LEGISLATIVE ETHICS COMMISSION
STATE OF NEW YORK

MAIL: LEGISLATIVE OFFICE BUILDING-BOX 75-ALBANY, NY 12240
LOCATION: ALFRED E. SMITH STATE OFFICE BUILDING-SUITE 1431-ALBANY, NY 12210
PHONE: (518) 432-7837
SENATE EXT: 2142 ASSEMBLY EXT: 5218

ANNUAL STATEMENT OF FINANCIAL DISCLOSURE

For Calendar Year 2021

1. Name    George M. Borrello

2. (a) Title of Position    Senator - 57th District
   (b) Department, Agency or other Governmental Entity    NYS Senate
   (c) Address of Present Office    2-6 E. Second St. Jamestown, NY 14701
   (d) Office Telephone Number    716-664-4603

3. (a) Marital Status    Married. If married, please give spouse’s full name including maiden name where applicable.
   (b) List the names of all unemancipated children.
   None

Answer each of the following questions completely, with respect to calendar year 2021, unless another period or date is otherwise specified. If additional space is needed, attach additional pages.

Whenever a "value" or "amount" is required to be reported herein, such value or amount shall be reported as being within one of the following Categories in Table I or Table II of this subdivision as called for in the question: A reporting individual shall indicate the Category by letter only.

Whenever "income" is required to be reported herein, the term "income" shall mean the aggregate net income before taxes from the source identified.

The term "calendar year" shall mean the year ending the December 31st preceding the date of filing of the annual statement.
4. (a) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the reporting individual with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

<table>
<thead>
<tr>
<th>Position</th>
<th>Organization</th>
<th>State or Local Agency</th>
</tr>
</thead>
<tbody>
<tr>
<td>Member Partner</td>
<td>Borrello’s Sunset Bay Beach LLC</td>
<td>NYSLA/DOH</td>
</tr>
<tr>
<td>Member Partner</td>
<td>Sunset Bay Waves LLC</td>
<td>NYSLA/DOH</td>
</tr>
<tr>
<td>Vice President</td>
<td>Sunset BLD Inc</td>
<td>NYSLA/DOH</td>
</tr>
<tr>
<td>Member Partner</td>
<td>Villaggio Italiano LLC</td>
<td>NYSLA/DOH</td>
</tr>
<tr>
<td>Board Member</td>
<td>Chautauqua Opportunities</td>
<td>DOH/ Dept of Ed</td>
</tr>
</tbody>
</table>

(b) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the spouse or unemancipated child of the reporting individual, with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

<table>
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<tbody>
<tr>
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<td>Borrello’s Sunset Bay Beach LLC</td>
<td>NYSLA/DOH</td>
</tr>
<tr>
<td>Member Partner</td>
<td>Sunset Bay Waves LLC</td>
<td>NYSLA/DOH</td>
</tr>
<tr>
<td>President</td>
<td>Sunset BLD Inc</td>
<td>NYSLA/DOH</td>
</tr>
<tr>
<td>Member Partner</td>
<td>Villaggio Italiano LLC</td>
<td>NYSLA/DOH</td>
</tr>
<tr>
<td>Board Member</td>
<td>Chautauqua County Visitors Bureau</td>
<td>Chautauqua County Government</td>
</tr>
<tr>
<td>Member Partner</td>
<td>Breakwall Cafe LLC</td>
<td>NYSLA/DOH/NYS Parks</td>
</tr>
<tr>
<td>Vice President</td>
<td>Boys and Girls Club of N. Chautauqua County</td>
<td>N/A</td>
</tr>
</tbody>
</table>
5. (a) List the name, address and description of any occupation, employment (other than the employment listed under Item 2 above), trade, business or profession engaged in by the reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

