



**NEWS FROM:
NEW YORK STATE JOINT COMMISSION ON PUBLIC ETHICS**

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JOINT COMMISSION ON PUBLIC ETHICS RELEASES 2013 ANNUAL REPORT

*All-time record \$191 million in compensation paid to in-house and retained
lobbyists*

** * **

*Top campaigns include retail tobacco, teachers unions, health care groups, and
public employees*

** * **

\$450,000 in penalties levied through lobbying and ethics enforcement actions

ALBANY – (April 3, 2014) – The Joint Commission on Public Ethics ("Commission") today released its [2013 Annual Report](#) highlighting its work for the year, including: the regulation of \$210 million in State and local lobbying; resolving enforcement actions with more than \$450,000 in penalties; the first-ever independent ethics investigation of a sitting lawmaker; and the implementation of significant new financial disclosure requirements that, for the first time, revealed details of outside income reported to the Commission by State officials.

The report also shows that a record \$191 million was spent in 2013 on compensation for in-house and retained lobbyists across the State, while spending on advertising for lobbying campaigns dropped significantly for the second straight year, to \$4.8 million.

Top spenders on lobbying for the year included a top tobacco manufacturer and tobacco retailers, as well as teachers' unions, hospital groups, and a top public employees' union.

More

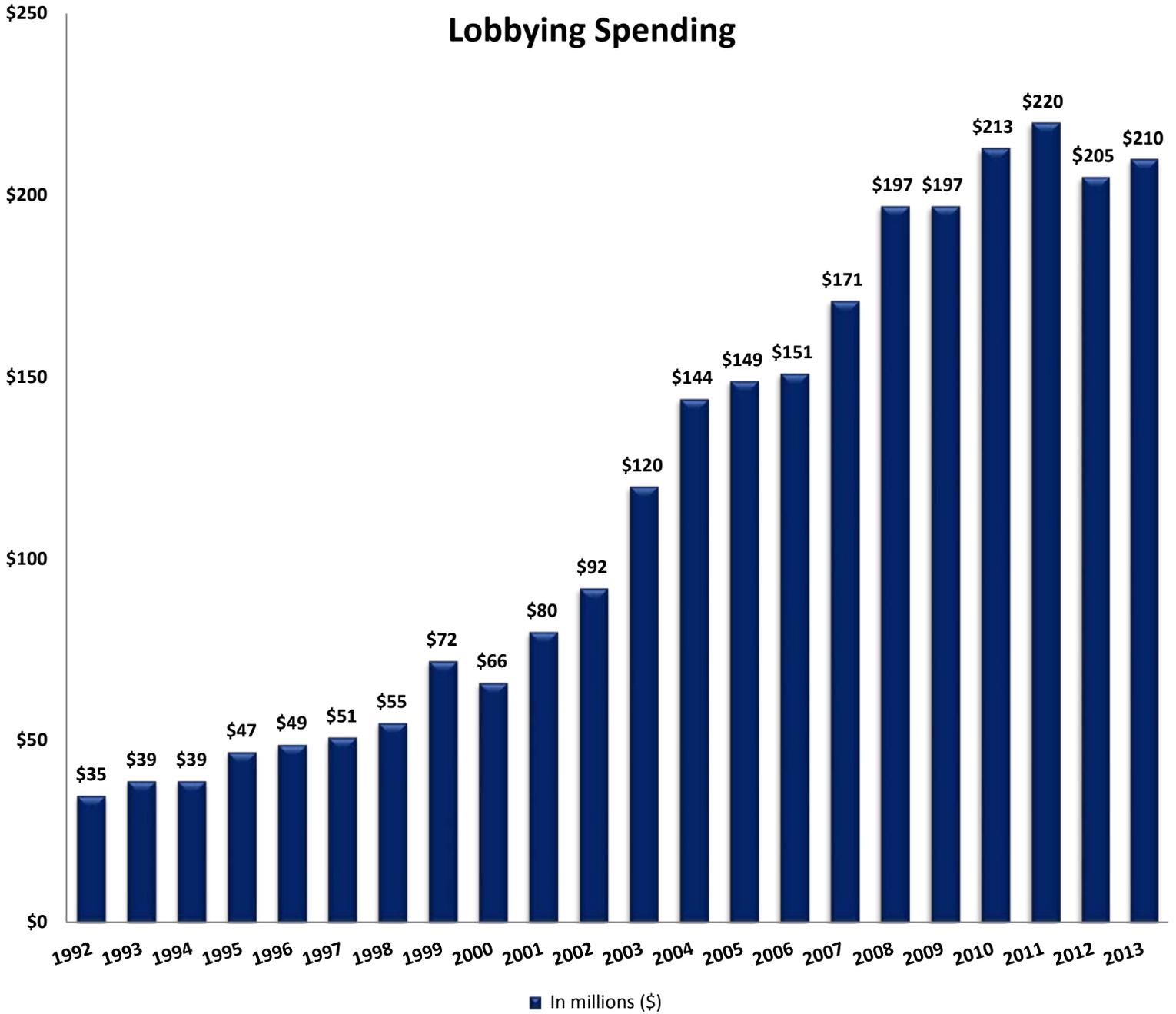
Also, in conjunction with the report, the Commission published on its website today the complete source data filed by lobbyists and their clients for 2013 available [here](#).

