
 Business Phone: (212) 608-0333  
 Fax Number: (212) 608-0458  
 Email Address: MAYA@GACNYC.COM  
 Type of Lobbying: NonProcurement  
 Level of Government Lobbied: Both  
 Type of Lobbyist: Retained

**Additional Lobbyist Information**

Click "Remove" to delete any lobbyist(s) who will not be lobbying for this client for this registration period. To Add additional lobbyists, go to "Manage Profile" in the menu.

First Name	Last Name
GEORGE	ARZT



**Client Information**

Client Business Name: YL MANAGEMENT, LLC.  
 Business Address 1: 101 WEST 87TH STREET  
 Address 2: 5TH FLOOR  
 City: NEW YORK  
 State: NY  
 Zip Code: 10107  
 Country: US  
 Business Phone: (212) 757-5353  
 Fax Number: (212) 757-3362  
 Chief Administrative Officer First Name: YAIR  
 Chief Administrative Officer Last Name: LEVY  
 Chief Administrative Officer Title: PRESIDENT

**Third party information**



Name:  
Business Address 1:  
Address 2:  
City:  
State:  
Zip Code:  
Country:  
Business Phone:

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**Client Business Nature**

Select the category that best describes the nature of the Client's business

- |   |   |  |
|---|---|--|
| <input type="radio"/> Banking & Financial Services    | <input type="radio"/> Communications                        | <input type="radio"/> Education                  |
| <input type="radio"/> Environment & Natural Resources | <input type="radio"/> Health & Mental Hygiene               | <input type="radio"/> Insurance                  |
| <input type="radio"/> Labor                           | <input type="radio"/> Law                                   | <input type="radio"/> Manufacturing              |
| <input type="radio"/> Marketing & Sales               | <input type="radio"/> Public Utilities                      | <input type="radio"/> Public, Community Interest |
| <input type="radio"/> Racing & Wagering               | <input checked="" type="radio"/> Real Estate & Construction | <input type="radio"/> State & Local Government   |
| <input type="radio"/> Trade Associations              | <input type="radio"/> Transportation                        | <input type="radio"/> Travel & Tourism           |

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**Subject**

**Subjects on which you expect to lobby:**

322 WEST 57TH STREET; 225 RECTOR STREET;

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**Person**

**Person, State Agency, Municipality, or Legislative Body you expect to lobby:**

NYC DEPARTMENT OF BUILDINGS; OFFICE OF ATTORNEY GENERAL;

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**Bill**

**Bill, Rule, Regulation, Rate Number or brief description relative to the introduction or intended introduction of legislation or a resolution on which you lobbied:**

No details were entered.

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**Title**

**Title and Identifying # of procurement contracts and documents on which you expect to lobby:**

No details were entered.

---

**Number or Subject Matter**

**Number or Subject Matter of Executive Order of Governor/Municipality on which you expect to lobby:**

No details were entered.

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**Subject Matter**

**Subject Matter of and Tribes involved in tribal-state compacts, etc on which you expect to lobby:**

No details were entered.

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**Contract/Authorizations**

You must either mail in a copy of your signed contract/authorization or upload and attach a

scanned copy of the same. ?

Contract / Authorization Start Date (MM/DD/YYYY) :  ?  
 Contract / Authorization End Date (MM/DD/YYYY) :

Check here if mailing:

Or

[Click here to upload signed contract/authorizations:](#)

Or

Choose previous uploaded and unassigned contract/authorization(s) below:

**Original Contract**

FileName	Description	Date
<a href="#">L286_YL_Management_LLC_lobbying_letter.pdf</a>	YL Management, LLC. lobbying authorization	8/25/2009 11:20:28 AM

**Amended Contract**

FileName	Description	Date
<a href="#">A57_arztgeorgecommunications-ylmanagement-A21359.tif</a>	10YL MANAGEMENT	5/14/2010 9:39:15 AM

If an uploaded contract/authorization does not appear, [click here to see updated list of choices:](#)

**Declaration**

I declare under penalty of perjury that the information contained in this registration is true, correct and complete to the best of my knowledge and belief.

**(If form is being entered or was entered into the system by NYSJCOPE personnel, a checked box below indicates that the Responsible Persons signature was on the original paper form submitted to NYSJCOPE)**

Date : 8/25/2009      Actual submission date:   
 First Name: GEORGE      Last Name: ARZT  
 Comments:

**Fees**

Please Check one of the following: ?

Amount :200.00

**IMPORTANT : Please choose your payment carefully - Registration fees are non-refundable.**

**Payment Mode**

Check/Cash

Check No : 9126

Check Status : ACC

**Prior to final submission please verify reporting year you have selected.**

**Workflow**

Date	User	Queue	Comments
8/25/2009	LB000286	Submitted	Form Submitted by User...
9/1/2009	DSMITH	Approved	K-ATTACHED
4/9/2010	DSMITH	Terminated	K-ENDS 12/31/10- BIMSUP TO DATE
4/27/2010	CHGQUEUE	Waiting for Response	MOVED PER ET -KS
4/27/2010	ETALBOT	Approved	LOB FILED TERM W/D 4/23/10 - SIGNED NEW K
8/9/2010	DSMITH	Terminated	K-ENDS 12/31/10- J/A DUE

# Attachment 2

YL MANAGEMENT, LLC

8.14.09

Mr. George Arzt  
George Arzt Communications, Inc.  
123 William Street, 22<sup>nd</sup> Floor  
New York, NY 10038

Dear Mr. Arzt:

As of August 14, 2009, George Arzt Communications, Inc. is hereby authorized to lobby before the appropriate New York City and State government agencies or entities on subjects, on which we mutually agree and which are of interest to YL Management, LLC.

