



LEGISLATIVE ETHICS COMMISSION STATE OF NEW YORK

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BY

ANNUAL STATEMENT OF FINANCIAL DISCLOSURE
LEGISLATIVE ETHICS COMM.

For Calendar Year 2017

1. Name Aileen M. Gunther

2. (a) Title of Position N.Y.S. Assembly person

(b) Department, Agency or other Governmental Entity _____

(c) Address of Present Office 19 ANAUNA LAKE ROAD Monticello NY
12777

(d) Office Telephone Number 845-794-5807

3. (a) Marital Status W. If married, please give spouse's full name including maiden name where applicable.

(b) List the names of all unemancipated children.

None

Answer each of the following questions completely, with respect to calendar year 2017, unless another period or date is otherwise specified. If additional space is needed, attach additional pages.

Whenever a "value" or "amount" is required to be reported herein, such value or amount shall be reported as being within one of the following Categories in Table I or Table II of this subdivision as called for in the question: A reporting individual shall indicate the Category by letter only.

Whenever "income" is required to be reported herein, the term "income" shall mean the aggregate net income before taxes from the source identified.

The term "calendar year" shall mean the year ending the December 31st preceding the date of filing of the annual statement.

4. (a) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the reporting individual with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency
N/A		

- (b) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the spouse or unemancipated child of the reporting individual, with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency
N/A		

5. (a) List the name, address and description of any occupation, employment (other than the employment listed under Item 2 above), trade, business or profession engaged in by the reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
<u>N/A</u>			

- (b) If the spouse or unemancipated child of the reporting individual was engaged in any occupation, employment, trade, business or profession which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name, address and description of such occupation, employment, trade, business or profession and the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
<u>N/A</u>			

6. List any interest, in EXCESS of \$1,000, held by the reporting individual, such individual's spouse or unemancipated child, or partnership of which any such person is a member, or corporation, 10% or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse or such child to such entity and the interest in such contract. Do NOT include bonds and notes. Do NOT list any interest in any such contract on which final payment has been made and all obligations under the contract except for guarantees and warranties have been performed, provided, however, that such an interest must be listed if there has been an ongoing dispute during the calendar year for which this statement is filed with respect to any such guarantees or warranties. Do NOT list any interest in a contract made or executed by a local agency after public notice and pursuant to a process for competitive bidding or a process for competitive requests for proposals.

Self, Spouse or Child	Entity Which Held Interest in Contract	Relationship to Entity and Interest in Contract	Contracting State or Local Agency	Category of Value of Contract (In Table II)
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N/A

7. List any position the reporting individual held as an officer of any political party or political organization, as a member of any political party committee, or as a political party district leader. The term "party" shall have the same meaning as "party" in the election law. The term "political organization" means any party or independent body as defined in the election law or any organization that is affiliated with or a subsidiary of a party or independent body.

N/A

8. (a) If the reporting individual practices law, is licensed by the department of state as a real estate broker or agent or practices a profession licensed by the department of education, or works as a member or employee of a firm required to register pursuant to section one-e of the legislative law as a lobbyist, describe the services rendered for which compensation was paid including a general description of the principal subject areas of matters undertaken by such individual and principal duties performed. Specifically state whether the reporting individual provides services directly to clients. Additionally, if such an individual practices with a firm or corporation and is a partner or shareholder of the firm or

corporation, give a general description of principal subject areas of matters undertaken by such firm or corporation.

N/A

(b) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN:

If the reporting individual personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), then identify each client or customer to whom the reporting individual personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of \$10,000 during the reporting period for such services rendered in direct connection with:

- (i) A contract in an amount totaling \$50,000 or more from the state or any state agency for services, materials, or property;
- (ii) A grant of \$25,000 or more from the state or any state agency during the reporting period;
- (iii) A grant obtained through a legislative initiative during the reporting period; or
- (iv) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or knowingly solicit or direct to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in subparagraphs (i) through (iv) of this paragraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

The disclosure requirement in this question shall not require disclosure of clients or customers receiving medical or dental services, mental health services, residential real estate brokering services, or insurance brokering services from the reporting individual or his or her firm. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, or domestic relations

matters. With respect to clients represented in other matters, where disclosure of a client's identity is likely to cause harm, the reporting individual shall request an exemption from the joint commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law, provided, however, that a reporting individual who first enters public office after July first, two thousand twelve, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Client

Nature of Services Provided

N/A

(b-1) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN (FOR PURPOSES OF THIS QUESTION, "SERVICES" SHALL MEAN CONSULTATION, REPRESENTATION, ADVICE OR OTHER SERVICES):

If the reporting individual receives income from employment reportable in question 8(a) and personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), the reporting individual shall identify each client or customer to whom the reporting individual personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of \$10,000 during the reporting period in direct connection with:

- (i) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;
- (ii) A grant of \$10,000 or more from the state or any state agency during the reporting period;
- (iii) A grant obtained through a legislative initiative during the reporting period; or
- (iv) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For such services rendered by the reporting individual directly to each such client, describe each matter that was the subject of such representation, the services actually provided and the payment received.

For payments received from clients referred to the firm by the reporting individual, if the reporting individual directly received a referral fee or fees for such referral, identify the client and the payment so received.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or having knowingly solicited or directed to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in clauses (i) through (iv) of this subparagraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting in his or her capacity as provided in paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

Client	Matter	Nature of Services Provided	Category of Amount (In Table I)
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N/A

(b-2) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN (FOR PURPOSES OF THIS QUESTION, "SERVICES" SHALL MEAN CONSULTATION, REPRESENTATION, ADVICE OR OTHER SERVICES):

(i) With respect to reporting individuals who receive ten thousand dollars or more from employment or activity reportable under question 8(a), for each client or customer NOT otherwise disclosed or exempted in question 8 or 13, disclose the name of each client or customer known to the reporting individual to whom the reporting individual provided services: (A) who paid the reporting individual in excess of five thousand dollars for such services; or (B) who had been billed with the knowledge of the reporting individual in excess of five thousand dollars by the firm or other entity named in question 8(a) for the reporting individual's services.

Client	Services Actually Provided	Category of Amount (In Table I)
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N/A

FOLLOWING IS AN ILLUSTRATIVE, NON-EXCLUSIVE LIST OF EXAMPLES OF DESCRIPTIONS OF "SERVICES ACTUALLY PROVIDED":

* REVIEWED DOCUMENTS AND CORRESPONDENCE;

- * REPRESENTED CLIENT (IDENTIFY CLIENT BY NAME) IN LEGAL PROCEEDING;
- * PROVIDED LEGAL ADVICE ON CLIENT MATTER (IDENTIFY CLIENT BY NAME);
- * CONSULTED WITH CLIENT OR CONSULTED WITH LAW PARTNERS/ASSOCIATES/MEMBERS OF FIRM ON CLIENT MATTER (IDENTIFY CLIENT BY NAME);
- * PREPARED CERTIFIED FINANCIAL STATEMENT FOR CLIENT (IDENTIFY CLIENT BY NAME);
- * REFERRED INDIVIDUAL OR ENTITY (IDENTIFY CLIENT BY NAME) FOR REPRESENTATION OR CONSULTATION;
- * COMMERCIAL BROKERING SERVICES (IDENTIFY CUSTOMER BY NAME);
- * PREPARED CERTIFIED ARCHITECTURAL OR ENGINEERING RENDERINGS FOR CLIENT (IDENTIFY CUSTOMER BY NAME);
- * COURT APPOINTED GUARDIAN OR EVALUATOR (IDENTIFY COURT NOT CLIENT).