<table>
<thead>
<tr>
<th>Name &amp; Address</th>
<th>State or Local Agency</th>
</tr>
</thead>
<tbody>
<tr>
<td>Position of Organization</td>
<td>Description</td>
</tr>
<tr>
<td>Member Partner Borrello's Sunset Bay Beach</td>
<td>Hospitality Venue</td>
</tr>
<tr>
<td>Member Partner Sunset Bay Waves LLC</td>
<td>Hospitality Venue</td>
</tr>
<tr>
<td>Vice President Sunset BLD Inc</td>
<td>Convenience Store / Deli</td>
</tr>
<tr>
<td>Member Partner Villaggio Italiano LLC</td>
<td>Restaurant</td>
</tr>
</tbody>
</table>

**NOTE:** Mailing address for all above is: 1014 S. Shore Dr. Irving, NY 14081

(b) If the spouse or unemancipated child of the reporting individual was engaged in any occupation, employment, trade, business or profession which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name, address and description of such occupation, employment, trade, business or profession and the name of any such agency.

<table>
<thead>
<tr>
<th>Name &amp; Address</th>
<th>State or Local Agency</th>
</tr>
</thead>
<tbody>
<tr>
<td>Position of Organization</td>
<td>Description</td>
</tr>
<tr>
<td>Member Partner Borrello's Sunset Bay Beach</td>
<td>Hospitality Venue</td>
</tr>
<tr>
<td>Member Partner Sunset Bay Waves LLC</td>
<td>Hospitality Venue</td>
</tr>
<tr>
<td>President Sunset BLD Inc</td>
<td>Convenience Store / Deli</td>
</tr>
<tr>
<td>Member Partner Villaggio Italiano LLC</td>
<td>Restaurant</td>
</tr>
<tr>
<td>Member Breakwall Cafe LLC</td>
<td>Restaurant / convenience store</td>
</tr>
</tbody>
</table>

**NOTE:** Mailing address for all above is: 1014 S. Shore Dr. Irving, NY 14081

91B
6. List any interest, in EXCESS of $1,000, held by the reporting individual, such individual's spouse or unemancipated child, or partnership of which any such person is a member, or corporation, 10% or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse or such child to such entity and the interest in such contract. Do NOT include bonds and notes. Do NOT list any interest in any such contract on which final payment has been made and all obligations under the contract except for guarantees and warranties have been performed, provided, however, that such an interest must be listed if there has been an ongoing dispute during the calendar year for which this statement is filed with respect to any such guarantees or warranties. Do NOT list any interest in a contract made or executed by a local agency after public notice and pursuant to a process for competitive bidding or a process for competitive requests for proposals.

<table>
<thead>
<tr>
<th>Self, Spouse or Child</th>
<th>Entity</th>
<th>Relationship to Entity</th>
<th>Contracting State or Local</th>
<th>Category of Contract (In Table II)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>N/A</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

7. List any position the reporting individual held as an officer of any political party or political organization, as a member of any political party committee, or as a political party district leader. The term "party" shall have the same meaning as "party" in the election law. The term "political organization" means any party or independent body as defined in the election law or any organization that is affiliated with or a subsidiary of a party or independent body.

<table>
<thead>
<tr>
<th>N/A</th>
</tr>
</thead>
</table>

8. (a) If the reporting individual practices law, is licensed by the department of state as a real estate broker or agent or practices a profession licensed by the department of education, or works as a member or employee of a firm required to register pursuant to section one-e of the legislative law as a lobbyist, describe the services rendered for which compensation was paid including a general description of the principal subject areas of matters undertaken by such individual and principal duties performed. Specifically state whether the reporting individual provides services directly to clients. Additionally, if such an individual practices with a firm or corporation and is a partner or shareholder of the firm or

[Signature]
corporation, give a general description of principal subject areas of matters undertaken by such firm or corporation.

