



NEW YORK STATE
COMMISSION ON PUBLIC INTEGRITY

BARRY GINSBERG
EXECUTIVE DIRECTOR

540 BROADWAY
ALBANY, NY 12207

PHONE: (518) 408-3976
FAX: (518) 408-3975

February 08, 2010

DEDOS107155 / 28030
STUART S CHEN
88 PARKHAVEN DR
AMHERST, NY 14228

NOTICE OF DELINQUENCY

Your appointing authority has informed the New York State Commission on Public Integrity ("Commission") that you are a non policymaking employee serving in an academic title who receives annual compensation in excess of the filing rate of \$84,863. As such, you were required to file an Annual Statement of Financial Disclosure ("Statement") for calendar year 2008 by November 16, 2009 or within 30 days of your appointment to a qualifying position.

In accordance with Executive Law §94(10) and (11), the Commission has ascertained that you did not file the Statement by the date it was due. Recently the Commission sent you a confidential Notice of Failure to File. Commission records indicate that you still have not filed the Statement.

As a result, an administrative late fee of \$100.00 has been assessed.

If the Commission does not receive your Statement and administrative late fee immediately and thereafter determines to seek a civil penalty, it will send you a Notice of Hearing in accordance with the Commission's rules governing adjudicatory proceedings, set forth in 19 NYCRR Part 941 and you will remain subject to a civil penalty in an amount up to \$40,000.

A copy of this Notice of Delinquency has been sent to your appointing authority, who may also impose disciplinary action as otherwise provided by law, and, in accordance with Chapter 14 of the Laws of 2007, a copy of this delinquency will also be posted on the Commission's website. Pursuant to Executive Law §94(17)(a)(2), this Notice of Delinquency is available for public inspection.

State Commission on Public Integrity

cc: JOHN SIMPSON
SUNY AT BUFFALO