

NEW YORK STATE JOINT COMMISSION ON PUBLIC ETHICS

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Bi-monthly Reports

COURTESY REMINDER

The following reports are due by January 15, 2014:

2013 November/December Lobbyist Bi-monthly Reports

2013 November/December Public Corporation Bi-monthly Reports

2013 November/December Lobbyist Disbursement of Public Monies Reports

2013 Filing Requirements – Lobbyist and Public Corporation Bi-monthly Reports:

Lobbyist and Public Corporation Bi-monthly Reports are required to be filed on a bi-monthly basis, first due on the 15th day of the month following the end of the reporting period in which the lobbyist was first required to file a Statement of Registration during the biennial period. **Please note:** There is NO minimum threshold requirement for filing any bi-monthly report. Consequently, *all* bi-monthly reports are required to be filed for a registration period, unless the registration has been terminated. If, in fact, the agreement or authorization has terminated, you are required to comply with the bi-monthly reporting requirements up to the date of termination.

2013 Filing Requirements – Lobbyist Disbursement of Public Monies Reports:

Per Section 1-l of the Lobbying Act, a **NYS Lobbyist Disbursement of Public Monies Report** must be completed by a lobbyist when:

- The lobbyist is required to file a Statement of Registration with the Commission and reasonably anticipates that during the year they will expend, incur, or receive combined reportable compensation and expenses in an amount in excess of \$5,000 in connection with:
 - any attempts to influence a determination by a public official, or by a person or entity working in cooperation with a public official with respect to the solicitation,

award or administration of a grant, loan, or agreement involving the disbursement of public monies in excess of \$15,000, other than a governmental procurement as defined in Section 1-c.

- The threshold relates only to compensation and expenses attributable to the activities described above, and is in addition to and separate from the similar threshold for lobbyist registration.
- The reports are to be filed only by registered lobbyists, including public corporations.
- These separate reports are required to be filed in accordance with the same schedule applicable to the filing of bi-monthly reports.
- Unlike Lobbyist and Public Corporation Bi-monthly Reports required by Sections 1-h and 1-i, the NYS Lobbyist Disbursement of Public Monies Reports are required to be filed only for bi-monthly reporting periods during which the lobbyist has made any attempts to influence a public official with respect to disbursement of public monies, or receives compensation or reimbursement of expenses for such activities.

All lobbyists filing online should carefully review their submissions to ensure all information is accurate prior to submitting their reports.

Timely reports are those that are received in the Commission's office on or before the due date.

All Commission reporting forms and instructions are available on the Commission's website. Always check our website for the most up-to-date information and latest forms at www.jcope.ny.gov.

If you have any questions regarding reporting requirements, or the online filing system, you may contact Commission staff at (518) 408-3976.