N/A

(b) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN:

If the reporting individual personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), then identify each client or customer to whom the reporting individual personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of $10,000 during the reporting period for such services rendered in direct connection with:

(i) A contract in an amount totaling $50,000 or more from the state or any state agency for services, materials, or property;
(ii) A grant of $25,000 or more from the state or any state agency during the reporting period;
(iii) A grant obtained through a legislative initiative during the reporting period; or
(iv) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or knowingly solicit or direct to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in subparagraphs (i) through (iv) of this paragraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

The disclosure requirement in this question shall not require disclosure of clients or customers receiving medical or dental services, mental health services, residential real estate brokering services, or insurance brokering services from the reporting individual or his or her firm. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, or domestic relations.
matters. With respect to clients represented in other matters, where disclosure of a client’s identity is likely to cause harm, the reporting individual shall request an exemption from the joint commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law, provided, however, that a reporting individual who first enters public office after July first, two thousand twelve, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

<table>
<thead>
<tr>
<th>Client</th>
<th>Nature of Services Provided</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</table>

(b-1) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN (FOR PURPOSES OF THIS QUESTION, "SERVICES" SHALL MEAN CONSULTATION, REPRESENTATION, ADVICE OR OTHER SERVICES):

If the reporting individual receives income from employment reportable in question 8(a) and personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), the reporting individual shall identify each client or customer to whom the reporting individual personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of $10,000 during the reporting period in direct connection with:

(i) A contract in an amount totaling $10,000 or more from the state or any state agency for services, materials, or property;
(ii) A grant of $10,000 or more from the state or any state agency during the reporting period;
(iii) A grant obtained through a legislative initiative during the reporting period; or
(iv) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For such services rendered by the reporting individual directly to each such client, describe each matter that was the subject of such representation, the services actually provided and the payment received.

For payments received from clients referred to the firm by the reporting individual, if the reporting individual directly received a referral fee or fees for such referral, identify the client and the payment so received.
For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or having knowingly solicited or directed to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in clauses (i) through (iv) of this subparagraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting in his or her capacity as provided in paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

<table>
<thead>
<tr>
<th>Client</th>
<th>Matter</th>
<th>Nature of Services Provided</th>
<th>Category of Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>N/A</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

(b-2) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN (FOR PURPOSES OF THIS QUESTION, "SERVICES" SHALL MEAN CONSULTATION, REPRESENTATION, ADVICE OR OTHER SERVICES):

(i) With respect to reporting individuals who receive ten thousand dollars or more from employment or activity reportable under question 8(a), for each client or customer NOT otherwise disclosed or exempted in question 8 or 13, disclose the name of each client or customer known to the reporting individual to whom the reporting individual provided services: (A) who paid the reporting individual in excess of five thousand dollars for such services; or (B) who had been billed with the knowledge of the reporting individual in excess of five thousand dollars by the firm or other entity named in question 8(a) for the reporting individual's services.

<table>
<thead>
<tr>
<th>Client</th>
<th>Services Actually Provided</th>
<th>Category of Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>N/A</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

FOLLOWING IS AN ILLUSTRATIVE, NON-EXCLUSIVE LIST OF EXAMPLES OF DESCRIPTIONS OF "SERVICES ACTUALLY PROVIDED":

* REVIEWED DOCUMENTS AND CORRESPONDENCE;
* REPRESENTED CLIENT (IDENTIFY CLIENT BY NAME) IN LEGAL PROCEEDING;
* PROVIDED LEGAL ADVICE ON CLIENT MATTER (IDENTIFY CLIENT BY NAME);
* CONSULTED WITH CLIENT OR CONSULTED WITH LAW PARTNERS/ASSOCIATES/MEMBERS OF FIRM ON CLIENT MATTER (IDENTIFY CLIENT BY NAME);
* PREPARED CERTIFIED FINANCIAL STATEMENT FOR CLIENT (IDENTIFY CLIENT BY NAME);
* REFERRED INDIVIDUAL OR ENTITY (IDENTIFY CLIENT BY NAME) FOR REPRESENTATION OR CONSULTATION;
* COMMERCIAL BROKERING SERVICES (IDENTIFY CUSTOMER BY NAME);
* PREPARED CERTIFIED ARCHITECTURAL OR ENGINEERING RENDERINGS FOR CLIENT (IDENTIFY CUSTOMER BY NAME);
* COURT APPOINTED GUARDIAN OR EVALUATOR (IDENTIFY COURT NOT CLIENT).

