

NEW YORK STATE COMMISSION ON PUBLIC INTEGRITY  
540 Broadway  
Albany, New York 12207  
(518) 474-4539

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In the Matter of Alan Forrest  
employee of SUNY Buffalo,

Respondent

Failure to File an Annual Statement of  
Financial Disclosure for 2006

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DECISION  
and  
NOTICE OF CIVIL ASSESSMENT

Case No. DEDOS111093

The New York State Commission on Public Integrity, at its April 28, 2009 meeting, having considered the hearing officer's findings of fact and recommendation in the above-captioned case, affirms the hearing officer's findings that you knowingly and wilfully failed to file an annual statement of financial disclosure for calendar year 2006.

You are directed to remit to the Commission on Public Integrity your 2006 Annual Statements of Financial Disclosure and, by certified check made payable to the New York State Commission on Public Integrity, a civil penalty in the amount of \$1,000 if the statement and penalty are submitted within 7 days of receipt of this decision; \$1,200 if they are remitted within 8 to 30 days of the receipt of this decision; \$1,400 if they are remitted on or after 31 days, but within 90 days; and \$2,000 if they are received after 90 days.

Daniel R. Alonso  
Virginia M. Apuzzo  
John M. Brickman  
Richard D. Emery  
Daniel J. French  
Robert J. Giuffra, Jr.  
David L. Gruenberg  
James P. King  
Loretta E. Lynch  
John T. Mitchell,  
Members

DATED: April 28, 2009

**NEW YORK STATE COMMISSION ON PUBLIC INTEGRITY  
PROCEDURAL HISTORY**

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In the Matter of Alan Forrest  
an employee of **SUNY at Buffalo**

1. Respondent was required to file the annual statement of financial disclosure ("Statement") for calendar year 2006 by November 15, 2007, as required by Public Officers Law §73-a, because Respondent was designated as an academic threshold employee by his employer.
2. Respondent was sent a Notice of Failure to File on December 27, 2007 and subsequently, on March 11, 2008, he was sent a Notice of Delinquency.
3. Respondent was sent a Notice of Hearing on December 8, 2008.
4. The hearing was concluded on January 14, 2009 at 1:30p.m. in Albany, New York. Respondent failed to appear.