

NY STATE CLIENT SEMI-ANNUAL REPORT

Marking Instructions: Please type or use blue or black ink pen.
 Completely fill in one circle.
 Print legible numbers and block letters, no script.

COMPLETE ALL SECTIONS
 before submitting or form will be returned.

I Reporting Information

Year: 2013

Fill in circle if amendment ☐

Report Period: ☒ January/June ☐ July/December

Type of Lobbying: ☐ Nonprocurement ☐ Procurement ☐ Both

Client Filing Fee Check Number:

FOR OFFICE USE ONLY

Cjm

Amendment

RECEIVED " " 18 2013

amended to include Sof F

II Client Information

Name: NYS ASSOCIATION FOR AFFORDABLE HOUSING

Permanent Business Address: 242 WEST 36TH STREET

City: NEW YORK

State: NY

ZIP code: 10018

Business Phone: 646-473-1208

Fax Number:

Third Party Beneficiary (see instructions):

III Lobbyist(s) Information & Compensation (Current Period Only)

Any individual or organization that has lobbied on behalf of the client must be reported below, regardless of whether the threshold was exceeded by that individual or organization.

A Type of Lobbyist: ☐ Retained ☐ Employed ☐ Designated

Level of Gov't: ☐ State Lobbying ☐ Local Lobbying ☐ Both

Name: FILED ONLINE

Phone Number:

Address:

City:

State:

ZIP code:

Compensation for current period: \$.00

B Type of Lobbyist: ☐ Retained ☐ Employed ☐ Designated

Level of Gov't: ☐ State Lobbying ☐ Local Lobbying ☐ Both

Name:

Phone Number:

Address:

City:

State:

ZIP code:

Compensation for current period: \$.00

C Type of Lobbyist: ☐ Retained ☐ Employed ☐ Designated

Level of Gov't: ☐ State Lobbying ☐ Local Lobbying ☐ Both

Name:

Phone Number:

Address:

City:

State:

ZIP code:

Compensation for current period: \$.00

☐ Continued on attached pages

D TOTAL COMPENSATION of ALL lobbyists for current period.....(A+B+C+addendum sheets): \$.00

IV Other Expenses (Current Semi-Annual Period Only)

A Report in the aggregate all expenses less than or equal to \$75:	\$.00
B Report in the aggregate all expenses for salaries of non-lobbying employees:	\$.00
C Itemize each expense exceeding \$75:		
PAID TO: FILED ONLINE	DATE: / /	<input type="radio"/> Ad <input type="radio"/> Social Event
PURPOSE:	AMOUNT: \$	<input type="radio"/> *Addendum attached
<input type="radio"/> PROCUREMENT <input type="radio"/> NONPROCUREMENT		
<hr/>		
PAID TO:	DATE: / /	<input type="radio"/> Ad <input type="radio"/> Social Event
PURPOSE:	AMOUNT: \$	<input type="radio"/> *Addendum attached
<input type="radio"/> PROCUREMENT <input type="radio"/> NONPROCUREMENT		
<input type="radio"/> Continued on attached pages		
<small>* If any expense listed above exceeds \$75 for an individual, you must attach the addendum page listing the expense, dollar amount attributable to the individual and the name, title and employer of the individual.</small>		
D Total expenses for current period:	\$.00 (if applicable, include all expenses from attached pages in total)

V Source of Funding Disclosure

Instructions: In the event only one person or entity is listed as the Single Source for a Contribution(s), use Section A. In the event multiple persons or entities have been aggregated as a Single Source for a Contribution(s), use Section B.

A Below, list all Contributions received from the Single Source. Include the date and the amount of the Contribution received. If more than five Contributions from the Single Source have been received, use section V(C) of the Addendum for the additional Contributions.