(ii) With respect to reporting individuals who disclosed in question 8(a) that the reporting individual did not provide services to a client but provided services to a firm or business, identify the category of amount received for providing such services and describe the services rendered.

<table>
<thead>
<tr>
<th>Services Actually Provided</th>
<th>Category of Amount (Table I)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>N/A</td>
</tr>
</tbody>
</table>

A reporting individual need not disclose activities performed while lawfully acting in his or her capacity as provided in paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

The disclosure requirement in questions (b-1) and (b-2) shall not require disclosing clients or customers receiving medical, pharmaceutical or dental services, mental health services, or residential real estate brokering services from the reporting individual or his or her firm or if federal law prohibits or limits disclosure. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, family court, estate planning, or domestic relations matters, nor shall the reporting individual identify individuals represented pursuant to an insurance policy but the reporting individual shall in such circumstances only report the entity that provides compensation to the reporting individual; with respect to matters in which the client's name is required by law to be kept confidential (such as matters governed by the family court act) or in matters in which the reporting individual represents or provides services to minors, the client's name may be replaced with initials. To the extent that the reporting individual, or his or her firm, provided legal representation with respect to an initial public offering, and
professional disciplinary rules, federal law or regulations restrict
the disclosure of information relating to such work, the reporting
individual shall (i) disclose the identity of the client and the
services provided relating to the initial public offering to
the office of court administration, who will maintain such information
confidentially in a locked box; and (ii) include in his or her
response to questions (b-1) and (b-2) that pursuant to this
paragraph, a disclosure to the office of court administration has
been made. Upon such time that the disclosure of information
maintained in the locked box is no longer restricted by professional
disciplinary rules, federal law or regulation, the reporting
individual shall disclose such information in an amended disclosure
statement in response to the disclosure requirements in questions (b-1)
and (b-2). The office of court administration shall develop
and maintain a secure portal through which information submitted to
it pursuant to this paragraph can be safely and confidentially stored.
With respect to clients represented in other matters not otherwise
exempt, the reporting individual may request an exemption to
publicly disclosing the name of that client from the joint commission
pursuant to paragraph (i) of subdivision nine of section ninety-four
of the executive law, or from the office of court administration.
In such application, the reporting individual shall state the
following: "My client is not currently receiving my services or
seeking my services in connection with:

(i) A proposed bill or resolution in the senate or assembly during
the reporting period;
(ii) A contract in an amount totaling $10,000 or more from the
state or any state agency for services, materials, or property;
(iii) A grant of $10,000 or more from the state or any state
agency during the reporting period;
(iv) A grant obtained through a legislative initiative during
the reporting period; or
(v) A case, proceeding, application or other matter that is not
a ministerial matter before a state agency during the reporting
period."

In reviewing the request for an exemption, the joint commission or
the office of court administration may consult with bar or
other professional associations and the legislative ethics
commission for individuals subject to its jurisdiction and may
consider the rules of professional conduct. In making its
determination, the joint commission or the office of court
administration shall conduct its own inquiry and shall consider factors
including, but not limited to: (i) the nature and the size of the
client; (ii) whether the client has any business before the state;
and if so, how significant the business is; and whether the client has
any particularized interest in pending legislation and if so how
significant the interest is; (iii) whether disclosure may reveal
trade secrets; (iv) whether disclosure could reasonably result
in retaliation against the client; (v) whether disclosure may cause
undue harm to the client; (vi) whether disclosure may result in undue
harm to the attorney-client relationship; and (vii) whether
disclosure may result in an unnecessary invasion of privacy to the
client.