(ii) With respect to reporting individuals who disclosed in question 8(a) that the reporting individual did not provide services to a client but provided services to a firm or business, identify the category of amount received for providing such services and describe the services rendered.

Services Actually Provided	Category of Amount (Table I)
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N/A	
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A reporting individual need not disclose activities performed while lawfully acting in his or her capacity as provided in paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

The disclosure requirement in questions (b-1) and (b-2) shall not require disclosing clients or customers receiving medical, pharmaceutical or dental services, mental health services, or residential real estate brokering services from the reporting individual or his or her firm or if federal law prohibits or limits disclosure. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, family court, estate planning, or domestic relations matters, nor shall the reporting individual identify individuals represented pursuant to an insurance policy but the reporting individual shall in such circumstances only report the entity that provides compensation to the reporting individual; with respect to matters in which the client's name is required by law to be kept confidential (such as matters governed by the family court act) or in matters in which the reporting individual represents or provides services to minors, the client's name may be replaced with initials. To the extent that the reporting individual, or his or her firm, provided legal representation with respect to an initial public offering, and

professional disciplinary rules, federal law or regulations restrict the disclosure of information relating to such work, the reporting individual shall (i) disclose the identity of the client and the services provided relating to the initial public offering to the office of court administration, who will maintain such information confidentially in a locked box; and (ii) include in his or her response to questions (b-1) and (b-2) that pursuant to this paragraph, a disclosure to the office of court administration has been made. Upon such time that the disclosure of information maintained in the locked box is no longer restricted by professional disciplinary rules, federal law or regulation, the reporting individual shall disclose such information in an amended disclosure statement in response to the disclosure requirements in questions (b-1) and (b-2). The office of court administration shall develop and maintain a secure portal through which information submitted to it pursuant to this paragraph can be safely and confidentially stored. With respect to clients represented in other matters not otherwise exempt, the reporting individual may request an exemption to publicly disclosing the name of that client from the joint commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law, or from the office of court administration. In such application, the reporting individual shall state the following: "My client is not currently receiving my services or seeking my services in connection with:

(i) A proposed bill or resolution in the senate or assembly during the reporting period;

(ii) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;

(iii) A grant of \$10,000 or more from the state or any state agency during the reporting period;

(iv) A grant obtained through a legislative initiative during the reporting period; or

(v) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period."

In reviewing the request for an exemption, the joint commission or the office of court administration may consult with bar or other professional associations and the legislative ethics commission for individuals subject to its jurisdiction and may consider the rules of professional conduct. In making its determination, the joint commission or the office of court administration shall conduct its own inquiry and shall consider factors including, but not limited to: (i) the nature and the size of the client; (ii) whether the client has any business before the state; and if so, how significant the business is; and whether the client has any particularized interest in pending legislation and if so how significant the interest is; (iii) whether disclosure may reveal trade secrets; (iv) whether disclosure could reasonably result in retaliation against the client; (v) whether disclosure may cause undue harm to the client; (vi) whether disclosure may result in undue harm to the attorney-client relationship; and (vii) whether disclosure may result in an unnecessary invasion of privacy to the client.

The joint commission or, as the case may be, the office of court administration shall promptly make a final determination in response to such request, which shall include an explanation for its determination. The office of court administration shall issue its final determination within three days of receiving the request. Notwithstanding any other provision of law or any professional disciplinary rule to the contrary, the disclosure of the identity of any client or customer in response to this question shall not constitute professional misconduct or a ground for disciplinary action of any kind, or form the basis for any civil or criminal cause of action or proceeding. A reporting individual who first enters public office after January first, two thousand sixteen, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

(c) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN:

If the reporting individual receives income of ten thousand dollars or greater from any employment or activity reportable under question 8(a), identify each registered lobbyist who has directly referred to such individual a client who was successfully referred to the reporting individual's business and from whom the reporting individual or firm received a fee for services in excess of five thousand dollars. Report only those referrals that were made to a reporting individual by direct communication from a person known to such reporting individual to be a registered lobbyist at the time the referral is made. With respect to each such referral, the reporting individual shall identify the client, the registered lobbyist who has made the referral, the category of value of the compensation received and a general description of the type of matter so referred. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article. The disclosure requirements in this question shall not require disclosing clients or customers receiving medical, pharmaceutical or dental services, mental health services, or residential real estate brokering services from the reporting individual or his or her firm or if federal law prohibits or limits disclosure. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, family court, estate planning, or domestic relations matters, nor shall the reporting individual identify individuals represented pursuant to an insurance policy but the reporting individual shall in such circumstances only report the entity that provides compensation to the reporting individual; with respect to matters in which the client's name is required by law to be kept confidential (such as matters governed by the family court act) or in matters in which the reporting individual represents or provides services to minors, the client's name may be replaced with initials. To the extent that the reporting individual, or his or her firm, provided legal representation with respect to an

initial public offering, and federal law or regulations restricts the disclosure of information relating to such work, the reporting individual shall (i) disclose the identity of the client and the services provided relating to the initial public offering to the office of court administration, who will maintain such information confidentially in a locked box; and (ii) include in his or her response a statement that pursuant to this paragraph, a disclosure to the office of court administration has been made. Upon such time that the disclosure of information maintained in the locked box is no longer restricted by federal law or regulation, the reporting individual shall disclose such information in an amended disclosure statement in response to the disclosure requirements of this paragraph. The office of court administration shall develop and maintain a secure portal through which information submitted to it pursuant to this paragraph can be safely and confidentially stored. With respect to clients represented in other matters not otherwise exempt, the reporting individual may request an exemption to publicly disclosing the name of that client from the joint commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law, or from the office of court administration. In such application, the reporting individual shall state the following: "My client is not currently receiving my services or seeking my services in connection with:

- (i) A proposed bill or resolution in the senate or assembly during the reporting period;
- (ii) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;
- (iii) A grant of \$10,000 or more from the state or any state agency during the reporting period;
- (iv) A grant obtained through a legislative initiative during the reporting period; or
- (v) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period."

In reviewing the request for an exemption, the joint commission or the office of court administration may consult with bar or other professional associations and the legislative ethics commission for individuals subject to its jurisdiction and may consider the rules of professional conduct. In making its determination, the joint commission or the office of court administration shall conduct its own inquiry and shall consider factors including, but not limited to: (i) the nature and the size of the client; (ii) whether the client has any business before the state; and if so, how significant the business is; and whether the client has any particularized interest in pending legislation and if so how significant the interest is; (iii) whether disclosure may reveal trade secrets; (iv) whether disclosure could reasonably result in retaliation against the client; (v) whether disclosure may cause undue harm to the client; (vi) whether disclosure may result in undue harm to the attorney-client relationship; and (vii) whether disclosure may result in an unnecessary invasion of privacy to the client.

The joint commission or, as the case may be, the office of court administration shall promptly make a final determination in response to such request, which shall include an explanation for its

determination. The office of court administration shall issue its final determination within three days of receiving the request. Notwithstanding any other provision of law or any professional disciplinary rule to the contrary, the disclosure of the identity of any client or customer in response to this question shall not constitute professional misconduct or a ground for disciplinary action of any kind, or form the basis for any civil or criminal cause of action or proceeding. A reporting individual who first enters public office after December thirty-first, two thousand fifteen, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Client	Name of Lobbyist	Description of Matter	Category of Amount (In Table 1)
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N/A

(d) List the name, principal address and general description or the nature of the business activity of any entity in which the reporting individual or such individual's spouse had an investment in excess of \$1,000 excluding investments in securities and interests in real property.

