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LETIZIA TAGLIAFIERRO
EXECUTIVE DIRECTOR

NEW YORK STATE
JOINT COMMISSION ON PUBLIC ETHICS

540 BROADWAY
ALBANY, NEW YORK 12207
www.jcope.ny.gov

PHONE: (518) 408-3976
FAX: (518) 408-3975

February 13, 2015

Sent Via Email

The Honorable Sheldon Silver
New York State Assembly
LOB 932
Albany, New York 12248

NOTICE OF DELINQUENCY

The New York State Joint Commission on Public Ethics (the "Commission") previously sent you a confidential Notice of Deficiency relating to your annual statements of financial disclosure ("Statement") required under Public Officers Law §73-a for the calendar years 2011, 2012 and 2013 with respect to Question 5 (non-state employment) and Question 13 (non-state income). These Statements are now delinquent.

Executive Law §94(12) requires that the Commission send you this Notice of Delinquency and that a copy of this Notice of Delinquency be sent to the Temporary President of the Senate and the Speaker of the Assembly. Pursuant to Executive Law §§94(18), (19), this Notice of Delinquency will be available for public inspection and will be available through the Commission's website.

Any individual determined to have knowingly and wilfully violated the provisions of Public Officers Law §73-a may be subject to a civil penalty in an amount not to exceed \$40,000 for each filing. Depending on the circumstances, in lieu of or in addition to a civil penalty, a Public Officers Law §73-a violation may be referred to the appropriate prosecutor and upon such conviction, such violation is punishable as a class A misdemeanor.

NYS JOINT COMMISSION ON PUBLIC ETHICS

Cc: Temporary President of the Senate
Speaker of the Assembly