[Signature]
The joint commission or, as the case may be, the office of court administration shall promptly make a final determination in response to such request, which shall include an explanation for its determination. The office of court administration shall issue its final determination within three days of receiving the request. Notwithstanding any other provision of law or any professional disciplinary rule to the contrary, the disclosure of the identity of any client or customer in response to this question shall not constitute professional misconduct or a ground for disciplinary action of any kind, or form the basis for any civil or criminal cause of action or proceeding. A reporting individual who first enters public office after January first, two thousand sixteen, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

(c) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN:

If the reporting individual receives income of ten thousand dollars or greater from any employment or activity reportable under question 8(a), identify each registered lobbyist who has directly referred to such individual a client who was successfully referred to the reporting individual's business and from whom the reporting individual or firm received a fee for services in excess of five thousand dollars. Report only those referrals that were made to a reporting individual by direct communication from a person known to such reporting individual to be a registered lobbyist at the time the referral is made. With respect to each such referral, the reporting individual shall identify the client, the registered lobbyist who has made the referral, the category of value of the compensation received and a general description of the type of matter so referred. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article. The disclosure requirements in this question shall not require disclosing clients or customers receiving medical, pharmaceutical or dental services, mental health services, or residential real estate brokering services from the reporting individual or his or her firm or if federal law prohibits or limits disclosure. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, family court, estate planning, or domestic relations matters, nor shall the reporting individual identify individuals represented pursuant to an insurance policy but the reporting individual shall in such circumstances only report the entity that provides compensation to the reporting individual; with respect to matters in which the client's name is required by law to be kept confidential (such as matters governed by the family court act) or in matters in which the reporting individual represents or provides services to minors, the client's name may be replaced with initials. To the extent that the reporting individual, or his or her firm, provided legal representation with respect to an
initial public offering, and federal law or regulations restricts
the disclosure of information relating to such work, the reporting
individual shall (i) disclose the identity of the client and the
services provided relating to the initial public offering to the office
of court administration, who will maintain such information
confidentially in a locked box; and (ii) include in his or her
response a statement that pursuant to this paragraph, a
disclosure to the office of court administration has been made.
Upon such time that the disclosure of information maintained in the
locked box is no longer restricted by federal law or regulation, the
reporting individual shall disclose such information in an amended
disclosure statement in response to the disclosure requirements of
this paragraph. The office of court administration shall develop and
maintain a secure portal through which information submitted to
it pursuant to this paragraph can be safely and confidentially
stored. With respect to clients represented in other matters not
otherwise exempt, the reporting individual may request an exemption
to publicly disclosing the name of that client from the joint
commission pursuant to paragraph (i) of subdivision nine of
section ninety-four of the executive law, or from the office of court
administration. In such application, the reporting individual shall
state the following: "My client is not currently receiving my
services or seeking my services in connection with:

(i) A proposed bill or resolution in the senate or assembly during
the reporting period;
(ii) A contract in an amount totaling $10,000 or more from the
state or any state agency for services, materials, or property;
(iii) A grant of $10,000 or more from the state or any state
agency during the reporting period;
(iv) A grant obtained through a legislative initiative during
the reporting period; or
(v) A case, proceeding, application or other matter that is
not a ministerial matter before a state agency during the reporting
period."

In reviewing the request for an exemption, the joint commission or
the office of court administration may consult with bar or
other professional associations and the legislative ethics
commission for individuals subject to its jurisdiction and may consider
the rules of professional conduct. In making its determination, the
joint commission or the office of court administration shall conduct
its own inquiry and shall consider factors including, but not limited to:
(i) the nature and the size of the client; (ii) whether the client
has any business before the state; and if so, how significant the
business is; and whether the client has any particularized interest
in pending legislation and if so how significant the interest is; (iii)
whether disclosure may reveal trade secrets; (iv) whether
disclosure could reasonably result in retaliation against the
client; (v) whether disclosure may cause undue harm to the client;
(vi) whether disclosure may result in undue harm to the attorney-
client relationship; and (vii) whether disclosure may result in
an unnecessary invasion of privacy to the client.