N/A

9. List each source of gifts, EXCLUDING campaign contributions, in EXCESS of \$1,000, received during the reporting period for which this statement is filed by the reporting individual or such individual's spouse or unemancipated child from the same donor, EXCLUDING gifts from a relative. INCLUDE the name and address of the donor. The term "gifts" does not include reimbursements, which term is defined in item 10. Indicate the value and nature of each such gift.

Self, Spouse or Child	Name of Donor	Address	Nature of Gift	Category of Value of Gift (In Table I)
N/A				

10. Identify and briefly describe the source of any reimbursements for expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of \$1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursements" does NOT include gifts reported under item 9.

Source	Description
N/A	

11. List the identity and value, if reasonably ascertainable, of each interest in a trust, estate or other beneficial interest, including retirement plans (other than retirement plans of the state of New York or the city of New York), and deferred compensation plans (e.g., 401, 403(b), 457, etc.) established in accordance with the internal revenue code, in which the REPORTING INDIVIDUAL held a beneficial interest in EXCESS of \$1,000 at any time during the preceding year. Do NOT report interests in a trust, estate or other beneficial interest established by or for, or the estate of, a relative.

Identity	Category of Value* (In Table II)
NYS DEFERRED comp	(see attached)
Pharmaceutical	(see attached)

* The value of such interest shall be reported only if reasonably ascertainable.

12. (a) Describe the terms of, and the parties to, any contract, promise, or other agreement between the reporting individual and any person, firm, or corporation with respect to the employment of such individual after leaving office or position (other than a leave of absence).

N/A

(b) Describe the parties to and the terms of any agreement providing for continuation of payments or benefits to the REPORTING INDIVIDUAL in EXCESS of \$1,000 from a prior employer OTHER THAN the State. (This includes interests in or contributions to a pension fund, profit-sharing plan, or life or health insurance; buy-out agreements; severance payments; etc.)

N/A

13. List below the nature and amount of any income in EXCESS of \$1,000 from EACH SOURCE for the reporting individual and such individual's spouse for the taxable year last occurring prior to the date of filing. Each such source must be described with particularity. Nature of income includes, but is not limited to, all income (other than that received from the employment listed under Item 2 above) from compensated employment whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching

income, partnerships, honorariums, lecture fees, consultant fees, bank and bond interest, dividends, income derived from a trust, real estate rents, and recognized gains from the sale or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building address in the case of real estate rents and otherwise by the name of the entity and not by the name of the individual customers, clients or tenants, with the aggregate net income before taxes for each building address or entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments shall not be listed.

Self/ Spouse	Source	Nature	Category of Amount (In Table I)
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N/A

14. List the sources of any deferred income (not retirement income) in EXCESS of \$1,000 from each source to be paid to the reporting individual following the close of the calendar year for which this disclosure statement is filed, other than deferred compensation reported in item 11 hereinabove. Deferred income derived from the practice of a profession shall be listed in the aggregate and shall identify as the source, the name of the firm, corporation, partnership or association through which the income was derived, but shall not identify individual clients.

Source	Category of Amount (In Table I)
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N/A

15. List each assignment of income in EXCESS of \$1,000, and each transfer other than to a relative during the reporting period for which this statement is filed for less than fair consideration of an interest in a trust, estate or other beneficial interest, securities or real property, by the reporting individual, in excess of \$1,000, which would otherwise be required to be reported herein and is not or has not been so reported.

Item Assigned or Transferred	Assigned or Transferred to	Category of Value (In Table I)
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N/A

16. List below the type and market value of securities held by the reporting individual or such individual's spouse from each issuing entity in EXCESS of \$1,000 at the close of the taxable year last occurring prior to the date of filing, including the name of the issuing entity exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed ONLY IF the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse has transferred assets to such trust for his or her benefit in which event such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which the reporting individual or the reporting individual's spouse is the owner of record but in which such individual or the reporting individual's spouse has no beneficial interest shall not be listed. Indicate percentage of ownership ONLY if the reporting person or the reporting person's spouse holds more than five percent (5%) of the stock of a corporation in which the stock is publicly traded or more than ten percent (10%) of the stock of a corporation in which the stock is NOT publicly traded. Also list securities owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. For the purpose of this item the term "securities" shall mean mutual funds, bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and certificates of deposits (CDs) and such other evidences of indebtedness and certificates of interest as are usually referred to as securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the security is an interest in a general partnership that was listed in item 8 (a) or if the security is corporate stock, NOT publicly traded, in a trade or business of a reporting individual or a reporting individual's spouse.

Self/ Spouse	Issuing Entity	Type of Security	Percentage of corporate stock owned or controlled (if more than 5% of pub- licly traded stock, or more than 10% if stock not publicly traded, is held)	Category of Market Value as of the close of the taxable year last occurring prior to the filing of this statement (In Table II)

see attached

17. List below the location, size, general nature, acquisition date, market value and percentage of ownership of any real property in which any vested or contingent interest in EXCESS of \$1,000 is held by the reporting individual or the reporting individual's spouse. Also list real property owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. Do NOT list any real property which is the primary or secondary personal residence of the reporting individual or the reporting individual's spouse, except where there is a co-owner who is other than a relative.

Self/ Spouse/ Corporation	Location	Size	General Nature	Acquisition Date	Percentage of Ownership	Category of Market Value (In Table II)
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N/A

18. List below all notes and accounts receivable, other than from goods or services sold, held by the reporting individual at the close of the taxable year last occurring prior to the date of filing and other debts owed to such individual at the close of the taxable year last occurring prior to the date of filing, in EXCESS of \$1,000, including the name of the debtor, type of obligation, date due and the nature of the collateral securing payment of each, if any, excluding securities reported in item 16 hereinabove. Debts, notes and accounts receivable owed to the individual by a relative shall not be reported.

Name of Debtor	Type of Obligation, Date Due, and Nature of Collateral, if any	Category of Amount (In Table II)
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N/A

19. List below all liabilities of the reporting individual and such individual's spouse, in EXCESS of \$10,000 as of the date of filing of this statement, other than liabilities to a relative. Do NOT list liabilities incurred by, or guarantees made by, the reporting individual or such individual's spouse or by any proprietorship, partnership or corporation in which the reporting individual or such individual's spouse has an interest, when incurred or made in the

ordinary course of the trade, business or professional practice of the reporting individual or such individual's spouse. Include the name of the creditor and any collateral pledged by such individual to secure payment of any such liability. A reporting individual shall not list any obligation to pay maintenance in connection with a matrimonial action, alimony or child support payments. Any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances shall be excluded. If any such reportable liability has been guaranteed by any third person, list the liability and name the guarantor.

Name of Creditor or Guarantor	Type of Liability and Collateral, if any	Category of Amount (In Table II)
N/A		

The requirements of law relating to the reporting of financial interests are in the public interest and no adverse inference of unethical or illegal conduct or behavior will be drawn merely from compliance with these requirements.

Lucas M. Gutler
(Signature of Reporting Individual)

May 15, 2018
Date (month/day/year)



LEGISLATIVE ETHICS COMMISSION

Senate: (518) 455-2142
Assembly: (518) 455-5218

Please review your form to be sure you have answered each question completely. Remember to indicate "none" or "not applicable" where you have nothing to report.

