

NY STATE CLIENT SEMI-ANNUAL REPORT

Marking Instructions: Please type or use blue or black ink pen.
Completely fill in one circle.
Print legible numbers and block letters; no script.

COMPLETE ALL SECTIONS
before submitting or form will be returned.

I Reporting Information

Year: 2012
Fill in circle if amendment
Report Period: January/June July/December
Type of Lobbying: Nonprocurement Procurement Both
Client Filing Fee Check Number:

FOR OFFICE USE ONLY

RECEIVED JAN 29 2013
HAND DELIVERED

II Client Information

Name: NY Gaming Association, Inc
Permanent Business Address: 99 Pine Street Suite 210
City: Albany State: NY ZIP code: 12207
Business Phone: 518 436 1122 Fax Number:
Third Party Beneficiary (see instructions):

III Lobbyist(s) Information & Compensation (Current Period Only)

Any individual or organization that has lobbied on behalf of the client must be reported below, regardless of whether the threshold was exceeded by that individual or organization.

A Type of Lobbyist: Retained Employed Designated
Level of Gov't: State Lobbying Local Lobbying Both
Name: NY Gaming Association, Inc Phone Number:
Address: 99 Pine Street Suite 210
City: Albany State: NY ZIP code: 12207
Compensation for current period: \$ 38020 .00

B Type of Lobbyist: Retained Employed Designated
Level of Gov't: State Lobbying Local Lobbying Both
Name: Phone Number:
Address: State: ZIP code:
City: State: ZIP code:
Compensation for current period: \$.00

C Type of Lobbyist: Retained Employed Designated
Level of Gov't: State Lobbying Local Lobbying Both
Name: Phone Number:
Address: State: ZIP code:
City: State: ZIP code:
Compensation for current period: \$.00

Continued on attached pages

D TOTAL COMPENSATION of ALL lobbyists for current period.....(A+B+C+addendum sheets): \$ 38020 .00

IV Other Expenses (Current Semi-Annual Period Only)

A Report in the aggregate all expenses less than or equal to \$75: \$.00

B Report in the aggregate all expenses for salaries of non-lobbying employees: \$.00

C Itemize each expense exceeding \$75:

PAID TO: Applesseed DATE: 12/11/12 Ad Social Event

PURPOSE: Study AMOUNT: \$ 2500 .00 *Addendum attached

PROCUREMENT NONPROCUREMENT

PAID TO: Jay Sarno and Associates DATE: 12/7/12 Ad Social Event

PURPOSE: Consulting AMOUNT: \$ 12500 .00 *Addendum attached

PROCUREMENT NONPROCUREMENT

Continued on attached pages

* If any expense listed above exceeds \$75 for an individual, you must attach the addendum page listing the expense, dollar amount attributable to the individual and the name, title and employer of the individual.

D Total expenses for current period: \$ 33138 .00 (if applicable, include all expenses from attached pages in total)

V Source of Funding Disclosure

Instructions: In the event only one person or entity is listed as the Single Source for a Contribution(s), use Section A. In the event multiple persons or entities have been aggregated as a Single Source for a Contribution(s), use Section B.

A Below, list all Contributions received from the Single Source. Include the date and the amount of the Contribution received. If more than five Contributions from the Single Source have been received, use section V(C) of the Addendum for the additional Contributions.

Contribution(s) from Single Source #1

Single Source Entity's Name: Batavia Downs Casino

or Single Source Person's Last Name: _____ First Name: _____

Address: 8315 Park Road

City: Batavia State: NY ZIP code: 14020

Phone: 585-343-3750

Date Contribution Received: <u>1/9/12</u>	Amount of Contribution: \$ <u>2591</u> .00
Date Contribution Received: <u>1/13/12</u>	Amount of Contribution: \$ <u>1472</u> .00
Date Contribution Received: <u>4/2/12</u>	Amount of Contribution: \$ <u>2727</u> .00
Date Contribution Received: <u>6/25/12</u>	Amount of Contribution: \$ <u>5232</u> .00
Date Contribution Received: <u>9/10/12</u>	Amount of Contribution: \$ <u>3196</u> .00

Check here if using section V(C) of the Addendum for additional Contributions:

Contribution(s) Single Source #2

Single Source Entity's Name: Empire Casino at Yonkers Raceway

or Single Source Person's Last Name: _____ First Name: _____

Address: 810 Yonkers Avenue

City: Yonkers State: NY ZIP code: 10704

Phone: 914-457-2457

Date Contribution Received: <u>1/6/12</u>	Amount of Contribution: \$ <u>22917</u> .00
Date Contribution Received: <u>1/13/12</u>	Amount of Contribution: \$ <u>13044</u> .00
Date Contribution Received: <u>3/21/12</u>	Amount of Contribution: \$ <u>185623</u> .00
Date Contribution Received: <u>6/21/12</u>	Amount of Contribution: \$ <u>23649</u> .00
Date Contribution Received: <u>9/21/12</u>	Amount of Contribution: \$ <u>51083</u> .00

Check here if using section V(C) of the Addendum for additional Contributions:

Check here if there are Contribution(s) from Single Source(s) other than those listed above. Use Section V(A) of the Addendum to list all such Contributions:

Designated Addendum sheet for sections III and IV

Please use the following addendum pages as continuation for the specified sections. If additional space is needed, please make a copy of this sheet.

III Lobbyist(s) Information & Compensation (Current Period Only)

Any individual or organization that has lobbied on behalf of the client must be reported below, regardless of whether the threshold was exceeded by that individual or organization.

Type of Lobbyist: Retained Employed Designated

Level of Gov't: State Lobbying Local Lobbying Both

Name: _____ Phone Number: _____

Address: _____ State: _____ ZIP code: _____

City: _____

Compensation for current period: \$ _____ .00

Type of Lobbyist: Retained Employed Designated

Level of Gov't: State Lobbying Local Lobbying Both

Name: _____ Phone Number: _____

Address: _____ State: _____ ZIP code: _____

City: _____

Compensation for current period: \$ _____ .00

Type of Lobbyist: Retained Employed Designated

Level of Gov't: State Lobbying Local Lobbying Both

Name: _____ Phone Number: _____

Address: _____ State: _____ ZIP code: _____

City: _____

Compensation for current period: \$ _____ .00

IV Other Expenses (Current Semi-Annual Period Only)

PAID TO: Light and Power Communications DATE: 9 / 14 / 12 Ad Social Event

PURPOSE: Graphic Design Services AMOUNT: \$ 1184 .00 *Addendum attached

PROCUREMENT NONPROCUREMENT

PAID TO: Light and Power Communications DATE: 10 / 22 / 12 Ad Social Event

PURPOSE: Website and Printing AMOUNT: \$ 2005 .00 *Addendum attached

PROCUREMENT NONPROCUREMENT

PAID TO: Light and Power Communications DATE: 10 / 9 / 12 Ad Social Event

PURPOSE: Graphic Design Services AMOUNT: \$ 1949 .00 *Addendum attached

PROCUREMENT NONPROCUREMENT

PAID TO: Union Gaming Analytics DATE: 10 / 24 / 12 Ad Social Event

PURPOSE: Study AMOUNT: \$ 10000 .00 *Addendum attached

PROCUREMENT NONPROCUREMENT

PAID TO: Appleseed DATE: 8 / 6 / 12 Ad Social Event

PURPOSE: Study AMOUNT: \$ 3000 .00 *Addendum attached

PROCUREMENT NONPROCUREMENT

Designated Addendum sheet for section V(A)

Please use the following addendum pages as continuation for the specified sections. If additional space is needed, please make a copy of this sheet.

V Source of Funding Disclosure

Below, list all contributions received from the single source. Include the date and the amount of the contribution received.

Contributions from Single Source #3

Single Source Entity's Name: Finger Lakes Casino and Raceway

OR
Single Source Person's Last Name: _____ First Name: _____

Address: PO Box 25250

City: Farmington State: NY

ZIP code: 14425

Phone: 585-924-3232

Date Contribution Received:	<u>1 / 9 / 12</u>	Amount of Contribution:	\$	<u>5075</u>	.00
Date Contribution Received:	<u>1 / 13 / 12</u>	Amount of Contribution:	\$	<u>2889</u>	.00
Date Contribution Received:	<u>4 / 16 / 12</u>	Amount of Contribution:	\$	<u>5075</u>	.00
Date Contribution Received:	<u>7 / 24 / 12</u>	Amount of Contribution:	\$	<u>5345</u>	.00
Date Contribution Received:	<u>9 / 25 / 12</u>	Amount of Contribution:	\$	<u>5345</u>	.00

Check here if using section V(C) of the Addendum for additional Contributions:

Contributions from Single Source # 4

Single Source Entity's Name: Hamburg Casino at the Fairgrounds

OR
Single Source Person's Last Name: _____ First Name: _____

Address: 5600 McKinley Pkwy

City: Hamburg State: NY

ZIP code: 14075

Phone: 716-646-6109

Date Contribution Received:	<u>1 / 9 / 12</u>	Amount of Contribution:	\$	<u>4001</u>	.00
Date Contribution Received:	<u>1 / 17 / 12</u>	Amount of Contribution:	\$	<u>2278</u>	.00
Date Contribution Received:	<u>3 / 26 / 12</u>	Amount of Contribution:	\$	<u>4001</u>	.00
Date Contribution Received:	<u>6 / 25 / 12</u>	Amount of Contribution:	\$	<u>3197</u>	.00
Date Contribution Received:	<u>9 / 25 / 12</u>	Amount of Contribution:	\$	<u>3197</u>	.00

Check here if using section V(C) of the Addendum for additional Contributions:

Contributions from Single Source # 5

Single Source Entity's Name: Monticello Casino & Raceway - Empire Resorts

OR
Single Source Person's Last Name: _____ First Name: _____

Address: 204 Rt 17 B

City: Monticello State: NY

ZIP code: 12701

Phone: 845-794-4100

Date Contribution Received:	<u>1 / 9 / 12</u>	Amount of Contribution:	\$	<u>4730</u>	.00
Date Contribution Received:	<u>1 / 13 / 12</u>	Amount of Contribution:	\$	<u>2692</u>	.00
Date Contribution Received:	<u>2 / 27 / 12</u>	Amount of Contribution:	\$	<u>33582</u>	.00
Date Contribution Received:	<u>4 / 2 / 12</u>	Amount of Contribution:	\$	<u>4730</u>	.00
Date Contribution Received:	<u>6 / 25 / 12</u>	Amount of Contribution:	\$	<u>2470</u>	.00

Check here if using section V(C) of the Addendum for additional Contributions:

Designated Addendum sheet for section V(A)

Please use the following addendum pages as continuation for the specified sections. If additional space is needed, please make a copy of this sheet.

V Source of Funding Disclosure

A Below, list all Contributions received from the Single Source. Include the date and the amount of the Contribution received.

Contributions from Single Source #3

Single Source Entity's Name:

or
Single Source Person's Last Name:

First Name:

Address:

City:

State:

ZIP code:

Phone:

Date Contribution Received: / / Amount of Contribution: \$.00

Date Contribution Received: / / Amount of Contribution: \$.00

Date Contribution Received: / / Amount of Contribution: \$.00

Date Contribution Received: / / Amount of Contribution: \$.00

Date Contribution Received: / / Amount of Contribution: \$.00

Check here if using section V(C) of the Addendum for additional Contributions:

Contributions from Single Source # 6

Single Source Entity's Name: Resorts World - Genting New York LLC

or
Single Source Person's Last Name:

First Name:

Address: 110-00 Rockaway Blvd

City: Jamaica

State: NY

ZIP code: 11420

Phone: 888-888-8801

Date Contribution Received: 1 / 25 / 12 Amount of Contribution: \$ 33432 .00

Date Contribution Received: 3 / 26 / 12 Amount of Contribution: \$ 172576 .00

Date Contribution Received: 6 / 15 / 12 Amount of Contribution: \$ 27115 .00

Date Contribution Received: 9 / 19 / 12 Amount of Contribution: \$ 27115 .00

Date Contribution Received: 9 / 24 / 12 Amount of Contribution: \$ 31439 .00

Check here if using section V(C) of the Addendum for additional Contributions:

Contributions from Single Source # 7

Single Source Entity's Name: Saratoga Casino and Raceway

or
Single Source Person's Last Name:

First Name:

Address: 342 Jefferson Street

City: Saratoga Springs

State: NY

ZIP code: 12866

Phone: 518-584-2110

Date Contribution Received: 1 / 6 / 12 Amount of Contribution: \$ 7568 .00

Date Contribution Received: 1 / 12 / 12 Amount of Contribution: \$ 4308 .00

Date Contribution Received: 2 / 27 / 12 Amount of Contribution: \$ 53792 .00

Date Contribution Received: 4 / 9 / 12 Amount of Contribution: \$ 7568 .00

Date Contribution Received: 6 / 18 / 12 Amount of Contribution: \$ 6573 .00

Check here if using section V(C) of the Addendum for additional Contributions:

Designated Addendum sheet for section V(A)

Please use the following addendum pages as continuation for the specified sections. If additional space is needed, please make a copy of this sheet.