In 2013, the Commission was engaged in a broad array of activities. Highlights from the past year include the following:

- For the first time, making the Financial Disclosure Statements filed by the four statewide elected officials and all Members of the Legislature accessible to the public on the Internet. Additionally, for the first time, the Financial Disclosure Statements include the values of filers' investments and outside incomes.
- The review and administration of more than 40,000 lobbyist and client filings and contracts received.
- The review of more than 200 potential investigative matters and the resolution of 15 enforcement actions generating more than \$450,000 in penalties.
- The processing of more than 27,000 financial disclosure statements filed by state officials and employees in the executive and legislative branches of government.
- Providing written guidance on more than 500 requests, including informal opinion requests on the application of various aspects of the Public Officers law, outside activity approval requests from State officers and employees; and travel reimbursement requests.
- The development of a new two-hour Comprehensive Ethics Training Course that was completed by more than 23,000 State employees.

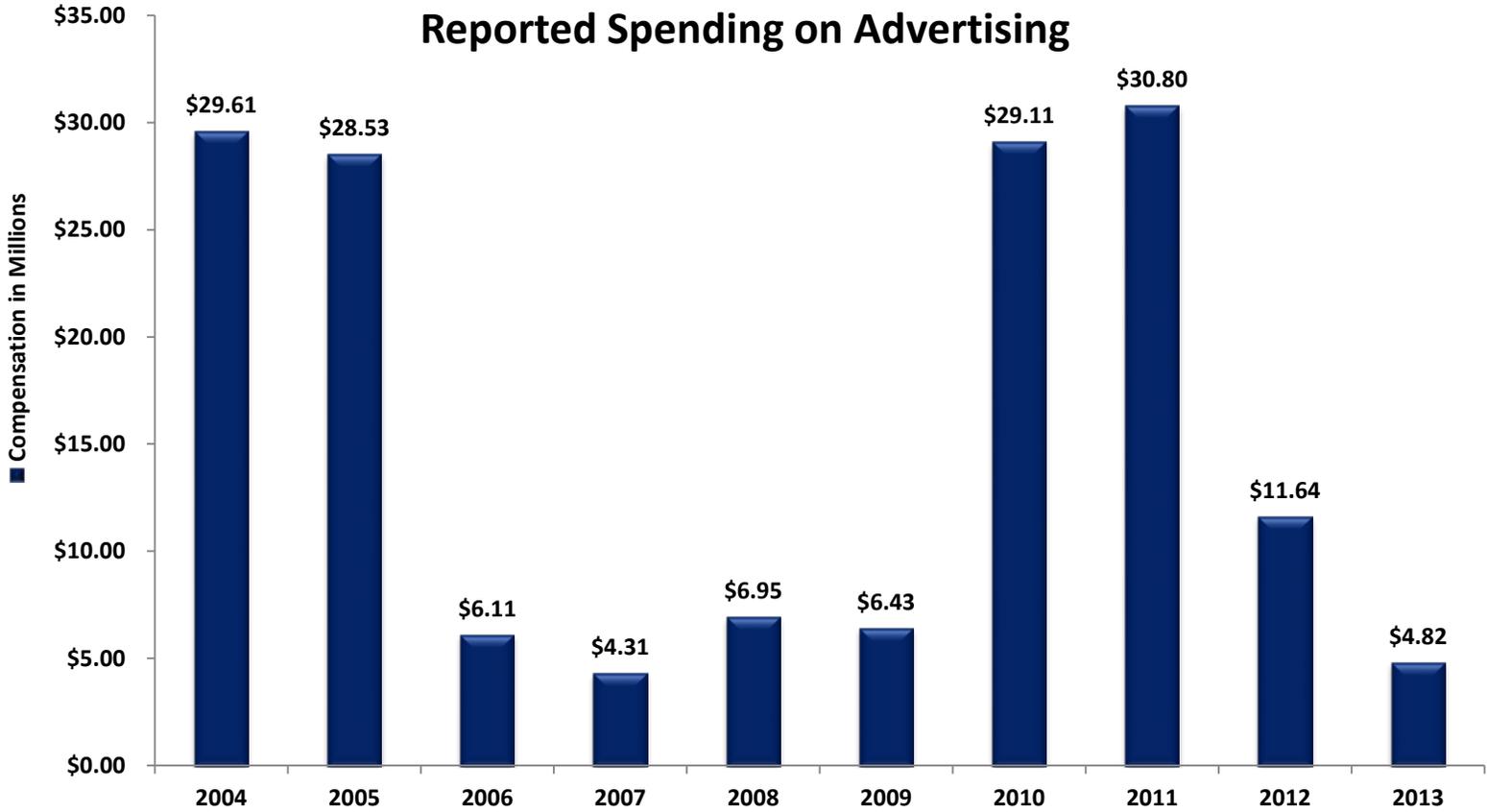
Several charts outlining data from lobbyists and their clients are attached below. A full copy of the report can be obtained by clicking [here](#).

Lobbying Spending