[CATEGORY OF INCOME TABLES]

TABLE I

Category A	none	
Category B	\$ 1 to under \$	1,000
Category C	\$ 1,000 to under \$	5,000
Category D	\$ 5,000 to under \$	20,000
Category E	\$ 20,000 to under \$	50,000
Category F	\$ 50,000 to under \$	75,000
Category G	\$ 75,000 to under \$	100,000
Category H	\$ 100,000 to under \$	150,000
Category I	\$ 150,000 to under \$	250,000
Category J	\$ 250,000 to under \$	350,000
Category K	\$ 350,000 to under \$	450,000
Category L	\$ 450,000 to under \$	550,000
Category M	\$ 550,000 to under \$	650,000
Category N	\$ 650,000 to under \$	750,000
Category O	\$ 750,000 to under \$	850,000
Category P	\$ 850,000 to under \$	950,000
Category Q	\$ 950,000 to under \$	1,050,000
Category R	\$1,050,000 to under \$	1,150,000
Category S	\$1,150,000 to under \$	1,250,000
Category T	\$1,250,000 to under \$	1,350,000
Category U	\$1,350,000 to under \$	1,450,000
Category V	\$1,450,000 to under \$	1,550,000
Category W	\$1,550,000 to under \$	1,650,000
Category X	\$1,650,000 to under \$	1,750,000
Category Y	\$1,750,000 to under \$	1,850,000
Category Z	\$1,850,000 to under \$	1,950,000
Category AA	\$1,950,000 to under \$	2,050,000
Category BB	\$2,050,000 to under \$	2,150,000
Category CC	\$2,150,000 to under \$	2,250,000
Category DD	\$2,250,000 to under \$	2,350,000
Category EE	\$2,350,000 to under \$	2,450,000
Category FF	\$2,450,000 to under \$	2,550,000
Category GG	\$2,550,000 to under \$	2,650,000
Category HH	\$2,650,000 to under \$	2,750,000
Category II	\$2,750,000 to under \$	2,850,000
Category JJ	\$2,850,000 to under \$	2,950,000
Category KK	\$2,950,000 to under \$	3,050,000
Category LL	\$3,050,000 to under \$	3,150,000
Category MM	\$3,150,000 to under \$	3,250,000
Category NN	\$3,250,000 to under \$	3,350,000
Category OO	\$3,350,000 to under \$	3,450,000
Category PP	\$3,450,000 to under \$	3,550,000
Category QQ	\$3,550,000 to under \$	3,650,000
Category RR	\$3,650,000 to under \$	3,750,000
Category SS	\$3,750,000 to under \$	3,850,000
Category TT	\$3,850,000 to under \$	3,950,000
Category UU	\$3,950,000 to under \$	4,050,000
Category VV	\$4,050,000 to under \$	4,150,000
Category WW	\$4,150,000 to under \$	4,250,000
Category XX	\$4,250,000 to under \$	4,350,000
Category YY	\$4,350,000 to under \$	4,450,000
Category ZZ	\$4,450,000 to under \$	4,550,000

Category AAA	\$4,550,000 to under \$4,650,000
Category BBB	\$4,650,000 to under \$4,750,000
Category CCC	\$4,750,000 to under \$4,850,000
Category DDD	\$4,850,000 to under \$4,950,000
Category EEE	\$4,950,000 to under \$5,050,000
Category FFF	\$5,050,000 to under \$5,150,000
Category GGG	\$5,150,000 to under \$5,250,000
Category HHH	\$5,250,000 to under \$5,350,000
Category III	\$5,350,000 to under \$5,450,000
Category JJJ	\$5,450,000 to under \$5,550,000
Category KKK	\$5,550,000 to under \$5,650,000
Category LLL	\$5,650,000 to under \$5,750,000
Category MMM	\$5,750,000 to under \$5,850,000
Category NNN	\$5,850,000 to under \$5,950,000
Category OOO	\$5,950,000 to under \$6,050,000
Category PPP	\$6,050,000 to under \$6,150,000
Category QQQ	\$6,150,000 to under \$6,250,000
Category RRR	\$6,250,000 to under \$6,350,000
Category SSS	\$6,350,000 to under \$6,450,000
Category TTT	\$6,450,000 to under \$6,550,000
Category UUU	\$6,550,000 to under \$6,650,000
Category VVV	\$6,650,000 to under \$6,750,000
Category WWW	\$6,750,000 to under \$6,850,000
Category XXX	\$6,850,000 to under \$6,950,000
Category YYY	\$6,950,000 to under \$7,050,000
Category ZZZ	\$7,050,000 to under \$7,150,000
Category AAAA	\$7,150,000 to under \$7,250,000
Category BBBB	\$7,250,000 to under \$7,350,000
Category CCCC	\$7,350,000 to under \$7,450,000
Category DDDD	\$7,450,000 to under \$7,550,000
Category EEEE	\$7,550,000 to under \$7,650,000
Category FFFF	\$7,650,000 to under \$7,750,000
Category GGGG	\$7,750,000 to under \$7,850,000
Category HHHH	\$7,850,000 to under \$7,950,000
Category IIII	\$7,950,000 to under \$8,050,000
Category JJJJ	\$8,050,000 to under \$8,150,000
Category KKKK	\$8,150,000 to under \$8,250,000
Category LLLL	\$8,250,000 to under \$8,350,000
Category MMMM	\$8,350,000 to under \$8,450,000
Category NNNN	\$8,450,000 to under \$8,550,000
Category OOOO	\$8,550,000 to under \$8,650,000
Category PPPP	\$8,650,000 to under \$8,750,000
Category QQQQ	\$8,750,000 to under \$8,850,000
Category RRRR	\$8,850,000 to under \$8,950,000
Category SSSS	\$8,950,000 to under \$9,050,000
Category TTTT	\$9,050,000 to under \$9,150,000
Category UUUU	\$9,150,000 to under \$9,250,000
Category VVVV	\$9,250,000 to under \$9,350,000
Category WWWW	\$9,350,000 to under \$9,450,000
Category XXXX	\$9,450,000 to under \$9,550,000
Category YYYY	\$9,550,000 to under \$9,650,000
Category ZZZZ	\$9,650,000 to under \$9,750,000
Category AAAAA	\$9,750,000 to under \$9,850,000
Category BBBBB	\$9,850,000 to under \$9,950,000
Category CCCCC	\$9,950,000 to under \$10,000,000
Category DDDDD	\$10,000,000 or over