Contribution(s) from Single Source #1

Single Source Entity's Name: SEE ATTACHED

or
Single Source Person's Last Name: First Name:

Address:

City: State: ZIP code:

Phone:

Date Contribution Received: / / Amount of Contribution: \$.00

Date Contribution Received: / / Amount of Contribution: \$.00

Date Contribution Received: / / Amount of Contribution: \$.00

Date Contribution Received: / / Amount of Contribution: \$.00

Date Contribution Received: / / Amount of Contribution: \$.00

Check here if using section V(C) of the Addendum for additional Contributions: ☐**Contribution(s) Single Source #2**

Single Source Entity's Name:

or
Single Source Person's Last Name: First Name:

Address:

City: State: ZIP code:

Phone:

Date Contribution Received: / / Amount of Contribution: \$.00

Date Contribution Received: / / Amount of Contribution: \$.00

Date Contribution Received: / / Amount of Contribution: \$.00

Date Contribution Received: / / Amount of Contribution: \$.00

Date Contribution Received: / / Amount of Contribution: \$.00

Check here if using section V(C) of the Addendum for additional Contributions: ☐Check here if there are Contribution(s) from Single Source(s) other than those listed above. Use Section V(A) of the Addendum to list all such Contributions: ☐

V Source of Funding Disclosure

B Single Source information for a Contribution(s) from multiple, Related, or Affiliated Entities.

Contributions from Single Source #1

Related or Affiliated Entity or Person: SEE ATTACHED

Entity's or Person's Full Name:

Entity's or Person's Address:

Entity's or Person's Phone:

Dates and Amounts of Contributions from Entity or Person:

Date Contribution Received:	/	/	Amount of Contribution: \$.00
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Date Contribution Received:	/	/	Amount of Contribution: \$.00
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Date Contribution Received:	/	/	Amount of Contribution: \$.00
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Check here if using section V(C) of the Addendum for additional Contributions: ☐

Related or Affiliated Entity or Person:

Entity's or Person's Full Name:

Entity's or Person's Address:

Entity's or Person's Phone:

Dates and Amounts of Contributions from Entity or Person:

Date Contribution Received:	/	/	Amount of Contribution: \$.00
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Date Contribution Received:	/	/	Amount of Contribution: \$.00
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Date Contribution Received:	/	/	Amount of Contribution: \$.00
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Check here if using section V(C) of the Addendum for additional Contributions: ☐

Check here if using section V(B) of the Addendum for additional Related, or Affiliated Entities or Persons: ☐

Contributions from Single Source #2

Related or Affiliated Entity or Person:

Entity's or Person's Full Name:

Entity's or Person's Address:

Entity's or Person's Phone:

Dates and Amounts of Contributions from Entity or Person:

Date Contribution Received:	/	/	Amount of Contribution: \$.00
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Date Contribution Received:	/	/	Amount of Contribution: \$.00
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Date Contribution Received:	/	/	Amount of Contribution: \$.00
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Check here if using section V(C) of the Addendum for additional Contributions: ☐

Related or Affiliated Entity or Person:

Entity's or Person's Full Name:

Entity's or Person's Address:

Entity's or Person's Phone:

Dates and Amounts of Contributions from Entity or Person:

Date Contribution Received:	/	/	Amount of Contribution: \$.00
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Date Contribution Received:	/	/	Amount of Contribution: \$.00
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Date Contribution Received:	/	/	Amount of Contribution: \$.00
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Check here if using section V(C) of the Addendum for additional Contributions: ☐

Check here if using section V(B) of the Addendum for additional Related, or Affiliated Entities or Persons: ☐

Check here if there are Contribution(s) from Single Source(s) other than those listed above. Use Section V(B) of the Addendum to list all such Contributions: ☐

