

NEW YORK STATE JOINT COMMISSION ON PUBLIC ETHICS  
540 Broadway  
Albany, New York 12207

In the Matter of Uncle Sam's House, Inc.

DECISION AND  
ORDER

Respondent

Failure to Timely File a 2009 July/December  
Client Semi-Annual Report

Uncle Sam's House, Inc. ("Respondent"), a client of a registered lobbyist in 2009, was required to file the 2009 July/December Client Semi-Annual Report by January 15, 2010, pursuant to section 1-j of the Legislative Law (the "Lobbying Act"), because Respondent retained, employed or designated a lobbyist and Respondent reasonably anticipated that they would expend or incur an amount in excess of \$5,000 during the calendar year. Despite reminders and notices from the Commission on Public Integrity ("COPI"), Respondent failed to file such report.

On April 23, 2010, a formal Notice of Failure to File was issued by the COPI, pursuant to former Executive Law §94(12)(a), which afforded Respondent 15 days to submit the requiring filing.

On February 16, 2011, COPI issued a Notice of Reasonable Cause ("NORC") with a Proposed Settlement Agreement that was mailed to Respondent on February 16, 2011. On February 23, 2011, COPI received the NORC and correspondence back from the Post Office indicating "unable to forward". COPI made numerous attempts to serve the Respondent and none were successful.

On August 15, 2011 the Public Integrity Reform Act of 2011 ("PIRA") created the Joint Commission on Public Ethics ("Commission") to replace COPI and expressly provided that the Commission shall continue the authority of COPI. Based on an investigation conducted by the

Commission, it was determined that Respondent is no longer in operation, dissolution is in progress, its former premises have been vacated, and the responsible party has moved out of state and cannot be located. In light of the facts above, the Commission recommends that the NORC be withdrawn.

Approved: Daniel J. Horwitz  
Chair

Patrick J. Bulgaro  
Hon. Joseph Covello  
Hon. Vincent A. DeIorio  
Marvin E. Jacob  
Seymour Knox, IV  
Hon. Mary Lou Rath  
David A. Renzi  
George H. Weissman  
Ellen Yaroshefsky  
Members

Absent: David Arroyo  
LaShann M. DeArcy  
Mitra Hormozi  
Gary J. Lavine

DATED: May 28, 2013