TABLE II

Category A		none	
Category B	\$	1 to under \$	1,000
Category C	\$	1,000 to under \$	5,000
Category D	\$	5,000 to under \$	20,000
Category E	\$	20,000 to under \$	50,000
Category F	\$	50,000 to under \$	75,000
Category G	\$	75,000 to under \$	100,000
Category H	\$	100,000 to under \$	150,000
Category I	\$	150,000 to under \$	250,000
Category J	\$	250,000 to under \$	500,000
Category K	\$	500,000 to under \$	750,000
Category L	\$	750,000 to under \$	1,000,000
Category M	\$	1,000,000 to under \$	1,250,000
Category N	\$	1,250,000 to under \$	1,500,000
Category O	\$	1,500,000 to under \$	1,750,000
Category P	\$	1,750,000 to under \$	2,000,000
Category Q	\$	2,000,000 to under \$	2,250,000
Category R	\$	2,250,000 to under \$	2,500,000
Category S	\$	2,500,000 to under \$	2,750,000
Category T	\$	2,750,000 to under \$	3,000,000
Category U	\$	3,000,000 to under \$	3,250,000
Category V	\$	3,250,000 to under \$	3,500,000
Category W	\$	3,500,000 to under \$	3,750,000
Category X	\$	3,750,000 to under \$	4,000,000
Category Y	\$	4,000,000 to under \$	4,250,000
Category Z	\$	4,250,000 to under \$	4,500,000
Category AA	\$	4,500,000 to under \$	4,750,000
Category BB	\$	4,750,000 to under \$	5,000,000
Category CC	\$	5,000,000 to under \$	5,250,000
Category DD	\$	5,250,000 to under \$	5,500,000
Category EE	\$	5,500,000 to under \$	5,750,000
Category FF	\$	5,750,000 to under \$	6,000,000
Category GG	\$	6,000,000 to under \$	6,250,000
Category HH	\$	6,250,000 to under \$	6,500,000
Category II	\$	6,500,000 to under \$	6,750,000
Category JJ	\$	6,750,000 to under \$	7,000,000
Category KK	\$	7,000,000 to under \$	7,250,000
Category LL	\$	7,250,000 to under \$	7,500,000
Category MM	\$	7,500,000 to under \$	7,750,000
Category NN	\$	7,750,000 to under \$	8,000,000
Category OO	\$	8,000,000 to under \$	8,250,000
Category PP	\$	8,250,000 to under \$	8,500,000
Category QQ	\$	8,500,000 to under \$	8,750,000
Category RR	\$	8,750,000 to under \$	9,000,000
Category SS	\$	9,000,000 to under \$	9,250,000
Category TT	\$	9,250,000 to under \$	9,500,000
Category UU	\$	9,500,000 or over	



New York State
Deferred Compensation Plan

A Plan for Your Future

Acct#: _____

January 1, 2018 - March 31, 2018

AILEEN M GUNTHER
SACKETT LAKE RD
FORESTBURGH NY 12777-6016

Please review your statement carefully. Corrections may not be accepted more than 45 days after the closing date of the statement.

Questions? Contact Us!

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TTY: (800)514-2447

Website: www.nysdcp.com

Write us at: NEW YORK STATE DEFERRED
COMPENSATION PLAN
ADMINISTRATIVE SERVICE
AGENCY
P.O. BOX 182797
COLUMBUS OH 43218-2797

Need Assistance? The HELPLINE is available from 8 AM to 11 PM (ET) Monday through Friday and 9 AM to 6 PM (ET) on Saturdays, except holidays.

Activity at a Glance

Balance as of 01-01-18	<u>457(b) Plan</u>
Deferrals	\$118,879.72
Transfers In	\$1,260.18
Loan Payments	\$0.00
Interest/DM/End/Cap Gain/Reimb	\$408.45
Gain/Loss	\$62.78
Withdrawals/Transfers Out/Ln Disbmts	\$3,615.31
Charges/Fees	\$0.00
Balance as of 03-31-18	\$0.00
See your loan information inside!	\$124,226.44

Total Contributions and Benefits Received Since Joining

Plan Type	Contributions Since Joining	Benefits Received (Does not include distributions prior to March 25, 2003)
457(b) Plan	\$48,729.28	\$0.00

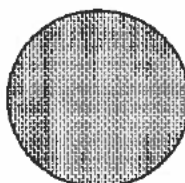
Contributions and Distributions Summary - January 1, 2018 to March 31, 2018

	Deferral Rate	Deferral Amount	Contributions This Period	Contributions Year to Date	Last Distribution Amount	Distributions Year to Date
Pre-Tax Contribution	7%	\$210.03	\$1,260.18	\$1,260.18	\$0.00	\$0.00

Your Asset Allocation

To the right is your Account Allocation. Your Account Allocation is how the money in your account is divided among the investment options you have selected.

Your Account Allocation



Investment Type

100% Large-Cap Stocks	\$124,226.44
100% Total Percent/Value	\$124,226.44

For more information on contribution and account allocation, log on to WWW.NYSDCP.COM

*Percentages and totals may not be exact due to rounding.

WWW.NYSDCP.COM

Questions? Call us! (800)422-8463 1

Statement Details

Investment Option Summary

Ending Price is as of 3/31/2018

Investment Option	Pre-Tax Investment Election	Ending Price	Units/Shares	Value
Pre-Tax Contribution				
Large-Cap Stocks				
Fid OTC	100.00%	113.1800	941.0275	\$106,505.50
NYSEDCB Equity Indx U/A	0.00%	11.0444	575.6285	\$6,357.47
TRowePr Blue Chip Gr Trust	0.00%	38.5400	294.8487	\$11,363.47
Large-Cap Stocks Total	100.00%			\$124,226.44
TOTAL	100.00%			\$124,226.44

Your Account Values by Investment Option - January 1, 2018 to March 31, 2018

	Beginning Balance	Contributions	Withdrawals	Pre-Tax Income	Transfers Out	Change in Cash Balance	Beginning Balance	Investment Gain/Loss	Ending Balance
Pre-Tax Contribution									
Large-Cap Stocks									
Fid OTC	\$101,732.67	\$1,666.63	\$0.00	\$0.00	\$0.00	\$0.00	\$62.78	\$3,041.42	\$106,505.50
NYSEDCB Equity Indx U/A	\$6,405.71	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	-\$48.24	\$6,357.47
TRowePr Blue Chip Gr Trust	\$10,741.34	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$622.13	\$11,363.47
TOTAL	\$118,879.72	\$1,666.63	\$0.00	\$0.00	\$0.00	\$0.00	\$62.78	\$2,615.31	\$124,226.44

*Investment Gain/Loss is the difference between the account balance on the first and last day of the period, after allowing for the effects of transactions.

Transaction Details - January 1, 2018 to March 31, 2018

Pay Period	Effective Date	Total Amount	Transaction Type	Money Source	Investment Option	Price	Units/Shares	Amount Per Share
	1/4/2018	\$136.15	Loan Payment	Pre-Tax Contribution	Fid OTC	113.0000	1.2049	\$136.15
1/10/2018	1/10/2018	\$210.03	Deferral	Pre-Tax Contribution	Fid OTC	114.5100	1.8342	\$210.03
	1/17/2018	\$62.78	Fund Reimbursement	Pre-Tax Contribution	Fid OTC	116.3100	0.5398	\$62.78
1/24/2018	1/24/2018	\$210.03	Deferral	Pre-Tax Contribution	Fid OTC	118.6100	1.7708	\$210.03
	2/5/2018	\$136.15	Loan Payment	Pre-Tax Contribution	Fid OTC	111.3100	1.2232	\$136.15
2/7/2018	2/7/2018	\$210.03	Deferral	Pre-Tax Contribution	Fid OTC	113.2700	1.8542	\$210.03
2/21/2018	2/21/2018	\$210.03	Deferral	Pre-Tax Contribution	Fid OTC	116.0700	1.8095	\$210.03
	3/5/2018	\$138.15	Loan Payment	Pre-Tax Contribution	Fid OTC	118.0400	1.1534	\$138.15
3/7/2018	3/7/2018	\$210.03	Deferral	Pre-Tax Contribution	Fid OTC	119.0800	1.7635	\$210.03
3/21/2018	3/21/2018	\$210.03	Deferral	Pre-Tax Contribution	Fid OTC	118.7600	1.7685	\$210.03

Your Beneficiary Information

Primary Beneficiary	
GUNTHER	34.00%
GUNTHER	33.00%
GUNTER IV	33.00%

Statement Details

Your Loan Information - January 1, 2018 to March 31, 2018

Outstanding Loan Information

Plan Type	Loan Number	Issue Date	Maturity Date	Original Loan Amount	Interest Rate	Last Payment Principal	Last Payment Date	Loan Status
457(b) Plan	200449541	12/04/2014	12/04/2018	\$6,000.00	4.25%	\$136.15	03/05/2018	Active

Loan Activity this Period

Loan Number	Principal Balance On 01/01/2018	Principal Paid	Principal Adjustment	Principal Loan Disbursements	Principal Loan Other	Principal Balance On 03/31/2018	Principal Paid	Interest Paid	Principal Charges	Total Payments
200449541	\$1,595.36	\$382.88	\$0.00	\$0.00	\$0.00	\$1,202.48	\$382.88	\$15.57	\$0.00	\$408.45

Loan Payments this Period

Your Personalized Rate of Return

Last 12 Months
25.55%

The Personal Rate of Return calculation is an estimated return rate for your defined contribution account for the specified period. The calculation considers your account balance at the beginning of the period, contributions, withdrawals, growth or decline in the value of your account's assets, and the period's ending balance. The calculation reflects your account balance net of fees, but does not include amounts attributable to outstanding participant loan assets or Self Directed Brokerage Account assets. If any, Past performance does not guarantee future investment performance.