NYSAAFAH
January 1 through June 30, 2013

	Date	Amount of
Contribution from Single Source #1	Contribution Received	Contribution
Single Source Entity's Name:	Arker Companies	05/15/2013 1,289.00
Address:	15 Verbena Ave., Floral Park, NY 11001	02/07/2013 515.60
Phone:	516-374-3336	
Contribution from Single Source #2		
Single Source Entity's Name:	BFC Partners	05/27/2013 645.00
Address:	150 Myrtle Ave, Brooklyn, NY 11201	
Phone:	718-422-9999	
Contribution from Single Source #3		
Single Source Entity's Name:	Bank of America Corp.	05/14/2013 5,156.00
Address:	One Bryant Park, 31 Floor, New York, NY 10036	
Phone:	646-855-2639	
Contribution from Single Source #4		
Single Source Entity's Name:	BNY Mellon Corp.	02/07/2013 386.70
Address:	One Wall Street, 21 Floor, New York, NY 10126	03/01/2013 451.15
Phone:	212-635-7897	
Contribution from Single Source #5		
Single Source Entity's Name:	Birchez Associates, LLC	01/25/2013 128.90
Address:	104 Smith Ave, Kingston, NY 12401	01/25/2013 515.60
Phone:	845-331-2097	
Contribution from Single Source #6		
Single Source Entity's Name:	Brianwood Organization	01/25/2013 515.60
Address:	36-35 Bell Blvd, Bayside, NY 11361	05/14/2013 192.96
Phone:	718-229-4300	
Contribution from Single Source #7		
Single Source Entity's Name:	Capital One, N.A.	5/14/2013 2,578.00
Address:	404 5th Avenue, 3rd Floor, New York, NY 10018	
Phone:	917-351-0344	
Contribution from Single Source #8		
Single Source Entity's Name:	Chase	5/14/2013 1,289.00
Address:	1 Chase Manhattan Plaza, New York, NY 10036	5/14/2013 3,867.00
Phone:	212-270-2943	
Contribution from Single Source #9		
Single Source Entity's Name:	City Real Estate Advisors	02/07/2013 322.25
Address:	30 South Meridian Street, Suite 600, Indianapolis, MN 46104	03/20/2013 515.60
Phone:	317-808-7126	05/14/2013 192.96
		05/14/2013 64.32

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Contribution from Single Source #10			
Single Source Entity's Name:	Conifer Realty LLC	05/14/2013	466.49
Address:	183 E. Main St, Rochester, NY 14604	02/07/2013	451.15
Phone:	585-324-0524		
Contribution from Single Source #11			
Single Source Entity's Name:	D&F Development Group	01/25/2013	515.60
Address:	2001 Marcus Ave, Suite 245E, Lake Success, NY 11042	05/22/2013	451.15
Phone:	516-437-0900		
Contribution from Single Source #12			
Single Source Entity's Name:	Douglaston Development	02/07/2013	515.60
Address:	42-09 235th Street, Douglaston, NY 11353	05/14/2013	515.60
Phone:	718-224-0900		
Contribution from Single Source #13			
Single Source Entity's Name:	Dunn Development	03/28/2013	451.15
Address:	316 Douglass Street, 2nd Fl, Brooklyn, NY 11217	03/28/2013	515.60
Phone:	718-388-9407		
Contribution from Single Source #14			
Single Source Entity's Name:	Enterprise	03/20/2013	2,578.00
Address:	One Whitehall St., New York, NY 10004		
Phone:	212-284-7186		
Contribution from Single Source #15			
Single Source Entity's Name:	Fecteau PLLC	05/14/2013	515.60
Address:	4 Atrium Drive, Albany, NY 12205	05/14/2013	515.60
Phone:	518-438-7400		
Contribution from Single Source #16			
Single Source Entity's Name:	First Sterling	03/20/2013	515.60
Address:	111 Great Neck Road, Ste. 500, Great Neck, NY 11021	04/25/2013	167.57
Phone:	516-627-5223		
Contribution from Single Source #17			
Single Source Entity's Name:	Forest City Ratner Companies	05/29/2013	644.50
Address:	One Metrotech Center, Brooklyn, NY 11201		
Phone:	718-923-8400		
Contribution from Single Source #18			
Single Source Entity's Name:	Forsyth Street Advisors, LLC	03/01/2013	515.60
Address:	588 Broadway, Suite 1103, New York, NY 10012	05/14/2013	354.48
Phone:	212-697-1640	05/14/2013	322.25
Contribution from Single Source #19			
Single Source Entity's Name:	Goldstein Hall PLLC	02/14/2013	966.75
Address:	44 Wall St., 12th fl., New York, NY 10005		
Phone:	212-461-2338		

