

**MINUTES OF THE PUBLIC SESSION OF THE  
APRIL 29, 2014  
COMMISSION MEETING  
OF THE JOINT COMMISSION ON PUBLIC ETHICS  
HELD AT THE COMMISSION'S OFFICE LOCATED AT  
540 BROADWAY  
ALBANY, NEW YORK**

**Chair:** Daniel J. Horwitz

**Members:** David Arroyo  
Paul Casteleiro  
Hon. Joseph Covello  
Marvin Jacob  
Seymour Knox, IV  
Gary J. Lavine  
Hon. Mary Lou Rath  
David A. Renzi  
Michael A. Romeo, Sr.  
Hon. Renee R. Roth  
George Weissman

**Members**

**Absent:** LaShann DeArcy  
Mitra Hormozi

**Staff:** Letizia Tagliafierro, Executive Director  
Monica J. Stamm, Chief of Staff and Deputy Counsel  
Robert Cohen, Special Counsel and Director of Ethics and Lobbying Compliance  
Martin L. Levine, Director of Audit and Review and Senior Counsel  
John T. Milgrim, Director for External Affairs  
Jeannine Clemente, Director of Administration  
Pei Pei Cheng-deCastro, Senior Investigative Counsel  
Shari Calnero, Senior Counsel and Manager of Training  
Louis Manuta, Associate Counsel  
Joanna Weiss, Associate Counsel  
Deborah Novak, Executive Assistant  
Patrick E. Coultry, Chief Investigator  
Terence Mulderrig, Senior Investigator  
Jack Patterson, Confidential Investigator  
Peter Smith, Confidential Investigator  
Lori Donadio, Confidential Legal Assistant

I. **CALL TO ORDER**

Chair Horwitz opened the Public Session.

II. **MINUTES – PUBLIC SESSION – MARCH 18, 2014**

Upon motion made by Commissioner Covello, which was seconded by Commissioner Romeo, the Minutes from the Public Session of the March 18, 2014 Commission Meeting were approved by unanimous vote. Commissioner Knox was not present during this portion of the meeting.

III. **REPORT FROM EXECUTIVE DIRECTOR**

**FDS Filings**

Executive Director Letizia Tagliafierro discussed the May 15th deadline for the annual Financial Disclosure Statements. JCOPE staff are preparing for the filings next month.

**Training Update**

Executive Director Tagliafierro announced that, as part of JCOPE's training initiative, the online ethics orientation for new FDS filers is now available. New FDS filers are required to take the ethics orientation within 90 days. Executive Director Tagliafierro presented a brief demonstration of the online training, highlighting some of the interactive features which involve user participation. The new training is accessible to State officers and employees through the Statewide Learning Management System. Executive Director Tagliafierro thanked the training and education unit for their hard work in developing this new orientation.

**End of Year Financial Report**

Director of Administration Jeannine Clemente reported that, during the 2013-2014 fiscal year, JCOPE spent 95% of its cash plan, a total of \$4.281 million dollars: approximately \$3 million was spent on personal services and \$1.25 million was spent on non- personal services.

IV. **REGULATIONS**

**Update on Pending Regulations**

Executive Director Tagliaferro presented an update on pending regulations. The gift regulations have been published in the State Register and are subject to a public comment period under SAPA. Staff anticipates that the gift regulations will be on the agenda for the May meeting of the Commission. The PSA regulations will be published in the State Register shortly and may be on the agenda for the June meeting.

**Source of Funding Regulations**

Executive Director Tagliaferro explained that the amended Source of Funding regulations were published in the State Register and the public comment period has expired. JCOPE did not receive any new comments. Therefore, the regulations will be finalized before the next deadline for Source of Funding reporting.

V. **NEW AND OTHER BUSINESS**

There was no new or other business.

VI. **MOTION TO ENTER INTO EXECUTIVE SESSION PURSUANT TO EXECUTIVE LAW §94(19)(b)**

A motion to enter into Executive Session pursuant to Executive Law §94(19)(b) to consider confidential matters was made by Commissioner Covello and seconded by Commissioner Casteleiro. The motion was approved by unanimous vote.

VII. **PUBLIC ANNOUNCEMENT OF ACTIONS FROM EXECUTIVE SESSION**

Commissioner Knox was present during this portion of the meeting. Chair Horwitz announced that, during the Executive Session, the Commission considered several confidential matters and approved the commencement of a substantial basis investigation, a settlement agreement, an application for an exemption pursuant to Section 73(8-b) of the Public Officers Law, and an advisory opinion.

VIII. **MOTION TO ADJOURN THE PUBLIC MEETING**

Upon motion made by Commissioner Covello, seconded by Commissioner Knox, which was approved by unanimous vote, the meeting was adjourned.