Plan Information and Messages

Account Executives are registered representatives of Nationwide Investment Services Corporation, member FINRA.

Distributions of contributions and earnings from the Roth account are tax free if contributions have been in the Roth elective deferral account for a period of at least five (5) tax years and the participant is at least 59½ years old at the time of the distribution.

Primary Account:

MLPF&S CUST FPO
AILEEN M GUNTHER IRA
FBO AILEEN M GUNTHER
SACKETT LAKE RD
FORESTBURGH NY 12777-6016

If you have questions on your statement,
call 24-Hour Assistance:
(800) MERRILL
(800) 637-7455
Access Code: 39-293-88281

Investment Advice and Guidance:
Call Your Financial Advisor

Your Financial Advisor:
LIEBOWITZ WALKER MUNIZ GROUP
4900 HOPYARD ROAD SUITE 140
PLEASANTON CA 94588
1-925-227-6655

Up-to-date account information can be viewed
at: www.mymerrill.com, where your statements
are archived for three or more years.

Questions about MyMerrill? Click the "help" tab
at the top of the screen once you log in.

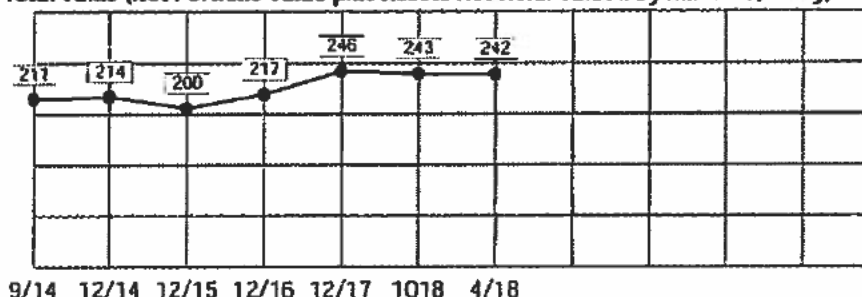
YOUR MERRILL LYNCH REPORT

March 30, 2018 - April 30, 2018

PORTFOLIO SUMMARY

	April 30	March 29	Month Change
Net Portfolio Value	\$241,913.63	\$243,047.46	(\$1,133.83) ▼
Your assets	\$241,913.63	\$243,047.46	(\$1,133.83) ▼
Your liabilities	-	-	-
Your Net Cash Flow (Inflows/Outflows)	(\$202.54)	(\$203.98)	-
Securities You Transferred In/Out	-	-	-
Subtotal Net Contributions	(\$202.54)	(\$203.98)	-
Your Dividends/Interest Income	\$250.72	\$522.06	-
Your Market Gains/(Losses)	(\$1,182.01)	(\$2,045.77)	-
Subtotal Investment Earnings	(\$931.29)	(\$1,523.71)	-

Total Value (Net Portfolio Value plus Assets Not Held/Valued By MLPF&S, if any) in thousands, 2014-2018



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Account Number:

24-Hour Assistance: (800) MERRILL

Access Code: 39-293-88281

 MLPF&S CUST FPO
 AILEEN M GUNTHER IRA
 FBO AILEEN M GUNTHER
 | SACKETT LAKE RD
 FORESTBURGH NY 12777-6016

Net Portfolio Value: \$241,913.63

 Your Financial Advisor:
 LIEBOWITZ WALKER MUNIZ GROUP
 4900 HOPYARD ROAD SUITE 140
 PLEASANTON CA 94588
 1-925-227-6655

RETIREMENT ACCOUNT

This account is enrolled in the Merrill Lynch Investment Advisory Program

March 30, 2018 - April 30, 2018

	This Statement	Year to Date
Opening Value (03/30)	\$243,047.46	
Total Credits	250.72	1,020.50
Total Debits	(202.54)	(821.51)
Securities You Transferred In/Out	-	-
Market Gains/(Losses)	(1,182.01)	(3,973.61)
Closing Value (04/30)	\$241,913.63	

ASSETS	April 30	March 29
Cash/Money Accounts	9,519.82	10,489.90
Fixed Income	-	-
Equities	-	-
Mutual Funds	232,393.81	232,557.56
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	241,913.63	243,047.46
TOTAL ASSETS	\$241,913.63	\$243,047.46

LIABILITIES		
Debit Balance	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	\$241,913.63	\$243,047.46

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) and other subsidiaries of Bank of America Corporation. MLPF&S is a registered broker-dealer, Member Securities Investor Protection Corporation (SIPC) and a wholly owned subsidiary of Bank of America Corporation. Investment products: **Are Not FDIC Insured | Are Not Bank Guaranteed | May Lose Value**

Primary Account:

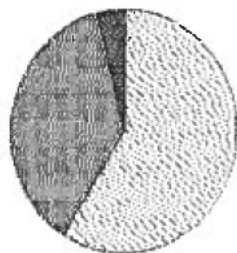
24-Hour Assistance: (800) MERRILL
Access Code: 39-293-88281

YOUR PORTFOLIO REVIEW

March 30, 2018 - April 30, 2018

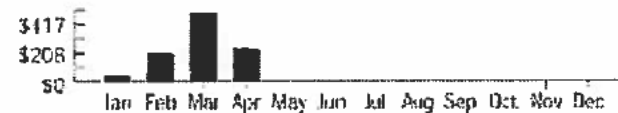
ASSET ALLOCATION*

* Estimated Accrued Interest not included; may not reflect all holdings; does not include asset categories less than 1%; includes the categorical values for the underlying portfolio of individual mutual funds, closed end funds, and UITs.