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Contribution from Single Source #20			
Single Source Entity's Name:	Home Leasing LLC	03/20/2013	451.15
Address:	180 Clinton Square, Rochester, NY 14604	05/14/2013	515.60
Phone:	585-270-5030		
Contribution from Single Source #21			
Single Source Entity's Name:	Hudson Housing Capital LLC	03/01/2013	193.35
Address:	630 Fifth Ave. - 28th Floor, New York, NY 10011	05/14/2013	966.75
Phone:	212-218-4474		
Contribution from Single Source #22			
Single Source Entity's Name:	Hunt Capital Partners, LLC	03/01/2013	966.75
Address:	70 Little West Street, Apt. 4H, New York, NY 10004		
Phone:	310-498-0121		
Contribution from Single Source #23			
Single Source Entity's Name:	Landsman Development Corp.	03/15/2013	451.15
Address:	3 Townline Circle, Rochester, NY 14623	02/14/2013	257.80
Phone:	585-427-7390		
Contribution from Single Source #24			
Single Source Entity's Name:	Lemle & Wolff, Inc.	01/25/2013	515.60
Address:	5925 Broadway, Bronx, NY 10463	05/29/2013	1,289.00
Phone:	718-884-7676	01/25/2013	128.90
		05/14/2013	322.25
Contribution from Single Source #26			
Single Source Entity's Name:	Lettire Construction Corp.	01/25/2013	515.60
Address:	334 East 110th St., New York, NY 10029	05/14/2013	451.15
Phone:	212-996-6640		
Contribution from Single Source #27			
Single Source Entity's Name:	Low Income Investment Fund	01/25/2013	644.50
Address:	521 Fifth Avenue, Ste. 625, New York, NY 10175	02/07/2013	515.60
Phone:	212-509-5509		
Contribution from Single Source #28			
Single Source Entity's Name:	MEGA Contracting Group LLC	02/07/2013	257.80
Address:	22-60 46th St., Astoria, NY 11105	05/14/2013	515.60
Phone:	718-932-6342		
Contribution from Single Source #29			
Single Source Entity's Name:	Morgan Stanley	05/14/2013	966.75
Address:	1586 Broadway, New York, NY 10036		
Phone:	212-761-0439		
Contribution from Single Source #30			
Single Source Entity's Name:	National Equity Fund, Inc.	03/01/2013	515.60
Address:	501 Seventh Avenue, 7th floor, New York, NY 10018	03/01/2013	386.70
Phone:	212-455-9323	05/14/2013	518.95

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Contribution from Single Source #31			
Single Source Entity's Name:	Nixon Peabody LLP	01/25/2013	515.60
Address:	437 Madison Ave. - 24th fl., New York, NY 10022	01/25/2013	128.90
Phone:	212-940-3790	02/14/2013	966.75
		05/14/2013	322.25
Contribution from Single Source #32			
Single Source Entity's Name:	Novogradac & Co	02/07/2013	966.75
Address:	101 Arch Street, Suite 1000, Boston, MA 02110	02/07/2013	64.45
Phone:	617-330-1920		
Contribution from Single Source #33			
Single Source Entity's Name:	NRP Group	01/25/2013	515.60
Address:	5309 Transportation Blvd., Cleveland, OH 44145	01/25/2013	128.90
Phone:	216-475-8900	05/14/2013	451.15
Contribution from Single Source #34			
Single Source Entity's Name:	OMNI New York LLC	02/07/2013	1,289.00
Address:	885 Second Avenue, 31st Floor, Suite C, New York, NY 10017	01/25/2013	515.60
Phone:	646.502.7188	01/25/2013	128.90
		02/07/2013	128.90
		02/07/2013	515.60
Contribution from Single Source #35			
Single Source Entity's Name:	PNC	03/01/2013	515.60
Address:	77 Bartlett Street, Chelmsford, MA 01824	03/28/2013	515.60
Phone:	978-244-1116		
Contribution from Single Source #36			
Single Source Entity's Name:	POKO Partners	03/20/2013	451.15
Address:	225 Westchester Avenue, Port Chester, NY 10781	05/15/2013	966.75
Phone:	914-937-5129		
Contribution from Single Source #37			
Single Source Entity's Name:	Prudential		
Address:	100 Mulberry Street, GC4, 8th Fl, Newark, NJ 07182	03/20/2013	515.60
Phone:	973-367-3143	03/20/2013	386.70
Contribution from Single Source #38			
Single Source Entity's Name:	Raymond James TCF	05/28/2013	966.75
Address:	535 Madison Ave., 9th Floor, New York, NY 10022		
Phone:	212-883-6550		
Contribution from Single Source #39			
Single Source Entity's Name:	Robinson & Cole LLP	02/14/2013	515.60
Address:	885 Third Avenue, 28th Floor, New York, NY 10022	05/14/2013	322.25
Phone:	212-451-2904		
Contribution from Single Source #40			

