STATE OF NEW YORK
COMMISSION ON PUBLIC INTEGRITY

540 Broadway
Albany, New York 12207

IN THE MATTER OF

City of Utica

NOTICE OF REASONABLE CAUSE

The New York State Commission on Public Integrity ("Commission") has determined that there is reasonable cause to believe that you violated Section 1-j of the New York State Legislative Law ("Lobbying Act") in that you knowingly and willfully failed to file timely your 2009 July/December Client Semi-Annual Report, and that you are subject to a civil penalty pursuant to 1-o of the Lobbying Act.

Pursuant to Executive Law §94, the Commission is authorized to enforce the Lobbying Act. As required by law, a copy of this notice is retained by the Commission for public inspection. Please be advised that, pursuant to section 1-o of the Lobbying Act, an individual or entity who, following a hearing, is found to have knowingly and willfully violated the provisions of §1-j of the Lobbying Act shall be subject to a civil penalty in an amount not to exceed twenty-five thousand dollars ($25,000) for each violation, and other possible penalties, including suspension of lobbying activities and criminal prosecution.

Date: 2·16·11

By: Barry Ginsberg
Barry Ginsberg
Executive Director