	Current Value	Allocation
Equities	142,502.07	58.90%
Fixed Income	89,891.74	37.16%
Cash/Money Accounts	9,519.82	3.94%
TOTAL	\$241,913.63	100%

CURRENT INCOME



	This Report	Year To Date
Tax-Exempt Interest	8.47	17.52
Taxable Interest		
Tax-Exempt Dividends	242.25	1,002.98
Taxable Dividends		
Total	\$250.72	\$1,020.50
Your Estimated Annual Income		\$5,395.84

TOP FIVE PORTFOLIO HOLDINGS

Based on Estimated Market Value

	Current Value	% of Portfolio
ISHARES TR CORE MSCI EAF	39,650.80	16.39%
VANGUARD INTERMEDIATE	21,554.91	8.91%
ISHARES MBS ETF	18,997.23	7.85%
VANGUARD INFORMATION	14,702.56	6.07%
ISHARES INC CORE MSCI	14,326.20	5.92%

FINANCIAL MARKET INDICATORS

	This Report	Last Report	Previous Year End
S&P 500	2648.05	2640.87	2673.61
Three-Month Treasury Bills	1.80%	1.70%	1.38%
Long-Term Treasury Bonds	3.12%	2.97%	2.74%
One-Month LIBOR	1.90%	1.88%	1.56%
NASDAQ	7066.27	7063.45	6903.39

FBO AILEEN M GUNTHER

Account Number:

24-Hour Assistance: (800) MERRILL

Access Code: 39-293-88281

YOUR RETIREMENT ACCOUNT ASSETS

March 30, 2018 - April 30, 2018

CASH/MONEY ACCOUNTS		Total	Estimated	Estimated	Estimated	Est. Annual
Description	Quantity	Cost Basis	Market Price	Market Value	Annual Income	Yield%
CASH	45.73	45.73		45.73		
+BANK OF AMERICA NA RASP	9,474.00	9,474.00	1.0000	9,474.00	57	.60
+FDIC INSURED NOT SIPC COVERED						
(.0900 FRACTIONAL SHARE)		.09	1.0000	.09		.60
TOTAL		9,519.82		9,519.82	57	.60

MUTUAL FUNDS/CLOSED END FUNDS/UIT	Quantity	Total	Estimated	Estimated	Unrealized	Total Client	Cumulative	Estimated
Description		Cost Basis	Market Price	Market Value	Gain/(Loss)	Investment	Investment	Annual Current
CONSUMER DISCRETIONARY	71	6,084.31	103.7000	7,362.70	1,278.39	6,084	1,278	88 1.19
SPDR								
SYMBOL: XLY Initial Purchase: 01/30/17								
Equity 100%								
FIRST TR EXCHANGE TRADED	41	5,117.49	123.8400	5,077.44	(40.05)	5,117	(40)	
FD DOW JONES IN								
SYMBOL: FDN Initial Purchase: 03/06/18								
Equity 100%								
HEALTH CARE SELECT SPDR	98	7,123.73	82.2600	8,061.48	937.75	7,123	937	122 1.50
SYMBOL: XLV Initial Purchase: 01/30/17								
Equity 100%								
ISHARES NASDAQ BIOTECH	39	4,309.74	103.6500	4,042.35	(267.39)	4,309	(267)	9 .21
ETF								
SYMBOL: IBB Initial Purchase: 09/25/17								
Equity 100%								
ISHARES IBOX \$	78	9,169.46	115.2200	8,987.16	(182.30)	9,169	(182)	297 3.30
INVT GRADE CORP BD								
SYMBOL: LQD Initial Purchase: 01/30/17								

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FBO AILEEN M GUNTHER

Account Number:

YOUR RETIREMENT ACCOUNT ASSETS

March 30, 2018 - April 30, 2018

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
Fixed Income 100%									
ISHARES TIPS BOND ETF SYMBOL: TIP Initial Purchase: 01/30/17	48	5,469.88	112.6100	5,405.28	(64.60)	5,469	(64)	122	2.24
Fixed Income 100%									
ISHARES 3-7 YEAR TREASURY BOND ETF SYMBOL: IEI Initial Purchase: 01/30/17	68	8,347.86	119.5200	8,127.36	(220.50)	8,347	(220)	132	1.61
Fixed Income 100%									
ISHARES MBS ETF SYMBOL: MBB Initial Purchase: 01/30/17	183	19,463.81	103.8100	18,997.23	(466.58)	19,463	(466)	457	2.40
Fixed Income 100%									
ISHARES IBOX\$ HIGH YEL CORPORATE BOND SYMBOL: HYG Initial Purchase: 01/30/17	31	2,711.22	85.7000	2,656.70	(54.52)	2,711	(54)	136	5.11
Fixed Income 100%									
ISHARES INC CORE MSCI EMERGING MKTS ETF SYMBOL: IEMG Initial Purchase: 01/30/17	252	11,429.70	56.8500	14,326.20	2,896.50	11,429	2,896	337	2.34
Equity 100%									
ISHARES TR CORE MSCI EAF ETF SYMBOL: IEFA Initial Purchase: 01/30/18	595	41,416.07	66.6400	39,650.80	(1,765.27)	41,416	(1,765)	1,009	2.54
Equity 100%									

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FBO AILEEN M GUNTHER

Account Number:

24-Hour Assistance: (800) MERRILL

Access Code: 39-293-88281

YOUR RETIREMENT ACCOUNT ASSETS

March 30, 2018 - April 30, 2018

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
MATERIALS SELECT SECTOR	95	5,555.09	57.0100	5,415.95	(139.14)	5,555	(139)	102	1.87
SPDR FUND SYMBOL: XLB Initial Purchase: 01/30/17 Equity 100%									
POWERSHARES EM SOVEREIGN	224	6,409.72	27.4700	6,153.28	(256.44)	6,409	(256)	297	4.81
BOND USD ETF SYMBOL: PCY Initial Purchase: 01/30/17 Fixed Income 100%									
POWERSHARES PREFERRED	130	1,887.69	14.3800	1,869.40	(18.29)	1,887	(18)	109	5.82
PORTFOLIO SYMBOL: PGX Initial Purchase: 01/30/17 Fixed Income 100%									
REAL ESTATE SELECT	135	4,232.63	30.8900	4,170.15	(62.48)	4,232	(62)	143	3.41
SECTOR SPDR FD SHS SYMBOL: XLRE Initial Purchase: 01/30/17 Equity 100%									
SECTOR SPDR CONSMRS STPL	159	8,359.14	50.4500	8,021.55	(337.59)	8,359	(337)	239	2.96
SYMBOL: XLP Initial Purchase: 01/30/17 Equity 100%									
SECTOR SPDR ENERGY	38	2,728.48	73.8100	2,804.78	76.30	2,728	76	86	3.04
SYMBOL: XLE Initial Purchase: 01/30/17 Equity 100%									
SECTOR SPDR INDUSTRIAL	167	10,647.73	72.2200	12,060.74	1,413.01	10,647	1,413	226	1.86
SYMBOL: XLI Initial Purchase: 01/30/17 Equity 100%									

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FBO AILEEN M GUNTHER

Account Number:

YOUR RETIREMENT ACCOUNT ASSETS

March 30, 2018 - April 30, 2018

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
SECTOR SPDR UTILITIES SYMBOL: XLU Initial Purchase: 01/30/17 Equity 100%	37	1,791.16	51.5600	1,907.72	116.56	1,791	116	65	3.39
SPDR S&P INSURANCE ETF SYMBOL: KIE Initial Purchase: 01/30/17 Equity 100%	61	1,707.02	30.8900	1,884.29	177.27	1,707	177	32	1.69
VANECK VECTORS J.P. MORGAN EM LOCAL CURR SYMBOL: EMLC Initial Purchase: 01/30/17 Fixed Income 100%	140	2,478.54	18.7300	2,622.20	143.66	2,478	143	148	5.61
VANGUARD FINANCIALS ETF SYMBOL: VFH Initial Purchase: 01/30/17 Equity 100%	188	11,677.90	69.2200	13,013.36	1,335.46	11,677	1,335	221	1.69
VANGUARD INFORMATION TECH ETF SYMBOL: VGT Initial Purchase: 01/30/17 Equity 100%	86	11,151.32	170.9600	14,702.56	3,551.24	11,151	3,551	141	.95
VANGUARD INTERMEDIATE TERM BOND ETF SYMBOL: BIV Initial Purchase: 01/30/17 Fixed Income 100%	267	22,224.78	80.7300	21,554.91	(669.87)	22,224	(669)	587	2.72
VANGUARD SHORT TERM BOND SYMBOL: BSV Initial Purchase: 01/30/17 Fixed Income 100%	173	13,781.48	78.1400	13,518.22	(263.26)	13,781	(263)	234	1.73