The joint commission or, as the case may be, the office of
court administration shall promptly make a final determination in
response to such request, which shall include an explanation for its
determination. The office of court administration shall issue its final determination within three days of receiving the request. Notwithstanding any other provision of law or any professional disciplinary rule to the contrary, the disclosure of the identity of any client or customer in response to this question shall not constitute professional misconduct or a ground for disciplinary action of any kind, or form the basis for any civil or criminal cause of action or proceeding. A reporting individual who first enters public office after December thirty-first, two thousand fifteen, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

<table>
<thead>
<tr>
<th>Client</th>
<th>Name of Lobbyist</th>
<th>Description of Matter</th>
<th>Category of Amount (In Table 1)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>N/A</td>
</tr>
</tbody>
</table>

(d) List the name, principal address and general description or the nature of the business activity of any entity in which the reporting individual or such individual's spouse had an investment in excess of $1,000 excluding investments in securities and interests in real property.

Cabana Sam's Sunset Bay Grill 1028 S. Shore Dr. Irving NY 14081 - Restaurant
Sunset Bay Beach Club Iola & Shady Drives, Irving NY 14081 - Beachfront entertainment facility
Sunset Bay Deli 1014 Newton Rd. Irving, NY 14081 - Deli and convenience store
Villaggio Italiano 278 Central Ave, Silver Creek, NY 14136 Restaurant
Breakwall Cafe 12952 Allegany Rd. Irving NY 14081 Restaurant / convenience store

12
9. List each source of gifts, EXCLUDING campaign contributions, in EXCESS of $1,000, received during the reporting period for which this statement is filed by the reporting individual or such individual's spouse or unemancipated child from the same donor, EXCLUDING gifts from a relative. INCLUDE the name and address of the donor. The term "gifts" does not include reimbursements, which term is defined in item 10. Indicate the value and nature of each such gift.

<table>
<thead>
<tr>
<th>Self, Spouse or Child</th>
<th>Name of Donor</th>
<th>Address</th>
<th>Nature of Gift</th>
<th>Category of Value of Gift (In Table I)</th>
</tr>
</thead>
<tbody>
<tr>
<td>None</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

10. Identify and briefly describe the source of any reimbursements for expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of $1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursements" does NOT include gifts reported under item 9.

<table>
<thead>
<tr>
<th>Source</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>None</td>
<td></td>
</tr>
</tbody>
</table>
11. List the identity and value, if reasonably ascertainable, of each interest in a trust, estate or other beneficial interest, including retirement plans (other than retirement plans of the state of New York or the city of New York), and deferred compensation plans (e.g., 401, 403(b), 457, etc.) established in accordance with the internal revenue code, in which the REPORTING INDIVIDUAL held a beneficial interest in EXCESS of $1,000 at any time during the preceding year. Do NOT report interests in a trust, estate or other beneficial interest established by or for, or the estate of, a relative.

<table>
<thead>
<tr>
<th>Identity</th>
<th>Category of Value*</th>
</tr>
</thead>
<tbody>
<tr>
<td>None</td>
<td>(In Table II)</td>
</tr>
</tbody>
</table>

* The value of such interest shall be reported only if reasonably ascertainable.

12. (a) Describe the terms of, and the parties to, any contract, promise, or other agreement between the reporting individual and any person, firm, or corporation with respect to the employment of such individual after leaving office or position (other than a leave of absence).

N/A

(b) Describe the parties to and the terms of any agreement providing for continuation of payments or benefits to the REPORTING INDIVIDUAL in EXCESS of $1,000 from a prior employer OTHER THAN the State. (This includes interests in or contributions to a pension fund, profit-sharing plan, or life or health insurance; buy-out agreements; severance payments; etc.)

N/A

13. List below the nature and amount of any income in EXCESS of $1,000 from EACH SOURCE for the reporting individual and such individual's spouse for the taxable year last occurring prior to the date of filing. Each such source must be described with particularity. Nature of income includes, but is not limited to, all income (other than that received from the employment listed under Item 2 above) from compensated employment whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching
incomes, partnerships, honorariums, lecture fees, consultant fees, 
bank and bond interest, dividends, income derived from a trust, real 
estate rents, and recognized gains from the sale or exchange of real 
or other property. Income from a business or profession and real 
estate rents shall be reported with the source identified by the 
building address in the case of real estate rents and otherwise by 
the name of the entity and not by the name of the individual 
customers, clients or tenants, with the aggregate net income before 
taxes for each building address or entity. The receipt of 
maintenance received in connection with a matrimonial action, 
alimony and child support payments shall not be listed.