Based on the combined figures of compensation and expenses reported on Client Semi-Annual and Public Corporation Reports

Reported Spending on Advertising



* Based on advertising expenses reported in Client Semi-Annual Reports

Total Compensation Paid to Retained or Employed Lobbyists



* Based on compensation reported in Client Semi-Annual and Public Corporation Reports

Lobbyists Ranked by Total Compensation and Reimbursed Expenses for 2013

1. Wilson Elser Moskowitz Edelman & Dicker, LLP _____	\$10,383,823
2. Kasirer Consulting _____	\$6,450,302
3. Greenberg Traurig, LLP _____	\$6,191,755
4. Park Strategies, LLC _____	\$5,996,250
5. Bolton St. Johns, LLC _____	\$5,861,375
6. Lynch, Patricia Associates, Inc. _____	\$5,540,251
7. Brown & Weinraub, PLLC _____	\$5,059,868
8. Manatt, Phelps & Phillips, LLP _____	\$5,057,373
9. Hinman Straub Advisors, LLC _____	\$4,957,329
10. Ostroff Associates, Inc. ¹ _____	\$3,377,950

** Based on figures reported in 2013 Lobbyist Bi-monthly Reports as of March 24, 2014*

Lobbyists Ranked By Total Compensation and Reimbursed Expenses for 2012

1. Wilson Elser Moskowitz Edelman & Dicker, LLP _____	\$10,302,418
2. Lynch, Patricia Associates, Inc. _____	\$6,719,291
3. Kasirer Consulting _____	\$6,067,495
4. Greenberg Traurig, LLP _____	\$5,960,294
5. Bolton St. Johns, LLC _____	\$5,610,837
6. Hinman Straub Advisors, LLC _____	\$4,574,331
7. Brown & Weinraub, PLLC _____	\$3,899,718
8. Park Strategies, LLC _____	\$3,704,416
9. Ostroff, Hiffa & Associates, Inc. _____	\$3,636,293
10. Malkin & Ross _____	\$3,142,159

