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NEW YORK STATE JOINT COMMISSION ON PUBLIC ETHICS

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July 3, 2013

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NOTICE OF DELINQUENCY

The New York State Joint Commission on Public Ethics ("JCOPE") has received information indicating that you are a chair of a political party as defined in section 73 of the Public Officers Law ("POL"). As such, pursuant to POL § 73-a(2)(a), you were required to file an Annual Statement of Financial Disclosure (the "Statement") for calendar year 2010 by May 15, 2011. JCOPE records indicate that you have failed to file the required Statement in violation of the law.

According to JCOPE's records, its predecessor agency, the Commission on Public Integrity ("CPI"), sent a confidential Notice of Failure to File to you on June 9, 2011, requesting that you file the Statement within 15 days. Pursuant to Part A, Section 16 of the Public Integrity Reform Act of 2011, Chapter 399 of the Laws of 2011, JCOPE inherited the business and other matters of CPI, and has been granted the authority to conduct and complete such business and other matters with the same effect as if undertaken by CPI.

Pursuant to Executive Law section 94(12), JCOPE is sending you this Notice of Delinquency. Please be advised, you must submit your Statement by July 18, 2013. If you fail to comply by July 18, 2013, you may, after additional notice and a hearing, be subject to a civil penalty of an amount up to \$40,000. If JCOPE determines to proceed to seek a civil penalty, you will receive a Notice of Hearing in accordance with JCOPE rules governing adjudicatory proceedings, set forth in 19 NYCRR Part 941. In addition, JCOPE may, in lieu of a civil penalty, refer the matter for criminal prosecution.

This notice is available for public inspection and copying pursuant to Executive Law Section 94(19)(a)(2).

A copy of the 2010 Statement is enclosed. You may access instructions and all other Statements at http://jcope.ny.gov/forms/ethics.html.

If, for any reason, you believe that this Notice has been sent to you in error, please notify JCOPE. You may reach us between the hours of 8:30 am to 4:30 pm at (518) 474-4522.

Thank you for your cooperation.

NYS JOINT COMMISSION ON PUBLIC ETHICS