<table>
<thead>
<tr>
<th>Self/Spouse</th>
<th>Source</th>
<th>Nature</th>
<th>Category of Amount (In Table I)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Self</td>
<td>NYS Senate</td>
<td>Salary</td>
<td>H</td>
</tr>
<tr>
<td>Self</td>
<td>Sunset Bay Waves LLC</td>
<td>Member distribution</td>
<td>D</td>
</tr>
<tr>
<td>Self</td>
<td>Borrello's Sunset Bay Beach LLC</td>
<td>Member distribution</td>
<td>D</td>
</tr>
<tr>
<td>Spouse</td>
<td>Sunset Bay Waves LLC</td>
<td>Member distribution</td>
<td>E</td>
</tr>
<tr>
<td>Spouse</td>
<td>Borrello's Sunset Bay Beach LLC</td>
<td>Member distribution</td>
<td>D</td>
</tr>
<tr>
<td>Spouse</td>
<td>Villaggio Italiano LLC</td>
<td>Member distribution</td>
<td>C</td>
</tr>
</tbody>
</table>

14. List the sources of any deferred income (not retirement income) in 
EXCESS of $1,000 from each source to be paid to the reporting 
individual following the close of the calendar year for which this 
disclosure statement is filed, other than deferred compensation 
reported in item 11 hereinabove. Deferred income derived from the 
practice of a profession shall be listed in the aggregate and shall 
identify as the source, the name of the firm, corporation, 
partnership or association through which the income was derived, but 
shall not identify individual clients.

<table>
<thead>
<tr>
<th>Source</th>
<th>Category of Amount (In Table I)</th>
</tr>
</thead>
<tbody>
<tr>
<td>None</td>
<td></td>
</tr>
</tbody>
</table>

15. List each assignment of income in EXCESS of $1,000, and each 
transfer other than to a relative during the reporting period for 
which this statement is filed for less than fair consideration of an 
interest in a trust, estate or other beneficial interest, securities 
or real property, by the reporting individual, in excess of $1,000, 
which would otherwise be required to be reported herein and is not 
or has not been so reported.

<table>
<thead>
<tr>
<th>Item Assigned or Transferred</th>
<th>Assigned or Transferred to</th>
<th>Category of Value (In Table I)</th>
</tr>
</thead>
<tbody>
<tr>
<td>None</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
16. List below the type and market value of securities held by the reporting individual or such individual's spouse from each issuing entity in EXCESS of $1,000 at the close of the taxable year last occurring prior to the date of filing, including the name of the issuing entity exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed only if the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse has transferred assets to such trust for his or her benefit in which event such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which the reporting individual or the reporting individual's spouse is the owner of record but in which such individual or the reporting individual's spouse has no beneficial interest shall not be listed. Indicate percentage of ownership only if the reporting person or the reporting person's spouse holds more than five percent (5%) of the stock of a corporation in which the stock is publicly traded or more than ten percent (10%) of the stock of a corporation in which the stock is NOT publicly traded. Also list securities owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. For the purpose of this item the term "securities" shall mean mutual funds, bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and certificates of deposits (CDs) and such other evidences of indebtedness and certificates of interest as are usually referred to as securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the security is an interest in a general partnership that was listed in item 8 (a) or if the security is corporate stock, NOT publicly traded, in a trade or business of a reporting individual or a reporting individual's spouse.