** Based on figures reported in 2012 Lobbyist Bi-monthly Reports as of March 20, 2013*

¹ A name change was submitted to the Commission, effective January 1, 2013, changing Ostroff, Hiffa & Associates, Inc. to Ostroff Associates, Inc.

Clients Ranked by Total Lobbying Expenditures

2013

Altria Client Services, Inc. and its affiliates	\$3,001,247
United Federation of Teachers	\$2,552,379
United Teachers (NYS)	\$2,213,444
Greater NY Hospital Association	\$2,173,536
Public Employees Federation	\$1,442,741
Goddard Global, LLC	\$1,384,307
Genting New York, LLC	\$1,368,500
Coalition for Opportunity in Education (The)	\$1,340,886
Healthcare Association of NYS	\$1,219,788
Verizon	\$1,106,427

** Based on figures reported in 2013 Client Semi-Annual Reports as of March 24, 2014*

2012

Committee to Save New York, Inc.	\$4,192,952
Exxon Mobil Corporation	\$2,106,132
Major League Soccer, LLC	\$2,102,910
Greater NY Hospital Association	\$2,091,371
United Federation of Teachers	\$1,812,490
United Teachers (NYS)	\$1,739,113
Public Employees Federation	\$1,277,896
Genting New York, LLC	\$1,274,110
Wal-Mart Stores, Inc.	\$1,264,196
AFSCME International	\$1,228,229

** Based on figures reported in 2012 Client Semi-Annual Reports as of March 20, 2013*

Total Compensation and Reimbursed Expenses Paid to a Retained Lobbyist By a Single Client

2013

LOBBYIST	CLIENT	AMOUNT
Greenberg Traurig, LLP	Waterview at Greenpoint, LLC	\$ 600,035
Cozen O'Connor	Halletts A Development Company, LLC	\$ 577,811
Cordo & Company, LLC	Genting New York, LLC	\$ 440,000
DDC Advocacy	American Petroleum Institute	\$ 412,650
Wilson Elser Moskowitz Edelman & Dicker, LLP	Bankers Association, Inc. (NY)	\$ 405,204
Riddett Associates, Inc.	Trial Lawyers Association, Inc. (NYS)	\$ 404,713
Hinman Straub Advisors, LLC	Excellus Health Plan, Inc.	\$ 404,441
Stroock & Stroock & Lavan, LLP	Knic Partners, LLC	\$ 368,874
Kramer Levin Naftalis & Frankel, LLP	525 West 52 nd Street Development, LLC	\$ 353,295
Fried Frank Harris Shriver & Jacobson, LLP	TF Cornerstone, Inc.	\$ 347,238

** Based on figures reported in 2013 Lobbyist Bi-monthly Reports as of March 24, 2014*

2012

LOBBYIST	CLIENT	AMOUNT
HR&A Advisors, Inc.	Major League Soccer, LLC	\$1,090,613
Global Strategy Group	Major League Soccer, LLC	\$ 537,222
Cozen O'Connor	Halletts A Development Company, LLC	\$ 461,269
Wilson Elser Moskowitz Edelman & Dicker, LLP	Bankers Association (NY)	\$ 405,247
Riddett Associates, Inc.	Trial Lawyers Association, Inc. (NYS)	\$ 404,584
Hinman Straub Advisors, LLC	Excellus Health Plan, Inc.	\$ 402,909
Cordo & Company, LLC	Genting New York, LLC	\$ 400,000
Fried Frank Harris Shriver & Jacobson, LLP	Durst Organization (The)	\$ 384,832
Kramer Levin Naftalis & Frankel, LLP	South Street Seaport Limited	\$ 373,565
Fried Frank Harris Shriver & Jacobson, LLP	Cornell University	\$ 329,405