V Source of Funding Disclosure

Below list all contributions received from the single source. Include the date and the amount of the contribution received.

Contributions from Single Source #3

Single Source Entity's Name:

or
Single Source Person's Last Name:

First Name:

Address:

City:

State:

ZIP code:

Phone:

Date Contribution Received:	/ /	Amount of Contribution: \$.00
Date Contribution Received:	/ /	Amount of Contribution: \$.00
Date Contribution Received:	/ /	Amount of Contribution: \$.00
Date Contribution Received:	/ /	Amount of Contribution: \$.00
Date Contribution Received:	/ /	Amount of Contribution: \$.00

Check here if using section V(C) of the Addendum for additional Contributions:

Contributions from Single Source # 8

Single Source Entity's Name: **Tioga Downs Casino**

or
Single Source Person's Last Name:

First Name:

Address: **2384 West River Road**

City: **Nichols**

State: **NY**

ZIP code: **13812**

Phone: **888-946-8464**

Date Contribution Received:	1 / 17 / 12	Amount of Contribution: \$	5349 .00
Date Contribution Received:	2 / 27 / 12	Amount of Contribution: \$	24264 .00
Date Contribution Received:	4 / 2 / 12	Amount of Contribution: \$	3409 .00
Date Contribution Received:	6 / 18 / 12	Amount of Contribution: \$	2725 .00
Date Contribution Received:	10 / 01 / 12	Amount of Contribution: \$	5887 .00

Check here if using section V(C) of the Addendum for additional Contributions:

Contributions from Single Source # 9

Single Source Entity's Name: **Vernon Downs Casino**

or
Single Source Person's Last Name:

First Name:

Address: **125 Park Avenue**

City: **New York**

State: **NY**

ZIP code: **10017**

Phone: **877-888-3766**

Date Contribution Received:	1 / 17 / 12	Amount of Contribution: \$	5129 .00
Date Contribution Received:	2 / 27 / 12	Amount of Contribution: \$	23205 .00
Date Contribution Received:	4 / 2 / 12	Amount of Contribution: \$	3268 .00
Date Contribution Received:	6 / 18 / 12	Amount of Contribution: \$	1752 .00
Date Contribution Received:	10 / 01 / 12	Amount of Contribution: \$	3788 .00

Check here if using section V(C) of the Addendum for additional Contributions:

VI Subjects lobbied:

Racing and Gaming

Continued on attached pages

VII Person, State Agency, Municipality or Legislative Body lobbied:

Senate, Assembly,
Executive Branches

Continued on attached pages

VII Bill, Rule, Regulation, Rate Number or brief description relative to the introduction or intended introduction of legislation or a resolution on which you lobbied:

SS426, S3327/A3605, A6753, A9010,
A9346, S3326/A3293, A9612/S6792,
SS054/A7301, S7049/A10092
Governor's Program Bill # 26
Governor's Program Bill # 30

Continued on attached pages

VIII Title and Identifying Numbers of procurement contracts/documents lobbied:

None

Continued on attached pages

IX Number or Subject Matter of Executive Order of Governor/Municipality lobbied:

None

Continued on attached pages

X Subject Matter of and Tribes involved in tribal-state compacts, etc lobbied:

None

Continued on attached pages

XI Declaration

This Declaration must be signed by the Chief Administrative Officer. (If the Chief Administrative Officer, for any reason, does not sign, he/she must duly designate another person to sign this Declaration.) (See Instructions.)

I declare under penalty of perjury that the information contained in this report is true, correct, and complete to the best of my knowledge and belief.

X SIGNATURE: *James Featherstonhaugh* DATE: 11/28/13

PRINT NAME: LAST Featherstonhaugh FIRST James

TITLE: President

Mark One: Chief Administrative Officer Designee (Attach Letter)

The following **MUST** be attached to this report at the time of submission:

- You must attach a **\$50 dollar filing fee** to each semi-annual report. (No fee is required for amendments to the original)
- If applicable, a designation letter if you have marked designee in section XI.
- If applicable, continuation sheets for sections III, IV, V, VI, VII, VIII, IX and X.

PLEASE NOTE You may be assessed up to **\$25** for each day this report is late.