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Single Source Entity's Name:	TD Bank	03/28/2013	966.75
Address:	317 Madison Avenue, 2nd Fl, New York, NY 10017		
Phone:	212-651-2718		
Contribution from Single Source #41			
Single Source Entity's Name:	The Bluestone Organization	02/07/2013	515.60
Address:	193-04 Horace Harding Expressway, Fresh Meadows, NY 11365	02/07/2013	128.90
Phone:	347-572-6300		
Contribution from Single Source #42			
Single Source Entity's Name:	The Hudson Companies	03/20/2013	322.25
Address:	826 Broadway, 11th Floor, New York, NY 10003	05/19/2013	644.50
Phone:	212-710-6038		
Contribution from Single Source #43			
Single Source Entity's Name:	Windels Marx Lane & Mittendorf	03/01/2013	451.15
Address:	156 West 56th Street, New York, NY 10019	05/14/2013	385.93
Phone:	212-237-1000		
Contribution from Single Source #44			
Single Source Entity's Name:	WinCompanies	05/14/2013	257.80
Address:	6 Faneuil Hall Marketplace, Boston, MA 02109	05/14/2013	257.80
Phone:	617-239-4406	01/25/2013	515.60
		01/25/2013	128.90

VI Subjects lobbied:

FILED ONLINE

☐ Continued on attached pages

VII Person, State Agency, Municipality or Legislative Body lobbied:

☐ Continued on attached pages

VII Bill, Rule, Regulation, Rate Number or brief description relative to the introduction or intended introduction of legislation or a resolution on which you lobbied:

☐ Continued on attached pages

VIII Title and Identifying Numbers of procurement contracts/documents lobbied:

☐ Continued on attached pages

IX Number or Subject Matter of Executive Order of Governor/Municipality lobbied:

☐ Continued on attached pages

X Subject Matter of and Tribes involved in tribal-state compacts, etc lobbied:

☐ Continued on attached pages

XI Declaration

This Declaration must be signed by the Chief Administrative Officer. (If the Chief Administrative Officer, for any reason, does not sign, he/she must duly designate another person to sign this Declaration.) **(See instructions.)**

I declare under penalty of perjury that the information contained in this report is true, correct, and complete to the best of my knowledge and belief.

X SIGNATURE:

DATE:

PRINT NAME: LAST

FIRST

TITLE:

Mark One: ☐ Chief Administrative Officer ☐ Designee(Attach Letter)

The following MUST be attached to this report at the time of submission:

- You must attach a **\$50 dollar filing fee** to each semi-annual report. (No fee is required for amendments to the original)
- If applicable, a designation letter if you have marked designee in section XI.
- If applicable, continuation sheets for sections III, IV, V, VI, VII, VIII, IX and X.

PLEASE NOTE You may be assessed up to \$25 for each day this report is late.