



STATE OF NEW YORK

NOTICE OF APPEARANCE

Section 166 of the Executive Law requires a regulatory agency to maintain for public inspection, a record of who appears before it, for a fee as a third party (i.e., an attorney, an agent, lobbyist*, or representative) on behalf of a person or organization subject to the regulatory jurisdiction of the agency. This usually occurs when the third party's client is involved in an enforcement, formal permit, or application matter.

Agency: _____ Date: _____

Division/Bureau: _____

1. Name of individual appearing: _____

Address: _____

Telephone: _____

2. Client represented: _____

Address: _____

Telephone: _____

3. Subject of appearance: _____ Regulatory/Enforcement _____ Lobbying

4. Acting in capacity of:

_____ Attorney _____ Lobbyist

_____ Agent _____ Other (describe) _____

5. Are you being compensated? ___ Yes _____ No If Yes: ___ Fee ___ Salary

6. Signature of individual appearing: _____

7. Agency official (print name): _____

Signature: _____

*A LOBBYIST is a person or organization, other than a New York State government employee acting in an official capacity, who appears for the purpose of influencing the adoption or rejection of proposed rules, regulations, rates, legislation, including the State budget or the specification or award of a State Procurement Contract. An "appearance" for lobbying purposes can be a personal visit, letter, telephone call, conversation at a meeting, or any other type of contact, but does not include "on the record" proceedings or hearings.