The agreed upon monthly fee is \$1,500.00 plus reasonable expenses. This fee will cover both City and State lobbying, with \$750.00 being for City and \$750.00 being for State.

This agreement will terminate on December 31, 2010, or on such earlier date as determined by YL Management, LLC.

Sincerely,



Yair Levy  
President

# Attachment 3

**Lobbyist Bi-Monthly Report**

Form Confirmation #: LBR0140043

Year of Registration : 2010

**Reporting Period:**

Mark One

- January - February     
  March - April     
  May - June  
 July - August     
  September - October     
  November - December

**Principal Lobbyist Information**

(To make changes to any of the Lobbyist Information, go to "Manage Profile" in the Lobbyist menu).

**Principal Lobbyist Name:** ARZT, GEORGE COMMUNICATIONS, INC.  
**Business Address 1:** 123 WILLIAM STREET, 22ND FLOOR  
**Address 2:**  
**City:** NEW YORK  
**State:** NY  
**Zip Code:** 10038  
**Business Phone:** (212) 608-0333  
**Fax Number:** (212) 608-0458  
**Email Address:** MAYA@GACNYC.COM  
**Type of Lobbying:** NonProcurement  
**Level of Government Lobbied:** Both  
**Type of Lobbyist:** Retained

**Additional Lobbyist Information**

Delete from the following list any lobbyist(s) who did not lobby this period. To permanently remove lobbyist(s) who will no longer be performing lobbying services you must go to the "Lobbyist Registration Termination Form" in your "Lobbyist Registration Details Menu".

To Add Additional Lobbyists, who lobbied for this client for this bimonthly period, you must go to your "Lobbyist Registration Amendment form" in your "Lobbyist Registration Details Menu".

First Name	Last Name
GEORGE	ARZT



**Client Information**

**Client Business Name:** YL MANAGEMENT, LLC.  
**Business Address 1:** 101 WEST 87TH STREET  
**Address 2:** 5TH FLOOR  
**City:** NEW YORK  
**State:** NY  
**Zip Code:** 10107

Country: US  
 Business Phone: (212) 757-5353  
 Fax Number: (212) 757-3362  
 Chief Administrative Officer First Name: YAIR  
 Chief Administrative Officer Last Name: LEVY  
 Chief Administrative Officer Title: PRESIDENT

**Third Party Information**

Name:  
 Business Address 1:  
 Address 2:  
 City:  
 State:  
 Zip Code:  
 Country:  
 Business Phone:

**Summary of Compensation and Reimbursed Expenses for this period**

Compensation (Current Period Only)	Reimbursed Expenses (Current Period Only)
0	0

**Other Lobbying Expenses (Current Period Only)**

- A. Report in the aggregate all expenses less than or equal to \$75 : 0
- B. Report in the aggregate all expenses for salaries of non-lobbying employees : 0
- C. Itemize all expenses exceeding \$75 : ?

I have no itemized expenses to report for this period.

Check box to agree with previous statement or enter expenses below

[Top](#)

D. Total expenses for current period : \$ 0

**Subject**

Subjects on which you lobbied:

No details were entered.

**Person**

Person, State Agency, Municipality or Legislative Body lobbied:

No details were entered.

**Bill**

Bill, Rule, Regulation, Rate Number or brief description relative to the introduction or intended introduction of legislation or a resolution on which you lobbied:

No details were entered.

**Title**

Title and Identifying # of procurement contracts and documents on which you expect to lobby:

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**Number or Subject Matter**

Number or Subject Matter of Executive Order of Governor/Municipality on which you expect to lobby:

No details were entered.

**Subject Matter**

Subject Matter of and Tribes involved in tribal-state compacts, etc on which you expect to lobby:

No details were entered.

**Declaration**

I declare under penalty of perjury that the information contained in this Bi-Monthly report is true, correct and complete to the best of my knowledge and belief.

