

STATE OF NEW YORK,

STATEMENT FOR JUDGMENT

Plaintiff,

against

INDEX NO.: L-00474-13

STATE ADVISORS LLC,

Defendant(s),

220067

| | | |
|---------------------------------------|-----------|-----------------|
| Amount claimed in complaint or notice | \$ | 3,040.00 |
| Paid on account | \$ | .00 |
| Collection Fee | \$ | 678.96 |
| Allowable Fees | \$ | .00 |
| Interest | \$ | 186.56 |
| Total Damages | \$ | 3,905.52 |
| Costs by Statute | \$ | 200.00 |
| Service of process | \$ | .00 |
| Sheriff's fees and mileage | \$ | 30.00 |
| Total Costs | \$ | 230.00 |
| TOTAL JUDGMENT | \$ | 4,135.52 |

Albany County Clerk
Document Number 11523065
Rcvd 12/04/2013 11:50:16 AM



The undersigned, an attorney duly admitted to practice law before the Courts of the State of New York, affirms under penalty of perjury that:

The disbursements itemized above are true and correct and have been or necessarily will be incurred and are reasonable in amount; the time for the defendant(s) to appear or answer has expired and no answer or appearance has been made or served by the said defendant(s); upon investigation it was ascertained that said defendant(s) are not in the military or naval service of the United States; one year has not elapsed since such default; this action was commenced by filing of a Summons with Notice; and no prior application has been made for the relief requested herein.

The basis for the claim is as follows:

Unpaid fine or penalty, , The Joint Commission on Public Ethics.

Dated: 12/3/2013

Albany, NY

Costs taxed at 230.00

4th day of Dec, 2013

Kathryn J. Blake
Assistant Attorney General

/s/ Thomas G. Clingan
Clerk

The summons with notice in this action having been served personally or by substituted service upon the defendant(s) and the defendant(s) time to appear or answer having expired and defendant(s) not having appeared or answered, and one (1) year not having elapsed since such default,

NOW, ON MOTION of the attorney of record for Plaintiff, it is

ADJUDGED that Plaintiff recover of the defendant(s) STATE ADVISORS LLC the sum of \$3,905.52, the amount claimed with interest, together with \$230.00 costs and disbursements as taxed, totaling \$4,135.52, and that plaintiff have execution therefor. JUDGMENT entered this 4th day of Dec 2013 Clerk

INTEREST ACCRUES ON JUDGMENTS, CURRENTLY AT THE RATE OF 9% PER ANNUM, PURSUANT TO SECTION 5004 OF THE NEW YORK STATE CIVIL PRACTICE LAW AND RULES.

Defendant(s) address:

State Advisors LLC
66 Park Avenue
Old Greenwich, CT 06870

Attorney for Plaintiff:
Kathryn J. Blake
Assistant Attorney General
Civil Recoveries Bureau
The Capitol
Albany, NY 12224
(518) 486-4523

November 30, 2013