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YOUR RETIREMENT ACCOUNT ASSETS

March 30, 2018 - April 30, 2018

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
Subtotal (Fixed Income)				89,891.74					
Subtotal (Equities)				142,502.07					
TOTAL		225,275.95		232,393.81	7,117.86		7,120	5,339	2.30

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	234,795.77	241,913.63	7,117.86		5,396	2.23

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Sales Charge Discounts or Waivers: Many funds offer various sales charge discounts or waivers depending on the terms of the prospectus and/or statement of additional information. You should consult a fund's prospectus and/or statement of additional information to determine whether you may qualify for a discount or waiver. Notify your Financial Advisor, Financial Solutions Advisor or Investment Center representative if you believe you qualify for any of these or any other discounts or waivers. Please contact your Financial Advisor, Financial Solutions Advisor or Investment Center representative for further information on available sales charge discounts and waivers.

YOUR RETIREMENT ACCOUNT TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS				Income	Income Year To Date
Date	Transaction Type	Quantity	Description		
Tax-Exempt Interest					
04/02	Interest	3.9300	BANK OF AMERICA, NA RASP 0.93000 DIV/INT REINVEST PAY DATE 03/29/2018		

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FBO AILEEN M GUNTHER

Account Number:1

YOUR RETIREMENT ACCOUNT TRANSACTIONS

March 30, 2018 - April 30, 2018

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Income	Income Year To Date
Tax-Exempt Interest					
04/30	Interest	4.5400	FROM 02-28 THRU 03-29 CUSIP NUM: 55499U915 BANK OF AMERICA, NA RASP 0.54000 DIV/INT REINVEST PAY DATE 04/27/2018 FROM 03-30 THRU 04-27 CUSIP NUM: 55499U915 BANK OF AMERICA, NA RASP	8.47	
	Income Total			8.47	
	Subtotal (Tax-Exempt Interest)			8.47	17.52
Tax-Exempt Dividends					
04/05	*Dividend		VANGUARD INTERMEDIATE TERM BOND ETF HOLDING 267.0000 PAY DATE 04/05/2018	52.13	
04/05	*Dividend		VANGUARD SHORT TERM BOND HOLDING 173.0000 PAY DATE 04/05/2018	21.75	
04/06	*Dividend		ISHARES IBOX \$ INVT GRADE CORP BD HOLDING 78.0000 PAY DATE 04/06/2018	26.27	
04/06	*Dividend		ISHARES TIPS BOND ETF HOLDING 48.0000 PAY DATE 04/06/2018	14.03	
04/06	*Dividend		ISHARES 3-7 YEAR TREASURY BOND ETF HOLDING 68.0000	12.10	

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FBO AILEEN M GUNTHER

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YOUR RETIREMENT ACCOUNT TRANSACTIONS

March 30, 2018 - April 30, 2018

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Income	Income Year To Date
Tax-Exempt Dividends					
04/06	*Dividend		PAY DATE 04/06/2018 ISHARES MBS ETF HOLDING 183.0000	46.57	
04/06	*Dividend		PAY DATE 04/06/2018 ISHARES IBOXXS HIGH YEL CORPORATE BOND HOLDING 31.0000	10.83	
04/06	*Dividend		PAY DATE 04/06/2018 VANECK VECTORS J.P. MORGAN EM LOCAL CURR HOLDING 140.0000	12.84	
04/30	*Dividend		PAY DATE 04/06/2018 POWERSHARES EM SOVEREIGN BOND USD ETF HOLDING 224.0000	23.97	
04/30	*Dividend		PAY DATE 04/30/2018 POWERSHARES PREFERRED PORTFOLIO HOLDING 130.0000	8.99	
04/30	*Dividend		PAY DATE 04/30/2018 VANECK VECTORS J.P. MORGAN EM LOCAL CURR HOLDING 140.0000	12.77	
			PAY DATE 04/30/2018		
Subtotal (Tax-Exempt Dividends)				242.25	1,002.98
NET TOTAL				250.72	1,020.50

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Account Number:

YOUR RETIREMENT ACCOUNT TRANSACTIONS

March 30, 2018 - April 30, 2018

SECURITY TRANSACTIONS TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	(Debit)/ Credit	Accrued Interest Earned/(Paid)
Purchases							
04/02	VANGUARD FINANCIALS ETF CUS NO 92204A405 UNIT PRICE 68.3499	Purchase	39.0000	(2,665.65)		(2,665.65)	
04/02	FIRST TR EXCHANGE TRADED FD DOW JONES IN CUS NO 33733E302 UNIT PRICE 118.2993	Purchase	12.0000	(1,419.59)		(1,419.59)	
	Subtotal (Purchases)			(4,085.24)		(4,085.24)	
Sales							
04/02	MATERIALS SELECT SECTOR SPDR FUND CUS NO 81369Y100 UNIT PRICE 56.4014	Sale	-19.0000	1,071.63	(.02)	1,071.61	
04/02	CONSUMER DISCRETIONARY SPDR CUS NO 81369Y407 UNIT PRICE 99.7709	Sale	-20.0000	1,995.42	(.05)	1,995.37	
	Subtotal (Sales)			3,067.05	(.07)	3,066.98	
	TOTAL			(1,018.19)	(.07)	(1,018.26)	
	TOTAL SECURITY PURCHASES/(DEBITS)					(4,085.24)	
	TOTAL SECURITY SALES/CREDITS					3,066.98	

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24-Hour Assistance: (800) MERRILL

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YOUR RETIREMENT ACCOUNT TRANSACTIONS

March 30, 2018 - April 30, 2018

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses)Ⓢ	
						This Statement	Year to Date
MATERIALS SELECT SECTOR	19.0000	01/30/17	03/28/18	1,071.61	990.41	81.20	
CONSUMER DISCRETIONARY	20.0000	01/30/17	03/28/18	1,995.37	1,685.20	310.17	
Subtotal (Long-Term)						391.37	2,487.28
Subtotal (Short-Term)							7,596.13
TOTAL				3,066.98	2,675.61	391.37	10,083.41

Ⓢ - Excludes transactions for which we have insufficient data

ADVISORY AND OTHER FEES

Date	Fee Type	Quantity	Description	Debit	Credit
04/03	Advisory Program Fee		INV. ADVISORY FEE APR	202.54	
	NET TOTAL			202.54	

SWEEP PROGRAM TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
04/02	Withdrawal	-985.9500	BANK OF AMERICA, NA RASP FRAC SELL .95 @ 1.00		985.95
04/04	Withdrawal	-202.5400	BANK OF AMERICA, NA RASP FRAC SELL .54 @ 1.00		202.54
04/06	Deposit	73.8800	BANK OF AMERICA, NA RASP FRAC BUY .88 @ 1.00	73.88	
04/09	Deposit	122.6400	BANK OF AMERICA, NA RASP FRAC BUY .64 @ 1.00	122.64	
	NET TOTAL				991.97

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YOUR RETIREMENT ACCOUNT CONTRIBUTIONS AND DISTRIBUTIONS

March 30, 2018 - April 30, 2018

Year-End Plan Value as of December 31, 2017: \$245,676.55

Contributions after December 31, 2017 for 2017: \$.00