(If form is being entered or was entered into the system by NYSJCOPE personnel, a checked box below indicates that the Responsible Persons signature was on the original paper form submitted to NYSJCOPE)

Check box to agree with previous statement

Date : 9/14/2010 Actual submission date:

First Name: GEORGE Last Name: ARZT

Comments:

**Workflow**

Date	User	Queue	Comments
9/14/2010	LB000286	Submitted	Form Submitted by User...
9/17/2010	CIRISH	Approved	

# Attachment 4

HON. JANET DIFIORE  
CHAIR

RAVI BATRA  
PATRICK J. BULGARO  
HON. JOSEPH COVELLO  
HON. VINCENT A. DEIORIO  
MITRA HORMOZI  
DANIEL J. HORWITZ  
MARVIN E. JACOB  
SEYMOUR KNOX, IV  
GARY J. LAVINE  
HON. MARY LOU RATH  
DAVID A. RENZI  
GEORGE H. WEISSMAN  
ELLEN YAROSHEFSKY  
MEMBERS



NEW YORK STATE  
JOINT COMMISSION ON PUBLIC ETHICS

540 BROADWAY  
ALBANY, NEW YORK 12207  
www.jcpe.ny.gov

2010J-D 52  
due: 5/4/12  
ELLEN N. BIBEN  
EXECUTIVE DIRECTOR  
filed:

PHONE: (518) 408-3976  
FAX: (518) 408-3975

April 19, 2012

Yair Levy  
YL Management  
101 West 87th Street 5th Floor  
New York, NY 10024

Dear Mr. Levy:

RE: 2010 July-December Client Semi-Annual Report

**NOTICE OF FAILURE TO FILE**

Please be advised that records of the Joint Commission on Public Ethics ("Commission") indicate your failure to file the 2010 July-December Client Semi-Annual Report. Pursuant to Section 1-j of Legislative Law Article 1-A ("Lobbying Act"), you were required to file the above-referenced report with the Commission on or before January 18, 2011. Our records further reflect that you have previously been given notice of your failure to file and, to date, have still failed to submit the required filing.

Executive Law §94(13)(a) requires that the Commission notify you of this possible or alleged violation and provide you with a fifteen-day period to submit a written response setting forth information relating to the activities cited as the possible or alleged violation of the Lobbying Act. Your written response should include any relevant information or explanation for your failure to file. In addition to, or in lieu of a written explanation, you may submit the required filing. Please respond on or before May 4, 2012, which is fifteen-days from the date of this letter.

Pursuant to Lobbying Act §1-j, if you submit the required filing after your receipt of this Notice, you may be subject to a late fee of up to \$25.00 per day from the original due date. If after receipt of your written response to this Notice, including any filing, the Commission makes a determination that further inquiry is warranted, you will be so notified pursuant to Executive Law §94(13)(b).

Mr. Levy  
April 19, 2012  
RE: 2010 July-December Client Semi-Annual Report  
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In accordance with Executive Law §§94(14) and (14)(c), if the Commission determines that there is a substantial basis to conclude that a violation has occurred, the Commission shall issue a substantial basis investigation report, which the Commission would be required to make publicly available and to post on its website. Pursuant to Lobbying Act §1-o, if the Commission finds after a hearing that you knowingly and willfully violated the above-referenced provision of the Lobbying Act, you may be subject to a civil penalty of up to \$25,000 or three times the amount you failed to report, whichever is greater, in addition to any late fees that have been assessed.

The pertinent regulations governing the hearing process appear on the Commission's website at <http://www.jcope.ny.gov/law/ethc/Part941.pdf>.

If for any reason you believe this Notice has been sent to you in error, please notify the Commission immediately.

If you need assistance filing the above-requested report, please contact Cindy Morrill. Any other questions should be directed to Lori Donadio.

Sincerely,



Ellen N. Biben  
Executive Director

# Attachment 5

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IN THE MATTER OF  
YL Management

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**NOTICE OF SUBSTANTIAL BASIS INVESTIGATION**  
**Case No. 12-28**

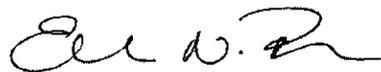
Pursuant to Executive Law §94(13), the New York State Joint Commission on Public Ethics ("Commission") has commenced an investigation to determine whether a substantial basis exists to conclude that you violated Section 1-j of the New York State Legislative Law ("Lobbying Act") in that you knowingly and wilfully failed to file timely your 2010 July/December Client Semi-Annual Report, and that you are subject to a civil penalty pursuant to the Lobbying Act §1-o.

Executive Law §94 authorizes the Commission to enforce the Lobbying Act. Please be advised that, pursuant to the Lobbying Act §1-o, an individual or entity who, following a hearing, is found to have knowingly and wilfully violated the provisions of the Lobbying Act §1-j shall be subject to a civil penalty for each violation in an amount not to exceed the greater of twenty-five thousand dollars (\$25,000) or three times the amount the person failed to report properly, and other possible penalties, including suspension of lobbying activities.

You have a right to submit a written response to the Commission within 30 days of this Notice. If after its investigation, the Commission finds a substantial basis to conclude that you have violated the relevant laws, the Commission shall send to you a substantial basis investigation report containing its findings of fact and conclusions of law, which shall be released publicly within forty-five days of its issuance. Upon receipt of such report, you will have a right to be heard, and the pertinent regulations and rules regarding the conduct of adjudicatory proceedings, appeals and due process procedural mechanisms available to you are set forth in 19 NYCRR Part 941 and are available on the Commission's website at [www.jcope.ny.gov](http://www.jcope.ny.gov).

Date: May 14, 2012

By:



Ellen N. Biben  
Executive Director