** Based on figures reported in 2012 Lobbyist Bi-monthly Reports as of March 20, 2013*

Retained Lobbyists Ranked By Percentage Increase in Total Compensation and Reimbursed Expenses from 2012 to 2013

% INCREASE	
Park Strategies, LLC	+61.9%
Roffe Group P.C. (The)	+31.9%
Pitta Bishop Del Giorno & Giblin, LLC	+30.4%
Brown & Weinraub, PLLC	+29.4%
Mercury Public Affairs, LLC	+25.0%

** Based on figures reported in 2012 and 2013 Lobbyist Bi-monthly Reports as of March 24, 2014, by entities reporting a minimum total of \$1,000,000 in reportable compensation and reimbursed expenses in 2012*

Retained Lobbyists Ranked By Percentage Decrease in Total Compensation and Reimbursed Expenses from 2012 to 2013

% DECREASE	
Fried Frank Harris Shriver & Jacobson, LLP	-41.2%
McKenna Long & Aldridge, LLP	-18.4%
Lynch, Patricia Associates, Inc.	-17.5%
Meara, Brian R. Public Relations, Inc.	-11.6%
Malkin & Ross	-8.4%

** Based on figures reported in 2012 and 2013 Lobbyist Bi-monthly Reports as of March 24, 2014, by entities reporting a minimum total of \$1,000,000 in reportable compensation and reimbursed expenses in 2012*

Lobbyists Ranked by Number of Clients

2013

Wilson Elser Moskowitz Edelman & Dicker, LLP	161
Greenberg Traurig, LLP	126
Bolton St. Johns, LLC	120
Park Strategies, LLC	116
Capalino, James F. & Associates, Inc.	107
Lynch, Patricia Associates, Inc.	106
Brown & Weinraub, PLLC	102
Kasirer Consulting	101
Manatt, Phelps & Phillips, LLP	96
Hinman Straub Advisors, LLC	93

** Based on Lobbyist Statements of Registration as of March 24, 2014*

2012

Wilson Elser Moskowitz Edelman & Dicker, LLP	163
Greenberg Traurig, LLP	124
Lynch, Patricia Associates, Inc.	124
Bolton St. Johns, LLC	120
Hinman Straub Advisors, LLC	89
Brown & Weinraub, PLLC	85
Capalino, James F. & Associates, Inc.	85
Kasirer Consulting	81
Park Strategies, LLC	79
Ostroff, Hiffa & Associates, Inc.	74

** Based on Lobbyist Statements of Registration as of March 20, 2013*

Compensation and Reimbursed Expenses by Category of Client Business Nature

2013	
Health and Mental Hygiene	\$33,718,363
Real Estate and Construction	\$24,081,868
Public, Community Interest	\$15,969,381
Education	\$15,591,690
Trade Associations	\$14,270,617
Marketing and Sales	\$12,193,992
Communications	\$10,131,929
Manufacturing	\$9,813,262
Insurance	\$8,883,057
Labor	\$8,830,363

** Based on client business nature categories selected by lobbyists in 2013 Lobbyist Statements of Registration and figures reported in 2013 Lobbyist Bi-monthly reports submitted as of March 24, 2014*

2012	
Health and Mental Hygiene	\$30,860,849
Real Estate and Construction	\$20,019,119
Education	\$14,984,929
Public, Community Interest	\$14,225,444
Trade Associations	\$12,661,623
Marketing and Sales	\$11,596,017
Communications	\$10,127,237
Banking and Financial Services	\$8,971,193
Manufacturing	\$8,942,982
Labor	\$8,813,336

** Based on client business nature categories selected by lobbyists in 2012 Lobbyist Statements of Registration and figures reported in 2012 Lobbyist Bi-monthly reports submitted as of March 20, 2013*