| Percentage of corporate stock owned or controlled (if more than 5% of publicly traded stock, or more than 10% if stock not publicly traded, is held) | Category of Market Value as of the close of the taxable year last occurring prior to the filing of this statement (In Table II) |
| Self | Spouse | Self | Spouse | Self | Spouse | Self | Spouse |
| Borrello's Sunset Bay Beach LLC | Stock share 51% | Borrello's Sunset Bay Beach LLC | Stock share 49% | Sunset Bay Shores LLC | Stock share 36% | Sunset Bay Sand & Land LLC | Stock share 36% | Sunset Bay Shores LLC | Stock share 36% | Sunset Bay Sand & Land LLC | Stock share 36% | Sunset Bay Waves LLC | Stock share 49% | Sunset Bay Waves LLC | Stock share 51% | Sunset BLD Inc | Stock share 49% | Sunset BLD Inc | Stock Share 51% | Villaggio Italiano LLC | Stock share 49% | Villaggio Italiano LLC | Stock share 51% | Breakwall Cafe LLC | Stock share 100% |
17. List below the location, size, general nature, acquisition date, market value and percentage of ownership of any real property in which any vested or contingent interest in EXCESS of $1,000 is held by the reporting individual or the reporting individual's spouse. Also list real property owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. Do NOT list any real property which is the primary or secondary personal residence of the reporting individual or the reporting individual's spouse, except where there is a co-owner who is other than a relative.

<table>
<thead>
<tr>
<th>Corporation/Location</th>
<th>Size</th>
<th>General Acquisition Nature</th>
<th>Date</th>
<th>Percentage of Ownership</th>
<th>Category</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sunset Bay Sand &amp; Land LLC</td>
<td>Iola Drive Irving, NY</td>
<td>3.5 acres</td>
<td>Waterfront Property</td>
<td>3/9/2018</td>
<td>100%</td>
</tr>
<tr>
<td>Sunset Bay Shores LLC</td>
<td>1028 S. Shore Dr, Irving, NY</td>
<td>1.5 acres</td>
<td>Waterfront Property</td>
<td>3/9/2018</td>
<td>100%</td>
</tr>
<tr>
<td>Sunset BLD Inc</td>
<td>1014 Newton Rd, Irving NY 14081</td>
<td>.25 acres</td>
<td>Commercial property</td>
<td>3/9/2018</td>
<td>100%</td>
</tr>
</tbody>
</table>

18. List below all notes and accounts receivable, other than from goods or services sold, held by the reporting individual at the close of the taxable year last occurring prior to the date of filing and other debts owed to such individual at the close of the taxable year last occurring prior to the date of filing, in EXCESS of $1,000, including the name of the debtor, type of obligation, date due and the nature of the collateral securing payment of each, if any, excluding securities reported in item 16 hereinabove. Debts, notes and accounts receivable owed to the individual by a relative shall not be reported.

<table>
<thead>
<tr>
<th>Name of Debtor</th>
<th>Type of Obligation, Date Due, and Nature of Collateral, if any</th>
<th>Category of Amount (In Table II)</th>
</tr>
</thead>
<tbody>
<tr>
<td>N/A</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

19. List below all liabilities of the reporting individual and such individual's spouse, in EXCESS of $10,000 as of the date of filing of this statement, other than liabilities to a relative. Do NOT list liabilities incurred by, or guarantees made by, the reporting individual or such individual's spouse or by any proprietorship, partnership or corporation in which the reporting individual or such individual's spouse has an interest, when incurred or made in the
ordinary course of the trade, business or professional practice of the reporting individual or such individual’s spouse. Include the name of the creditor and any collateral pledged by such individual to secure payment of any such liability. A reporting individual shall not list any obligation to pay maintenance in connection with a matrimonial action, alimony or child support payments. Any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances shall be excluded. If any such reportable liability has been guaranteed by any third person, list the liability and name the guarantor.

<table>
<thead>
<tr>
<th>Name of Creditor or Guarantor</th>
<th>Type of Liability and Collateral, if any</th>
<th>Category of Amount (In Table II)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Samuel Bova</td>
<td>Private Mortgage</td>
<td></td>
</tr>
</tbody>
</table>

The requirements of law relating to the reporting of financial interests are in the public interest and no adverse inference of unethical or illegal conduct or behavior will be drawn merely from compliance with these requirements.

(Signature of Reporting Individual)  
5/13/2022  
5/13/2022

(Date (month/day/year))